

## SOME CONNECTIONS BETWEEN AN OPERATOR AND ITS ALUTHGE TRANSFORM

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(Received 4 April, 2004; accepted 10 October, 2004)

**Abstract.** Associated with  $T = U|T|$  (polar decomposition) in  $\mathcal{L}(\mathbf{H})$  is a related operator  $\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ , called the Aluthge transform of  $T$ . In this paper we study some connections between  $T$  and  $\tilde{T}$ , including the following relations; the single valued extension property, an analogue of the single valued extension property on  $W^m(D, \mathbf{H})$ , Dunford's property (C) and the property ( $\beta$ ).

2000 *Mathematics Subject Classification.* 47B20, 47A15.

Let  $\mathbf{H}$  be a complex Hilbert space, and denote by  $\mathcal{L}(\mathbf{H})$  the algebra of all bounded linear operators on  $\mathbf{H}$ . If  $T \in \mathcal{L}(\mathbf{H})$ , we write  $\sigma(T)$ ,  $\sigma_{ap}(T)$ , and  $\sigma_p(T)$  for the spectrum, the approximate point spectrum, and the point spectrum of  $T$ , respectively.

An arbitrary operator  $T \in \mathcal{L}(\mathbf{H})$  has a unique polar decomposition  $T = U|T|$ , where  $|T| = (T^*T)^{\frac{1}{2}}$  and  $U$  is the appropriate partial isometry satisfying  $\ker U = \ker |T| = \ker T$  and  $\ker U^* = \ker T^*$ . Associated with  $T$  is a related operator  $|T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ , called the *Aluthge transform of  $T$* , and denoted throughout this paper by  $\tilde{T}$ .

An operator  $T \in \mathcal{L}(\mathbf{H})$  is said to be  *$p$ -hyponormal*, where  $0 < p \leq 1$ , if  $(T^*T)^p \geq (TT^*)^p$ , where  $T^*$  is the adjoint of  $T$ . In particular, if  $p = 1$ ,  $T$  is called *hyponormal*. There is a vast literature concerning  *$p$ -hyponormal operators*.

An operator  $T \in \mathcal{L}(\mathbf{H})$  is said to satisfy the *single-valued extension property* if for any open subset  $V$  in  $\mathbf{C}$ , the function

$$T - \lambda : \mathcal{O}(V, \mathbf{H}) \longrightarrow \mathcal{O}(V, \mathbf{H})$$

defined by the obvious pointwise multiplication, is one-to-one. Here  $\mathcal{O}(V, \mathbf{H})$  denotes the Fréchet space of  $\mathbf{H}$ -valued analytic functions on  $V$  with respect to uniform topology. If  $T$  has the single valued extension property, then for any  $x \in \mathbf{H}$  there exists a unique maximal open set  $\rho_T(x) (\supset \rho(T)$ , the resolvent set) and a unique  $\mathbf{H}$ -valued analytic function  $f$  defined in  $\rho_T(x)$  such that

$$(T - \lambda)f(\lambda) = x \quad (\lambda \in \rho_T(x)).$$

\*The second author is supported by a grant (R14-2003-006-01000-0) from Korea Science and Engineering Foundation.

In the following theorem we show that Aluthge transforms preserve the single valued extension property.

**THEOREM 1.1.** *An operator  $T$  with polar decomposition  $U|T|$  has the single valued extension property if and only if  $\tilde{T}$  has.*

*Proof.* Assume that  $T$  has the single valued extension property. Suppose that  $W$  is an open subset of  $\mathbf{C}$  and  $f : W \rightarrow \mathbf{H}$  is an analytic function satisfying  $(\tilde{T} - \lambda)f(\lambda) = 0$ , for each  $\lambda \in W$ . Since  $T(U|T|^{\frac{1}{2}}) = (U|T|^{\frac{1}{2}})\tilde{T}$ ,

$$(T - \lambda)U|T|^{\frac{1}{2}}f(\lambda) = U|T|^{\frac{1}{2}}(\tilde{T} - \lambda)f(\lambda) = 0,$$

for each  $\lambda \in W$ . Since  $T$  has the single valued extension property,  $U|T|^{\frac{1}{2}}f(\lambda) = 0$  for each  $\lambda \in W$ . Since  $\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ ,  $\tilde{T}f(\lambda) = 0$  for each  $\lambda \in W$ . Since  $(\tilde{T} - \lambda)f(\lambda) = 0$  for each  $\lambda \in W$ ,  $\lambda f(\lambda) = 0$  for each  $\lambda \in W$ . Since  $f(\lambda) = 0$  on  $W \setminus \{0\}$  and is analytic on  $W$ ,  $f$  is identically 0 on  $W$ . Therefore,  $\tilde{T}$  has the single valued extension property.

The proof of the converse implication is similar. □

The following corollary shows the relationships between the local spectra of  $T$  and  $\tilde{T}$ .

**COROLLARY 1.2.** *If an operator  $T$  with polar decomposition  $U|T|$  has the single valued extension property, then*

$$\sigma_{\tilde{T}}(|T|^{\frac{1}{2}}x) \subset \sigma_T(x) \quad \text{and} \quad \sigma_T(U|T|^{\frac{1}{2}}x) \subset \sigma_{\tilde{T}}(x).$$

*Proof.* For  $\lambda \in \rho_T(x)$ , we have  $(T - \lambda)x(\lambda) \equiv x$ , where  $\lambda \rightarrow x(\lambda)$  is the analytic function defined on  $\rho_T(x)$ . Since  $|T|^{\frac{1}{2}}T = \tilde{T}|T|^{\frac{1}{2}}$ ,

$$(\tilde{T} - \lambda)|T|^{\frac{1}{2}}x(\lambda) = |T|^{\frac{1}{2}}(T - \lambda)x(\lambda) \equiv |T|^{\frac{1}{2}}x.$$

Hence  $\rho_T(x) \subset \rho_{\tilde{T}}(|T|^{\frac{1}{2}}x)$ , so that  $\sigma_{\tilde{T}}(|T|^{\frac{1}{2}}x) \subset \sigma_T(x)$ .

Similarly, we can prove the second inclusion. □

**COROLLARY 1.3.** *If an operator  $T$  with polar decomposition  $U|T|$  has the single valued extension property, then*

$$|T|^{\frac{1}{2}}H_T(F) \subseteq H_{\tilde{T}}(F) \quad \text{and} \quad U|T|^{\frac{1}{2}}H_{\tilde{T}}(F) \subseteq H_T(F),$$

where  $H_T(F) = \{x \in \mathbf{H} : \sigma_T(x) \subseteq F\}$  for  $F \subset \mathbf{C}$ .

*Proof.* If  $x \in H_T(F)$ , then  $\sigma_T(x) \subseteq F$ . By Corollary 1.2, we get  $\sigma_{\tilde{T}}(|T|^{\frac{1}{2}}x) \subseteq F$ . Hence  $|T|^{\frac{1}{2}}x \in H_{\tilde{T}}(F)$ . Thus  $|T|^{\frac{1}{2}}H_T(F) \subseteq H_{\tilde{T}}(F)$ .

Similarly, we get  $U|T|^{\frac{1}{2}}H_{\tilde{T}}(F) \subseteq H_T(F)$ . □

Our next result shows that the Aluthge transform preserves an analogue of the single valued extension property for  $W^m(D, \mathbf{H})$  and an operator  $T$  on  $\mathbf{H}$ ; that is,  $T - \lambda : W^m(D, \mathbf{H}) \rightarrow W^m(D, \mathbf{H})$  is one-to-one if and only if  $\tilde{T} - \lambda$  is. First of all, let us define a special Sobolev type space. Let  $D$  be a bounded open subset of  $\mathbf{C}$  and  $m$  a fixed non-negative integer. The vector valued Sobolev space  $W^m(D, \mathbf{H})$  with respect to  $\bar{\partial}$  and order  $m$  will be the space of those functions  $f \in L^2(D, \mathbf{H})$  whose derivatives  $\bar{\partial}f, \dots, \bar{\partial}^m f$  in the sense of distributions still belong to  $L^2(D, \mathbf{H})$ .

Endowed with the norm

$$\|f\|_{W^m}^2 = \sum_{i=0}^m \|\bar{\partial}^i f\|_{2,D}^2,$$

$W^m(D, \mathbf{H})$  becomes a Hilbert space contained continuously in  $L^2(D, \mathbf{H})$ .

**THEOREM 1.4.** *Let  $T = U|T|$  be the polar decomposition of  $T$  in  $\mathcal{L}(\mathbf{H})$  and let  $D$  be an arbitrary bounded disk containing  $\sigma(T) \cup \{0\}$  in  $\mathbf{C}$ . Then  $T - \lambda : W^2(D, \mathbf{H}) \rightarrow W^2(D, \mathbf{H})$  is one-to-one if and only if  $\tilde{T} - \lambda : W^2(D, \mathbf{H}) \rightarrow W^2(D, \mathbf{H})$  is one-to-one.*

*Proof.* Assume  $T - \lambda$  is one-to-one. If  $f \in W^2(D, \mathbf{H})$  is such that  $(\tilde{T} - \lambda)f = 0$ , then  $(T - \lambda)U|T|^{\frac{1}{2}}f = 0$ . By the hypothesis,  $U|T|^{\frac{1}{2}} = 0$ . Hence  $\tilde{T}f = 0$ . Thus  $\lambda f = 0$ ; i.e.,  $\lambda \bar{\partial}^i f = 0$  for  $i = 0, 1, 2$ . By applications of [9, Proposition 3.2] with  $T = 0$ , we get

$$\|(I - P)f\|_{2,D} \leq C_D(\|-\lambda \bar{\partial} f\|_{2,D} + \|-\lambda \bar{\partial}^2 f\|_{2,D}), \tag{1}$$

where  $P$  denotes the orthogonal projection of  $L^2(D, \mathbf{H})$  onto the Bergman space  $A^2(D, \mathbf{H})$ . From (1) we have  $f = Pf$ . Hence  $\lambda f = \lambda Pf = 0$ . From [3, Corollary 10.7], there exists a constant  $c > 0$  such that

$$c\|Pf\|_{2,D} \leq \|\lambda Pf\|_{2,D}.$$

Hence  $f = Pf = 0$ .

Conversely, if  $\tilde{T} - \lambda$  is one-to-one, we can prove the required result by the same argument. □

The following corollary shows that, for every  $p$ -hyponormal operator  $T$ , the equality  $\text{supp}((T - \lambda)f) = \text{supp}(f)$  holds for every  $f \in W^2(D, \mathbf{H})$ .

**COROLLARY 1.5.** *If  $T$  is  $p$ -hyponormal, then the operator  $T - \lambda : W^2(D, \mathbf{H}) \rightarrow W^2(D, \mathbf{H})$  is one-to-one.*

*Proof.* Since  $\tilde{\tilde{T}}$  is hyponormal by [1], it is known from [9] that  $\tilde{\tilde{T}} - \lambda$  is one-to-one. By two applications of Theorem 1.4 we conclude that  $T - \lambda$  is one-to-one. □

**COROLLARY 1.6.** *If an operator  $T \in \mathcal{L}(\mathbf{H})$  satisfies  $T = S + N$ , where  $S$  is  $p$ -hyponormal,  $S$  and  $N$  commute, and  $N^m = 0$ , then  $T - \lambda$  is one-to-one on  $W^2(D, \mathbf{H})$ .*

*Proof.* Let  $f \in W^2(D, \mathbf{H})$  be such that  $(T - \lambda)f = 0$ . Then

$$(S - \lambda)f = -Nf. \tag{2}$$

Hence  $(S - \lambda)N^{j-1}f = -N^j f$  for  $j = 1, 2, \dots, m$ . We prove that  $N^j f = 0$  for  $j = 0, 1, \dots, m - 1$  by induction. Since  $N^m = 0$ ,

$$(S - \lambda)N^{m-1}f = -N^m f = 0.$$

Since  $S - \lambda$  is one-to-one from Corollary 1.5,  $N^{m-1}f = 0$ . Assume it is true when  $j = k$ , i.e.,  $N^k f = 0$ . From (2), we get

$$(S - \lambda)N^{k-1}f = -N^k f = 0.$$

Since  $S - \lambda$  is one-to-one from Corollary 1.5,  $N^{k-1}f = 0$ . By induction, we have  $f = 0$ . Hence  $T - \lambda$  is one-to-one. □

The following theorem shows that if  $\lim_{n \rightarrow \infty} \|(T - \lambda)f_n\|_{W^m} = 0$ , then we cannot obtain by the same method more than  $\lim_{n \rightarrow \infty} \|f_n\|_{W^{m-2}} = 0$  for  $m \geq 2$ .

**THEOREM 1.7.** *Let  $T = U|T|$  be the polar decomposition of  $T$  in  $\mathcal{L}(\mathbf{H})$  and let  $D$  be an arbitrary bounded disk containing  $\sigma(T) \cup \{0\}$  in  $\mathbf{C}$ . Assume that  $\tilde{T} - \lambda : W^m(D, \mathbf{H}) \rightarrow W^m(D, \mathbf{H})$  is bounded below. If  $f_n$  is a sequence in  $W^m(D, \mathbf{H})$  such that we have  $\lim_{n \rightarrow \infty} \|(T - \lambda)f_n\|_{W^m} = 0$ , then  $\lim_{n \rightarrow \infty} \|f_n\|_{W^{m-2}} = 0$  for  $m \geq 2$ .*

*Proof.* If  $f_n$  is a sequence in  $W^m(D, \mathbf{H})$  such that  $\lim_{n \rightarrow \infty} \|(T - \lambda)f_n\|_{W^m} = 0$ , then by the definition of the norm in  $W^m(D, \mathbf{H})$  we have

$$\lim_{n \rightarrow \infty} \|(T - \lambda)\bar{\partial}^i f_n\|_{2,D} = 0 \tag{3}$$

for  $i = 0, 1, \dots, m$ . Since  $|T|^{1/2}T = \tilde{T}|T|^{1/2}$ , we get

$$\lim_{n \rightarrow \infty} \|(\tilde{T} - \lambda)|T|^{1/2}\bar{\partial}^i f_n\|_{2,D} = 0$$

for  $i = 0, 1, \dots, m$ . Since  $\tilde{T} - \lambda$  is bounded below, we have

$$\lim_{n \rightarrow \infty} \||T|^{1/2}\bar{\partial}^i f_n\|_{2,D} = 0$$

for  $i = 0, 1, \dots, m$ . Since  $T = U|T|$ , we get

$$\lim_{n \rightarrow \infty} \|T\bar{\partial}^i f_n\|_{2,D} = 0 \tag{4}$$

for  $i = 0, 1, \dots, m$ . Hence by (3) and (4) we obtain

$$\lim_{n \rightarrow \infty} \|\lambda\bar{\partial}^i f_n\|_{2,D} = 0 \tag{5}$$

for  $i = 0, 1, \dots, m$ . By an application of [7, Proposition 2.2] with  $T = 0$ ,

$$\lim_{n \rightarrow \infty} \|(I - P)\bar{\partial}^i f_n\|_{2,D} = 0 \tag{6}$$

for  $i = 0, 1, \dots, m - 2$ , where  $P$  denotes the orthogonal projection of  $L^2(D, \mathbf{H})$  onto the Bergman space  $A^2(D, \mathbf{H}) = L^2(D, \mathbf{H}) \cap \mathcal{O}(U, \mathbf{H})$ . Then (5) and (6) imply that

$$\lim_{n \rightarrow \infty} \|\lambda P\bar{\partial}^i f_n\|_{2,D} = 0$$

for  $i = 0, 1, \dots, m - 2$ . Since  $\lambda P\bar{\partial}^i f_n$  is bounded below, by [3, Corollary 10.7], we get

$$\lim_{n \rightarrow \infty} \|P\bar{\partial}^i f_n\|_{2,D} = 0 \tag{7}$$

for  $i = 0, 1, \dots, m - 2$ . By (6) and (7) we conclude that  $\lim_{n \rightarrow \infty} \|f_n\|_{W^{m-2}} = 0$ . □

Next we show that Aluthge transforms preserve the finite ascent except for  $\lambda = 0$ .

**THEOREM 1.8.** *For arbitrary  $\lambda \in \mathbf{C} \setminus \{0\}$ ,  $\ker(T - \lambda)^n = \ker(T - \lambda)^{n+1}$  if and only if  $\ker(\tilde{T} - \lambda)^n = \ker(\tilde{T} - \lambda)^{n+1}$ , for some  $n \in \mathbf{N}$ .*

*Proof.* Assume that for all  $\lambda \in \mathbf{C} \setminus \{0\}$ , there is an  $n \in \mathbf{N}$  such that  $\ker(T - \lambda)^n = \ker(T - \lambda)^{n+1}$ . Since  $\ker(\tilde{T} - \lambda)^n \subset \ker(\tilde{T} - \lambda)^{n+1}$ , it suffices to show that

$\ker(\tilde{T} - \lambda)^n \supset \ker(\tilde{T} - \lambda)^{n+1}$ . Let  $x \in \ker(\tilde{T} - \lambda)^{n+1}$ . Since  $T(U|T|^{\frac{1}{2}}) = (U|T|^{\frac{1}{2}})\tilde{T}$ ,

$$(T - \lambda)^{n+1}U|T|^{\frac{1}{2}}x = U|T|^{\frac{1}{2}}(\tilde{T} - \lambda)^{n+1}x = 0.$$

Therefore,  $U|T|^{\frac{1}{2}}x \in \ker(T - \lambda)^{n+1} = \ker(T - \lambda)^n$ . Since

$$U|T|^{\frac{1}{2}}(\tilde{T} - \lambda)^n x = (T - \lambda)^n U|T|^{\frac{1}{2}}x = 0,$$

$\tilde{T}(\tilde{T} - \lambda)^n x = 0$ . We obtain  $\lambda(\tilde{T} - \lambda)^n x = 0$ . Since  $\lambda \neq 0$ ,  $(\tilde{T} - \lambda)^n x = 0$ .

The proof of the converse implication is similar. □

**THEOREM 1.9.** *Let  $T \in \mathcal{L}(\mathbf{H})$  have polar decomposition  $U|T|$ . Then for all nonzero  $\lambda \in \mathbf{C}$ ,  $\text{ran}(T - \lambda)$  is closed if and only if  $\text{ran}(\tilde{T} - \lambda)$  is closed.*

*Proof.* Assume that  $\text{ran}(\tilde{T} - \lambda)$  is closed, for all nonzero  $\lambda \in \mathbf{C}$ . If  $y \in \overline{\text{ran}(T - \lambda)}$ , for all nonzero  $\lambda \in \mathbf{C}$ , then there exists a sequence  $\{x_n\}$  in  $\mathbf{H}$  such that

$$\lim_{n \rightarrow \infty} (T - \lambda)x_n = y.$$

Since  $|T|^{\frac{1}{2}}T = \tilde{T}|T|^{\frac{1}{2}}$ , we have

$$\lim_{n \rightarrow \infty} (\tilde{T} - \lambda)|T|^{\frac{1}{2}}x_n = \lim_{n \rightarrow \infty} |T|^{\frac{1}{2}}(T - \lambda)x_n = |T|^{\frac{1}{2}}y.$$

Since  $\text{ran}(\tilde{T} - \lambda)$  is closed, for all nonzero  $\lambda \in \mathbf{C}$ , there exists a  $z \in \mathbf{H}$  such that

$$\lim_{n \rightarrow \infty} (\tilde{T} - \lambda)|T|^{\frac{1}{2}}x_n = (\tilde{T} - \lambda)z.$$

Since the limit is unique,  $(\tilde{T} - \lambda)z = |T|^{\frac{1}{2}}y$ . Thus  $\tilde{T}z = |T|^{\frac{1}{2}}y + \lambda z$ . Set  $w = U|T|^{\frac{1}{2}}z - y$ . Then

$$|T|^{\frac{1}{2}}w = \tilde{T}z - |T|^{\frac{1}{2}}y = \lambda z.$$

Hence we get

$$\begin{aligned} (T - \lambda)w &= U|T|^{\frac{1}{2}}(|T|^{\frac{1}{2}}w) - \lambda w \\ &= U|T|^{\frac{1}{2}}(\lambda z) - \lambda(U|T|^{\frac{1}{2}}z - y) \\ &= \lambda y. \end{aligned}$$

Since  $\lambda$  is nonzero,

$$(T - \lambda)\left(\frac{w}{\lambda}\right) = y.$$

Hence  $y \in \text{ran}(T - \lambda)$ . Thus  $\text{ran}(T - \lambda)$  is closed, for all nonzero  $\lambda \in \mathbf{C}$ .

The proof of the converse is similar. □

**COROLLARY 1.10.** *For all nonzero  $\lambda \in \mathbf{C}$ ,  $T - \lambda$  is bounded below if and only if  $\tilde{T} - \lambda$  is.*

*Proof.* Let  $T = U|T|$  be the polar decomposition of  $T$ . If  $T - \lambda$  is bounded below for all nonzero  $\lambda \in \mathbf{C}$ , then it is one-to-one and has closed range. From Theorem 1.9,

it suffices to show that  $\tilde{T} - \lambda$  is one-to-one. If  $(\tilde{T} - \lambda)x = 0$ , then  $(T - \lambda)U|T|^{\frac{1}{2}}x = 0$ . Hence  $U|T|^{\frac{1}{2}}x = 0$ , i.e.,  $\tilde{T}x = 0$ . Since  $\lambda \neq 0$ ,  $x = 0$ .

The proof of the converse is similar. □

The following theorem shows that the Aluthge transform preserves the finite descent except for  $\lambda = 0$ .

**THEOREM 1.11.** *For all nonzero  $\lambda \in \mathbf{C}$ ,  $\text{ran}(T - \lambda)^n = \text{ran}(T - \lambda)^{n+1}$  if and only if  $\text{ran}(\tilde{T} - \lambda)^n = \text{ran}(\tilde{T} - \lambda)^{n+1}$  for some  $n \in \mathbf{N}$ .*

*Proof.* Assume that  $\text{ran}(T - \lambda)^n = \text{ran}(T - \lambda)^{n+1}$  for some  $n \in \mathbf{N}$  and for all nonzero  $\lambda \in \mathbf{C}$ . Since  $\text{ran}(\tilde{T} - \lambda)^n \supset \text{ran}(\tilde{T} - \lambda)^{n+1}$ , it suffices to show that  $\text{ran}(\tilde{T} - \lambda)^n \subset \text{ran}(\tilde{T} - \lambda)^{n+1}$ . If  $y \in \text{ran}(\tilde{T} - \lambda)^n$ , there exists an  $x \in \mathbf{H}$  such that  $y = \text{ran}(\tilde{T} - \lambda)^n x$ . Since  $U|T|^{\frac{1}{2}}\tilde{T} = TU|T|^{\frac{1}{2}}$ ,

$$U|T|^{\frac{1}{2}}y = (T - \lambda)^n U|T|^{\frac{1}{2}}x.$$

Since  $U|T|^{\frac{1}{2}}y \in \text{ran}(T - \lambda)^n = \text{ran}(T - \lambda)^{n+1}$ , there exists a  $z \in \mathbf{H}$  such that  $\tilde{T}y = |T|^{\frac{1}{2}}(T - \lambda)^{n+1}z = (\tilde{T} - \lambda)^{n+1}|T|^{\frac{1}{2}}z$ . Hence  $\tilde{T}y \in \text{ran}(\tilde{T} - \lambda)^{n+1}$  and so there exists an  $s \in \mathbf{H}$  such that  $\tilde{T}y = (\tilde{T} - \lambda)^{n+1}s$ . Set  $w = (\tilde{T} - 2\lambda)s - (\tilde{T} - \lambda)^2s$ . Then

$$(\tilde{T} - \lambda)^{n+1}w = -\lambda^2y.$$

Since  $\lambda \neq 0$ ,

$$(\tilde{T} - \lambda)^{n+1}\left(-\frac{w}{\lambda^2}\right) = y.$$

Hence  $y \in \text{ran}(\tilde{T} - \lambda)^{n+1}$ .

The proof of the converse is similar. □

Suppose that  $T \in \mathcal{L}(\mathbf{H})$  has the single valued extension property. The operator  $T$  is said to satisfy *Dunford's property (C)* if the linear submanifold

$$H_T(F) := \{x \in \mathbf{H} : \sigma_T(x) \subseteq F\}$$

is closed, for each closed subset  $F$  of  $\mathbf{C}$ , where  $\sigma_T(x) := \mathbf{C} \setminus \rho_T(x)$ .

The following theorem shows that Aluthge transforms preserve Dunford's property (C) in some cases.

Recall that an operator  $X \in \mathcal{L}(\mathbf{H}, \mathbf{K})$  is called a *quasiaffinity* if it has trivial kernel and dense range. An operator  $A \in \mathcal{L}(\mathbf{H})$  is said to be a *quasiaffine transform* of an operator  $T \in \mathcal{L}(\mathbf{K})$  if there is a quasiaffinity  $X \in \mathcal{L}(\mathbf{H}, \mathbf{K})$  such that  $XA = TX$ . Furthermore, operators  $A$  and  $T$  are said to be *quasisimilar* if there are quasiaffinities  $X$  and  $Y$  such that  $XA = TX$  and  $AY = YT$ .

**THEOREM 1.12.** *If  $T$ , with polar decomposition  $U|T|$  is a quasiaffinity in  $\mathcal{L}(\mathbf{H})$ , then  $T$  satisfies Dunford's property (C) if and only if  $\tilde{T}$  does.*

*Proof.* Assume that  $T$  satisfies Dunford's property (C). Consider

$$H_{\tilde{T}}(F) := \{x \in \mathbf{H} : \sigma_{\tilde{T}}(x) \subseteq F\},$$

for every closed subset  $F$  of  $\mathbf{C}$ . Since  $\tilde{T}$  has the single valued extension property from Theorem 1.1, it suffices to show that  $H_{\tilde{T}}(F)$  is closed. If  $x \in \overline{H_{\tilde{T}}(F)}$ , then there exist a sequence  $\{x_n\}$  in  $H_{\tilde{T}}(F)$  such that  $x_n \rightarrow x$ . Since  $x_n \in H_{\tilde{T}}(F)$ ,  $\sigma_{\tilde{T}}(x_n) \subseteq F$ . For any  $\lambda \in F^c$  we have  $\lambda \in \rho_{\tilde{T}}(x_n)$ . Hence  $(\tilde{T} - \lambda)x_n(\lambda) \equiv x_n$ , where  $\lambda \rightarrow x_n(\lambda)$  is the analytic function defined on  $\rho_{\tilde{T}}(x_n)$ . Since  $U|T|^{\frac{1}{2}}\tilde{T} = TU|T|^{\frac{1}{2}}$ ,

$$(T - \lambda)U|T|^{\frac{1}{2}}x_n(\lambda) \equiv U|T|^{\frac{1}{2}}x_n.$$

Hence  $\lambda \in \rho_T(U|T|^{\frac{1}{2}}x_n)$ . Thus  $\sigma_T(U|T|^{\frac{1}{2}}x_n) \subseteq F$ . Therefore,

$$U|T|^{\frac{1}{2}}x_n \in H_T(F).$$

Since  $H_T(F)$  is closed by hypothesis,  $U|T|^{\frac{1}{2}}x \in H_T(F)$ . For any  $\lambda \in F^c$ , we have

$$(T - \lambda)U|T|^{\frac{1}{2}}x(\lambda) \equiv U|T|^{\frac{1}{2}}x.$$

Since  $U|T|^{\frac{1}{2}}\tilde{T} = TU|T|^{\frac{1}{2}}$ , we have

$$U|T|^{\frac{1}{2}}(\tilde{T} - \lambda)x(\lambda) \equiv U|T|^{\frac{1}{2}}x.$$

Since  $T$  is a quasiaffinity, we get

$$(\tilde{T} - \lambda)x(\lambda) \equiv x.$$

Thus  $\lambda \in \rho_{\tilde{T}}(x)$ . Hence  $\sigma_{\tilde{T}}(x) \subseteq F$ .

The proof of the converse implication is similar. □

An operator  $T \in \mathcal{L}(\mathbf{H})$  is called *decomposable* if for every finite open covering  $\{G_1, \dots, G_n\}$  of  $\mathbf{C}$  there exists a system  $\{Y_1, \dots, Y_n\}$  of spectral maximal subspaces of  $T$  such that  $\mathbf{H} = Y_1 + \dots + Y_n$  and  $\sigma(T|_{Y_i}) \subset G_i$  for every  $1 \leq i \leq n$ . As one of the generalized concepts of decomposability, we define the following; an operator  $T \in \mathcal{L}(\mathbf{H})$  is *quasidecomposable* if  $T$  has Dunford's property (C) and satisfies the condition that for every finite open covering  $\{G_1, \dots, G_n\}$  of  $\mathbf{C}$  there corresponds a system  $\{Y_1, \dots, Y_n\}$  of  $T$ -invariant subspaces such that  $\mathbf{H} = \bigvee_{i=1}^n Y_i$  and  $\sigma(T|_{Y_i}) \subset G_i$  for every  $1 \leq i \leq n$ . As an application of Theorem 1.7 we have the following corollary.

**COROLLARY 1.13.** *Let  $T$  with polar decomposition  $U|T|$  be a quasiaffinity in  $\mathcal{L}(\mathbf{H})$ . If  $\tilde{T}$  is decomposable, then  $T$  is quasidecomposable.*

*Proof.* If  $\tilde{T}$  is decomposable, it has Dunford's property (C) from [8]. Then  $T$  has Dunford's property (C), by Theorem 1.12. Since  $TU|T|^{\frac{1}{2}} = U|T|^{\frac{1}{2}}\tilde{T}$ , Corollary 1.3 implies that

$$U|T|^{\frac{1}{2}}H_{\tilde{T}}(F) \subset H_T(F),$$

for each closed  $F$ . Let  $\{G_1, \dots, G_n\}$  be an open cover of  $\mathbf{C}$ . Then

$$\mathbf{H} = H_{\tilde{T}}(\bar{G}_1) + \dots + H_{\tilde{T}}(\bar{G}_n).$$

Since  $\overline{U|T|^{\frac{1}{2}}\mathbf{H}} = \mathbf{H}$ , we have

$$U|T|^{\frac{1}{2}}H_{\tilde{T}}(\bar{G}_1) + \dots + U|T|^{\frac{1}{2}}H_{\tilde{T}}(\bar{G}_n) \subset H_T(\bar{G}_1) + \dots + H_T(\bar{G}_n).$$

Hence

$$\mathbf{H} = \overline{U|T|^{\frac{1}{2}}\mathbf{H}} = \overline{U|T|^{\frac{1}{2}}[H_{\tilde{T}}(\tilde{G}_1) + \cdots + H_{\tilde{T}}(\tilde{G}_n)]} \\ \subset \overline{H_T(\tilde{G}_1) + \cdots + H_T(\tilde{G}_n)}.$$

Thus

$$\mathbf{H} = \bigvee_{i=1}^n H_T(\tilde{G}_i).$$

Since  $T$  has Dunford’s property (C), by [2, Proposition 3.8]

$$\sigma(T|_{H_T(\tilde{G}_i)}) \subset \tilde{G}_i,$$

for each  $i$ , so that  $T$  is quasidecomposable. □

An operator  $T \in \mathcal{L}(\mathbf{H})$  is said to satisfy the *property*  $(\beta)$  if for every open subset  $G$  of  $\mathbf{C}$  and every sequence  $f_n : G \rightarrow \mathbf{H}$  of  $\mathbf{H}$ -valued analytic functions such that  $(T - \lambda)f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $G$ ,  $f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $G$ .

The following theorem shows that Aluthge transforms preserve the property  $(\beta)$ .

**THEOREM 1.14.** *An operator  $T$  with polar decomposition  $U|T|$  satisfies the property  $(\beta)$  if and only if an operator  $\tilde{T}$  does.*

*Proof.* Assume  $T$  satisfies the property  $(\beta)$ . Let  $f_n \in \mathcal{O}(V, \mathbf{H})$  be such that  $(\tilde{T} - \lambda)f_n(\lambda)$  converges uniformly to 0 on compact subsets  $G$  of  $V$ . Since  $T(U|T|^{\frac{1}{2}}) = (U|T|^{\frac{1}{2}})\tilde{T}$ ,  $(T - \lambda)U|T|^{\frac{1}{2}}f_n(\lambda)$  converges uniformly to 0 for all  $\lambda \in G$ . Since  $T$  satisfies the property  $(\beta)$ ,  $U|T|^{\frac{1}{2}}f_n(\lambda)$  converges uniformly to 0 for all  $\lambda \in G$ . Since  $\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ ,  $\tilde{T}f_n(\lambda)$  converges uniformly to 0 for all  $\lambda \in G$ . Hence  $\lambda f_n(\lambda)$  converges uniformly to 0 for all  $\lambda \in G$ . Since 0 is hyponormal and hyponormal operators satisfy the property  $(\beta)$ ,  $f_n(\lambda)$  converges uniformly to 0 for all  $\lambda \in G$ . Hence  $\tilde{T}$  satisfies the property  $(\beta)$ .

The proof of the converse is similar. □

**COROLLARY 1.15.** *If  $\tilde{T}$  is algebraic (i.e.,  $p(\tilde{T}) = 0$  for some nonzero polynomial  $p$ ), then  $T = U|T|$  (polar decomposition) satisfies the property  $(\beta)$ .*

*Proof.* If  $\tilde{T}$  is algebraic, then it satisfies the property  $(\beta)$  by [6]. Hence, by Theorem 1.14,  $T$  satisfies the property  $(\beta)$ . □

As an application of Theorem 1.14, we have the following corollary.

**COROLLARY 1.16.** *If  $T$  is  $p$ -hyponormal, then it satisfies the property  $(\beta)$ .*

*Proof.* Since  $\tilde{T}$  is hyponormal by [1], it satisfies the property  $(\beta)$ . Hence from two applications of Theorem 1.14,  $T$  satisfies the property  $(\beta)$ . □

**COROLLARY 1.17.** *Suppose that  $T$  is  $p$ -hyponormal and  $S$  satisfies the property  $(\beta)$ . If  $S$  and  $T$  are quasimilar, then  $S$  satisfies Weyl’s theorem (i.e.,  $\sigma(T) - \omega(T) = \pi_{00}(T)$ , where  $\pi_{00}(T)$  denotes the set of all eigenvalues of finite multiplicity of  $T$  and  $\omega(T)$  denotes the Weyl spectrum of  $T$ ).*

*Proof.* Since  $T$  satisfies the property  $(\beta)$ , by Corollary 1.16, [10] implies that  $S$  satisfies Weyl's theorem.  $\square$

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