

FOOD SENSITIVITY AND THE NERVOUS SYSTEM: HYPERACTIVITY, ADDICTION AND CRIMINAL BEHAVIOUR

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INTRODUCTION: AIMS AND SCOPE OF REVIEW

In recent years there has been considerable interest in behaviour disorders, particularly hyperactivity. Suggested causes of these conditions include hypoglycaemia, brain injury, parenting, psychosocial stress, food sensitivity, metabolic aberrations and allergy. Treatment options currently include behaviour modification, stimulant medication, educational changes and dietary management.

Some psychologists claim that their clients diagnosed as hyperactive improve on an additive-free diet. Clinical ecologists describe people who are 'allergic' to virtually all substances in foods and the environment and who may express this as abnormal behaviour with apparent addiction to the damaging substances. There are legal implications of the propounded theories that foods affect behaviour, since criminal activities have been attributed in courts as being an expression of food allergy.

Most of the literature on food-behaviour links is based on anecdotal evidence – doctors and dieticians claiming that their patients recover on a defined diet and relapse when the offending foods are reintroduced. Critical analysis shows methodological flaws in many of these studies, but also many deficiencies in controlled clinical trials which have failed to confirm open observations. Reviews in the literature often reveal prejudices of the authors for or against an association between food and behaviour.

In this review we describe the development of theories concerning links between diet and behaviour, discuss the methods employed by allergists and psychologists to examine these theories, and describe and analyse recent literature on the subject. We conclude that there is indeed scientifically sound evidence to support an association between foods and abnormal behaviour in children. However, the frequency of this is less than that claimed by some psychologists, psychiatrists and allergists.

HYPERKINETIC DISORDERS: DEFINITION, PREVALENCE AND PROGNOSIS

DEFINITION

According to the International Classification of Diseases, 10th revision, there is a group of hyperkinetic disorders of early onset, characterized by overactive, poorly modulated behaviour, marked inattention, and lack of persistent task involvement. Pervasiveness (extending to more than one environment) and persistence of these characteristics are

Table 1. *Recent and historical descriptions of hyperkinetic behaviour disorders*

WHO (ICD-10, Rev. 4) (1990): Hyperkinetic syndrome of childhood	Shannon, 1922: Tension syndrome
Short attention span	Two extremes:
Distractibility	Hyperkinesis
Overactivity	Restlessness
Underactivity	Emotional instability
Disinhibition	Fretfulness
Impulsiveness	Highly strung
Mood change	
Aggression	
Delays in skill development	Fatigue
Poor relationships	Tired
	Torpid
	Listless
	Sluggish
	Appearing retarded

definitive (Table 1). 'Cardinal features are impaired attention and overactivity. Both are necessary for the diagnosis' (World Health Organization, 1990).

Subdivisions of F90, Hyperkinetic disorders, are:

F90.0. Simple disturbance of activity and attention (which includes attention deficit disorder or syndrome with hyperactivity; attention deficit hyperactivity disorder).

F90.1. Hyperkinetic conduct disorder (when overall criteria for both hyperkinetic disorder, F90 *and* the criteria for conduct disorder, F91, are met). Conduct disorders are characterized by a repetitive and persistent pattern of dissocial, aggressive or defiant conduct.

Generally, the hyperkinetic disorders arise within the first 5 years of life. For diagnosis, behaviour problems should be of long duration, and in preschool children only extreme levels of hyperactivity should lead to the diagnosis. Main features include lack of persistence in those activities which require cognitive involvement, moving from one unfinished activity to another, and excessive, disorganized, ill-regulated activity. The children are often also reckless, impulsive, accident-prone, socially disinhibited, unpopular with peers and isolated. There may be cognitive impairment with delays in motor and language development. Low self-esteem and dissocial behaviour could be secondary complications causing an overlap between hyperkinesis and other disruptive behavioural patterns such as 'unsocialised conduct disorder'.

Males seem several times more likely to develop hyperkinetic disorders than females. Reading problems and other scholastic difficulties are common. Associated features (although unnecessary for diagnosis) include disinhibition in social relationships, recklessness in dangerous situations, impulsive flouting of social rules, learning disorders and motor clumsiness.

Much research in the hyperkinetic disorders has been undertaken in the USA, and it should be pointed out that definitions, terminology and diagnostic criteria applied in North America differ from those applied in Europe (Prendergast *et al.* 1988). This is almost certainly the reason why the prevalence in the USA is generally taken to be about 3% of children, whereas in Europe a figure closer to 0.1% is generally agreed.

According to the official diagnostic manual of the American Psychiatric Association, the Diagnostic and Statistical Manual of Mental Disorders (DSM III-R; American Psychiatric Association, 1987), attention-deficit hyperactivity disorder, usually abbreviated to ADHD,

Table 2. *Symptoms graded on a 0–3 scale by parents and teachers in Conners' abbreviated rating scale (Conners et al. 1976)*

-
-
1. Restless or overactive
 2. Excitable, impulsive
 3. Disturbs other children
 4. Fails to finish things he starts – short attention span
 5. Constantly fidgeting
 6. Inattentive, easily distracted
 7. Demands must be met immediately – easily frustrated
 8. Cries often and easily
 9. Mood changes quickly and drastically
 10. Temper outbursts, explosive and unpredictable behaviour
-
-

can be defined as follows: 'The essential features of this disorder are developmentally inappropriate degrees of inattention, impulsiveness and hyperactivity'.

Generally all these components show some disturbance and occur in several settings, but in some individuals behaviour would only be evident in one environment, e.g. the symptoms would worsen in situations requiring sustained attention and there would be minimal signs of the disorder in situations where there was strict control, frequent reinforcement or in a novel setting. Age specific factors are involved. In younger, preschool children the main feature is gross motor overactivity exhibited as excess running and climbing, and constantly moving from one activity to another. In older children and adolescents the main features are excessive fidgeting and restlessness, difficulties in completing tasks, and careless work.

Clinically, symptoms of other disorders are often present, such as oppositional defiant disorder, conduct disorder, specific developmental disorders, as well as functional enuresis and encopresis. Nonlocalized, 'soft' neurologic signs and motor-perceptual dysfunctions (poor eye–hand coordination) may also be present. Clinical follow-ups suggest approximately one-third of ADHD children show some signs of the disorder in adulthood. Poor outcome is associated with coexisting conduct disorder, low intelligence quotient and severe parental mental disorders.

The DSM III-R has been criticized because of the wide range of symptoms included and because pervasiveness of symptoms across various situations is not deemed essential. Leung & Luk (1988) argued that hyperactivity is not always accompanied by attention deficit. Weiss (1986) saw ADHD as encompassing "heterogeneous groups of children who have certain core problems in common, but who differ in many other important ways". The ICD-10 classification (World Health Organization, 1990) is preferred by British psychiatrists and psychologists because of the stricter and narrower definitions, supportive research findings, and the rational subdivision of hyperactive disorders according to the presence or absence of associated conduct disorder (Rutter, 1989).

DIAGNOSTIC CRITERIA AND SEVERITY GRADING — CONNERS' BEHAVIOUR PROBLEM CHECKLIST

Based on ten of the most common childhood behaviour problems, Conners *et al.* (1976) devised a checklist (also known as ASQ, abbreviated symptom questionnaire) to be used by parents and teachers (Table 2). Individual behaviours are rated as 'not at all' (score 0), 'just a little' (score 1), 'pretty much' (score 2) and 'very much' (score 3). Scores are totalled with a theoretical range of 0 to 30. According to Conners, a score of 15 or more should be

regarded as indicative of hyperkinesis. This would also be the cutoff point for inclusion of subjects into studies with hyperactive children. This score of 15 is roughly 2 standard deviations above the mean for normals.

The Connors scale has been used in different ways in many diet-behaviour studies, at the beginning and end of the study, once or twice per week, daily, and within 3 or 4 hours of ingesting test or placebo foods. Some studies required parents and teachers to rate behaviours separately (Harley *et al.* 1978), and it is interesting that the correlation between parent and teacher ratings varied from study to study (Connors *et al.* 1976; Harley *et al.* 1978; Williams & Cram, 1978). Generally, teacher ratings appear to be more reliable than those of parents.

PREVALENCE

Reports of prevalence vary greatly. Feingold (1976) saw this as a problem affecting ~ five million children in the USA at any time while Rostain (1991) estimated that 2–5% of school age children have some or other form of attention deficit disorder. On the other hand, the condition is thought to be rare in the UK, perhaps because children classified as hyperactive in other countries are likely to be labelled in the UK as having a pure conduct disorder (F91 in the ICD) (Taylor, 1986). This was clearly shown in a study of 64 British children referred because of antisocial or disruptive behaviour: laboratory and clinical scales showed that antisocial, deviant conduct and inattentive, restless activity were separate phenomena (Taylor *et al.* 1986).

Are there recent changes in the prevalence of behaviour disorders? Barsky (1988) holds that we now give a formal name to an already highly prevalent condition. Previously children who exhibited inattentiveness, hyperactivity and impulsivity were called antisocial or disobedient and were disciplined. Now, if such children are diagnosed as hyperactive they are not punished, but treated with medication, etc. However, Arnold (1976) criticizes the notion that the problem was always there but that more awareness has brought it to the fore. He lists possible factors for a 'real' increase in hyperactivity—increased technology, chemicals, pollution, improved obstetrical practice (hence more brain-damaged children surviving who would have died previously), refinement in food processing (additives, preservatives), changes in socio-economic structure, more permissive society (bearing in mind that hyperactive children need structured settings).

PROGNOSIS

Prognosis varies and may be influenced by whether the disorder in a child is associated with conduct disorder. Common outcomes in adolescence include antisocial behaviour, academic retardation, depression and poor self image, while in adulthood alcoholism, sociopathy, hysteria and psychosis may develop (Cantwell, 1975). However, Hechtman *et al.* (1976) compared hyperactives as adults to a group of matched controls and stated that "they did not show significantly more antisocial behaviour, non-medical use of drugs or serious psychiatric disturbances". Where they did differ was in their restlessness, socialization skills and sense of wellbeing.

THEORIES OF AETIOLOGY OF HYPERKINETIC DISORDERS, AND HYPOTHESIS OF A FOOD-BEHAVIOUR LINK

"Fanatics and faddists inevitably flourish in areas so intimately interwoven with human foibles and enshrouded with mystery as food and allergy" (May, 1974).

EARLY DESCRIPTIVE REPORTS

Early reports of behavioural responses to food describe tension fatigue syndrome (Shannon, 1922; Speer, 1954) and cerebral allergy (Davison, 1950). Features and symptoms of these conditions are similar to those described above (Table 1). Although allergic reactions were thought to be the mechanism by some workers (Shannon, 1922; Speer 1954), others (Randolph 1945; Dees 1954) recognized that other factors might be relevant, and more recent theories are of a pharmacological or toxic reaction. Nevertheless, the effects of the misery of primary allergic diseases, such as eczema and asthma, on behaviour should not be ignored. Clarke (1950) conducted a survey of allergists in Canada and the USA: of 171 respondents, 95 had noticed a change in personality when patients, known to be allergic, had a provoking food reintroduced into the diet. Timid, tired children as well as quarrelsome ones became friendly and happy after treatment for allergy. He accepted the possibility that mental problems could occur as a result of the discomfort of allergic diseases.

All of these early workers used elimination diets as the basic diagnostic tool, with specific foods reintroduced into the diet under experimental conditions to confirm the diagnosis. There is evidence in their writings of thoughtful and critical appraisal of their studies. For example, Randolph (1947) recognized the 'openness' of his treatment, and that positive results may have been caused by the change in parental attitude towards the child as well as the therapeutic effect of diet.

THE FEINGOLD HYPOTHESIS

The complex background to Feingold's hypothesis re foods and behaviour is outlined in an unusual medical reference, namely the USA *Congressional Record* (Beall, 1973). The text of an oral presentation by Dr Feingold to a medical conference is included as a supporting statement. In this he points out the deficiencies in safety evaluation of food additives such as dyes, describes early studies by others on salicylate intolerance, the presence of natural salicylates in foods and clinical improvement of hyperactive children on a salicylate-free diet. He proposed a pharmacological rather than allergic mechanism, and described how the recent increase in dollar value of artificial flavours and soft drinks parallels the incidence of hyperactivity and learning disorders.

Later, Feingold devised a diet which excluded artificial food colours and flavours, as well as foods containing natural salicylates, and claimed that adherence to this diet led to control of behavioural disturbances in 30–50% of normal and neurologically damaged children. He recommended that, to test his hypothesis properly, large samples of well-characterized children should be studied longitudinally for several years (Feingold, 1976). The Feingold diet is also referred to as the K-P diet, after the Kaiser-Permanente Medical Center in California where he worked in the Department of Allergy.

OTHER THEORIES LINKING HYPERKINETIC DISORDERS AND NUTRITION

Food sensitivity

Subsequent work on food sensitivity has mainly focused on proving or disproving the Feingold hypothesis. However, more recently there has been interest in other food substances such as caffeine.

Another input into the subject has been from individuals investigated in the clinical spectrum of classical, IgE-mediated allergic reactions to foods. These usually involve protein antigens in foods such as cows' milk, eggs, fish and peanuts. The approach used by such workers has been different from that described above. For example Franklin (1988) included an allergy questionnaire, X-rays, electroencephalogram, radioallergosorbent test for IgE antibodies and hair analyses, as well as food exclusion and challenge in his studies.

Essential fatty acids

Mitchell *et al.* (1987) compared 48 hyperactives and 49 controls, matched for age and sex and defined by using teacher and parent questionnaires and checklists. Serum essential fatty acid (EFA) levels were measured. Conners' parent and teacher questionnaires were completed and health status was measured by a health questionnaire. Lower serum EFA levels were found in the hyperactives compared to the controls.

GENETICS AND HYPERKINETIC DISORDERS

Silbergeld & Anderson (1982) reviewed the evidence for a genetic basis to hyperactivity. Twin studies have shown higher concordance rates in monozygotic than in dizygotic twins, and adoption studies such as those of Cantwell (1975) indicate a high incidence of psychopathology and prior hyperactivity in natural parents of hyperactive adoptive probands, but no such incidence in non-biological parents.

TECHNIQUES AND APPROACHES – A CRITICAL ANALYSIS

The National Institutes of Health Consensus Development Panel met in January 1982, and its authoritative report was published in 1983. It reviewed the nature of hyperactivity in childhood, the 'defined diets' then being widely prescribed, the empirical evidence of benefit from dietary treatment of the disorder, and biological explanations supporting this link.

The basic 'defined diet' devised by Feingold required the avoidance of naturally occurring salicylates, artificial colours, flavours and butylated hydroxytoluene (BHT), but no other preservatives. It was noted that various modifications of this diet had been used in some dietary trials.

There was agreement that the phenomenon of food-behaviour link certainly did exist.

(a) There was a limited positive association between the use of defined diet and a decrease in hyperactivity. However, only a small proportion of children unequivocally responded.

(b) Some children also showed increases in hyperactivity when they were then given artificial food colours but not with the placebo. Again, that was a small group and there were inconsistencies between reports of teachers, parents and other observers.

(c) Clinically, some children were manageable on the diet, but hyperactive after non-compliance.

The panel made important criticisms of many diet-behaviour studies. In particular, challenge studies had not adequately addressed the role in hyperactivity of all the substances eliminated from the Feingold diet. Studies had been concentrated on dyes, not flavours or preservatives.

There was a clear need for more research on mechanisms of the observed effects, particularly pharmacokinetic studies, although it was recognized that direct toxic effects,

Table 3. *Problems in the design of diet-behavioural studies*

Anecdotal clinical data: a point at which to start hypothesizing, but results taken at face value and popularized
Poorly defined diagnostic criteria
Open, possibly placebo response
Reasons for excluding and including certain foods in elimination diet not stated
Probability of spontaneous remission
Early trials poorly designed, not double-blind placebo controlled
Sampling problems, small, self-selected
Unreliable, unstandardized, measurement tools
Reliance on parental subjective ratings
Ratings not frequent enough
Double-blind challenges usually well conducted and placebo controlled, but placebo not always appropriate, e.g. chocolate
Group designs, therefore insensitive to individuals who may respond
Not valid tests of Feingold hypothesis, e.g. only testing colours. Feingold included flavours and other additives
High dropout rate
Variations in dose of food dyes used
Detailed baseline evaluation often omitted

idiosyncratic reactions and hypersensitivity, either IgE- or non-IgE-mediated, could also cause the findings.

On the general use of the 'defined diets', the panel suggested that "the defined diets should not be universally used in the treatment of childhood hyperactivity at this time". If the general practitioner and the family felt that it was warranted, dietary intervention could be initiated, but not to the exclusion of other more traditional therapies, and proper evaluation would be needed. The panel also recommended changes in the law with regard to the labelling of foods.

ANALYSES OF REQUIRED METHODOLOGY

One of the main objectives of this review is to highlight the difficulties in design of studies in this subject, and to show that many of the published papers, whether for or against a food-behaviour link, have major methodological flaws. Thus, ten years after the Consensus statement, the same criticisms and questions must still be raised.

There are many problems with the published studies on hyperkinetic disorders (Table 3). In a critical but constructive paper, Dews (1983) commented on some major methodological issues. He pointed out that the field of study was now multidisciplinary in character. Investigators need competence in nutrition, metabolism, neurochemistry and behaviour. They must measure subjective phenomena that are affected by foods, and recognize that food and nutrient effects will more readily be demonstrated when behaviours have been perturbed than when they are already optimal. "Dose-effect studies... are essential... whether the compound being tested is a nutrient (or food) or - as is more commonly the case - a drug." Experimental design should be directed towards quantifying dose-effect relationships.

Silbergeld & Anderson (1982) recognized many difficulties in dietary studies, for example in controlling children's eating behaviour between school and home and the fact that studies are not truly double-blind if there are changes in food preparation and consumption. They discussed reasons for discrepancies between double-blind crossover intervention studies and less controlled studies. Dietary effects may be very subtle; low success rates

could be due to dietary infractions not noted by the investigators during test periods, and intervals between periods on test material and control may be too short, so that there is a carry-over effect.

Other significant issues are involved in the diet-behaviour research. Prinz (1985) criticizes the heterogeneity of subject samples, with respect to age, diagnosis and severity of behaviour problems. As diet seems to have varying effects depending on the age of the children, he questioned the wide age ranges in some samples. Often the children would also exhibit a wide range of problems of varying severity. Children from various diagnostic categories were sometimes included in the same sample, e.g. ADHD, anxiety disorders, learning disabilities, conduct disorders. Prinz questions the effect of multiple dietary influences on behaviour. Substances like colours, caffeine, etc. occur naturally together, yet dietary studies have usually isolated dietary components, not taking into account the combined impact of all dietary aspects. He recommended, that "during the next decade investigators will need to specify which dietary substances affect what behaviours, to what extent, and what conditions in which children".

THE RANGE OF METHODS USED IN THE STUDY OF BEHAVIOUR AND FOODS

It is pertinent to review some of the approaches used by investigators in the light of these comments.

Case mix

Clinical observations of highly selected, small groups of patients in uncontrolled environments are open to criticism of self-selected samples, placebo effect, and the possibility of spontaneous remission over time.

Feingold based his theories on patients presenting themselves to allergy clinics. Since then, data have been compiled on apparently normal children, retarded children, children with primary learning disorders, children whose parents feel that they fidget unduly, children whose parents have found, before the study begins, that they behave better when on a Feingold diet, cases referred with suspected hyperactivity to psychology or food allergy clinics, and overtly active schoolboys recruited by a letter sent to parents.

In some instances, clear differences from Feingold's patients emerge where objective measures of behaviour are reported. For example, in the study of active schoolboys recruited by letter, Conners' score was applied and only very small numbers of the subjects studied had a high score, diagnostic of ADHD (Kaplan *et al.* 1989).

Types of elimination diet

Many reports have simply compared normal and Feingold diets, on an open basis. Dietary intervention will be a dramatic event in the life of a family, and results could be due to many factors other than the test diet. Another approach is for the child's complete food requirement to be provided by the experimenters for a period of time. Thus, specially provided diets can be prepared which omit or include substances of interest such as additives and caffeine (Kaplan *et al.* 1989). By analogy with the regimen used to study multisystem reactions of food intolerance, yet another approach is to monitor behaviour before and after introduction of an oligoantigenic diet, which contains only one or two meats, one or two fruits or vegetables, salt, water and a simple margarine.

Techniques used to measure effects of the altered diet include simple teacher or parent ratings as well as a wide range of psychological tests.

Approaches to the use of challenges

In many instances open challenge is used either initially or as the only test strategy. The ideal is the double-blind placebo controlled challenge in which the food substance to be tested is masked either in capsules or in a food which the patient is known to tolerate. The challenge material has varied considerably in different studies: a single substance such as tartrazine, given in capsules; a mixture of additives, with colours and flavours masked by an appropriate vehicle (this creates problems because the vehicle, e.g. a chocolate cookie, might itself contain substances to which the child can react). Other studies have used ordinary foods introduced as standard portions at intervals of a day or a week.

An alternative approach is to compare the behaviour of children who have been prescribed a Feingold diet, but who are known to have many diet infractions, with diet compliant children (Adams, 1981).

STUDIES AND TRIALS OF DIET AND HYPERKINETIC DISORDERS

STUDIES BASED ON OBSERVATION

There are many descriptive reports of associations between the K-P diet or its modifications and improvements in behaviour (see e.g. Thiessen & Mills, 1975; Rapp, 1978; Swanson & Kinsbourne, 1980; Holborow *et al.* 1981; Mattes & Gittelman, 1981; Rogers & Hughes, 1981; Hughes *et al.* 1982; Wender, 1986). Flaws and inconsistencies are evident in many of these reports, but some are convincing, although their positive results were usually obtained in a minority of cases examined.

Large, personal and anecdotal series tend to give high rates of reported responders to diet with positive open challenges, and implicate substances other than colourings. For example, Crook (1980) reported that 41 of 164 children gave an excellent, and 60 a good response to diet. Menzies (1984) described his case load over one year, claiming that 40% of his patients had 'allergic tension fatigue syndrome' and responded to diet.

An example of overinterpretation of objective data is provided by the work of Levy *et al.* (1978), who studied the effects of the Feingold diet, and of a 2-week tartrazine challenge, on hyperkinetic children. Behaviour was monitored by using the Conners' parent/teacher questionnaire. Neither significant improvement on diet nor relapse on challenge was recorded by teachers, but parents recorded behaviour improvement associated with the diet. There was no statistically significant effect of tartrazine challenge on behaviour as rated by mothers or teachers, or by psychologists and psychiatrists, who used various objective tests. Despite this, the authors claimed a significant effect, since a subgroup of children on the diet had shown a 25% reduction of symptoms on the Conners rating scale and had a statistically significant challenge effect at $P < 0.025$.

KEY WORK OF CONNERS

In the 1970s and early '80s, Conners undertook a series of studies assessing the effects of artificial colours on the behaviour of hyperactive children (Conners & Goyette, 1976; Conners *et al.* 1976, 1980; Conners, 1980, 1981, 1989). These illustrate very well how, even when conducted by a sound and experienced clinical investigator, positive results in open studies cannot be reproduced with the same frequency in blinded challenge experiments, where placebo effects have been reduced or eliminated.

In the first study, 15 carefully selected children were observed for a baseline period of one month, then randomly assigned to a one-month control diet followed by an experimental

diet or vice versa. Behavioural ratings (Conners' ASQ) were collected weekly from parents and teachers. Results were significantly positive in favour of the experimental diet, although there was an influence of diet order.

A second set of studies included a double-blind, crossover two-week challenge phase (Goyette *et al.* 1978). Chocolate cookies were used, either containing 13 mg of artificial colours (active cookie) or no artificial colours at all, and 16 children were observed. Ratings were completed by parents and teachers, and scores remained low during the experimental period. However, an objective test of attention, the visual motor tracking task, suggested a transient (approximately 1 h) effect of the artificial colours on the behaviour of these children, possibly by a pharmacological mechanism. The differences from control did not reach statistical significance.

The next trial had a similar protocol. A two-week baseline period was followed by a three-week Feingold diet period, and children who showed a 25% improvement of behaviour proceeded to further testing. Daily parental ratings were made within a 3 h period after the child had eaten test or placebo cookies. Significant worsening of behaviour during the challenge period was recorded by parents ($P < 0.025$), with order of treatment having no effect.

The results of a third, larger but otherwise similar trial, were entirely negative. Of 92 children, 30 eventually completed the study and no statistically significant effects of challenge were found. It may be relevant that the baseline Conners scores of the children in this study were lower than those of children in previous trials.

The next experiment involved nine children aged 5–10½ years, from previous trials. They were screened by a child psychiatrist, and fulfilled strict criteria for attention deficit disorder. In previous studies eight of the nine had exhibited significant behavioural improvement in the non-blind dietary phase of trials. Children were on the Feingold diet throughout and had two challenge sessions. At each there was a baseline period for learning a task; activity measures were made, then the child ate two chocolate cookies, providing either 15 mg artificial colours or placebo, double-blind. Learning tests (which had given positive results in earlier experiments) were performed over the next 3 h, and activity and rating measures were also obtained. The test dose was half of the average daily intake of colours by adults, but might well have been a much lower proportion of the average intake of a child.

In these highly selected cases a transient acute effect of dyes was apparent on inspection of the data for some individual children, probably pharmacologically based. However, when results were combined and analysed by formal group statistics, no significant treatment effects were found for any of the dependent measures.

RECENT STUDIES WITH MODERN TECHNIQUES

There have been a number of recent studies of the food-behaviour link; selected, substantive reports are discussed below.

There have been few comparisons of the standard pharmacological therapy, stimulant medication, with diet. In a useful double-blind study Williams *et al.* (1978) tested 26 children aged 5–12 years judged to be responsive to stimulant medication. After a five-week K-P diet period, children followed a series of treatment conditions in sequence, which included their usual brand of medicine or placebo, and challenges with cookie containing artificial colours, or placebo cookie. Measures employed throughout the study included daily diet diaries and Conners' full rating scales by parents and teachers.

The effects of stimulant medication were statistically significant (parents and teachers), but the dietary effects were mixed. This study is limited in value by the sole use of

behavioural checklists as outcome measures, the heterogeneity of the group, dietary infractions, short treatment periods and the fact that rating scales were not completed daily.

Gross (1984), in a camp setting, studied 18 children aged 11–18 years, diagnosed as hyperkinetic by a psychologist, and recruited from a private school for children with learning disorders. The experiment comprised a one-week K-P diet phase followed by a one-week experimental phase. Children were videotaped for 4 min at mealtimes and the behaviour rated by teachers who had not attended the camp. No difference was found between the two dietary phases. The authors noted that the K-P diet was difficult to adhere to as the children did not like it, and pointed out that there seemed little advantage in the diet since most hyperactive children respond well to medication. These results should be interpreted with caution, as there had been no baseline period, no objective outcome measures were used, the test period was very short and the setting was unrealistic.

Rowe (1988) selected, from 220 referrals, 45 boys (3–15 years) and 10 girls (3–11 years) for an open trial of the Feingold diet. The group was heterogeneous – some children, not particularly overactive or inattentive, were included in the trial at parental insistence. Each child had a full neurological examination and an electroencephalogram (EEG), and behaviour was assessed by trained observers and parent/teacher reports. Dietary infractions were noted. If there was no improvement, the diet was discontinued; if there was improvement, the diet was liberalized over a follow-up period of 3–6 months, and artificial additives were reintroduced. Fifteen (27.3%) showed no improvement on the K-P diet. Of the 40 (72.7%) who improved, 26 remained so when the diet was discontinued, suggesting that the previous improvement in behaviour was due to a placebo effect of the diet.

In a second phase, 14 subjects who had shown stable improvement on the K-P diet over a period of at least 6 months continued the diet for 18 weeks and took a capsule with breakfast each day. These contained test substances (50 mg of carmoisine or tartrazine, or placebo) and were given for one week, several times, in random order. Daily behavioural checklists were completed by parents and (for some cases) by teachers. There were two significant responders to food colourings. A 7-year-old girl exhibited more activity, low frustration tolerance, sleeplessness and short attention span when receiving colourings ($P < 0.01$). She reacted within 2 h of ingesting the colours and the effect lasted for 3–4 d. The second reactor was a 12-year-old boy, who showed similar problem behaviours including aggression ($P < 0.05$), and in whom it was 3.5 weeks after the last dose of colouring before his behaviour returned to prestudy levels. Both of these children were atopic, suffering from asthma and allergic rhinitis. The rest of the data in this study showed no relationship between diet and hyperactivity.

Pollock & Warner (1990) evaluated 39 children aged 2.8–15 years whose parents had observed improvement in their behaviour when on a diet avoiding artificial additives, with deterioration after dietary infractions. They had been recruited from a paediatric clinic and a survey population. They mainly exhibited poor concentration and excess fidgeting. Of the 39 children, 19 completed a double-blind, placebo controlled trial, in which they took either a mixture (125 mg) of artificial food colours or placebo of lactose, daily, in a gelatin capsule. There were two challenge and five placebo weeks. The 10-item Conners checklist and a 10-item somatic symptom questionnaire were completed daily by parents.

Mean daily behavioural scores were significantly higher in active weeks compared to placebo weeks ($P < 0.01$), whereas the somatic symptoms scores did not differ significantly between the two periods. The measurable (but small) change in behaviour scores was unrelated to changes in somatic symptoms or to atopic status of the children, and the authors suggest a pharmacological mechanism for this food additive intolerance. Most parents were unable to detect the effects of food colours on behaviour, and only two parents

correctly identified all the challenge weeks. This is a most important point, since entry to the study had been based on parents' claims that they could detect children's behavioural changes when consuming additives.

Only two of the children studied would be considered to have ADHD on the basis of their Conners behaviour score, and so these results are likely to be more clinically relevant to general paediatric and allergy practice than to that of child psychiatrists. The study can be criticized for its sole use of parents as raters, the lack of objective outcome measures and the high dropout rate.

Egger *et al.* (1985) recruited 76 children from a clinic dedicated to the treatment of hyperactive children by means of diet. All had a score of more than 14 on the Conners ASQ. A high proportion had allergy, neurological disabilities and/or physical symptoms. They took an oligoantigenic diet for 4 weeks, and 62 children improved. Of these, 28 then participated in a double-blind, placebo controlled crossover trial. In a preliminary reintroduction phase, various foods, colourings and preservatives were tested by open introduction. If subjects reacted to particular foods these were withdrawn, otherwise the food was incorporated in the diet thereafter. Tartrazine and benzoic acid were each tested in doses of 150 mg per day for one week.

During the next phase, reactions were tested to various active and placebo materials. Parents completed ASQ on a daily basis and a psychologist recorded behaviour. It was found that symptoms returned or worsened while the children took active substances as compared to placebos, although it should be noted that the reactions were mostly to artificial colourings and preservatives. The authors caution that the diet needs supervision, is difficult to maintain, could be used as punishment, and is dangerous nutritionally. The subjects in this study were not representative of all hyperactive children as they also had many associated symptoms. A further study has recently been conducted in the same institution, with essentially similar results (unpublished; personal communication, Dr S. Strobel).

FOOD ADDICTION

There are complex interactions between appetite, hunger, food likes and dislikes, and inappropriate or unbalanced eating behaviour. Individuals with psychological problems often have abnormal eating patterns and, as discussed above, there are theories of causative links between ingestion of particular foods and abnormal behaviour. Some proponents of these theories also suggest that in some circumstances where foods induce adverse physical or psychological reactions, the people affected become addicted to the provoking foodstuffs, thus sustaining the cycle of food sensitivity. For completeness, this somewhat incongruous idea must be considered in the context of this review.

TOLERANCE, DEPENDENCE AND ADDICTION

In the field of pharmacology, 'tolerance' refers to any situation in which repeated administration of the same dose of a drug results in gradually diminishing effects. Drugs and other pharmacologically active substances may have effects on several physiological systems, and an individual may become tolerant to only some of the multiple actions of a drug.

Physical dependence, or addiction, is the condition in which discontinuing the use of a drug leads to a characteristic set of symptoms called withdrawal.

Tolerance and dependence occur with the use of many stimulant substances, including not only drugs of abuse such as heroin, amphetamine and cocaine but also substances present in foods and beverages, and over-the-counter medications, such as caffeine and ephedrine.

Table 4. *Diseases due to caffeine consumption*

Acute caffeine intoxication	Chronic caffeine addiction
Restlessness	Caffeine withdrawal headache
Nervousness	Lethargy
Excitement	Irritability
Insomnia	Nervousness
Flushed face	Inability to concentrate
Diuresis	Craving for coffee
Gastrointestinal complaints	
Muscle twitching	
Rambling speech and thought	
Cardiac arrhythmias	
Inexhaustible	
Psychomotor agitation	

THE EXAMPLE OF CAFFEINE

Caffeine has been incriminated in two specific psychiatric diseases, acute intoxication from excessive consumption and chronic addiction from prolonged consumption (Table 4). Caffeine has potent pharmacological properties. It directly activates the cerebral cortex, but there is great interindividual variation in sensitivity to its desired stimulant effects – maintaining wakefulness and improving concentration – and a minority of individuals are susceptible to the anxiety provoking effects of high doses (Kanarek & Marks-Kaufman, 1991).

Even when taken in moderate doses, caffeine consumption may lead to physical dependence. Withdrawal produces a constellation of symptoms: headache, anxiety, depression, fatigue, listlessness, sleepiness, decreased alertness, and muscle tension (Kanarek & Marks-Kaufman, 1991). The severity of withdrawal symptoms varies, and is directly related to the amount of caffeine ordinarily consumed.

THE POSTULATED PHENOMENON OF FOOD ADDICTION

Randolph & Moss (1986) regard food allergy as one of the greatest health problems in the United States. They blame food allergy or addiction for ill health, particularly those “chronic, vaguely-defined problems which almost never respond to conventional treatment”. Their views are based entirely on clinical observations which were first made in the 1940s. These authors have reviewed the development of the concept of ‘hidden addictions’, i.e. that foods eaten frequently, three or more times per week, become substances of abuse and can cause health problems for the consumer. It is suggested that when the problem first develops, eating the food has a marked, immediate stimulating effect. If the affected individual avoids the relevant food, a kind of ‘hangover’ or withdrawal reaction occurs, which can be controlled by eating a further portion of the same food. Most specialists in conventional internal medicine or psychiatry disagree with these concepts.

CARBOHYDRATE CRAVING

People, rats, and many other animals have a specific hunger for carbohydrates – sweets and starches – that cannot be satisfied by eating any other kind of food (Wurtman, 1983). One theory is that the neurotransmitter serotonin turns off the hunger for carbohydrates, and

that serotonin production, in turn, is affected by the amount of carbohydrate-rich food consumed.

CHOCOHOLICS

Chocoholics, or those addicted to chocolate, are surprisingly uniform in their insistence that the confection is habit forming, that it produces an immediate feeling of wellbeing, and that abstinence produces some of the symptoms of a withdrawal syndrome. Max (1989) discusses the properties of chocolate which may be relevant. These include the presence of pharmacologically active substances, histamine, tryptophan, serotonin, phenylethylamine and octopamine (although these exist in higher concentrations in other foods which do not have the same appeal). Theobromine, a methylxanthine, is present in cocoa and chocolate in very high amounts. However, milk chocolate contains less methylxanthines, and it is milk chocolate which is consumed most often by chocoholics.

SACCHAROPHOBIA, HYPOGLYCAEMIA AND CRIMINAL BEHAVIOUR

DEFINITION

Hypoglycaemia is defined by low circulating blood glucose levels (< 4 mmol/l, < 500 mg/l); clinically, this is usually accompanied by the symptoms of sweating, tremulousness, palpitations, anxiety, headaches, weakness and hunger; there is rapid amelioration of these symptoms when plasma glucose levels are returned to normal by the ingestion of food.

In clinical practice, hypoglycaemia is seen in insulin-dependent diabetic patients after exercise or when administration of insulin has not been balanced by an appropriate carbohydrate meal, in maturity-onset diabetics treated with oral hypoglycaemic drugs, in patients with rare insulin-secreting tumours, in some patients who have had radical gastric surgery, and in liver failure when there is inadequate synthesis of glucose by hepatocytes.

However, there have been many claims that hypoglycaemia, due to the presence of sugar in the diet, is a cause of chronic psychological problems including behaviour disorders. The explanation proposed is that excessive sugar intake actually causes rebound hypoglycaemia. The underlying assumption is that simple sugars are more quickly digested and absorbed than complex carbohydrates, so causing a greater increase in blood glucose levels, which stimulates insulin secretion. This leads to so-called functional or reactive hypoglycaemia (FH) (Kanarek & Marks-Kaufman, 1991). It is this type of hypoglycaemia that is blamed for emotional problems, fatigue and irritability.

CLAIMS FOR A LINK BETWEEN HYPOGLYCAEMIA, BEHAVIOUR DISORDERS AND PSYCHIATRIC DISEASE

Pfeiffer (1975) stated that "a high incidence of FH is found in people with such disorders as schizophrenia, neurosis, alcoholism, drug addiction, juvenile delinquency, childhood hyperkinesis and obesity". In a similar vein, Fredericks (1976) claimed that "low blood sugar is capable of mimicking neurosis and psychosis". According to another author, "medical experts in the field testify to the fact that this condition can have an amazing effect on behaviour, in some cases producing symptoms of lethargy and depression, and in other cases, irritability, suspiciousness, bizarre thoughts, hallucinations, extreme mania, anxiety, and violent behaviour. These persons are capable of such acts as stealing, rape, arson and homicide" (Hippchen, 1976).

CRIMINAL BEHAVIOUR, SUGAR AND HYPOGLYCAEMIA

One of the most celebrated examples of society's negative views of sugar comes from the case of San Francisco city supervisor Dan White, who shot and killed the city's mayor and another city supervisor. White's lawyers argued that their client had acted irrationally and suffered from 'diminished mental capacity' as a result of his overconsumption of sugar-containing 'junk' foods. On the basis of this argument, which has become known as the 'Twinkie defence', White was convicted of manslaughter rather than first degree murder (quoted from Kanarek & Marks-Kaufman, 1991).

Virkkunen (1983) hypothesized that violent behaviour was caused by enhanced insulin secretion resulting from glucose intake. He claimed on the basis of symptoms and some oral glucose tolerance test (OGTT) data that individuals with a history of violent behaviour had more tendencies towards hypoglycaemia than controls, but this could not be taken as unequivocal evidence of an association between hypoglycaemia and antisocial behaviour. These studies did not correlate hypoglycaemia symptoms (sweating, hunger, tremors) with blood sugar levels. Also they presented no evidence of violent behaviour occurring when insulin secretion was enhanced or low blood sugar levels occurred. The reliability of OGTT data in detecting people who will have low blood glucose levels after ordinary meals is also questioned. It should also be pointed out that many of Virkkunen's subjects had a history of alcohol abuse.

USE OF DIETARY CHANGES IN CRIMINAL INSTITUTIONS

Schoenthaler (1986) carried out various dietary replacement studies with juvenile delinquents. The food policy in institutions was changed to reduce sugar consumption. Responses were measured according to the number of disciplinary actions taken by staff members before and after the change. Schoenthaler claimed a 21–54% reduction in antisocial behaviour of juvenile delinquents when their sugar consumption was reduced. Kanarek & Marks-Kaufman (1991) point out that no sugar intake measurements were made in the studies, and there will have been other changes in nutrient composition of the diet. No attempt was made to blind the study; subjects and officials were aware of dietary changes. It seems likely that knowing they were taking part in this study may have led to changes in behaviour, and may have influenced staff, who used their own discretion when deciding when to record an incident.

Despite many criticisms of published reports, such as those of Gray (1986), who pointed out the inappropriate statistical methods used, the notion of an association between sugar and antisocial behaviour has been receiving increasing attention, and on the basis of these theories dietary policies have been changed in some correctional facilities in an attempt to control antisocial behaviour.

EXTENSION OF THEORIES OF FOOD-CRIMINAL LINK TO OTHER FOODS

Hippchen (1978) expressed the view that vitamin deficiencies or dependencies could cause perceptual changes, which in turn could produce altered behaviour, which might be criminal, but would seem appropriate to the individual. This alternative theory about the aetiology of antisocial behaviour has led to experimentation with megavitamin and nutritional therapies.

ANTHROPOLOGICAL STUDIES

Bolton (1976) undertook a study of aggression and hypoglycaemia among the Qolla Indians of Peru. Social conflict could not be accounted for by social and cultural factors alone, so he explored the possibility that biological factors accounted for seemingly irrational aspects of behaviour. In the anthropological literature expressions such as 'aggression' and 'pervasive hostility' were used to describe the personality and behaviour traits of these people. Bolton reported a curvilinear relationship between the amount of drop in blood glucose during an OGTT and the level of aggressiveness of an individual in this population, and proposed that the relationship is causal in nature, with moderate glucose deprivation causing aggressiveness.

RECENT CRITICISMS OF THE CONCEPT OF REACTIVE HYPOGLYCAEMIA

Kanarek & Marks-Kaufman (1991) have critically discussed the causal hypothesis of sugar and hypoglycaemia. Their view is that a simple distinction cannot be made between blood glucose and insulin response to sugars and to more complex carbohydrates. Also the clinical symptoms described as hypoglycaemia are not consistently associated with low blood glucose levels. In much of this literature FH is diagnosed on the basis of symptoms alone. In their view, with which we concur, the condition of FH is quite rare. It has become popular because it is a respectable metabolic illness rather than a symptom of psychological distress. As is the case in other forms of psychologically based food sensitivity, people who complain of the symptoms of 'hypoglycaemia' should not be ignored, but should have proper clinical assessment and, if appropriate, psychological or psychiatric treatment.

CONCLUSIONS AND RECOMMENDATIONS

(1) *There is an association between certain foods and hyperkinetic disorders, but only in a minority of affected children*

Feingold based his hypothesis on the fact that changes in the diet of the nation had coincided with a rise in clinical referral rates of children with behaviour problems. His ideas generated much interest and considerable controversy, and this review of the literature on the subject confirms that there is indeed an effect of some foods on behaviour, but only in a minority of subjects properly studied. The effect is rarely profound, usually lasts only a few hours and probably has a pharmacological basis. Although most investigators have concentrated on food colourings, there are pharmacologically active constituents of many ordinary foods, and recent research (Strobel, unpublished) is revealing that foods such as milk and bread can also induce adverse behaviour effects in susceptible children.

There is a range of therapeutic options for the management of children with hyperactivity and minor behavioural problems. The evidence in the literature gives no support for the widespread application of either the Feingold diet, or more radical elimination diets, as *primary* therapy for these conditions, although there is no doubt that elimination diets are of benefit in some carefully selected and monitored children. In these circumstances, close attention must be paid to the nutritional balance of the diet. If vitamin and mineral supplements are prescribed, the formulations must be reviewed and preparations selected which do not contain potentially harmful colours or flavouring agents.

(2) *Our review of the evidence does not support claims that food addiction and reactive hypoglycaemia are important factors in behaviour disorders and associated conditions*

Table 5. *Requirements for adequate study of a postulated diet-behaviour link*

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1. Appropriate study design or within-subject design
 2. Clear operational definitions
 3. Clear diagnostic criteria
 4. Sound sampling methods – size, age groups, gender, source
 5. Control groups, matched, source defined, comparable characteristics
 6. Instruments of measurement should be individualized, objective, observations recorded by using check-lists, neuropsychological tests performed
 7. Test environment defined: school, home, laboratory, hospital
 8. Procedure: double-blind, crossover clinical trials; adequate baseline period; elimination diet; then challenge, taking note that adequate dosage used; order effect considered; use colours, flavours, food *per se*; make allowances for time lapse, long term effects
 9. Results analysed by use of appropriate statistical testing
 10. Completeness, compliance, dropout, recorded; missing data accounted for
 11. Distorting influences, extraneous treatments, changeover time, distortion by analysis, should be noted
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(3) *When further research is undertaken, this should be conducted by a multidisciplinary team, and natural foods as well as additives should be assessed*

A high standard should be demanded of research in this difficult subject in the 1990s. There should be clearly defined cases, proper and multiple measures of behaviour, specific food ingredients studied, effects of elimination diet documented, followed by double-blind, placebo controlled challenge studies (Table 5). Non-psychological forms of food sensitivity must also be considered.

(4) *It must be recognized that adverse effects of foods on behaviour may be either a manifestation of (probably pharmacologically based) food intolerance, or they may be psychologically based (e.g. via suggestion or adverse conditioning)*

Adverse reactions to ingested foods are associated with a wide variety of symptoms, syndromes and diseases. The terminology used in the UK is as follows:

Food intolerance or food sensitivity is a reproducible, unpleasant (i.e. adverse) reaction to a specific food or food ingredient, and is not psychologically based.

Food allergy or food hypersensitivity is a form of food intolerance where there is both a reproducible food intolerance and evidence of an abnormal immunological reaction to the food (mediated by antibody and/or by T lymphocytes).

Psychologically based food reactions (food aversions) comprise both psychological avoidance – when the subject avoids food for psychological reasons – and psychological intolerance, which is an unpleasant bodily reaction caused by emotions associated with the food rather than the food itself and which does not occur when the food is given in an unrecognizable form.

The food-brain interactions in patients with hyperkinetic disorders fall into two of these three categories. If psychological reactions occur even when the affected person cannot identify the type of food which has been given, i.e. in a double-blind situation, the diagnosis is of food sensitivity. There is no evidence for an immune aetiology in these patients, and so the term 'allergy' should never be used in this context. There are also subjects who react convincingly in open, but not in blinded tests, i.e. who have psychologically based reactions to foods, manifested as adverse behavioural or psychological symptoms and signs. Careful clinical studies in other situations have revealed that such reactions may be the result of suggestion (Ferguson, 1990), and adverse conditioning is another possible mechanism.

Clearly, in addition to further pharmacological research, work is urgently required on the diagnostic criteria, causes and treatment of the psychologically based behavioural forms of food sensitivity.

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An extensive bibliography of publications to 1991, with keywords, has been prepared; further information may be obtained from Professor Ferguson (Fax UK 031 343 2570).

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