

# ON NORMALISER PRESERVING LATTICE ISOMORPHISMS BETWEEN NILPOTENT GROUPS

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(received 10 May 1964)

## Introduction

Let  $\mathcal{L}(G)$  denote the lattice of all subgroups of a group  $G$ . By an  $\mathcal{L}$ -isomorphism (lattice isomorphism) of  $G$  onto a group  $H$ , we mean an isomorphism of  $\mathcal{L}(G)$  onto  $\mathcal{L}(H)$ . By an  $\mathcal{NL}$ -isomorphism (normaliser preserving  $\mathcal{L}$ -isomorphism) of  $G$  onto  $H$ , we mean an  $\mathcal{L}$ -isomorphism  $\phi$  such that  $\mathcal{N}(A^\phi) = \mathcal{N}(A)^\phi$  for all  $A \in \mathcal{L}(G)$ . In this paper, we study certain properties of groups which remain invariant under  $\mathcal{NL}$ -isomorphisms.

In § 1, we show that  $\mathcal{NL}$ -isomorphisms can be characterised both as *commutator preserving*  $\mathcal{L}$ -isomorphisms and as *mixed commutator preserving*  $\mathcal{L}$ -isomorphisms. This result is closely related to recent work of Spring [11] on  $\mathcal{L}$ -isomorphisms between finite  $p$ -groups of exponent  $p$ . Spring proved, amongst other things, that every  $\mathcal{L}$ -isomorphism between such groups is an  $\mathcal{NL}$ -isomorphism and that  $\mathcal{L}$ -isomorphic  $k$ -generator  $p$ -groups of exponent  $p$  and class 2 are necessarily isomorphic if  $k \leq 4$ . We give a simple derivation of Spring's first result and of an analogous one of Pekelis [7] for locally nilpotent, torsion-free groups.

Rottländer [9] has given an example of an  $\mathcal{NL}$ -isomorphism between non-isomorphic finite groups of the same order. In § 2, we give examples of the same phenomenon between finite  $p$ -groups<sup>1</sup> ( $p > 2$ ). The groups in the simplest examples are of order  $p^4$ , exponent  $p^2$  and class 3 ( $p > 3$ ), and there are somewhat more complicated examples in which the groups have exponent  $p$  ( $p > 5$ ).

Theorem 2 (§ 4) deals with the effect of an  $\mathcal{NL}$ -isomorphism  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  on the central sections of  $G$ . (A section of  $G$  means a factor group  $A/B$ , where  $B \trianglelefteq A \leq G$ . The section is called central if  $B \trianglelefteq G$  and  $A/B \leq \mathcal{Z}(G/B)$ .) A typical case of the theorem states that if the restriction of  $\phi$  to the factor commutator group  $G/G'$  is induced by an

<sup>1</sup> It may be mentioned that the well known  $\mathcal{L}$ -isomorphisms between non-isomorphic modular  $p$ -groups are *not*  $\mathcal{NL}$ -isomorphisms. Indeed, it can be deduced from Iwasawa's work ([4], [5]) that  $\mathcal{NL}$ -isomorphic locally finite, modular  $p$ -groups (and in particular  $\mathcal{L}$ -isomorphic abelian  $p$ -groups) are necessarily isomorphic.

isomorphism, then the same is true for the restriction of  $\phi$  to every factor group  $G_{(i)}/G_{(i+1)}$  of the descending central series. The proof uses the calculus of commutators and a general lemma (lemma 2, § 3) about multilinear mappings. This lemma is a wide generalisation of the fact that bilinear forms  $f(x, y), g(x, y)$  which vanish for the same pairs of vectors  $x, y$  are scalar multiples of one another.

Theorem 2 is applied to prove that, in certain cases,  $\mathcal{NL}$ -isomorphic groups must be isomorphic. Theorem 3 affirms<sup>2</sup> that this is so when the groups belong to a nilpotent variety  $V$  and one of them is an almost free group of  $V$ . Here, an almost free group of  $V$  means a factor group  $F/M$ , where  $F$  is a free group of  $V$  and  $M$  is properly contained in the penultimate member of the descending central series of  $F$ .

A consequence of theorem 3 is that (contrary to Spring's<sup>3</sup> assertion)  $\mathcal{L}$ -isomorphic  $k$ -generator  $p$ -groups of exponent  $p$  and class 2 are necessarily isomorphic, whatever the value of  $k$ . The examples cited above show that this result does not generalise to groups of exponent  $p$  and class  $> 2$ .

Because of the analogy between commutators in nilpotent groups and products in nilpotent Lie algebras<sup>4</sup>, analogous theorems about lattice isomorphisms between nilpotent Lie algebras are to be expected. Let  $\mathcal{F}$  be a commutative ring with 1 and  $L$  a Lie algebra over  $\mathcal{F}$ . We denote by  $\mathcal{L}(L)$  the lattice of subalgebras of  $L$ . By an  $\mathcal{L}$ -isomorphism of  $L$  onto a Lie algebra  $M$  over the same ring  $\mathcal{F}$ , we mean an isomorphism of  $\mathcal{L}(L)$  onto  $\mathcal{L}(M)$ . We shall merely state the principal results for Lie algebras during the course of the paper, indicating points at which the proofs differ significantly from those for groups.

*Notation.* Let  $x, y, x_1, x_2, \dots$  be elements of a group  $G$ .  $\langle x_1, x_2, \dots \rangle$  denotes the subgroup generated by  $x_1, x_2, \dots$ .  $[x_1, x_2, \dots, x_n]$  is the simple  $n$ -fold commutator defined inductively by:  $[x, y] = x^{-1}y^{-1}xy$ ,  $[x_1, \dots, x_n] = [[x_1, \dots, x_{n-1}], x_n]$  ( $n \geq 3$ ).

Let  $X, Y, X_1, X_2, \dots$  be subgroups of  $G$ .  $X \leq Y$  ( $X \triangleleft Y$ ) means that  $X$  is a subgroup (normal subgroup) of  $Y$ ;  $X < Y$  ( $X \triangleleft Y$ ) excludes equality.  $\mathcal{N}_G(X)$  (or  $\mathcal{N}(X)$  if no confusion can arise) is the normaliser of  $X$  in  $G$ .  $\langle X_1, X_2, \dots \rangle$  or  $X_1 \cup X_2 \cup \dots$  denotes the subgroup generated by  $X_1, X_2, \dots$ .  $[X_1, X_2, \dots, X_n]$  is the simple  $n$ -fold commutator defined inductively by:  $[X, Y] = \langle [x, y] \mid x \in X, y \in Y \rangle$ ,  $[X_1, \dots, X_n] = [[X_1, \dots, X_{n-1}], X_n]$  ( $n \geq 3$ ).

$G = G_{(1)} \geq G_{(2)} \geq \dots$  denotes the descending central series of  $G$ .  $G' = G_{(2)} = [G, G]$  is the commutator group of  $G$ .  $\Phi(G)$  is the Frattini

<sup>2</sup> A similar result for free polynilpotent groups has been given by Šmel'kin [10] (theorem 10).

<sup>3</sup> Spring [11] cites a 5-generator counterexample without carrying through the proof.

<sup>4</sup> See G. Higman [3], M. Lazard [6].

subgroup,  $\mathcal{L}(G)$  the centre, of  $G$ .  $G^m$  denotes the subgroup generated by the  $m$ -th powers of the elements of  $G$ .

Homomorphisms  $\phi, \psi \dots$  of groups or lattices will be written exponentially:  $x^{\phi\psi} = (x^\phi)^\psi$ . If  $\phi : G \rightarrow H$  is a (group) epimorphism and  $B/A$  a section of  $G$ , the equations  $(xA)^\phi = (xA)^\phi$  ( $x \in B$ ) define an epimorphism  $\phi' : B/A \rightarrow B^\phi/A^\phi$ ; similarly, if  $\psi$  is an  $\mathcal{NL}$ -isomorphism of  $F$  onto  $H$ , the equations  $(X/A)^\psi = X^\psi/A^\psi$  ( $X/A \in \mathcal{L}(B/A)$ ) define an  $\mathcal{NL}$ -isomorphism  $\psi'$  of  $B/A$  onto  $B^\psi/A^\psi$ . It is convenient to call  $\phi', \psi'$  the *restrictions* of  $\phi, \psi$  to  $B/A$ . We say that an  $\mathcal{L}$ -isomorphism  $\psi$  of  $G$  onto  $H$  is *induced by an isomorphism* if there exists a group isomorphism  $\phi : G \rightarrow H$  such that  $X^\phi = X^\psi$  whenever  $X \in \mathcal{L}(G)$ .

### 1. $\mathcal{NL}$ -isomorphisms

An  $\mathcal{L}$ -isomorphism  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  is called

- (a) normaliser preserving if  $\mathcal{N}(A)^\phi = \mathcal{N}(A^\phi)$  for all  $A \leq G$ ,
- (b) commutator preserving if  $(A')^\phi = (A^\phi)'$  for all  $A \leq G$ ,
- (c) mixed commutator preserving if  $[A, B]^\phi = [A^\phi, B^\phi]$  for all  $A, B \leq G$ .

**THEOREM 1.** *The properties (a), (b), (c) of an  $\mathcal{L}$ -isomorphism are all equivalent.*

**PROOF.** (a)  $\Rightarrow$  (b). If  $A' \leq B \leq A \leq G$ , then  $\mathcal{N}(B) \geq A$  and so  $\mathcal{N}(B)^\phi = \mathcal{N}(B)^\phi \geq A^\phi$ . Thus every subgroup of  $A^\phi/(A')^\phi$  is normal. Hence  $A^\phi/(A')^\phi$  is abelian, or  $A^\phi/(A')^\phi$  has a subgroup isomorphic to the quaternion group, which is impossible since the latter is not  $\mathcal{L}$ -isomorphic to an abelian group. Therefore  $(A')^\phi \geq (A^\phi)'$ . Similarly

$$((A^\phi)')^{\phi^{-1}} \geq (A^{\phi\phi^{-1}})' = A',$$

and so, by applying  $\phi$  to this we have  $(A^\phi)' \geq (A')^\phi$ . Hence  $(A')^\phi = (A^\phi)'$ .

(b)  $\Rightarrow$  (c). Since

$$[A, B] = \cup\{[X, Y] \mid X \leq A, Y \leq B, X, Y \text{ cyclic}\},$$

it is sufficient to consider the case  $A, B$  cyclic. But if  $A, B$  are cyclic, the formula

$$x^{-\alpha}[x^\lambda, y^\mu]x^\alpha = [x^{\lambda+\alpha}, y^\mu][x^\alpha, y^\mu]^{-1}$$

shows that  $[A, B] \leq A \cup B$  and thus that  $[A, B] = (A \cup B)'$ .

(c)  $\Rightarrow$  (a).  $B \leq \mathcal{N}(A)$  if and only if  $[A, B] \leq A$ .

**COROLLARY 1.**  *$\mathcal{NL}$ -isomorphisms preserve central and derived series (and thus solubility, derived length, nilpotency, class of nilpotency, etc.).*

The next corollary is a known result, due to Pekelis [7] in the torsion-free case and to Spring [11] in the prime exponent case. Our theorem gives a simple unified proof.

**COROLLARY 2.** *Let  $G$  be a locally nilpotent group, and  $\phi$  an  $\mathcal{L}$ -isomorphism of  $G$  onto a group  $H$ . If*

- (1.1) *either (a)  $G$  is torsion-free  
or (b)  $G$  has prime exponent  $p$  and is non-abelian*

*then  $\phi$  is an  $\mathcal{NL}$ -isomorphism.*

**PROOF.** By the proof of the theorem, it is sufficient to show that  $(M')^\phi = (M^\phi)'$  for each 2-generator subgroup  $M$  of  $G$ . Notice that  $M$  is nilpotent. Set  $N = M^\phi$ .

Suppose first that  $G$  has exponent  $p$ . Then  $M$  is a finite  $p$ -group. Hence, if  $M$  is non-abelian,  $N$  must be a  $p$ -group (Suzuki [12], thm. 12, p. 12). The same conclusion holds when  $M$  is abelian for  $M$  is contained in a non-abelian finitely generated subgroup of  $G$ . It is clear that  $N$  is finite and has exponent  $p$ . Hence  $(M')^\phi = (\Phi(M))^\phi = \Phi(N) = N'$ .

Suppose secondly that  $G$  is torsion-free. Then  $H$  is torsion-free. A torsion-free group is abelian if and only if its subgroup lattice is modular (Suzuki [12], prop. 1.12, p. 19). Thus  $\mathcal{Z}(M)^\phi = \mathcal{Z}(N)$ . Let  $1 < \mathcal{Z}_1(M) < \dots < \mathcal{Z}_c(M) = M$  be the ascending central series of  $M$ . Since the factor groups  $M/\mathcal{Z}_i(M)$  are torsion-free the same argument shows that  $\mathcal{Z}_i(M)^\phi = \mathcal{Z}_i(N)$  for all  $i$ . In particular,  $N = \mathcal{Z}_c(N)$  whence  $H$  is nilpotent.

If  $M$  is abelian, then  $N$  is also abelian because  $\mathcal{Z}(M) = \mathcal{Z}(N)$ ; in this case,  $(M')^\phi = N' = 1$ . If  $M$  is non-abelian, then  $M/\mathcal{Z}_{c-2}(M)$  is also non-abelian and so  $M/\mathcal{Z}_{c-1}(M)$  is not cyclic. On the other hand,  $M/\mathcal{Z}_{c-1}(M)$  is torsion-free and a homomorphic image of the 2-generator group  $M/M'$ . Hence  $M' = \mathcal{Z}_{c-1}(M)$ . Similarly  $N' = \mathcal{Z}_{c-1}(N)$ . Hence  $(M')^\phi = N'$ , as required.

The following inductive principle is useful for the construction of  $\mathcal{NL}$ -isomorphisms.

**LEMMA 1.** *Let  $G, H$  be finite<sup>5</sup> groups and  $\alpha$  a mapping of  $\mathcal{L}(G)$  into  $\mathcal{L}(H)$ . Then  $\alpha$  is an  $\mathcal{NL}$ -isomorphism if and only if*

- (i)  *$\alpha$  maps the interval of  $\mathcal{L}(G)$  with end points  $\Phi(G), G$  lattice-isomorphically onto the interval of  $\mathcal{L}(H)$  with end points  $\Phi(H), H$ ;*
- (ii) *for each maximal subgroup  $M$  of  $G$ , the restriction of  $\alpha$  to  $\mathcal{L}(M)$  is an  $\mathcal{NL}$ -isomorphism of  $M$  onto  $M^\alpha$ ;*
- (iii)  *$(G')^\alpha = H'$ .*

<sup>5</sup> It is sufficient to assume that each subgroup of  $G, H$  is contained in a maximal subgroup.

PROOF. The conditions are clearly necessary. Let us prove that they are sufficient.

(a)  $\alpha$  is surjective. Let  $A \in \mathcal{L}(H)$ . If  $A = H$ , then  $A = G^\alpha$  by (i). If  $A < H$ , let  $B$  be a maximal subgroup of  $H$  containing  $A$ . By (i),  $B = C^\alpha$  for some maximal subgroup  $C$  of  $G$ . Then, by (ii),  $A = D^\alpha$  for some  $D \in \mathcal{L}(C)$ .

(b)  $\alpha$  is injective. Suppose  $A^\alpha = B^\alpha$ , where  $A, B \in \mathcal{L}(G)$ . We may assume that  $A < G$ . Let  $C$  be a maximal subgroup of  $G$  containing  $A$ . By (ii) and (i),  $A^\alpha \leq C^\alpha < G^\alpha = H$ . Since  $B^\alpha = A^\alpha$ ,  $B < G$ . Let  $D$  be a maximal subgroup of  $G$  containing  $B$ . By (ii),  $B^\alpha \leq D^\alpha$ , so that  $B^\alpha \leq C^\alpha \cap D^\alpha$ . By (i),  $C^\alpha \cap D^\alpha = (C \cap D)^\alpha$  and so  $B^\alpha \leq (C \cap D)^\alpha$ . By (ii) (applied to  $\mathcal{L}(D)$ ),  $B \leq C \cap D$ . By (ii) (applied to  $\mathcal{L}(C)$ ),  $B = A$ .

(c)  $\alpha$  is an  $\mathcal{L}$ -isomorphism. It is sufficient to show that  $A \leq B \Leftrightarrow A^\alpha \leq B^\alpha$  for  $A, B \in \mathcal{L}(G)$ . Since  $\alpha^{-1}$  exists (by (a), (b)) and clearly satisfies the conditions of the theorem, it is sufficient to show that  $A \leq B \Rightarrow A^\alpha \leq B^\alpha$ . If  $B = G$ , then  $A^\alpha \leq H = B^\alpha$ . If  $B < G$ , let  $C$  be a maximal subgroup of  $G$  containing  $B$ . Then  $A^\alpha \leq B^\alpha$  by (ii) applied to  $\mathcal{L}(C)$ .

(d)  $\alpha$  is an  $\mathcal{NL}$ -isomorphism. By theorem 1, it suffices to prove that  $(A')^\alpha = (A^\alpha)'$  for  $A \in \mathcal{L}(G)$ . If  $A = G$ , this follows from (iii). If  $A < G$ , let  $B$  be a maximal subgroup of  $G$  containing  $A$ . Then  $(A')^\alpha = (A^\alpha)'$  by theorem 1 and (ii) applied to  $\mathcal{L}(B)$ .

The following is the analogue of theorem 1 for Lie algebras.

THEOREM 1'. Let  $L, M$  be Lie algebras over the same principal domain  $R$ . Let  $\phi : \mathcal{L}(L) \rightarrow \mathcal{L}(M)$  be an isomorphism of the lattice  $\mathcal{L}(L)$  of all subalgebras of  $L$  onto  $\mathcal{L}(M)$ . Then the following are equivalent:

- (a)  $\mathcal{N}(A)^\phi = \mathcal{N}(A^\phi)$  for all subalgebras  $A \leq L$ ,
- (b)  $(A')^\phi = (A^\phi)'$  for all  $A \leq L$ .

PROOF. (a)  $\Rightarrow$  (b). This follows as in theorem 1 once we have proved: if every subalgebra of  $L$  is an ideal, then  $L$  is abelian.

To prove this, let  $a, b \in L$ . Then  $a, b$  belong to an  $R$ -submodule  $Rx_1 \oplus Rx_2 \oplus \dots \oplus Rx_n$ . Each  $Rx_i$  is a subalgebra of  $L$  and is therefore an ideal. For  $i \neq j$ ,  $x_i x_j \in Rx_i \cap Rx_j = 0$ . Thus

$$(\alpha_1 x_1 + \dots + \alpha_n x_n)(\beta_1 x_1 + \dots + \beta_n x_n) = 0 \text{ for all } \alpha_i, \beta_i \in R$$

and in particular,  $ab = 0$ .

(b)  $\Rightarrow$  (a). This is obvious because, for  $A \leq L$ ,  $x \in L$ ,

$$Rx \leq \mathcal{N}(A) \Leftrightarrow (Rx \cup A)' \leq A.$$

COROLLARY 1'.  $\mathcal{NL}$ -isomorphisms of Lie algebras preserve central and derived series.

**COROLLARY 2'.** *Let  $L, M$  be nilpotent Lie algebras over a field. Then every  $\mathcal{L}$ -isomorphism  $\phi : \mathcal{L}(L) \rightarrow \mathcal{L}(M)$  is  $\mathcal{NL}$ .*

There is no equivalent for Lie algebras of part (c) of theorem 1, for  $(Rx \cup Ry)'$  does not in general coincide with  $Rxy$  ( $x, y \in L$ ). In corollary 2', it is essential to assume both algebras nilpotent, as it is possible for a nilpotent algebra to be  $\mathcal{L}$ -isomorphic to a non-nilpotent algebra (for example, the two 2-dimensional Lie algebras are  $\mathcal{L}$ -isomorphic).

**2.  $\mathcal{NL}$ -isomorphisms between non-isomorphic  $p$ -groups**

Let  $p$  be a prime  $> 2$ . We give examples of  $\mathcal{NL}$ -isomorphisms between non-isomorphic finite  $p$ -groups. By means of Lazard's theory [6], these examples can be turned into examples of the same phenomenon for Lie rings; and when the groups have exponent  $p$  the Lie rings become Lie algebras over the field of  $p$  elements.

Wiman [13] and Blackburn<sup>6</sup> [2] have determined those  $p$ -groups of maximal class<sup>7</sup> which contain an abelian subgroup of index  $p$ . Such a group  $G$ , of order  $p^n$  ( $n \geq 3$ ), is isomorphic to an abstract group  $A_n(\gamma, \delta)$  defined by generators  $s, s_1, s_2, \dots$  and relations

$$(2.1) \quad \begin{cases} [s_i, s] = s_{i+1} & (i \geq 1; s_n = s_{n+1} = \dots = 1), \\ [s_i, s_j] = 1, & (i, j \geq 1), \\ s^p = s_{n-1}^p, \\ s_1^p s_2^{\binom{p}{2}} \dots s_p^p = s_{n-1}^p, \\ s_1^p s_{i+1}^{\binom{p}{2}} \dots s_{i+p-1}^p = 1 & (i \geq 2). \end{cases}$$

Set  $B_n(\gamma) = A_n(\gamma, 0)$ . Then  $B_n(\gamma) \cong B_n(\gamma')$  if and only if the congruence  $\gamma x^{n-2} \equiv \gamma' \pmod{p}$  has a solution  $x \not\equiv 0 \pmod{p}$  (Blackburn, l.c.). On the other hand, we now prove that if (a)  $\gamma\gamma' \not\equiv 0 \pmod{p}$  and (b) when  $p = n - 1$ ,  $(\gamma - 1)(\gamma' - 1) \not\equiv 0 \pmod{p}$ , then there exists an  $\mathcal{NL}$ -isomorphism of  $B_n(\gamma)$  onto  $B_n(\gamma')$ .

Since the result is trivial for  $n = 3$ , we assume  $n \geq 4$ . Let  $s, s_1, \dots$  and  $s', s'_1, \dots$  be the generators of  $G = B_n(\gamma)$  and  $H = B_n(\gamma')$  respectively. Choose an integer  $k$  such that  $\gamma \equiv k\gamma' \pmod{p}$ . Then the maximal subgroups of  $G, H$  are

$$G_\lambda = \langle s s_1^\lambda, s_2, \dots, s_{n-1} \rangle \quad (0 \leq \lambda < p),$$

$$G_p = \langle s_1, s_2, \dots, s_{n-1} \rangle,$$

and

<sup>6</sup> The reader is referred to section 4 of Blackburn's paper for the properties of maximal class groups used here.

<sup>7</sup> The idea of looking at maximal class groups for examples was suggested to us by J. L. Alperin.

$$H_\lambda = \langle s' s_j^{k\lambda}, s'_2, \dots, s'_{n-1} \rangle \quad (0 \leq \lambda < p),$$

$$H_p = \langle s'_1, s'_2, \dots, s'_{n-1} \rangle.$$

For  $\lambda < p$ , the generators  $\sigma = ss_1^\lambda$ ,  $\sigma_1 = s_2, \dots, \sigma_{n-2} = s_{n-1}$  of  $G_\lambda$ , and the generators  $\sigma' = s' s_1^{k\lambda}$ ,  $\sigma'_1 = s'_2, \dots, \sigma'_{n-2} = s'_{n-1}$  of  $H_\lambda$ , satisfy the defining relations for  $A_{n-1}(0, \lambda\gamma)$ . Hence there is an isomorphism

$$\alpha_\lambda : G_\lambda \rightarrow H_\lambda \quad (0 \leq \lambda < p)$$

such that  $(ss_1^\lambda)^{\alpha_\lambda} = s' s_1^{k\lambda}$ ,  $s_i^{\alpha_\lambda} = s'_i$  ( $2 \leq i \leq n-1$ ).

$G_p$  is an abelian group whose defining relations are the last two lines of (2.1).  $H_p$  is an abelian group with the same defining relations, except that  $\gamma'$  replaces  $\gamma$ . We show that  $H_p = \langle s_1^*, s'_2, \dots, s'_{n-1} \rangle$ , where  $(s_1^*)^p s_2^{\binom{p}{2}} \dots s_p^{\binom{p}{p}} = s'_{n-1}$ . It will follow that there is an isomorphism

$$\alpha_p : G_p \rightarrow H_p$$

such that  $s_i^{\alpha_p} = s_i^*$ ,  $s_i^{\alpha_p} = s'_i$  ( $2 \leq i \leq n-1$ ).

For  $p > n-1$ , the defining relations of  $H_p$  reduce to  $s_1^{p'} = s'_{n-1}$ ,  $s_i^{p'} = 1$  ( $i \geq 2$ ). In this case we may take  $s_1^* = s_1^{k'}$ , since  $s_1^{*p} = s_1^{k'p} = s'_{n-1} = s'_{n-1}$ . For  $p = n-1$ , the defining relations are the same, except that  $s_1^{p'} = s'_{n-1}$ . A similar choice of  $s_1^*$  is possible by our assumption (b). Finally, if  $p < n-1$ , then  $s_{n-p}^{p'} s_{n-p+1}^{\binom{p}{2}} \dots s_{n-2}^{p'} = s'_{n-1}$  so that  $s'_{n-1} = \sigma^p$ , where  $\sigma \in \langle s'_2, \dots, s'_{n-1} \rangle$ . We may therefore take  $s_1^* = s'_1 \sigma^{\gamma-\gamma'}$ .

We now define a mapping  $\alpha : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  by:

$$G^\alpha = H,$$

$$K^\alpha = K^{\alpha_\mu} \text{ if } K \leq G_\mu \quad (0 \leq \mu \leq p).$$

Since the isomorphisms  $\alpha_\mu$  all agree on  $\langle s_2, \dots, s_{n-1} \rangle$ , the definition is unambiguous. All the conditions of lemma 1 are satisfied, so that  $\alpha$  is an  $\mathcal{NL}$ -isomorphism.

The simplest examples of non-isomorphic,  $\mathcal{NL}$ -isomorphic pairs provided by our results are:

for  $p > 3$ , the groups  $B_4(1)$ ,  $B_4(k)$  of order  $p^4$ , where  $k$  is a non-quadratic residue (mod  $p$ );

for  $p = 3$ , the groups  $B_5(1)$ ,  $B_5(-1)$  of order  $3^5$ .

The groups in the above examples have exponent  $p^k > p$ . We now give examples of  $\mathcal{NL}$ -isomorphisms between non-isomorphic groups of exponent  $p$ . The groups in question are again groups of maximal class.

Let  $n$  be an integer, and  $p$  a prime, such that  $8 \leq n \leq p$ . Let  $C_n(\varepsilon)$  denote the group of order  $p^n$  with generators  $s, s_1, s_2, \dots$  and defining relations

$$\begin{aligned}
 [s_i, s] &= s_{i+1} & (i \geq 1; s_n = s_{n+1} = \dots = 1), \\
 [s_i, s_1] &= s_{i+2}^{\epsilon} & (i \geq 2), \\
 [s_i, s_j] &= 1 & (i, j \geq 2), \\
 s^p &= s_1^p = 1.
 \end{aligned}$$

Since  $C_n(\epsilon)$  is generated by  $s, s_1$  of order  $p$  and has class  $n-1 < p$ , it is a group of exponent  $p$ . We prove that  $G = C_n(1), H = C_n(-1)$  are  $\mathcal{NL}$ -isomorphic but not isomorphic.

We take the generators of  $G$  to be  $s, s_1, s_2, \dots$  and those of  $H$  to be  $s, s'_1, s_2, \dots$ , so that the subgroup  $\langle s, s_2, \dots \rangle$  of order  $p^{n-1}$  is common to both. Set  $V = \Phi(G) = \Phi(H) = \langle s_2, \dots \rangle$ . Then, denoting the endomorphisms  $v \rightarrow v^{s-1}, v \rightarrow v^{s_1-1}, v \rightarrow v^{s'_1-1}$  of  $V$  by  $\sigma, \sigma_1, \sigma'_1$ , we have

$$\sigma^{n-2} = 0, \sigma^{n-3} \neq 0, \sigma_1 = -\sigma'_1 = \sigma^2.$$

Using these facts, it is easy to see that an  $\mathcal{NL}$ -isomorphism  $\alpha$  of  $G$  onto  $H$  is defined as follows:

- $G^\alpha = H$ ;
- if  $K \leq V, K^\alpha = K$ ;
- if  $L = K \cap V < K < G$ , then  $K$  has the form

$$\langle ss_1^\lambda t, L \rangle \text{ or } \langle s_1 t, L \rangle \quad (t \in V)$$

and we take

$$K^\alpha = \langle ss'_1 t, L \rangle \text{ or } \langle s'_1 t, L \rangle.$$

Suppose now that there exists an isomorphism  $\theta : G \rightarrow H$ . If  $s^\theta V = s^\alpha s_1^{\beta} V, s_1^\theta V = s^\gamma s_1^{\delta} V$ , and if  $\omega$  is the restriction of  $\theta$  to  $V$ , then

$$v^{\omega s^\theta} = v^{s^\alpha \omega}, v^{\omega s_1^\theta} = v^{s_1^\gamma \omega} \text{ for } v \in V,$$

so that

$$\begin{aligned}
 \omega^{-1} \sigma \omega &= (1 + \sigma)^\alpha (1 - \sigma^2)^\beta - 1, \\
 \omega^{-1} \sigma^2 \omega &= (1 + \sigma)^\gamma (1 - \sigma^2)^\delta - 1.
 \end{aligned}$$

Hence

$$(1 + \sigma)^\gamma (1 - \sigma^2)^\delta - 1 = \{(1 + \sigma)^\alpha (1 - \sigma^2)^\beta - 1\}^2.$$

Comparing coefficients of  $\sigma, \sigma^2, \sigma^3, \sigma^4, \sigma^5$  on both sides (this is permissible as  $\sigma^{n-3} \not\equiv 0$  and  $n \geq 8$ ), and using the fact that  $\alpha\delta - \beta\gamma \not\equiv 0 \pmod{p}$ , we get in succession the following congruences  $\pmod{p}$ :

$$\begin{aligned} \gamma &\equiv 0, & -\delta &\equiv \alpha^2, & 0 &\equiv \binom{\alpha}{2} - \beta, \\ \binom{\delta}{2} &\equiv 2\alpha \left( \binom{\alpha}{3} - \alpha\beta \right), \\ 0 &\equiv \binom{\alpha}{4} - \beta \binom{\alpha}{2} + \binom{\beta}{2}. \end{aligned}$$

On simplification, the second and third lines yield  $7\alpha^2 \equiv 1$  and  $\alpha^2 \equiv 1$ , giving the contradiction  $7 \equiv 1$ . Thus  ${}^8 G \not\cong H$ .

### 3. A lemma on multilinear mappings

The following lemma plays an essential part in the proof of theorem 2 in the next section.

**LEMMA 2.** *Let  $A_1, \dots, A_t, L, M$  be (additive) abelian groups. Let  $f(x_1, \dots, x_t), g(x_1, \dots, x_t)$  be multilinear functions of the variables  $x_i \in A_i$  ( $i = 1, \dots, t$ ) with values in  $L, M$  respectively. Suppose that the values of  $f, g$  generate  $L, M$  and that there exists an  $\mathcal{L}$ -isomorphism  $\phi$  of  $L$  onto  $M$  such that*

$$\langle f(x_1, \dots, x_t) \rangle^\phi = \langle g(x_1, \dots, x_t) \rangle \quad (\text{all } x_1, \dots, x_t).$$

*Then there exists an isomorphism  $\alpha$  of  $L$  onto  $M$  such that*

$$f(x_1, \dots, x_t)^\alpha = g(x_1, \dots, x_t) \quad (\text{all } x_1, \dots, x_t).$$

**Remark 1.** The expected generalisation to  $R$ -modules,  $R$  a principal ideal domain, is valid and can be proved by the same method. E.g., when the  $A_i$  are vector spaces over a field  $R$  and  $L = M = R$ , the generalisation states that multilinear forms which vanish for the same values of the variables are scalar multiples of one another.

**Remark 2.** The conclusion of the lemma is equivalent to the following statement:

$$f(x) + f(y) + \dots = 0 \text{ if and only if } g(x) + g(y) + \dots = 0.$$

(Here  $x$  denotes a row of variables  $x_1, \dots, x_t$  and so on.) In fact, if the condition holds,

$$f(x) + f(y) + \dots \leftrightarrow g(x) + g(y) + \dots$$

is a well defined 1-1 correspondence; it is then clearly an isomorphism.

The lemma is proved by induction on  $t$ . If  $t = 1$ ,  $f, g$  are homomorphisms of  $A_1$  onto  $L, M$  with the same kernel, so that  $f(x) \leftrightarrow g(x)$  is an isomorphism

<sup>8</sup> The same conclusion holds for  $n = 7$  provided that the congruence  $7\alpha^2 \equiv 1 \pmod{p}$  has no solution, i.e. if  $(7/p) \neq 1$ .

between  $L, M$ . We assume now that  $t > 1$  and that the lemma holds for a smaller number of variables.

By remark 2, and since a given sum  $f(x)+f(y)+\dots$  involves only finitely many values of the variables  $x_i, y_j, \dots$ , it is sufficient to prove the lemma when the  $A_i$  are finitely generated. Then  $L, M$  are also finitely generated. Hence there exists a family of subgroups  $(L_i)$  of  $L$  such that (a) each factor group  $L/L_i$  is cyclic of prime power order and (b)  $\bigcap_i L_i = 0$ . Define

$$\begin{aligned} f_i(x) &= f(x)+L_i & (\in L/L_i) \\ g_i(x) &= g(x)+M_i & (\in M/M_i), \end{aligned}$$

where  $M_i = L_i^\phi$ . Then  $f_i, g_i$  are multilinear mappings whose values generate  $L/L_i, M/M_i$  and  $\langle f_i(x) \rangle^{\phi_i} = \langle g_i(x) \rangle$ , where  $\phi_i$  is the restriction of  $\phi$  to  $L/L_i$ . Thus, if the lemma holds for each pair  $f_i, g_i$ , we have

$$f(x)+f(y)+\dots \in L_i \Leftrightarrow g(x)+g(y)+\dots \in M_i$$

for each  $i$ . Therefore, since  $\bigcap_i L_i = 0$  and  $\bigcap_i M_i = 0$ ,

$$f(x)+f(y)+\dots = 0 \Leftrightarrow g(x)+g(y)+\dots = 0,$$

so that the lemma holds for  $f, g$ . Hence it is sufficient to prove the lemma when  $L$  is cyclic of prime power order.

Suppose  $L$  cyclic of order  $p^m$ . Since  $\phi$  is an  $\mathcal{L}$ -isomorphism,  $M$  is cyclic of order  $q^m$  for some prime  $q$ . Now  $\langle kf(x) \rangle^\phi = \langle f(kx_1, x_2, \dots) \rangle^\phi = \langle g(kx_1, x_2, \dots) \rangle = \langle kg(x) \rangle$ , so that  $f(x), g(x)$  have the same order. Hence  $q = p$ . We may therefore assume that  $L = M$ .

The assertion of the lemma in this case is that

$$(3.1) \quad f(x) = kg(x) \quad \text{for all } x,$$

where  $k$  is a fixed integer prime to  $p$ . Let  $r_x$  denote the order of  $f(x)$ . We know, since  $g(x)$  also has order  $r_x$ , that

$$f(x) = k_x g(x),$$

where  $k_x$  is prime to  $p$ . It is sufficient to prove that

$$(3.2) \quad k_x \equiv k_y \pmod{r_y} \quad \text{whenever } r_x \geq r_y > 1.$$

For then (3.1) will hold with  $k = k_{\bar{x}}$ , where  $\bar{x}$  is such that  $r_{\bar{x}}$  has the largest possible value.

Consider the homomorphisms  $\alpha, \beta : A_t \rightarrow L$  defined by

$$a^\alpha = f(x_1, \dots, x_{t-1}, a), \quad a^\beta = f(y_1, \dots, y_{t-1}, a).$$

Since  $\alpha, \beta$  are non-zero, the inverse images  $\alpha^{-1}(pA_t^\alpha)$  and  $\beta^{-1}(pA_t^\beta)$  are subgroups of  $A_t$  of index  $p$ . An abelian group cannot be the set-theoretical

union of two proper subgroups. Therefore we can choose  $a \in A_t$  such that  $a \notin \alpha^{-1}(pA_t^\alpha)$  and  $a \notin \beta^{-1}(pA_t^\beta)$ . Then  $a^\alpha \notin pA_t^\alpha$  and  $a^\beta \notin pA_t^\beta$ , so that

$$\langle a^\alpha \rangle = A_t^\alpha, \quad \langle a^\beta \rangle = A_t^\beta.$$

Thus, if  $u$  and  $v$  denote the rows of variables  $x_1, \dots, x_{t-1}, a$  and  $y_1, \dots, y_{t-1}, a$ , we have

$$A_t^\alpha = \langle f(u) \rangle, \quad A_t^\beta = \langle f(v) \rangle$$

and therefore

$$(3.3) \quad r_u \geq r_x, \quad r_v \geq r_y.$$

Let us now apply the lemma in the case  $t = 1$  to the linear mappings  $\alpha, \alpha' : A_t \rightarrow L$  given by

$$\alpha(a) = f(x_1, \dots, x_{t-1}, a), \quad \alpha'(a) = g(x_1, \dots, x_{t-1}, a).$$

We deduce that

$$(3.4) \quad k_u \equiv k_x \pmod{r_x},$$

since  $r_u \geq r_x$ . Similarly

$$(3.5) \quad k_v \equiv k_y \pmod{r_y}.$$

Finally, let us apply the lemma to the multilinear forms

$$\begin{aligned} \chi(z_1, \dots, z_{t-1}) &= f(z_1, \dots, z_{t-1}, a), \\ \psi(z_1, \dots, z_{t-1}) &= g(z_1, \dots, z_{t-1}, a). \end{aligned}$$

We deduce that

$$(3.6) \quad k_u \equiv k_v \pmod{\rho},$$

where  $\rho$  is the smaller of  $r_u, r_v$ . Putting together the results (3.3)–(3.6), we get (3.2) as required.

#### 4. The main results

We study properties of a fixed  $\mathcal{NL}$ -isomorphism between two groups  $G, H$ . It is convenient for this purpose to represent  $G, H$  as factor groups of an auxiliary group  $F$ . In the applications,  $F$  will be a suitable free, or relatively free, group.

Let  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  be an  $\mathcal{NL}$ -isomorphism and  $\lambda : F \rightarrow G, \mu : F \rightarrow H$  epimorphisms. We say that  $\phi$  is compatible with the pair  $\lambda, \mu$  if  $K^\lambda \phi = K^\mu$  for all  $K \in \mathcal{L}(F)$ . When this is the case, the equations  $(x^\lambda)^\phi = x^\mu$  ( $x \in F$ ) clearly define an isomorphism  $\theta : G \rightarrow H$  and  $\phi$  is the  $\mathcal{L}$ -isomorphism induced by  $\theta$ .

More generally, we say that  $\phi$  is compatible with the pair  $\lambda, \mu$  on the

section  $P/Q$  of  $F$  if  $K^{\lambda\phi} = K^\mu$  whenever  $Q \leq K \leq P$ , i.e. if the restriction of  $\phi$  to  $P^\lambda/Q^\lambda$  maps  $P^\lambda/Q^\lambda$  onto  $P^\mu/Q^\mu$  and is compatible with the restrictions  $\lambda', \mu'$  of  $\lambda, \mu$  to  $P/Q$ .

**THEOREM 2.** *Let  $\lambda : F \rightarrow G, \mu : F \rightarrow H$  be epimorphisms and  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  an  $\mathcal{NL}$ -isomorphism. Let  $P_1/Q_1, \dots, P_t/Q_t$  be central sections of  $F$  on each of which  $\phi$  is compatible with the pair  $\lambda, \mu$ . Let*

$$P = [P_1, \dots, P_t]$$

$$Q = [Q_1, P_2, \dots, P_t][P_1, Q_2, \dots, P_t] \cdots [P_1, P_2, \dots, Q_t].$$

*Then  $P/Q$  is a central section of  $F$  and  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $P/Q$ .*

**PROOF.** We prove the theorem for two sections  $P_1/Q_1, P_2/Q_2$ . The theorem for  $t$  sections then follows in an obvious way by induction.

Since

$$[P, G] = [P_1, P_2, G] \leq [G, P_1, P_2][P_2, G, P_1]$$

$$\leq [Q_1, P_2][Q_2, P_1] = Q,$$

$P/Q$  is a central section. Let  $u, v \in P_1, x, y \in P_2$ . Since  $P/Q \leq \mathcal{Z}(G/Q)$ , we have

$$[uv, x] = v^{-1}[u, x]v[v, x] \equiv [u, x][v, x] \pmod{Q}.$$

Similarly,

$$[u, xy] \equiv [u, x][u, y] \pmod{Q}.$$

Taking  $v \in Q_1$  and  $y \in Q_2$ , we deduce that the coset of  $Q$  containing  $[u, x]$  depends only on the cosets of  $Q_1, Q_2$  containing  $u, x$ . Hence

$$f(uQ_1, xQ_2) = [u^\lambda, x^\lambda]Q^\lambda,$$

$$g(uQ_1, xQ_2) = [u^\mu, x^\mu]Q^\mu,$$

are bilinear functions of the variables  $uQ_1 \in P_1/Q_1$  and  $xQ_2 \in P_2/Q_2$  with values in  $P^\lambda/Q^\lambda, P^\mu/Q^\mu$  respectively. It is clear that the values of  $f, g$  generate  $P^\lambda/Q^\lambda, P^\mu/Q^\mu$ .

Now, since  $\phi$  is mixed commutator preserving and is compatible with the pair  $\lambda, \mu$  on each of  $P_1/Q_1, P_2/Q_2$ , we have

$$P^{\lambda\phi} = P^\mu, \quad Q^{\lambda\phi} = Q^\mu,$$

and

$$\begin{aligned} \langle [u, x], Q \rangle^{\lambda\phi} &= \langle [\langle u, Q_1 \rangle, \langle x, Q_2 \rangle], Q \rangle^{\lambda\phi} \\ &= \langle [\langle u, Q_1 \rangle^{\lambda\phi}, \langle x, Q_2 \rangle^{\lambda\phi}], Q^{\lambda\phi} \rangle \\ &= \langle [\langle u, Q_1 \rangle^\mu, \langle x, Q_2 \rangle^\mu], Q^\mu \rangle \\ &= \langle [u, x], Q \rangle^\mu. \end{aligned}$$

Thus the restriction,  $\phi'$ , of  $\phi$  to  $P^\lambda/Q^\lambda$  maps  $P^\lambda/Q^\lambda$  onto  $P^\mu/Q^\mu$  and satisfies  $\langle f(uQ_1, xQ_2) \rangle^{\phi'} = \langle g(uQ_1, xQ_2) \rangle$ . By lemma 1, there is an isomorphism  $\alpha : P^\lambda/Q^\lambda \rightarrow P^\mu/Q^\mu$  such that  $f(uQ_1, xQ_2)^\alpha = g(uQ_1, xQ_2)$ , i.e.  $([u, x]Q)^\lambda = ([u, x]Q)^\mu$ . Hence, if  $Q \leq K \leq P$ , we have  $(K^\lambda/Q^\lambda)^\alpha = (K^\mu/Q^\mu)$  and so, since  $\alpha$  induces  $\phi'$ ,  $(K^\lambda/Q^\lambda)^{\phi'} = K^\mu/Q^\mu$ . Thus  $K^{\lambda\phi} = K^\mu$ , which proves the theorem.

*Notation.* If  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  is an  $\mathcal{NL}$ -isomorphism, let  $\phi_i : \mathcal{L}(G_{(i)}/G_{(i+1)}) \rightarrow \mathcal{L}(H_{(i)}/H_{(i+1)})$  denote its restriction to the  $i$ -th factor  $G_{(i)}/Q_{(i+1)}$  of the descending central series ( $i = 1, 2, \dots$ ).

**COROLLARY.** *Let  $\phi : \mathcal{L}(G) \rightarrow \mathcal{L}(H)$  be an  $\mathcal{NL}$ -isomorphism such that  $\phi_1 : \mathcal{L}(G/G') \rightarrow \mathcal{L}(H/H')$  is induced by an isomorphism  $\theta : G/G' \rightarrow H/H'$ . Then every  $\phi_i$  is induced by an isomorphism.*

**PROOF.** We may of course assume  $G$  and  $H$  nilpotent. Represent  $G$  as a factor group  $F/M$  of a free group  $F$ . Let  $\lambda : F \rightarrow F/M = G$ ,  $\alpha : G \rightarrow G/G'$  be the canonical epimorphisms. Since  $F$  is free, the epimorphism  $\lambda\alpha\theta_1 : F \rightarrow H/H'$  can be lifted to a homomorphism  $\mu : F \rightarrow H$  such that  $H'F^\mu = H$ . Since  $H$  is nilpotent,  $F^\mu = H$ . Clearly,  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $F/F'$ . By the theorem (with all sections  $P_j/Q_j$  equal to  $F/F'$ ),  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $F_{(i)}/F_{(i+1)}$ . Hence  $\phi_i$  is induced by an isomorphism.

For the proof of the next theorem we require the following technical result.

**LEMMA 3.** *Let  $F$  be a finite, relatively free, 2-generator  $p$ -group of class 3, and  $\phi : \mathcal{L}(F) \rightarrow \mathcal{L}(F)$  an  $\mathcal{NL}$ -automorphism of  $F$ . Let  $\phi^t$  be the exponent of  $F_{(3)}$ . Then the restriction,  $\psi$ , of  $\phi$  to  $F/F'F^{\phi^t}$  is induced by an automorphism of  $F/F'F^{\phi^t}$ .*

**PROOF.** It is not difficult to see that  $F$  has generators  $x, y$  and defining relations of the form

$$\begin{aligned} x^{p^r} &= y^{p^s} = z^{p^t} = u^{p^t} = v^{p^t} = 1 & (r \geq s \geq t), \\ [x, u] &= [y, u] = [x, v] = [y, v] = 1, \end{aligned}$$

where

$$z = [x, y], \quad u = [x, z], \quad v = [y, z].$$

Replacing  $F$  by  $F/F^{\phi^t}$ , we may assume that  $r = s = t$  and  $F' = F'F^{\phi^t}$ .

Let  $\langle x \rangle^\phi = \langle X \rangle$ ,  $\langle y \rangle^\phi = \langle Y \rangle$  and write

$$Z = [X, Y], \quad U = [X, Z], \quad V = [Y, Z].$$

Since  $\phi$  is mixed commutator preserving,

$$\langle z \rangle^\phi \equiv \langle Z \rangle \pmod{F_{(3)}}, \quad \langle u \rangle^\phi = \langle U \rangle, \quad \langle v \rangle^\phi = \langle V \rangle.$$

Now, since  $F'$  is the direct product of 3 cyclic groups of order  $p^t$ , the restriction of  $\phi$  to  $F'$  is induced by an automorphism of  $F'$  (Baer [1]; cf. Suzuki [12], p. 35). Hence

$$\langle u^\alpha v^\beta \rangle^\phi = \langle U^{m\alpha} V^{n\beta} \rangle$$

for certain fixed integers  $m, n$ . On the other hand, if

$$\langle x^\alpha y^\beta \rangle^\phi \equiv \langle X^{\alpha'} Y^{\beta'} \rangle \pmod{F'},$$

then

$$\begin{aligned} \langle u^\alpha v^\beta \rangle^\phi &= \langle [x^\alpha y^\beta, z] \rangle^\phi \\ &= \langle [X^{\alpha'} Y^{\beta'}, Z] \rangle \\ &= \langle U^{\alpha'} V^{\beta'} \rangle. \end{aligned}$$

Hence  $\langle x^\alpha y^\beta \rangle^\phi \equiv \langle X^{m\alpha} Y^{n\beta} \rangle \pmod{F'}$ , and so  $\psi$  is induced by the automorphism  $x^\alpha y^\beta F' \rightarrow X^{m\alpha} Y^{n\beta} F'$  of  $F/F'$ . This proves the lemma.

Let  $V$  be a nilpotent variety (i.e. a variety consisting of nilpotent groups) and  $F$  a free group of  $V$ . Let  $c$  be the class of  $F$ . Then a factor group

$$(3.1) \quad G = F/M, \quad M < F_{(c)},$$

will be called an *almost free group* of  $V$ . Notice that the class of  $G$  is  $c$  and that  $G/G_{(c)} \cong F/F_{(c)}$  is relatively free.

**THEOREM 3.** *Let  $V$  be a nilpotent variety,  $G$  a non-abelian almost free group of  $V$ . Let  $\phi$  be an  $\mathcal{NL}$ -isomorphism of  $G$  onto a member  $H$  of  $V$ . Then  $G \cong H$ .*

**PROOF.** Represent  $G$  in the form (3.1) and let  $\lambda : F \rightarrow F/M = G$ ,  $\alpha : F \rightarrow G/G'$  be the canonical epimorphisms. Since  $G$  is non-abelian,  $G/G'$  is relatively free and so is a (restricted) direct product of isomorphic cyclic groups. Since  $G$  is non-cyclic, the number,  $k$ , of cyclic factors is at least 2. Therefore  $G/G' \cong H/H'$ . Let  $\theta$  be any isomorphism of  $G/G'$  onto  $H/H'$ . Since  $H \in V$  and  $H' \leq \Phi(H)$ , the epimorphism  $\lambda\alpha\theta : F \rightarrow H/H'$  can be lifted to an epimorphism  $\mu : F \rightarrow H$ . Let  $N = \ker \mu$ .

Now, it follows from results of Baer [1] (cf. Suzuki [12], p. 35) that either

- (a)  $\phi_1 : \mathcal{L}(G/G') \rightarrow \mathcal{L}(H/H')$  is induced by an isomorphism, or
- (b)  $G/G'$  is finite and  $k = 2$ .

In case (a), we may suppose that  $\phi_1$  is induced by  $\theta$ , so that  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $F/F'$ . By theorem 2,  $\phi$  is compatible with the pair  $\lambda, \mu$  on each factor group  $F_{(i)}/F_{(i+1)}$ . Thus

$$(M \cap F_{(i)})F_{(i+1)} = (N \cap F_{(i)})F_{(i+1)} \quad (i = 1, 2, \dots)$$

and therefore, since  $M < F_{(i)}$ ,  $M = N$ . Hence  $G \cong H$ .

In case (b),  $G$  itself is finite. Hence  $G$  is the direct product of its Sylow subgroups  $P_1, \dots, P_m$ . Suppose  $P_i$  is a Sylow  $p_i$ -subgroup. Since  $\phi$  is  $\mathcal{NL}$  and  $P_i$  is noncyclic,  $P_i^\phi$  is a  $p_i$ -group. It follows that  $P_1^\phi, \dots, P_m^\phi$  are the Sylow subgroups of  $H$  (Suzuki [12], p. 5). If  $P_i$  is abelian, it is the direct product of 2 cyclic groups of the same order and so  $P_i \cong P_i^\phi$ . It is therefore sufficient to prove the theorem when  $G, H$  are finite (non-abelian) 2-generator  $p$ -groups.

It is not difficult to see that, when  $c = 2$  or 3,  $G$  and  $H$  are groups with generators  $x, y$  and defining relations of the form

$$\begin{aligned} (c = 2) \quad & x^{p^\alpha} = y^{p^\beta} = z^{p^\gamma} = u = v = 1, \\ (c = 3) \quad & x^{p^\alpha} = y^{p^\beta} = z^{p^\gamma} = u^{p^\delta} = v^{p^\delta} = 1, \\ & [x, u] = [y, u] = [x, v] = [y, v] = 1, \end{aligned}$$

where  $z = [x, y]$ ,  $u = [x, z]$ ,  $v = [y, z]$ . Since corresponding factors  $G_{(i)}/G_{(i+1)}, H_{(i)}/H_{(i+1)}$  are  $\mathcal{NL}$ -isomorphic and so isomorphic, the indices  $\alpha, \beta, \gamma, \delta$  are the same for both  $G, H$ . Hence  $G \cong H$ .

Suppose finally that  $c \geq 4$ . We remark that  $H/H_{(c)}$  is a homomorphic image of  $F/F_{(c)}$ , and that  $H/H_c$  has the same order as  $F/F_c$  (since  $F/F_{(c)} \cong G/G_{(c)}$  and  $G/G_{(c)}, H/H_{(c)}$  are  $\mathcal{NL}$ -isomorphic  $p$ -groups). Therefore  $H/H_{(c)} \cong F/F_{(c)}$  and so  $N < F_{(c)}$ . Set  $K = G'G^{p^r}, L = H'H^{p^r}$ , where  $p^r$  is the exponent of  $F_{(c)}$ . Let  $\phi' : \mathcal{L}(G/K) \rightarrow \mathcal{L}(H/L)$  be the restriction of  $\phi$  to  $G/K$ . Since  $c \geq 4$  and  $F/F_{(c)} \cong G/G_{(c)} \cong H/H_{(c)}$ ,  $G/G_{(4)}$  and  $H/H_{(4)}$  are relatively free groups of class 3 and the exponent of  $G_{(3)}/G_{(4)}$  is  $p^3 \geq p^r$ . By lemma 3,  $\phi'$  is induced by an isomorphism  $\theta'$ . Thus, if we choose the isomorphism  $\theta : G/G' \rightarrow H/H'$  of the first paragraph of the proof in such a way that  $\theta'$  is the restriction of  $\theta$  to  $G/K$ , then  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $F/F'F^{p^r}$ . By theorem 2 (with each  $P_i/Q_i$  equal to  $F/F'F^{p^r}$ ),  $\phi$  is compatible with the pair  $\lambda, \mu$  on  $F_{(c)}$ . Thus  $(M \cap F_{(c)})F_{(c+1)} = (N \cap F_{(c)})F_{(c+1)}$ , i.e.  $M = N$ . Hence  $G \cong H$ . This proves the theorem.

In some cases it is unnecessary to postulate that  $H \in V$  since this follows from the existence of the  $\mathcal{NL}$ -isomorphism  $\phi$ . The following is the simplest example.

**COROLLARY 1.** *Let  $V = V(p^\mu, c)$  be the variety of all nilpotent groups of class  $c' \leq c$  and exponent  $p^{\mu'} \leq p^\mu$ . Let  $G$  be a non-abelian almost free group of  $V$  and  $\phi$  an  $\mathcal{NL}$ -isomorphism of  $G$  onto a group  $H$ . Then  $G \cong H$ .*

Recalling that every  $\mathcal{L}$ -isomorphism of a group of prime exponent is an  $\mathcal{NL}$ -isomorphism, we get

**COROLLARY 2.** *Let  $G$  be a non-abelian, almost free group of  $V(p, c)$  and  $\phi$  an  $\mathcal{L}$ -isomorphism of  $G$  onto a group  $H$ . Then  $G \cong H$ .*

In particular:

**COROLLARY 3.** *Let  $G$  be a nilpotent group of class 2 and exponent  $p$ . Let  $\phi$  be an  $\mathcal{L}$ -isomorphism of  $G$  onto a group  $H$ . Then  $G \cong H$ .*

*Lie rings.* The analogue of theorem 2 for Lie algebras over a principal ideal domain holds. (The arguments involving the mixed commutator preserving property can all be rephrased in terms of the commutator preserving property). Theorem 3 has the following analogue.

**THEOREM 3'.** *Let  $V$  be a nilpotent variety of Lie rings,  $L$  a non-abelian almost free ring of  $V$ . Let  $\phi$  be an  $\mathcal{NL}$ -isomorphism of  $L$  onto a member  $M$  of  $V$ . Then  $L \cong M$ .*

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