

# BIORTHOGONAL SYSTEMS IN $l^p$ -SPACES

R. KEOWN AND C. CONATSER

**1. Introduction.** Our aim in this paper is to generalize certain ideas and results of Bary (1) on biorthogonal systems in separable Hilbert spaces to their counterparts in separable  $l^p$ -spaces,  $1 < p$ . The main result of Bary is to characterize a natural generalization of the concept of orthonormal basis for a Hilbert space. That of this paper is to characterize the concept of a Bary basis which is a generalization of the idea of standard basis of an  $l^p$ -space. The result is interesting for  $l^p$ -spaces because of the paucity of standard bases in these spaces.

Before summarizing our results, we shall introduce some notation and recall a few pertinent definitions and facts. The symbols  $\mathfrak{X}$  and  $\mathfrak{Y}$  denote mutually conjugate  $l^p$ -spaces, where  $\mathfrak{X}$  is the space  $l^r$  and  $\mathfrak{Y}$  the space  $l^s$  with  $1 < r < 2$  and  $2 < s = r/(r - 1)$ . The *standard bases*,  $\{\mathbf{x}_i\}$  and  $\{\mathbf{y}_i\}$ , of the spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively, consist of infinite sequences of complex numbers where for each particular  $\mathbf{x}_i$  and  $\mathbf{y}_i$  the corresponding sequence is determined by the rule:  $\mathbf{x}_i = \mathbf{y}_i = \{\delta_{ij}\}, j = 1, 2, \dots$ . The value of the linear functional  $\mathbf{y}$  (belonging to  $\mathfrak{Y}$ ) at the point  $\mathbf{x}$  (belonging to  $\mathfrak{X}$ ) is denoted by the symbol  $(\mathbf{x}, \mathbf{y})$ . Two sequences,  $\{\mathbf{x}_i\}$  and  $\{\mathbf{y}_i\}$ , in  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively, are said to form a *biorthogonal system* if and only if  $(\mathbf{x}_i, \mathbf{y}_j) = \delta_{ij}$  ( $i, j = 1, 2, \dots$ ). Each member of a biorthogonal system is said to be *dual* or *adjoint* to the other member. A member of a biorthogonal system is called an *O-system* if and only if it is fundamental. All systems in this paper are *O-systems* unless the contrary is specified. The sequence  $\{\alpha_i\}, i = 1, 2, \dots$ , is commonly denoted merely by  $\{\alpha_i\}$ . Limits are usually omitted from summation signs so that  $\sum \alpha_i$  denotes  $\sum_{i=1}^{\infty} \alpha_i$ . When the range of summation is limited,  $\sum_{i=n}^m \alpha_i$  is denoted by

$$\sum \alpha_i, \quad i = n, \dots, m.$$

This last convention is ignored when the context indicates the limits.

Bary (1) introduced three basic definitions for biorthogonal sequences,  $\{\mathbf{x}_i\}$  and  $\{\mathbf{y}_i\}$ , each member of which is assumed to be fundamental in some separable Hilbert space  $\mathfrak{S}$ . The *O-system*  $\{\mathbf{x}_i\}$  is a *Bessel system*, denoted by B-system, if and only if for every  $\mathbf{z}$  belonging to  $\mathfrak{S}$  the sequence  $\{(\mathbf{z}, \mathbf{y}_i)\}, i = 1, 2, \dots$ , belongs to  $l^2$ . The *O-system*  $\{\mathbf{x}_i\}$  is a *Hilbert system*, denoted by H-system, if and only if given any sequence  $\{\gamma_i\}$  of  $l^2$  there exists a *unique* element  $\mathbf{z}$  belonging to  $\mathfrak{S}$  such that  $(\mathbf{z}, \mathbf{y}_i) = \gamma_i, i = 1, 2, \dots$ . The *O-system*  $\{\mathbf{x}_i\}$  is a *Fischer-Riesz system*, denoted by F-R-system, if and only if it is both a B-system and an H-system.

---

Received December 21, 1967.

The present investigation extends these notions in a direct fashion to the cases of  $l^p$ -spaces. Let  $\{\mathbf{x}_i\}$  and  $\{\mathbf{y}_i\}$  be a pair of biorthogonal sequences, each of which is fundamental, in the mutually conjugate spaces  $\mathfrak{X} (=l^r)$  and  $\mathfrak{Y} (=l^s)$ , respectively. The  $O$ -system  $\{\mathbf{x}_i\}$  of  $\mathfrak{X}$  is a *Bessel system* if and only if for each  $\mathbf{z}$  belonging to  $\mathfrak{X}$  the sequence  $\{(\mathbf{z}, \mathbf{y}_i)\}, i = 1, 2, \dots$ , belongs to  $l^r$ . The  $O$ -system  $\{\mathbf{x}_i\}$  of  $\mathfrak{X}$  is a *Hilbert system* if and only if given any sequence  $\{\gamma_i\}$  of  $l^r$  there exists a unique element  $\mathbf{z}$  belonging to  $\mathfrak{X}$  such that  $(\mathbf{z}, \mathbf{y}_i) = \gamma_i, i = 1, 2, \dots$ . The  $O$ -system  $\{\mathbf{x}_i\}$  is a *Fischer-Riesz system* if and only if it is both a B-system and an H-system. The analogous definitions hold, of course, for the  $O$ -system  $\{\mathbf{y}_i\}$ .

It is well known that every basis  $\{\mathbf{x}_i\}$  of the space  $\mathfrak{X}$  is an  $O$ -system with a dual basis  $\{\mathbf{y}_i\}$  in the conjugate space  $\mathfrak{Y}$ . A basis  $\{\mathbf{x}_i\}$  of  $\mathfrak{X}$  is called a *Bary basis* if and only if there exist positive constants  $m$  and  $M$  such that if  $\mathbf{z}$  of  $\mathfrak{X}$  has the expansion

$$\mathbf{z} = \zeta_1 \mathbf{x}_1 + \dots + \zeta_j \mathbf{x}_j + \dots,$$

then

$$m\|\mathbf{z}\| \leq (\sum |\zeta_i|^r)^{1/r} \leq M\|\mathbf{z}\|.$$

A Bary basis of  $\mathfrak{X}$  is commonly denoted by the use of a symbol such as  $\{\mathbf{x}_i\}(m, M)$ , although the specification of the bounds by  $(m, M)$  is sometimes omitted. The principal result of this paper is that an  $O$ -system  $\{\mathbf{x}_i\}$  is an F-R-system in  $\mathfrak{X}$  if and only if it is a Bary basis of  $\mathfrak{X}$ .

**2. Preliminary theorems.** This section is devoted to the proofs of several theorems which are useful in establishing the main result. Some of these have independent interest in developing the concept of a Bary basis which has not previously appeared in the literature.

**THEOREM 2.1.** *Let  $\{\mathbf{e}_i\}$  be a fundamental sequence in  $\mathfrak{X}$ . Then there exists a Bary basis  $\{\mathbf{u}_i\}$ , each of whose elements is a finite linear combination of elements belonging to the fundamental sequence  $\{\mathbf{e}_i\}$ .*

*Proof.* Let  $\{\mathbf{x}_i\}$  be the standard basis of  $\mathfrak{X}$ . Given a real number  $\delta, 0 < \delta < 1$ , there exists a finite linear combination  $\mathbf{u}_j$  of elements of  $\{\mathbf{e}_i\}$  such that

$$\|\mathbf{x}_j - \mathbf{u}_j\| < \delta(\frac{1}{2})^{j/s}, \quad j = 1, 2, \dots$$

For any sequence  $\{\xi_i\}$  belonging to  $l^r$ , the series

$$\mathbf{s} = \xi_1(\mathbf{x}_1 - \mathbf{u}_1) + \dots + \xi_j(\mathbf{x}_j - \mathbf{u}_j) + \dots$$

converges. To see this, note that

$$\begin{aligned} \|\sum \xi_i(\mathbf{x}_i - \mathbf{u}_i)\| &\leq \sum |\xi_i| \|\mathbf{x}_i - \mathbf{u}_i\| \\ &\leq (\sum |\xi_i|^r)^{1/r} (\sum \|\mathbf{x}_i - \mathbf{u}_i\|^s)^{1/s} \leq \delta (\sum |\xi_i|^r)^{1/r}, \quad i = n, \dots, m, \end{aligned}$$

where this final expression tends to zero as  $n$  increases without limit. Furthermore, one obtains:

$$(2.1) \quad \|\sum \xi_i(\mathbf{x}_i - \mathbf{u}_i)\| \leq \delta \|\mathbf{x}\|, \quad \mathbf{x} = \sum \xi_i \mathbf{x}_i.$$

An element  $\mathbf{w}$  belonging to  $\mathfrak{X}$  has the unique expansion,

$$\mathbf{w} = \omega_1 \mathbf{x}_1 + \dots + \omega_j \mathbf{x}_j + \dots,$$

with  $\sum |\omega_i|^r$  finite. Let  $T$  be the mapping from  $\mathfrak{X}$  to  $\mathfrak{X}$  defined by

$$T\mathbf{w} = \omega_1(\mathbf{x}_1 - \mathbf{u}_1) + \dots + \omega_j(\mathbf{x}_j - \mathbf{u}_j) + \dots$$

It follows from the definition that  $T$  is linear and from (2.1) that  $T$  is bounded by  $\delta$ , with  $0 < \delta < 1$ . Let  $R$  denote the bounded linear transformation  $I - T$ , where  $I$  is the identity transformation on  $\mathfrak{X}$ . Then  $R$  has a bounded inverse  $S$  given by the convergent series,

$$I + T + \dots + T^j + \dots$$

Given any  $\mathbf{w}$  belonging to  $\mathfrak{X}$ , the element  $S\mathbf{w}$  has the unique expansion,

$$S\mathbf{w} = \tau_1 \mathbf{x}_1 + \dots + \tau_j \mathbf{x}_j + \dots$$

Consequently,  $\mathbf{w}$  itself has the unique expansion,

$$\mathbf{w} = R(S\mathbf{w}) = \tau_1 \mathbf{u}_1 + \dots + \tau_j \mathbf{u}_j + \dots,$$

so that  $\{\mathbf{u}_i\}$  is a Schauder basis of  $\mathfrak{X}$ . In addition,

$$\|\mathbf{w}\| \leq \|R\| \|S\mathbf{w}\| \leq \|R\| \|S\| \|\mathbf{w}\|,$$

which implies that

$$(1/\|R\|)\|\mathbf{w}\| \leq (\sum |\tau_i|^r)^{1/r} \leq \|S\| \|\mathbf{w}\|.$$

This demonstrates that  $\{\mathbf{u}_i\}$  is a Bary basis of  $\mathfrak{X}$ .

**THEOREM 2.2.** *The basis  $\{\mathbf{u}_i\}$  of  $\mathfrak{X}$  is a Bary basis if and only if the dual basis  $\{\mathbf{v}_i\}$  of  $\mathfrak{Y}$  is a Bary basis.*

*Proof.* Let  $\{\mathbf{u}_i\}$  ( $m, M$ ) be a Bary basis of  $\mathfrak{X}$  and let  $\{\mathbf{v}_i\}$  be the dual basis of  $\mathfrak{Y}$ . Let the elements  $\mathbf{x}$  and  $\mathbf{y}$  of  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively, have the expansions

$$(2.2) \quad \mathbf{x} = \xi_1 \mathbf{u}_1 + \dots + \xi_j \mathbf{u}_j + \dots \quad \text{and} \quad \mathbf{y} = \eta_1 \mathbf{v}_1 + \dots + \eta_j \mathbf{v}_j + \dots$$

It follows that

$$(2.3) \quad |\sum \xi_i \eta_i| = |(\mathbf{x}, \mathbf{y})| \leq \|\mathbf{x}\| \|\mathbf{y}\| \leq (1/m) (\sum |\xi_i|^r)^{1/r} \|\mathbf{y}\|.$$

Relation (2.3) holds for each  $\{\xi_i\} \in l^r$  which implies that the sequence  $\{\eta_i\}$  is an element of  $l^s$ . Consequently,

$$\|\{\eta_i\}\|_s = (\sum |\eta_i|^s)^{1/s} \leq (1/m) \|\mathbf{y}\|.$$

On the other hand,

$$|(\mathbf{x}, \mathbf{y})| = |\sum \xi_i \eta_i| \leq (\sum |\xi_i|^r)^{1/r} (\sum |\eta_i|^s)^{1/s} \leq M \|\mathbf{x}\| (\sum |\eta_i|^s)^{1/s}.$$

Thus, we have:

$$(1/M) \|\mathbf{y}\| \leq (\sum |\eta_i|^s)^{1/s} \leq (1/m) \|\mathbf{y}\|,$$

so that  $\{\mathbf{v}_i\}$  is a Bary basis  $\{\mathbf{v}_i\} (1/M, 1/m)$ . The converse is the same.

Recall that a matrix  $A$  with elements  $\{\alpha_{ij}\} (i, j = 1, 2, \dots)$  is said to be *bounded* or have the bound  $|A|$  in  $[r, s]$  if and only if

$$\begin{aligned} |A_n(\mathbf{x}, \mathbf{y})| &= |\sum \alpha_{ij} \xi_i \eta_j| \\ &\leq |A| (\sum |\xi_i|^r)^{1/r} (\sum |\eta_j|^s)^{1/s}, \quad i, j = 1, \dots, n, \\ &\leq |A| \|\mathbf{x}\|_r \|\mathbf{y}\|_s \end{aligned}$$

for  $\mathbf{x} = \{\xi_i\}$  and  $\mathbf{y} = \{\eta_i\}$  in  $l^r$  and  $l^s$ , respectively. When  $A$  is bounded, the double and iterated forms of the above sum converge to the same limit. Furthermore, the sequence  $\{\zeta_i\}$ , whose elements are defined by

$$(2.4) \quad \zeta_j = \sum \alpha_{ij} \xi_i, \quad j = 1, 2, \dots,$$

is an element of  $l^r$  whenever  $\{\xi_i\}$  is an element of  $l^r$ . Similar results hold for elements of  $l^s$ .

**THEOREM 2.3.** *Let  $\{\mathbf{u}_i\} (m, M)$  and  $\{\mathbf{v}_i\} (1/M, 1/m)$  be biorthogonal Bary bases for the spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. Let  $A$  be the matrix  $\{\alpha_{ij}\}$ , defined by*

$$(2.5) \quad \alpha_{ij} = (T\mathbf{u}_i, \mathbf{v}_j), \quad i, j = 1, 2, \dots,$$

where  $T$  is a bounded linear transformation on  $\mathfrak{X}$ . Then  $A$  is a bounded matrix in  $[r, s]$ . Conversely, if  $A$  is a bounded matrix in  $[r, s]$ , there exists a bounded linear transformation  $T$  on  $\mathfrak{X}$  whose matrix with respect to the basis  $\{\mathbf{u}_i\}$  is given by (2.5).

*Proof.* Let  $T$  be a bounded linear transformation on  $\mathfrak{X}$ . Let  $\{\xi_i\}$  and  $\{\eta_i\}$  be two sequences of complex numbers which belong to  $l^r$  and  $l^s$ , respectively. Then there exist elements,

$$\mathbf{x} = \xi_1 \mathbf{u}_1 + \dots + \xi_j \mathbf{u}_j + \dots \quad \text{and} \quad \mathbf{y} = \eta_1 \mathbf{v}_1 + \dots + \eta_j \mathbf{v}_j + \dots,$$

which belong to  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. Let  $\mathbf{x}^n$  and  $\mathbf{y}^n$  be the elements defined by

$$\mathbf{x}^n = \xi_1 \mathbf{u}_1 + \dots + \xi_n \mathbf{u}_n \quad \text{and} \quad \mathbf{y}^n = \eta_1 \mathbf{v}_1 + \dots + \eta_n \mathbf{v}_n,$$

$n = 1, 2, \dots$ . Then one has

$$\begin{aligned} |A_n(\{\xi_i\}, \{\eta_i\})| &= |\sum \alpha_{ij} \xi_i \eta_j|, \quad i, j = 1, \dots, n, \\ &= |(T\mathbf{x}^n, \mathbf{y}^n)| \\ &\leq \|T\| \|\mathbf{x}^n\| \|\mathbf{y}^n\| \\ &\leq \|T\| (1/m) (\sum \xi_i^r)^{1/r} M (\sum \eta_i^s)^{1/s} \\ &\leq \|T\| (M/m) \|\{\xi_i\}\|_r \|\{\eta_i\}\|_s. \end{aligned}$$

Conversely, let  $\{\alpha_{ij}\} (i, j = 1, 2, \dots)$  be a bounded matrix in  $[r, s]$ . If  $\{\xi_i\}$  belongs to  $l^r$ , then the sequence  $\{\zeta_j\}$  whose elements are defined by (2.4) is also

an element of  $l^r$ . Let  $T$  be the mapping with domain and range  $\mathfrak{X}$  such that if  $\mathbf{x}$  of  $\mathfrak{X}$  has the expansion (2.2), then the image  $T\mathbf{x}$  is given by the series,

$$\zeta_1 \mathbf{u}_1 + \dots + \zeta_j \mathbf{u}_j + \dots = (\sum \alpha_{k1} \xi_k) \mathbf{u}_1 + \dots + (\sum \alpha_{kj} \xi_k) \mathbf{u}_j + \dots$$

$T$  is a linear transformation on  $\mathfrak{X}$ . Furthermore,

$$\|T\mathbf{x}\| \leq (1/m) (\sum |\zeta_i|^r)^{1/r} \leq (1/m) |A| (\sum |\xi_i|^r)^{1/r} \leq (M/m) |A| \|\mathbf{x}\|.$$

Thus,  $T$  is a bounded linear transformation on  $\mathfrak{X}$ . In addition,

$$T\mathbf{u}_i = \alpha_{i1} \mathbf{u}_1 + \dots + \alpha_{ij} \mathbf{u}_j + \dots,$$

so that

$$(T\mathbf{u}_i, \mathbf{v}_j) = \alpha_{ij},$$

as was to be shown.

We note the following useful fact. Let  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  be biorthogonal systems lying in the mutually conjugate  $l^p$ -spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. Let  $\{\mathbf{u}_i\}$  and  $\{\mathbf{v}_i\}$  be another such biorthogonal pair. Let  $T$  be a bounded linear transformation on  $\mathfrak{X}$  such that

$$T\mathbf{u}_i = \mathbf{e}_i, \quad i = 1, 2, \dots$$

Then the adjoint  $T^*$  of  $T$  is a bounded linear transformation on  $\mathfrak{Y}$  such that

$$T^* \mathbf{g}_i = \mathbf{v}_i, \quad i = 1, 2, \dots$$

**3. Principal theorems.** This section contains certain results on B-systems and H-systems and the theorem that every Fischer-Riesz system in  $\mathfrak{X}$  is a Bary basis of  $\mathfrak{X}$ .

**THEOREM 3.1.** *Let  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  be O-systems in the mutually conjugate  $l^p$ -spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. In order that  $\{\mathbf{e}_i\}$  be a B-system, it is necessary and sufficient that there exist a bounded linear transformation  $T$  on  $\mathfrak{X}$  such that*

$$T\mathbf{e}_i = \mathbf{u}_i, \quad i = 1, 2, \dots,$$

where  $\{\mathbf{u}_i\} (m, M)$  is a Bary basis of  $\mathfrak{X}$ .

*Proof.* Let  $\{\mathbf{e}_i\}$  be a B-system in  $\mathfrak{X}$  and  $\{\mathbf{u}_i\} (m, M)$  a Bary basis of  $\mathfrak{X}$ . If  $\mathbf{z}$  is any element of  $\mathfrak{X}$ , then the sequence  $\{(\mathbf{z}, \mathbf{g}_i)\}$  is an element of  $l^r$ . It follows that there exists a uniquely defined element  $\mathbf{Z}$  of  $\mathfrak{X}$  such that

$$\mathbf{Z} = (\mathbf{z}, \mathbf{g}_1) \mathbf{u}_1 + \dots + (\mathbf{z}, \mathbf{g}_j) \mathbf{u}_j + \dots$$

Let  $T$  be the mapping from  $\mathfrak{X}$  to  $\mathfrak{X}$  defined by  $T\mathbf{z} = \mathbf{Z}$ . It is easy to see that  $T$  is a linear transformation with domain  $\mathfrak{X}$ . We show that  $T$  is closed. Let the sequence  $\{\mathbf{z}_n\}$  of elements of  $\mathfrak{X}$  converge to the element  $\mathbf{z}$  and let the set  $\{\mathbf{Z}_n\}$  of images  $\{T\mathbf{z}_n\}$  converge to  $\mathbf{Z}$ . Let  $\{\mathbf{v}_i\} (1/M, 1/m)$  denote the sequence in  $\mathfrak{Y}$  biorthogonal to  $\{\mathbf{u}_i\}$ . Then for each integer  $i$ , one has

$$(\mathbf{Z}_n, \mathbf{v}_i) = (\mathbf{z}_n, \mathbf{g}_i), \quad n = 1, 2, \dots$$

It follows by continuity of the inner product that

$$(\mathbf{Z}, \mathbf{v}_i) = (\mathbf{z}, \mathbf{g}_i), \quad i = 1, 2, \dots,$$

and, consequently, that

$$\mathbf{Z} = (\mathbf{z}, \mathbf{g}_1)\mathbf{u}_1 + \dots + (\mathbf{z}, \mathbf{g}_j)\mathbf{u}_j + \dots$$

Thus, one finds  $T\mathbf{z} = \mathbf{Z}$ , so that  $T$  is a closed linear transformation with domain  $\mathfrak{X}$ ; hence,  $T$  is bounded. From the definition of  $T$ , we have:

$$(\mathbf{z}, \mathbf{g}_i) = (T\mathbf{z}, \mathbf{v}_i), \quad i = 1, 2, \dots,$$

for all  $\mathbf{z}$ . In particular,

$$\delta_{ij} = (\mathbf{e}_j, \mathbf{g}_i) = (T\mathbf{e}_j, \mathbf{v}_i),$$

which implies by uniqueness of the adjoint system of  $\{\mathbf{v}_i\}$  that  $T\mathbf{e}_j = \mathbf{u}_j$ , as was to be shown.

Conversely, let  $\{\mathbf{e}_i\}$  be an  $O$ -system in  $\mathfrak{X}$  and suppose that there exists a bounded linear transformation  $T$  on  $\mathfrak{X}$  such that

$$T\mathbf{e}_i = \mathbf{u}_i, \quad i = 1, 2, \dots,$$

where  $\{\mathbf{u}_i\}$  ( $m, M$ ) is a Bary basis of  $\mathfrak{X}$  with dual basis  $\{\mathbf{v}_i\}$  ( $1/M, 1/m$ ) in  $\mathfrak{Y}$ . For any  $\mathbf{x}$  in  $\mathfrak{X}$ , one has

$$(\mathbf{x}, \mathbf{g}_i) = (\mathbf{x}, T^*\mathbf{v}_i) = (T\mathbf{x}, \mathbf{v}_i), \quad i = 1, 2, \dots$$

Thus, the expansion coefficients of  $\mathbf{x}$  with respect to the  $O$ -system  $\{\mathbf{e}_i\}$  coincide with the expansion coefficients of  $T\mathbf{x}$  with respect to the Bary basis  $\{\mathbf{u}_j\}$ . It follows that

$$\left(\sum |(\mathbf{x}, \mathbf{g}_i)|^r\right)^{1/r} \leq M\|T\mathbf{x}\| \leq M\|T\| \|\mathbf{x}\|.$$

Consequently, the  $O$ -system  $\{\mathbf{e}_i\}$  is a  $B$ -system. Furthermore, one discovers the existence of a constant  $K$  such that

$$\left(\sum |(\mathbf{x}, \mathbf{g}_i)|^r\right)^{1/r} \leq K\|\mathbf{x}\|$$

whenever the  $O$ -system  $\{\mathbf{e}_i\}$  is a  $B$ -system with the adjoint system  $\{\mathbf{g}_i\}$ .

**COROLLARY 3.2.** *Let  $\{\mathbf{e}_i\}$  be an  $O$ -system in  $\mathfrak{X}$  with  $\{\mathbf{g}_i\}$  its dual in  $\mathfrak{Y}$ . Let  $A$  be the matrix whose elements are the coefficients  $(\mathbf{u}_i, \mathbf{g}_j)$  of the expansions,*

$$\mathbf{g}_j = (\mathbf{u}_1, \mathbf{g}_j)\mathbf{v}_1 + \dots + (\mathbf{u}_k, \mathbf{g}_j)\mathbf{v}_k + \dots,$$

*of the members of the adjoint system  $\{\mathbf{g}_i\}$  with respect to a Bary basis  $\{\mathbf{v}_i\}$  of  $\mathfrak{Y}$ . In order that the  $O$ -system  $\{\mathbf{e}_i\}$  be a  $B$ -system, it is necessary and sufficient that  $A$  be bounded in  $[r, s]$  for a suitable choice of the Bary basis  $\{\mathbf{v}_i\}$ .*

*Proof.* Let  $\{\mathbf{e}_i\}$  be a Bessel system in  $\mathfrak{X}$ ; then there exists a bounded linear transformation  $T$  on  $\mathfrak{X}$  such that

$$T\mathbf{e}_i = \mathbf{u}_i, \quad i = 1, 2, \dots,$$

where  $\{\mathbf{u}_i\} (m, M)$  is a Bary basis of  $\mathfrak{X}$ . Let  $\{\mathbf{v}_i\} (1/M, 1/m)$  be the Bary basis of  $\mathfrak{Y}$  which is biorthogonal to  $\{\mathbf{u}_i\}$ . We note that  $T^*$  is a bounded linear transformation on  $\mathfrak{Y}$  such that

$$T^*\mathbf{v}_j = \mathbf{g}_j, \quad j = 1, 2, \dots$$

The expansion of  $\mathbf{g}_i$  with respect to the Bary basis  $\{\mathbf{v}_i\}$  is

$$\begin{aligned} \mathbf{g}_i &= (\mathbf{u}_1, \mathbf{g}_i)\mathbf{v}_1 + \dots + (\mathbf{u}_j, \mathbf{g}_i)\mathbf{v}_j + \dots \\ &= (\mathbf{u}_1, T^*\mathbf{v}_i)\mathbf{v}_1 + \dots + (\mathbf{u}_j, T^*\mathbf{v}_i)\mathbf{v}_j + \dots \\ &= (T\mathbf{u}_1, \mathbf{v}_i)\mathbf{v}_1 + \dots + (T\mathbf{u}_j, \mathbf{v}_i)\mathbf{v}_j + \dots \end{aligned}$$

Thus, the expansion coefficients of the sequence of elements  $\{\mathbf{g}_i\}$  with respect to the basis  $\{\mathbf{v}_i\}$  are the elements of the matrix  $A$  of  $T$  with respect to the basis  $\{\mathbf{u}_i\}$ . It follows by our previous results that  $A$  is bounded in  $[r, s]$ .

Conversely, suppose that the matrix  $\{\alpha_{ji}\} (j, i = 1, 2, \dots)$  is bounded in  $[r, s]$ . When  $\{\xi_i\}$  is an element of  $l^r$ , the series  $\sum \alpha_{ji}\xi_j$  converges to a limit  $\eta_i$ , where the sequence  $\{\eta_i\}$  is an element of  $l^r$ . Each element  $\mathbf{x}$  of  $\mathfrak{X}$  has the unique expansion,

$$\mathbf{x} = \xi_1\mathbf{u}_1 + \dots + \xi_k\mathbf{u}_k + \dots,$$

while each element  $\mathbf{g}_i$  of the adjoint system has the unique expansion,

$$\mathbf{g}_i = \alpha_{1i}\mathbf{v}_1 + \dots + \alpha_{ji}\mathbf{v}_j + \dots,$$

so that

$$(\mathbf{x}, \mathbf{g}_i) = \sum \alpha_{ji}\xi_j = \eta_i, \quad i = 1, 2, \dots,$$

where the sequence  $\{\eta_i\}$  belongs to  $l^r$ . It follows that the  $O$ -system  $\{\mathbf{e}_i\}$  is a  $B$ -system.

**THEOREM 3.3.** *Let  $\{\mathbf{e}_i\}$  be an  $O$ -system in  $\mathfrak{X}$  with adjoint system  $\{\mathbf{g}_i\}$  in  $Y$ . In order that  $\{\mathbf{e}_i\}$  be an  $H$ -system, it is necessary and sufficient that there exist a bounded linear transformation  $T$  on  $\mathfrak{X}$  such that*

$$T\mathbf{u}_j = \mathbf{e}_j, \quad j = 1, 2, \dots,$$

where  $\{\mathbf{u}_i\} (m, M)$  is a Bary basis of  $\mathfrak{X}$ .

*Proof.* Let  $\{\mathbf{e}_i\}$  be an  $H$ -system and let  $\{\mathbf{u}_i\} (m, M)$  be a Bary basis of  $\mathfrak{X}$ . Let  $\mathbf{z}$  belong to  $\mathfrak{X}$ , then

$$\mathbf{z} = \zeta_1\mathbf{u}_1 + \dots + \zeta_k\mathbf{u}_k + \dots,$$

where  $(\sum |\zeta_i|^r)^{1/r}$  is finite. There exists a unique  $\mathbf{Z}$  belonging to  $\mathfrak{X}$  such that

$$(\mathbf{Z}, \mathbf{g}_i) = \zeta_i = (\mathbf{z}, \mathbf{v}_i), \quad i = 1, 2, \dots,$$

where  $\{\mathbf{v}_i\} (1/M, 1/m)$  is the Bary basis of  $\mathfrak{Y}$  biorthogonal to  $\{\mathbf{u}_i\}$ . We define a mapping  $T$  on  $\mathfrak{X}$  by  $T\mathbf{z} = \mathbf{Z}$ . The mapping  $T$  is a linear transformation with domain  $\mathfrak{X}$ . We show that  $T$  is closed. Let  $\mathbf{z}_n$  tend to  $\mathbf{z}$  and  $\mathbf{Z}_n$  tend to  $\mathbf{Z}$ , where

$$(\mathbf{Z}_n, \mathbf{g}_i) = (\mathbf{z}_n, \mathbf{v}_i), \quad i = 1, 2, \dots$$

It follows that

$$(\mathbf{Z}, \mathbf{g}_i) = (\mathbf{z}, \mathbf{v}_i), \quad i = 1, 2, \dots,$$

so that  $T$  is a closed linear transformation, and hence is bounded.

Furthermore,

$$(\mathbf{e}_j, \mathbf{g}_i) = \delta_{ji} = (\mathbf{u}_j, \mathbf{v}_i), \quad i, j = 1, 2, \dots,$$

which implies that

$$(3.1) \quad T\mathbf{u}_j = \mathbf{e}_j, \quad j = 1, 2, \dots$$

Conversely, let  $T$  be a bounded linear transformation on  $\mathfrak{X}$  such that (3.1) holds for some Bary basis  $\{\mathbf{u}_i\} (m, M)$  of  $\mathfrak{X}$ . Given any sequence  $\{\zeta_i\}$  of complex numbers belonging to  $l^r$ , there exists a unique element  $\mathbf{z}$  of  $\mathfrak{X}$  such that

$$\mathbf{z} = \zeta_1\mathbf{u}_1 + \dots + \zeta_k\mathbf{u}_k + \dots$$

Let  $\mathbf{x}$  be the image of  $\mathbf{z}$  under  $T$ , that is,

$$\mathbf{x} = \zeta_1\mathbf{e}_1 + \dots + \zeta_k\mathbf{e}_k + \dots,$$

which implies that

$$(\mathbf{x}, \mathbf{g}_i) = \zeta_i, \quad i = 1, 2, \dots,$$

which shows that  $\{\mathbf{e}_i\}$  is an H-system. In addition,

$$\|\mathbf{x}\| = \|T\mathbf{z}\| \leq \|T\| \|\mathbf{z}\| \leq \|T\| (1/m) \left(\sum |\zeta_i|^r\right)^{1/r}.$$

**COROLLARY 3.4.** *Let  $\{\mathbf{e}_i\}$  be an O-system in  $\mathfrak{X}$ . In order that  $\{\mathbf{e}_i\}$  be an H-system, it is necessary and sufficient that the matrix  $A$ , whose elements are the coefficients of the expansion,*

$$\mathbf{e}_i = \alpha_{1i}\mathbf{u}_1 + \dots + \alpha_{ji}\mathbf{u}_j + \dots, \quad i = 1, 2, \dots,$$

*with respect to some Bary basis  $\{\mathbf{u}_i\} (m, M)$  of  $\mathfrak{X}$ , be bounded in  $[r, s]$ .*

The above Corollary is equivalent to the fact that in order that  $\{\mathbf{e}_i\}$  be an H-system in  $\mathfrak{X}$ , it is necessary and sufficient that the matrix  $A$ , whose elements are  $\{(\mathbf{e}_i, \mathbf{v}_j)\} (i, j = 1, 2, \dots)$ , be bounded in  $[r, s]$  for every Bary basis  $\{\mathbf{v}_i\}$  of the conjugate space  $\mathfrak{Y}$ . This last result has an interesting interpretation in the case of  $l^2$ , where  $\mathfrak{X}$  and  $\mathfrak{Y}$  can be identified. One notes that the matrix  $A$ , whose elements are given by

$$\alpha_{ij} = (\mathbf{e}_i, \mathbf{v}_j), \quad i, j = 1, 2, \dots,$$

$\{\mathbf{v}_k\}$  an orthonormal basis of the space, is bounded if and only if the matrix  $A^*$  is bounded, where the elements of  $A^*$  are given by

$$\gamma_{ij} = (\mathbf{v}_i, \mathbf{e}_j) = \bar{\alpha}_{ji}; \quad i, j = 1, 2, \dots$$

However,  $A$  and  $A^*$  are bounded if and only if  $AA^*$  is a bounded matrix  $B$ , where the elements of  $B$  are given by

$$\beta_{ij} = \sum (\mathbf{e}_i, \mathbf{v}_k)(\mathbf{v}_k, \mathbf{e}_j) = (\mathbf{e}_i, \mathbf{e}_j).$$

The matrix  $B$  is the Gram matrix of the  $O$ -system  $\{e_i\}$ . Thus, the system is an  $H$ -system if and only if its Gram matrix is bounded in  $[2, 2]$ .

**THEOREM 3.5.** *Let  $\{e_i\}$  and  $\{g_i\}$  be  $O$ -systems belonging to the mutually conjugate spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. Then  $\{e_i\}$  is a  $B$ -system in  $\mathfrak{X}$  if and only if  $\{g_i\}$  is an  $H$ -system in  $\mathfrak{Y}$ .*

*Proof.* Let  $\{e_i\}$  be a  $B$ -system in  $\mathfrak{X}$ . Then there exists a Bary basis  $\{u_i\}$  ( $m, M$ ) and a bounded linear transformation  $T$  on  $\mathfrak{X}$  such that

$$Te_j = u_j, \quad j = 1, 2, \dots$$

Let  $\{v_i\}$  ( $1/M, 1/m$ ) be the Bary basis of  $\mathfrak{Y}$  biorthogonal to  $\{u_i\}$  and let  $T^*$  be the bounded linear transformation on  $\mathfrak{Y}$  adjoint to  $T$ . Then one has

$$\delta_{ij} = (u_i, v_j) = (Te_i, v_j) = (e_i, T^*v_j), \quad i, j = 1, 2, \dots,$$

which implies that

$$T^*v_j = g_j, \quad j = 1, 2, \dots$$

Thus,  $\{g_j\}$  is an  $H$ -system. The converse is similar.

**THEOREM 3.6.** *Let  $\{e_i\}$  and  $\{g_i\}$  be  $O$ -systems in the mutually conjugate  $l^p$ -spaces  $\mathfrak{X}$  ( $=l^r$ ) and  $\mathfrak{Y}$  ( $=l^s$ ),  $1 < r < s$ , respectively. If  $\{e_i\}$  is a  $B$ -system, then there exists a bounded linear transformation  $T$  from  $\mathfrak{X}$  to  $\mathfrak{Y}$  such that*

$$Te_j = g_j, \quad j = 1, 2, \dots$$

*Proof.* Let  $\{u_i\}$  ( $m, M$ ) be any Bary basis of  $\mathfrak{X}$ . There exists a bounded linear transformation  $Q$  on  $\mathfrak{X}$  such that

$$Qe_j = u_j, \quad j = 1, 2, \dots$$

Denote by  $\{v_i\}$  ( $1/M, 1/m$ ) the Bary basis of  $\mathfrak{Y}$  dual to  $\{u_i\}$ . Every  $x$  of  $\mathfrak{X}$  has the unique expansion

$$(3.2) \quad x = \xi_1 u_1 + \dots + \xi_j u_j + \dots$$

with

$$\left(\sum |\xi_i|^r\right)^{1/r} \leq M \|x\|.$$

It follows that

$$\left(\sum |\xi_i|^s\right)^{1/s} \leq \left(\sum |\xi_i|^r\right)^{1/r} \leq M \|x\|.$$

Consequently, the series

$$\xi_1 v_1 + \dots + \xi_j v_j + \dots$$

converges to an element  $z$  of  $\mathfrak{Y}$  with

$$\|z\| \leq M \left(\sum |\xi_i|^s\right)^{1/s} \leq M \left(\sum |\xi_i|^r\right)^{1/r} \leq M^2 \|x\|.$$

The mapping  $R$  from  $\mathfrak{X}$  to  $\mathfrak{Y}$  defined for the element  $x$  of (3.2) by

$$Rx = \xi_1 v_1 + \dots + \xi_j v_j + \dots$$

is a bounded linear transformation from  $\mathfrak{X}$  to  $\mathfrak{Y}$ . Furthermore, there exists a bounded linear transformation  $S$  on  $\mathfrak{Y}$  such that

$$S\mathbf{v}_j = \mathbf{g}_j, \quad j = 1, 2, \dots$$

The product  $SRQ$  is the desired bounded linear transformation from  $\mathfrak{X}$  to  $\mathfrak{Y}$ .

**THEOREM 3.7.** *Let  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  be  $O$ -systems belonging to the mutually conjugate spaces  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively. Then the following four conditions are equivalent:*

- (i) *The  $O$ -system  $\{\mathbf{e}_i\}$  is a Bary basis of  $\mathfrak{X}$ ;*
- (ii) *Each of the  $O$ -systems  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  is an  $H$ -system;*
- (iii) *Each of the  $O$ -systems  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  is a  $B$ -system; and*
- (iv) *The  $O$ -system  $\{\mathbf{e}_i\}$  is an  $F$ - $R$ -system.*

*Proof.* Let  $\{\mathbf{e}_i\}$  be a Bary basis corresponding to the bounds  $(m, M)$ . Then, given any sequence  $\{\gamma_i\}$  belonging to  $l^r$ , there is an  $\mathbf{x}$  in  $\mathfrak{X}$  with the expansion,

$$\mathbf{x} = \gamma_1\mathbf{e}_1 + \dots + \gamma_j\mathbf{e}_j + \dots,$$

and, furthermore,

$$\gamma_i = (\mathbf{x}, \mathbf{g}_i), \quad i = 1, 2, \dots$$

It follows that  $\{\mathbf{e}_i\}$  is an  $H$ -system. A similar argument shows that  $\{\mathbf{g}_i\}$  is an  $H$ -system. Suppose that both  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  are  $H$ -systems. Then, by Theorem 3.5, each of them is also a  $B$ -system. If  $\{\mathbf{e}_i\}$  and  $\{\mathbf{g}_i\}$  are each  $B$ -systems, then Theorem 3.5 implies that  $\{\mathbf{e}_i\}$  is an  $F$ - $R$ -system. Suppose that  $\{\mathbf{e}_i\}$  is an  $F$ - $R$ -system in the space  $\mathfrak{X}$  with  $\{\mathbf{g}_i\}$  its dual in  $\mathfrak{Y}$ . Given any  $\mathbf{x}$  of  $\mathfrak{X}$ , the sequence  $\{(\mathbf{x}, \mathbf{g}_i)\}$  is an element of  $l^r$ , since  $\{\mathbf{e}_i\}$  is a  $B$ -system. Thus, there exists an  $\mathbf{x}'$  of  $\mathfrak{X}$  such that

$$(3.3) \quad \mathbf{x}' = (\mathbf{x}, \mathbf{g}_1)\mathbf{e}_1 + \dots + (\mathbf{x}, \mathbf{g}_j)\mathbf{e}_j + \dots,$$

since  $\{\mathbf{e}_i\}$  is an  $H$ -system. The equalities,

$$(\mathbf{x}', \mathbf{g}_i) = (\mathbf{x}, \mathbf{g}_i), \quad i = 1, 2, \dots,$$

imply that  $\mathbf{x}'$  coincides with  $\mathbf{x}$ . Consequently, (3.3) is the biorthogonal expansion of  $\mathbf{x}$ . Thus,  $\{\mathbf{e}_i\}$  is a Schauder basis of  $\mathfrak{X}$ . By Theorem 3.3, there exists a constant  $m$  such that

$$m\|\mathbf{x}\| \leq \left(\sum |(\mathbf{x}, \mathbf{g}_i)|^r\right)^{1/r}.$$

Furthermore, by Theorem 3.1, there exists a constant  $M$  such that

$$\left(\sum |(\mathbf{x}, \mathbf{g}_i)|^r\right)^{1/r} \leq M\|\mathbf{x}\|.$$

It follows that  $\{\mathbf{e}_i\}$  is a Bary basis of  $\mathfrak{X}$ .

**4. Applications and examples.** This section includes two applications of the preceding theorems and an example to show that a reasonably good  $O$ -system need not be a basis.

We extend a standard definition of Hilbert space theory. A sequence  $\{e_i\}$  of vectors in  $\mathfrak{X}$  is said to be  $r$ -near a Bary basis  $\{u_i\} (m, M)$  of  $\mathfrak{X}$  if and only if

$$\sum \|u_i - e_i\|^s = D < \infty.$$

The following result is a generalization of a theorem of Brauer (2) from Hilbert spaces to  $l^p$ -spaces with a slight weakening of the hypothesis.

**THEOREM 4.1.** *Let  $\{e_i\}$  and  $\{g_i\}$  be biorthogonal sequences in the mutually conjugate  $l^p$ -spaces,  $\mathfrak{X}$  and  $\mathfrak{Y}$ , respectively, with neither assumed to be fundamental. Then  $\{e_i\}$  is a Bary basis of  $\mathfrak{X}$  if and only if it is  $r$ -near a Bary basis of  $\mathfrak{X}$ .*

*Proof.* If  $\{e_i\}$  is a Bary basis of  $\mathfrak{X}$ , it is clearly  $r$ -near itself. Conversely, suppose that  $\{e_j\}$  is  $r$ -near the Bary basis  $\{u_i\} (m, M)$  of  $\mathfrak{X}$ . Then each  $x$  of  $\mathfrak{X}$  has the unique expansion

$$x = \xi_1 u_1 + \dots + \xi_j u_j + \dots, \text{ where } (\sum |\xi_i|^r)^{1/r} < \infty.$$

Let the sequence  $\{R_i\}$  of linear transformations be defined by

$$R_n x = \xi_1 (u_1 - e_1) + \dots + \xi_n (u_n - e_n).$$

One notes that

$$\begin{aligned} \|(R_m - R_n)x\| &\leq \|\sum \xi_i (u_i - e_i)\| \\ &\leq (\sum |\xi_i|^r)^{1/r} (\sum \|u_i - e_i\|^s)^{1/s} \\ &\leq \|x\| M (\sum \|u_i - e_i\|^s)^{1/s}, \quad i = m + 1, \dots, n. \end{aligned}$$

Consequently, the sequence  $\{R_i\}$  of compact linear transformations on  $\mathfrak{X}$  converges uniformly to a compact linear transformation  $R$  on  $\mathfrak{X}$  such that

$$R x = \xi_1 (u_1 - e_1) + \dots + \xi_j (u_j - e_j) + \dots$$

In addition, one has

$$(I - R)x = \xi_1 e_1 + \dots + \xi_j e_j + \dots$$

Suppose that 1 belongs to the spectrum of  $R$ ; then there exists a non-zero  $x$ , given by (3.2), such that

$$0 = (I - R)x = \xi_1 e_1 + \dots + \xi_j e_j + \dots$$

Using the biorthogonality of  $\{g_i\}$  and  $\{e_i\}$ , one finds that

$$0 = (\xi_1 e_1 + \dots + \xi_j e_j + \dots, g_k) = \xi_k, \quad k = 1, 2, \dots,$$

contradicting the assumption that  $x$  is not the zero vector. Consequently, 1 belongs to the resolvent set of  $R$  and the linear transformation  $I - R$  has a bounded inverse  $S$ . Since  $I - R$  is a bounded automorphism of  $\mathfrak{X}$  and  $e_j = (I - R)u_j (j = 1, 2, \dots)$ , it follows that the sequence  $\{e_i\}$  is a Schauder basis of  $\mathfrak{X}$ . Let  $x$  have the expansion:

$$x = \xi_1 e_1 + \dots + \xi_j e_j + \dots = (I - R)(\xi_1 u_1 + \dots + \xi_j u_j + \dots).$$

One sees that

$$\|\mathbf{x}\| = \|(I - R)S\mathbf{x}\| \leq \|I - R\| \|S\mathbf{x}\| \leq \|I - R\| \|S\| \|\mathbf{x}\|$$

and

$$(1/\|I - R\|)\|\mathbf{x}\| \leq \|S\mathbf{x}\| \leq \|S\| \|\mathbf{x}\|.$$

It follows that

$$(4.1) \quad (m/\|I - R\|)\|\mathbf{x}\| \leq \left(\sum |\xi_i|^r\right)^{1/r} \leq M\|S\| \|\mathbf{x}\|.$$

Relation (4.1) implies that  $\{\mathbf{e}_i\}$  is a Bary basis of  $\mathfrak{X}$  from which it follows that  $\{\mathbf{g}_i\}$  is a Bary basis of  $\mathfrak{Y}$ .

The concept of a Bary basis leads to the consideration of a semisimple Banach algebra with underlying space  $\mathfrak{X}$  having a dual Banach algebra whose underlying space is the conjugate space  $\mathfrak{Y}$  of  $\mathfrak{X}$ .

*Example 4.2.* Let  $\{\mathbf{e}_i\}$  ( $m, M$ ) be a Bary basis of  $\mathfrak{X}$  with  $\{\mathbf{g}_i\}$  ( $1/M, 1/m$ ) its dual basis in  $\mathfrak{Y}$ . Let  $\mathfrak{R}$  be the algebra obtained by defining the product of two elements,

$$\mathbf{x} = \xi_1\mathbf{e}_1 + \dots + \xi_j\mathbf{e}_j + \dots$$

and

$$\mathbf{z} = \zeta_1\mathbf{e}_1 + \dots + \zeta_j\mathbf{e}_j + \dots$$

of  $\mathfrak{X}$  to be

$$\mathbf{xz} = \xi_1\zeta_1\mathbf{e}_1 + \dots + \xi_j\zeta_j\mathbf{e}_j + \dots$$

One finds that

$$\begin{aligned} \|\mathbf{xz}\| &\leq (1/m) \left(\sum |\xi_i\zeta_i|^r\right)^{1/r} \\ &\leq (1/m) \left(\sum |\xi_i|^r\right)^{1/r} \left(\sum |\zeta_i|^r\right)^{1/r} \\ &\leq (M^2/m)\|\mathbf{x}\| \|\mathbf{z}\|, \end{aligned}$$

so that  $\mathfrak{R}$  is a Banach algebra. Each set  $\mathfrak{M}_i$  of  $\mathfrak{R}$  consisting of all elements of  $\mathfrak{R}$  with zero as  $i$ th component is clearly a maximal modular ideal of  $\mathfrak{R}$ . It can be seen that every maximal modular ideal of  $\mathfrak{R}$  is of this nature. Since  $\mathbf{0}$  is the only element common to all of these,  $\mathfrak{R}$  is semisimple. The multiplicative linear functional defined by  $\mathfrak{M}_i$  is the element  $\mathbf{g}_i$  of the dual basis. These algebras are closely related to earlier ones introduced in (4; 5). An argument of Gel'fand (3) extends to the case of CSSR Banach algebras, see (5), in the sense that the set  $\{\mathbf{e}_i\}$  of minimal idempotents of a CSSR algebra is a Fischer-Riesz basis if and only if it is homogeneous. This condition is realized if and only if the set of minimal idempotents  $\{\mathbf{e}_i\}$  of  $\mathfrak{R}$  is uniformly bounded in norm. If the space  $\mathfrak{Y}$  is made into a Banach algebra  $\mathfrak{R}^*$  by taking the basis  $\{\mathbf{g}_i\}$  to be a set of minimal idempotents, there exists both a vector space and an algebraic duality between  $\mathfrak{R}$  and  $\mathfrak{R}^*$ . Each closed ideal  $\mathfrak{F}$  of  $\mathfrak{R}$  corresponds to a closed dual ideal  $a(\mathfrak{F})$  of  $\mathfrak{R}^*$  consisting of all functionals in  $\mathfrak{R}^*$  which vanish on  $\mathfrak{F}$ . Similarly, each closed ideal  $\mathfrak{F}'$  of  $\mathfrak{R}^*$  corresponds to a closed ideal  $n(\mathfrak{F}')$  of  $\mathfrak{R}$  consisting of the subspace on which all elements of  $\mathfrak{F}'$  vanish. These correspondences are dual in the sense that

$$n(a(\mathfrak{F})) = \mathfrak{F} \quad \text{and} \quad a(n(\mathfrak{F}')) = \mathfrak{F}'.$$

One can also define an adjoint mapping  $*$  of  $\mathfrak{R}$  into  $\mathfrak{R}^*$  such that

$$(\xi_1 e_1 + \dots + \xi_j e_j + \dots)^* = \xi_1 g_1 + \dots + \xi_j g_j + \dots$$

This mapping is a continuous mapping of  $\mathfrak{R}$  into  $\mathfrak{R}^*$  which sends a closed ideal  $\mathfrak{I}$  of  $\mathfrak{R}$  into an ideal  $\mathfrak{I}^*$  which is not necessarily closed. Let  $c(\mathfrak{I}^*)$  be the closure of the image  $\mathfrak{I}^*$  of the closed ideal  $\mathfrak{I}$  under the adjoint mapping  $*$ . One notes that if  $\mathfrak{I}$  is any closed ideal of  $\mathfrak{R}$ , then there exists a decomposition of  $\mathfrak{R}^*$  as the direct sum,

$$\mathfrak{R}^* = c(\mathfrak{I}^*) + a(\mathfrak{I}).$$

We hope to return to the analysis of Banach algebras with such a double duality in a later paper.

We conclude with an example of a reasonable  $O$ -system which is not a basis. It is a minor modification of one given by Bary in the case of Hilbert spaces. According to Levin (6), a fundamental sequence  $\{e_i\}$  in  $\mathfrak{X}$  is *minimal* if and only if for each choice of  $i$ , the element  $e_i$  does not belong to the closed linear hull  $[e_j], j \neq i$ , of the remaining elements of the sequence. It follows from the Hahn-Banach theorem that a minimal sequence  $\{e_i\}$  is an  $O$ -system with an adjoint system  $\{g_i\}$  in  $\mathfrak{Y}$ . It is clear that an  $O$ -system is minimal. An  $O$ -system  $\{e_i\}$  is said to be *uniformly minimal* if and only if there exists a positive number  $\delta$  such that each element  $e_j, i = 1, 2, \dots$ , is not less than the distance  $\delta$  from the closed linear hull  $[e_j], i \neq j$ . An  $O$ -system  $\{e_i\}$  is uniformly minimal if and only if the elements of the adjoint system  $\{g_i\}$  are uniformly bounded in norm. One notes that if the  $O$ -system  $\{e_i\}$  is a normalized basis of  $\mathfrak{X}$ , then it is uniformly minimal. For given  $x$  of  $\mathfrak{X}$  with the expansion

$$x = (x, g_1)e_1 + \dots + (x, g_j)e_j + \dots,$$

the convergence of the series implies that the terms  $(x, g_j)$  tend to zero. It follows from the principal of uniform boundedness that the sequence  $\{g_i\}$  is uniformly bounded. Let  $\{e_i\}$  be an  $O$ -system in  $\mathfrak{X}$  and let  $\{u_i\}(m, M)$  be a Bary basis of  $\mathfrak{X}$  such that

$$u_i = (u_i, g_1)e_1 + \dots + (u_i, g_{i'})e_{i'},$$

where each sum is finite. Such a basis exists by Theorem 2.1. Let  $\{g_i\}$  and  $\{v_i\}$  be the corresponding adjoint systems in  $\mathfrak{Y}$  of  $\{e_i\}$  and  $\{u_i\}$ , respectively. Then one obtains the following extension of a theorem of Pell (7), namely, when  $\{e_i\}$  is uniformly minimal, one has

$$g_j = (u_1, g_j)v_1 + \dots + (u_k, g_j)v_k + \dots$$

with

$$(4.2) \quad \sum |(u_i, g_j)|^{1/s} \leq (1/m) \|g_j\| \leq K$$

for some constant  $K$ . This condition (4.2) holds, in particular, when  $\{e_i\}$  is a basis of  $\mathfrak{X}$ . In order that the dual system  $\{g_i\}$  be fundamental, it is necessary that

$$(z, g_i) = 0, \quad i = 1, 2, \dots,$$

imply that  $\mathbf{z}$  is zero. When  $\mathbf{z}$  has the expansion

$$\mathbf{z} = \zeta_1 \mathbf{u}_1 + \dots + \zeta_j \mathbf{u}_j + \dots,$$

this last condition leads to the requirement that

$$(4.3) \quad \sum (\mathbf{u}_i, \mathbf{g}_j) \zeta_i = 0, \quad j = 1, 2, \dots,$$

must have only the trivial solution,

$$\zeta_1 = \dots = \zeta_j = \dots = 0.$$

Thus, one arrives at the set of necessary conditions, given by Bary, in order that  $\{\mathbf{e}_i\}$  be a basis, namely, that (4.2) and (4.3) hold. We are now prepared to give a modification of Bary's example.

*Example 4.3.* Let  $\{\mathbf{u}_i\}$  be the standard basis of  $\mathfrak{X}$ . Let the sequence  $\{\mathbf{e}_i\}$  be defined by

$$\mathbf{e}_{2n-1} = \mathbf{u}_{2n-1}, \quad \mathbf{e}_{2n} = (1/d_n)(q_n \mathbf{u}_{2n-1} + \mathbf{u}_{2n}),$$

where

$$d_n = (1 + q_n^r)^{1/r}.$$

The system  $\{\mathbf{e}_i\}$  is normalized, fundamental, and minimal. The Bary basis  $\{\mathbf{u}_i\}$  can be expressed in the form

$$\mathbf{u}_{2n-1} = \mathbf{e}_{2n-1}, \quad \mathbf{u}_{2n} = -q_n \mathbf{e}_{2n-1} + d_n \mathbf{e}_{2n},$$

while the adjoint system  $\{\mathbf{g}_i\}$  of  $\{\mathbf{e}_i\}$  can be expressed as

$$\mathbf{g}_{2n-1} = \mathbf{v}_{2n-1} - q_n \mathbf{v}_{2n}, \quad \mathbf{g}_{2n} = d_n \mathbf{v}_{2n},$$

where  $\{\mathbf{v}_i\}$  if the Bary basis of  $\mathfrak{Y}$  dual to  $\{\mathbf{u}_i\}$ . It is easy to verify that condition (4.3) is satisfied. However, one finds that the norms of the set of elements  $\{\mathbf{g}_i\}$  are unbounded whenever the sequence  $\{q_n\}$  diverges to plus infinity. For such a choice of this sequence, the  $O$ -system  $\{\mathbf{e}_i\}$  is not a basis of  $\mathfrak{X}$ .

#### REFERENCES

1. N. K. Bary, *Biorthogonal systems and bases in Hilbert space*, Učen. Zap. 148 Matematika (1951), 69–107. (Russian)
2. F. Brauer, *The completeness of biorthogonal systems*, Michigan Math. J. 11 (1964), 379–383.
3. I. M. Gel'fand, *A remark on the work of N. K. Bary: "Biorthogonal systems and bases in a Hilbert space"*, Učen. Zap. 148 Matematika (1951), 224–225. (Russian)
4. R. Keown, *Some new Hilbert algebras*, Trans. Amer. Math. Soc. 128 (1967), 71–87.
5. ———, *Reflexive Banach algebras*, Proc. Amer. Math. Soc. 6 (1955), 252–259.
6. S. S. Levin, *Über einige mit der Konvergenz im Mittel verbundenen Eigenschaften von Funktionenfolgen*, Math. Z. 32 (1930), 491–511.
7. A. Pell, *Biorthogonal systems of functions*, Trans. Amer. Math. Soc. 12 (1911), 135–164.

University of Arkansas,  
Fayetteville, Arkansas;  
University of Illinois,  
Urbana, Illinois