

A COMMUTATIVITY THEOREM FOR RINGS WITH INVOLUTION

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A ring with involution R is an associative ring endowed with an antiautomorphism $*$ of period 2. One of the first commutativity results for rings with $*$ is a theorem of S. Montgomery asserting that if R is a prime ring, in which every symmetric element $s = s^*$ is of the form $s = s^{n(s)}$ ($n(s) \geq 2$), then either R is commutative or R is the 2×2 matrices over a field, which is a nice generalization of a well-known theorem of N. Jacobson on rings all of whose elements $x = x^{n(x)}$. Another classical commutativity theorem, due to I. N. Herstein, asserts that any ring R with centre Z such that every element x satisfies $x - x^2 \cdot p_x(x) \in Z$, where p_x is a polynomial having integral coefficients, is in fact a commutative ring. This theorem was extended to prime rings R with $*$ in the following way: If for every symmetric s , $s - s^2 \cdot p_s(s) \in Z$, either $S \subseteq Z$ or S is as in Montgomery's theorem. On the other hand Herstein's theorem was extended to the context of rings without involution in the following way: If R is a semiprime ring and c is a fixed element of R such that c commutes with $x - x^2 \cdot p(x)$ (p , depending on c and x) then c is a central element. In this paper, we offer an extension to rings with $*$ of the later commutativity theorem. We show the following.

THEOREM 5. *Let R be any prime ring with $*$ having characteristic 0 or greater than 5. Suppose that a fixed element c is such that for each symmetric $s = s^*$ there is p , a polynomial having integral coefficients, so that c and $s - s^2 \cdot p(s)$ commute. If, further, R is not the 2×2 matrices over a field then c is in fact in the centre Z of R .*

At the end of the paper we comment on the restriction about the characteristic of R and the nature of the polynomial p intervening in Theorem 5. Essential to this paper will be a result of ours concerning subalgebras preserved by the group of unitaries in matrix algebras with $*$ over division rings containing more than 5 elements.

Definitions, Notations, and Conventions. Throughout the paper all rings have characteristic 0 or greater than 5. Except in one case, all homomorphisms preserve the involution and the characteristic assumption. All polynomials p have integral coefficients and all subrings A are $*$ -closed ($A = A^*$). For $a \in R$, we let $C(A) = C_R(a) = \{x \in R \mid xa = ax\}$ (centralizer of a in R). For

Received December 4, 1975 and in revised form, February 8, 1977 and May 23, 1978. This research has been supported by grant A7876 of the National Research Council of Canada.

$a, b \in R$, $[a, b] = ab - ba$ (*commutator*). S, K, Z stand respectively for the symmetric, the skew, and the central elements of R . For A a subring of R , $Z(A)$ or Z_A will denote the centre of A viewed as a ring, $S(A)$ or S_A , the symmetric of the ring A , and $K(A)$ or K_A , the skew of the ring A . Finally, X^+ (resp. X^-) will denote the subset of symmetric (resp. the skew) in the subset X of R .

Definitions 1.a) A *co-integral expression* in $x \in A$ is a polynomial expression of the form

$$x^k - x^{k+1} \cdot p(x);$$

p a polynomial having integral coefficients. The integer k is called the *index*.

b) When for every $x \in R$ there is some co-integral expression belonging to the fixed subring A of R , we shall say that R is *co-integral* over A . If, moreover, the expressions can be taken with fixed index r , we use the term "*co-integral of index r* ".

c) The ring R is said to be **-co-integral* (resp. **-co-integral of index r*) if for each symmetric $x \in R$, there is some co-integral expression in x (resp. co-integral expression in x of index r) belonging to A .

Definitions 2 (Main definitions). Let R be any ring. Set:

$$\begin{aligned} \text{a) } T = T_R &= \{a \in R \mid \forall x \in R \exists p; [a, x - x^2 \cdot p(x)] = 0\} \\ &= \{a \in R \mid R, \text{ co-integral of index 1 over } C_R(a)\} \end{aligned}$$

$$\begin{aligned} \text{b) } H = H_{(R,*)} &= \{a \in R \mid \forall x \in S \exists p; [a, x - x^2 p(x)] = 0\} \\ &= \{a \in R \mid R, \text{ *-co-integral of index 1 over } C_R(a)\} \end{aligned}$$

The subsets T and H are called respectively *co-hypercenter* and **-co-hypercenter* of R .

1. Basic facts. In this section we assemble some basic properties of the *-co-hypercentre true for arbitrary rings or on the other extreme for simple artinian rings. We begin with formal facts using closure of the co-integral expressions of index 1 under composition of polynomials and standard properties of commutators.

Remarks 1.

- a) $\forall a \in H, \forall x \in S, \forall n \geq 1, \exists p;$
- (i) $[a, x - x^{2n} \cdot p(x)] = 0.$

In particular if s is a symmetric nilpotent ($s^n = 0$), then $[a, s] = 0.$

- b) $\forall a_1, \dots, a_n \in H, \forall x = x*, \exists p$
- (ii) $[a_i, x - x^2 \cdot p(x)] = 0, \forall i = 1, \dots, n.$
- c) $\forall a \in H, \forall x_1, \dots, x_n \in S, \exists p$
- (iii) $[a, x_i - x_i^2 \cdot p(x_i)] = 0, \forall i = 1, \dots, n.$

Remark 1-c) shows that H is a subring of A , containing evidently the co-hypercenter $T = T_R$, and hence, containing the centre Z of R . We record these facts as follows.

Remark 2. For any ring R , the \ast -co-hypercenter H is a subring containing the co-hypercenter, and contained in the centralizer $C(N^+)$, of the symmetric nilpotents N^+ of R .

Remark 1-b) yields another important property of the \ast -co-hypercenter H ; namely, H viewed as a ring, will satisfy a polynomial identity of fairly low degree, that it is now convenient to make explicit. Let H_0 be any finitely generated \ast -closed subring of H generated by a_1, \dots, a_n . Given $x = x^\ast \in H_0 \subseteq R$, there is $p(t)$ with

$$[a_i, x - x^2 \cdot p(x)] = 0, \text{ for all } i = 1, \dots, n.$$

Since the a_i 's generate H_0 , $x - x^2 \cdot p(x) \in Z_0 = Z(H_0)$ follows. By the results in [4, p. 1125], H_0 satisfies the polynomial identity

$$[s_1, s_2, s_3, s_4]^2 \in Z_0 \cap N^+(H_0), \text{ for all } s_i = s_i^\ast \in S(H_0),$$

where $[s_1, s_2, s_3, s_4]$ is the value of the standard polynomial in four non-commuting variables for the specialization s_1, s_2, s_3, s_4 in H_0 . Since $N^+(H_0) \subseteq N^+(R)$, and since $N^+(R)$ centralizes H , we get the following.

Remark 3. H , viewed as a ring with \ast , satisfies the polynomial identity:

$$\forall s_1, s_2, s_3, s_4 \in S(H), [s_1, s_2, s_3, s_4]^2 \in Z \cap N^+ \subseteq Z^+(H).$$

Two more general facts are in order.

Remarks 4a) For every subring $R_0 = R_0^\ast$ of R , $H \cap R_0 \subseteq H_{(R_0, \ast)}$.

b) If $e = e^\ast$ is a symmetric idempotent, then $eHe \cap H_{(eRe, \ast)}$.

We digress for a while on *quasi-unitaries*. Recall that if R is a ring with 1, the element x is called *unitary*, if x is an invertible element such that $xx^\ast = 1$. It is natural in the absence of 1, to call a a *quasi-unitary* element, if $a + a^\ast + aa^\ast = a + a^\ast + a^\ast a = 0$. Such an element induces the quasi-inner automorphism

$$(1) \quad x \rightarrow (1 + a)x(1 + a)^{-1} = x + ax + xa^\ast + axa^\ast,$$

coinciding with the inner automorphism induced by the unitary $1 + a$ if R happens to possess a unity 1. Generally the automorphism in (1) preserves S, K , it leaves the elements of Z invariant, and commutes with the integral polynomial expressions. It follows that this automorphism preserves H , for all quasi-unitaries. In accordance with [2], we shall call H an *invariant* subring, if it is preserved by the quasi-inner automorphisms induced by all quasi-unitary elements of R . We have shown:

Remark 5. H is an invariant subring.

The invariant property of H will be exploited in what follows for R , a simple artinian ring, viewed as the $n \times n$ matrices over a division ring D . The involution $*$ induces an involution on D . Since R is by our convention of characteristic greater than 5, it follows that D contains more than 5 elements and is 2-torsion free. Thus [2] applies and yields the following.

Remarks 6([2]). Let W be any invariant subalgebra with centralizer V of $R = (D_n, *)$.

1) For $n > 2$, either $W \subseteq Z$, or $V = Z$.

2) For $n = 2$, either $W = O, Z$, or $V = Z$, or else the ground involution is the identity mapping, and

$$W = Z + \left\{ \left[\begin{array}{cc} 0 & x \\ -q^x & 0 \end{array} \right] \right\}_{x \in D} = W^*$$

contains no symmetric matrix but the scalars.

3) If W satisfies any polynomial identity, then $W = Z$ or R , or else W is as in 2)-i).

To be able to apply Remarks 6, we must handle the case $n = 1$. This is done in our first proposition.

PROPOSITION 1. *If R is a division ring either $S \subseteq Z$ (so $R = H$) or $H = Z$.*

Proof. Suppose that $S \not\subseteq Z$, but $H \neq Z$. There must be $a \in H$, with $A = C_R(a) \neq R$. We claim that every symmetric $s = s^*$ in R has some power $s^{n(s)}$ in A . Clearly we may assume $s \notin A$. If F is the subfield generated by s over the subfield Z^+ of central symmetric, then F contains strictly $F \cap A$, which is a subfield. Now R is $*$ -co-integral of index 1 over A since, in fact, $a \in H$. Consequently F is co-integral of index 1 over the subfield $F_0 = F \cap A$ (that is, for every $x \in F$, there is a co-integral expression of index 1 in x belonging to F_0). By a general result of fields [8], F is algebraic over a finite field. Thus s is a root of unity, so certainly $s^{n(s)} \in A$, some $n(s) \geq 1$. Since $A \neq R$, by a theorem of Herstein and ours [3], all norms and traces of R would be central, and consequently in view of the 2-torsion freeness, $S \subseteq Z$, which it is not. This shows that $H = Z$ necessarily as wished.

PROPOSITION 2. *If R is simple artinian and if $R = H$, then either $S \subseteq Z$, or R is the 2×2 matrices over an algebraic field extension of a finite field, with $*$ a canonical transpose admitting no symmetric nilpotents.*

Proof. If $R = H$, then by Remark 3, R is PI, so, by a well-known result of I. Kaplansky, R is finite dimensional over the centre, whence finitely generated over the centre. By the argument used in the proof of Remark 3, $s = s^2 \cdot p_*(s) \in Z$ follows, all $s = s^*$. We then quote [4, Theorem 3].

We can now describe fully the simple artinian case.

THEOREM 1. *If R is a non-commutative simple artinian ring, either $H = Z$ or $H = R$. In the latter case, R must be of one of the following types:*

(1) R is a division ring whose symmetric coincide with the centre, so R is a 4-dimensional division ring.

(2) R is the 2×2 matrices over a field, which is an algebraic extension of a Galois field, with $*$ a canonical transpose admitting no symmetric nilpotents.

(3) R is the 2×2 matrices over a field with $*$ the symplectic involution so that the symmetric coincide with the centre.

Proof. By Proposition 1, we may assume that R has rank n greater than 1.

If $n > 2$, by Remarks 6, $H = Z$ or R . The latter case being ruled out by Proposition 2, we get $H = Z$ necessarily.

If $n = 2$. Either $*$ is canonical transpose or symplectic. In the latter case, $S = Z$ necessarily, so evidently $R = H$ is of type (3). In the first case, if $H \neq Z$, necessarily $H = R$ or

$$(i) H = Z + \left\{ \begin{bmatrix} 0 & x \\ -qx & 0 \end{bmatrix} \right\}_{x \in D},$$

where D is a field, and $*$ = $*(q_1, q_2)$ is defined by

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^* = \begin{bmatrix} a & cq_1q_2^{-1} \\ bq_2q_1^{-1} & d \end{bmatrix}.$$

If $H = R$ we use, again, Proposition 2 to get that R is of type (2). We are left with the case (i), that we shall now rule out.

For let $0 \neq \begin{bmatrix} 0 & x \\ -qx & 0 \end{bmatrix} \in H$. Given $a \in D$, a field, $\underline{s} = \begin{bmatrix} a & 0 \\ 0 & 0 \end{bmatrix}$ is a symmetric matrix. By the assumption, for some polynomial $p(t)$ with integral coefficients, $0 \neq \begin{bmatrix} 0 & x \\ -qx & 0 \end{bmatrix}$ commutes with

$$\underline{s} - \underline{s}^2 \cdot p(\underline{s}) = \begin{bmatrix} a - a^2 \cdot p(a) & 0 \\ 0 & 0 \end{bmatrix}.$$

This is possible only if $a = a^2 \cdot p(a)$. Thus D is co-integral over the zero subring. It follows that D is algebraic over a finite field.

If R contained some symmetric nilpotent matrix, the subalgebra W generated by all these would be a non-zero invariant subalgebra obviously not of the form (i), so necessarily would coincide with R . Since H centralizes W , this contradicts the relation $H \not\subseteq Z$. This shows that R contains no symmetric nilpotents. Because D is algebraic over a finite field so will be R , and in the absence of symmetric nilpotents, every symmetric in R becomes co-integral of index 1 over the zero subring (in fact, of the form $s = s^{n(s)}$, $n(s) \geq 2$). But, in the latter case, $H = R$, which is ruled out. With this the theorem is proved.

We inspect the nature of the simple artinian ring R in the special case $H^+ (=H \cap S) \not\subseteq Z$. To begin with, R can not be of type (1) in Theorem 1, or type (3). By Theorem 1, R is necessarily of type (2). Something more can be said about type (2). Since R contains no symmetric nilpotents, R contains no skew nilpotents either. For otherwise, the involution $*$ would induce a non-

trivial involution on the ground field, forcing $*$ to be of the second kind. On the other hand, we claim that every commutative subring V of R consisting entirely of symmetric elements must be central. For by Remarks 6, adjoining the center Z to V , we get the subalgebra

$$W = V + Z \subseteq Z + \left\{ \begin{bmatrix} 0 & x \\ -qx & 0 \end{bmatrix} \right\}_{x \in D},$$

and consequently $V^+ \subseteq W^+ \subseteq Z$. We record these facts in the following corollary.

COROLLARY 1. *Any simple right artinian ring R such that $H^+ \not\subseteq Z$, is necessarily of type (2) as in Theorem 1. It follows that R contains no skew or symmetric nilpotents. Moreover, every invariant commutative subring of symmetric elements must be central.*

2. Nil radical of H . At the outset (Theorem 5) R is taken to be a prime ring. However, at later stages of the paper it will be necessary for us to deal with certain subrings of R that can be of arbitrary prime radical. For this reason we shall relax throughout the prime condition by $*$ -prime (e.g. non-zero $*$ -closed ideals in R). We wish to show that H , viewed as a ring, contains no non-zero nil ideals. This is carried out by looking first at the $*$ -prime, not prime, case. As one would expect, the prime case is more complex, and will be studied alone.

2.1 $*$ -prime case. Suppose that R contains a non-zero ideal I of the type $I \cap I^* = 0$. Denote by \bar{R} the factor ring R/I (the involution $*$ is disregarded in \bar{R}), \bar{H} , the image of H in \bar{R} , and by J , the image of I^* in \bar{R} .

PROPOSITION 3. *For every $\bar{a} \in \bar{H}$, and every $\bar{x} \in J$, a non-zero ideal of \bar{R} , $[\bar{a}, \bar{x} - \bar{x}^2 p(\bar{x})] = 0$.*

Proof (sketched). Pick any $x \in I^*$, and apply the basic property of $a \in H$ via the symmetric $x \oplus x^* \in I \oplus I^*$. Then pass to R/I .

In [1] we have shown that if R is any semiprime ring then $T = Z$. This property is used freely throughout. Proposition 3 suggests the following.

Question. If R is a prime ring and a is a fixed element of R such that for some non-zero ideal J of R , J is co-integral of index 1 over $J \cap C_R(a)$, does it follow that $a \in Z$?

All our concern in this section is the study of the nilpotents and for these special elements we get indeed that they commute with such elements a . This is the content of the following result.

PROPOSITION 4. *Let R be a $*$ -prime, not prime, ring. Then the $*$ -co-hypercentre has the following properties.*

- 1) H centralizes all symmetric nilpotents of R .
- 2) H contains no symmetric nilpotents (other than 0).

Proof. It suffices to prove this for the image \bar{H} of R in the factor ring (deprived of involution) $\bar{R} = R/I$, with $I \neq 0$ an ideal verifying $I \cap I^* = 0$.

1) By Proposition 3, \bar{H} centralizes all nilpotents in $J = I^*/I$. Then let $e = e^2 \in \bar{H}$. If $y = cx - exe$, $x \in J$, then y is a square-zero element in J . Then $ya = ay$, $\bar{a} \in \bar{H}$. Thus $(cx - exe)\bar{a}e = \bar{a}(cx - exe)e = 0$, for all $x \in J$. Consequently $eJ(1 - e)\bar{a}e = 0$. Since \bar{R} is prime, if then $e \neq 0$, $(1 - e)\bar{a}e = 0$ follows, that is, $\bar{a}e = e\bar{a}e$. By symmetry, $e\bar{a} = e\bar{a}e = \bar{a}e$, for all $e = e^2$, and $\bar{a} \in \bar{H}$.

2) Suppose that $\bar{a}^2 = 0$, $\bar{a} \in \bar{H}$. By an argument similar to [1], it can be shown that $\bar{a} \cdot J$ is co-integral of index 2 over the zero subring. This forces R to be primitive with a socle containing $\bar{a} \cdot J$. It follows that J is primitive with socle. If J has a unity, by the primeness of \bar{R} , $\bar{R} = J$, placing \bar{a} in $T(\bar{R}) = Z(\bar{R})$, so $\bar{a} = 0$. If, on the other hand, J has no unity, the socle J_0 of J must be generated by nilpotents centralized by \bar{a} . Thus \bar{a} centralizes the ideal J_0JJ_0 of R , giving $\bar{a} \in Z$, whence $\bar{a} = 0$.

2.2 *Prime case.* We take R to be prime, and let $P = P^*$ be a nil ideal of H viewed as a ring. Concerning the center Z_H of H , or the \ast -center Z_H^+ of the ring H , it is convenient to notice that Z_H (as well as H) contains P^+ , and contains along with $2x$, the element x (by 2-torsion freeness). Also, since the quasi-unitaries induce automorphisms on H , then Z_H, Z_H^+ are invariant subrings. In this connection we recall a remark due to Herstein [7, Theorem 6.1.1].

Remark 7. If W is any invariant subring of R such that $2x \in W$ implies $x \in W$, then for every quasi-unitary skew k of R , and every $a \in W$,

$$(1 - k)^{-1}[a, k](1 + k)^{-1} \in W.$$

We proceed to a very special case that will be used partly in this section, and fully at later parts of the paper.

PROPOSITION 5. *If R is a prime PI ring such that $H^+ \not\subseteq Z$, then necessarily R is as in Theorem 1, type (2). Consequently R contains no symmetric nilpotents.*

Proof. We claim that R cannot be a domain. If not, take any $a \in H$, $a \notin Z$. For every $s = s^* \in R$, $Z^+[s]$ is a commutative domain, which is co-integral of index 1 over $Z^+[s] \cap C_R(a)$. By [4, Lemma 5], the field of quotients of $Z^+[s]$ is radical over the subfield of quotients of $Z^+[s] \cap C_R(a)$. Thus for some integer n , and some $u, v \neq 0 \in C_R(a)$, $us^{n(s)} = v \in C_R(a)$. Consequently

$$0 = [a, v] = [a, us^n] = u[a, s^n].$$

It follows that $[a, s^{n(s)}] = 0$, that is, $s^{n(s)} \in C_R(a)$, all $s = s^* \in R$. If $\bar{R} = R(Z^+)^{-1}$ is the ring of fractions of R , we get a division ring, for R satisfies a polynomial identity. By the above, for every symmetric \bar{s} in \bar{R} , $\bar{s}^{n(s)} \in C_{\bar{R}}(a) = C_R(a)(Z^+)^{-1}$. Since $a \notin Z$, $C_{\bar{R}}(a) \neq \bar{R}$. By [3, Theorem 1], all symmetric in \bar{R} are central, contradicting the assumption on R . This shows that R cannot

be a domain. Equivalently \bar{R} is a simple finite dimensional algebra having rank greater than 1.

Let W be the subalgebra generated by the symmetric idempotents. Clearly W is an invariant subalgebra. Now the centralizer V of W is necessarily $Z(\bar{R})$. This is certainly true if \bar{R} has rank ≥ 3 . For \bar{R} of rank 2, the case where $*$ is symplectic in \bar{R} must be ruled out as $S(R) \not\subseteq Z(R)$. Thus by Remarks 6, if $V \neq Z$ necessarily W has all its diagonal matrices with equal diagonal coefficients, which is evidently false as $*$ is canonical transpose.

Now let $s \in H$ (s can be any element in H) and let $e = e* = e^2 \in \bar{R}$, with $[s, e] \neq 0$. Write $e = f \cdot z_0^{-1}$, $f = f* \in S(R)$, $z_0 \in Z^+(R)$. Given $z \in Z^+$, it is clear that $f \cdot z \in S(R)$. By the basic property of s , we have $[s, f \cdot z] = [s, (fa)^2 p(fz)]$, for some $p(t)$. Now

$$(fz)^2 = f^2 z^2 = z^2 (e \cdot z_0)^2 = e z_0^2 z, \dots, (fz)^n = e (z_0 z)^n.$$

Thus

$$\begin{aligned} [s, e z_0 z] &= [s, e (z_0 z)^2 p(z_0 z)]; \\ (z_0 z - (z_0 z)^2 p(z_0 z)) [s, e] &= 0; \\ z_0 z &= (z_0 z)^2 p(z_0 z); \\ z &= z_0 z^2 p(z_0 z); \\ z &= z^2 z_1, \text{ for some } z_1 \in Z^+. \end{aligned}$$

Thus Z^+ is a field, so Z is a field, giving $\bar{R} = RZ^{-1} = R$. We then quote Theorem 1.

If R is a PI $*$ -prime ring with $H \not\subseteq Z$, what can be said about R ? To begin with, if $S \subseteq Z$, this forces R to be a prime ring. For if in the contrary case, we get trivially that $R = Z$, contrary to the assumption $H \not\subseteq Z$. Since R is a prime non-commutative ring verifying $S \subseteq Z$, it follows that R must be an order in the 2×2 matrices with the symplectic involution. Next suppose that $S \not\subseteq Z$. The first argument in the proof of Proposition 5 shows that R cannot be a domain. Thus R must be simple artinian verifying $S \not\subseteq Z$ and $H \not\subseteq Z$. By Theorem 1 from Section 1, necessarily R must be of type (2) of that theorem. We have shown the following.

COROLLARY. *If R is a PI $*$ -prime ring such that $H \not\subseteq Z$, then necessarily R is a prime ring, which is either an order in the 2×2 matrices with symplectic involution, or simple artinian of type (2) in Theorem 1.*

PROPOSITION 6. *Let R be a prime ring with a square-zero symmetric a such that $aka = 0$. Then R contains a $*$ -closed prime subring R_0 containing a , which is an order in the 2×2 matrices over a field.*

Proof. This proposition is essentially a special case of a theorem of S. Montgomery [7, Theorem 2.5.1]. For the convenience of the reader we give a self-contained proof. By an observation due to Herstein and Montgomery,

R satisfies the generalized polynomial identity $[ax, ay]^2 = 0$, all $x, y \in R$. By a theorem of Martindale [10], the central closure $Q = R \cdot C$ of R is a primitive ring with socle, whose underlying division ring D must be a field, and a is of rank = 1. In fact, aQ satisfies the polynomial identity $[x', y']^2 = 0$, all $x', y' \in aQ$. If then $aQ = eQ, e = e^2 \in \text{Socle}(Q)$, then eQe is primitive with polynomial identity $[x, y]^2 = 0$, giving that $eQe = D$ is a field.

Write $e = ay, y \in Q$. We have $e* = y*a$, and $e*e = y*a^2y = 0$ follows. If $f = e + e* - ee* = (e - \frac{1}{2}ee*) + (e - \frac{1}{2}ee*)*$, a routine computation shows that: $e_1 = e_1^2 = e - \frac{1}{2}ee*$; $e_1e_1* = e_1*e_1 = 0$; $e_1Q = eQ$. Consequently $fQf = e_1Qe_1 \oplus e_1*Qe_1* \approx D_2$. Also, $a \in fQf$. For the equality $aQ = eQ = e_1Q$ gives $fa = e_1a + e_1*a = q + e_1*a = a + (e*a - \frac{1}{2}ee*a) = a$, since $e*a = (y*a)a = 0$, and similarly $af = a$.

Since Q is a subring of the ring of quotients of R , for every $x \in Q$, there is an ideal $0 \neq I$ of R such that $xI \subseteq R$. In particular there must be $J \neq 0$ with

$$fJ \subseteq R \quad \text{and} \quad J*f \subseteq R = R.$$

Then $fJJ*f \subseteq R$, where $JJ* = I \neq 0$ is an ideal of R . Let $R_0 = R \cap fQf$. Clearly R_0 is a subring containing a , satisfying the standard identity in 4 variables. If $uR_0v = 0; u, v \in R_0$, then $u(fJJ*f)v = 0$. Since $u, v \in R_0 \subseteq fQf, uf = u$ and $fv = v$, so $u(JJ*)v = uIv = 0$. Since I is an ideal of the prime ring R , either $u = 0$ or $v = 0$. This shows that $R_0 = R_0^*$ is a prime ring, which by the above satisfies the standard identity in 4 variables. Now R_0 contains the square-zero element a . Consequently R_0 is an order in the 2×2 matrices over a field.

COROLLARY. *If R is prime with $a = a^*$ a square-zero element in H such that $aKa = 0$, then $a = 0$ necessarily.*

Proof. If a were $\neq 0$, by Proposition 6, there is a prime PI subring $R_0 = R_0^*$ containing a . Clearly $a = a* \in H(R_0)$, with $a^2 = 0$, so $H^+(R_0) \not\subseteq Z(R_0)$. In view of Proposition 5, R_0 contains no symmetric nilpotents, a contradiction. We have to agree that $a = 0$ necessarily.

PROPOSITION 7. *If R is prime, then H contains no non-zero symmetric nilpotents.*

Proof. The proof breaks in several steps.

Step 1. *If R contains an idempotent e with $e \oplus e* = 1$, then H contains no symmetric nilpotents.*

Let T_{eRe} be the co-hypercenter of eRe , and let Z_{eRe} be the center of eRe . We have $T_{eRe} = Z_{eRe}$. Given $a \in H$, and $x \in eRe$, we have

$$\begin{aligned} 0 &= [a, (x + x*) - (x + x*)^2p(x + x*)] \\ &= [a, x - x^2p(x)] + [a, x* - x*^2p(x*)]. \end{aligned}$$

Then $[eae, x - x^2p(x)] = 0$ necessarily, placing eae in $T_{eRe} = Z_{eRe}$. Now let

$a \in Z_H^+$ (= *-center of H) and let $k \in K$. The element $k_1 = eke^*$ is a square-zero skew. Since k_1 is quasi-unitary, $(1 + k_1)a(1 - k_1) \in Z_H$ follows, that is, $k_1a - ak_1 - k_1ak_1 \in Z_H$. Changing k_1 to $2k_1$ gives $[k_1, a] \in Z_H$. Thus $[a, [a, k_1]] = 0$. On the other hand,

$$\begin{aligned}
 [a, eke + e^*ke^*] &= [eae + e^*ae^* + e^*ae + e^*ae, eke + e^*ke^*] \\
 &= [e^*ae + eae^*, eke + e^*ke^*],
 \end{aligned}$$

for $[eke, eae] = [e^*ke^*, e^*ae^*] = 0$. Thus

$$\begin{aligned}
 [a, eke + e^*ke^*] &= [eae^* + e^*ae, eke + e^*ke^*] \\
 &= eae^*ke^* + e^*aeke - ekeae^* - e^*ke^*ae \\
 &= (eae^*ke^* - ekeae^*) + (e^*aeke - e^*ke^*ae).
 \end{aligned}$$

Now

$$s_1 = eae^*ke^* - ekeae^* = eae^*ke + (eae^*ke^*)^*$$

is a square-zero symmetric. Thus $[a, s_1] = 0$, and similarly for $s_2 = e^*aeke - e^*ke^*ae$. From this $[a, [a, eke + e^*ke^*]] = 0$. Since we had $[a, [a, k_1]] = 0$, we get $[a, [a, k]] = 0$, for all $k \in K$.

If then $a = a^*$ is a square-zero element in H , $a \in Z_H^+$ follows giving $[a, [a, k]] = -2aku = 0$, so $aku = 0$, for all $k \in K$. In view of Proposition 5, $a = 0$ necessarily.

Step 2. If $e = e^2$ is an idempotent of R such that $ee^ = 0$, and if a is a square-zero symmetric in H , then $eae^* = e^*ae = 0$.*

For let $e_1 = e - \frac{1}{2}e^*e, e_1^* = e^* - \frac{1}{2}e^*e$. It was already observed that $e_1 \oplus e_1^* = f$ is a symmetric idempotent. If $R_1 = fRf$, it is clear that R_1 contains in its *-co-hypercenter $H_1 = fHf$.

Since $a \in Z_H^+, (1 - 2f)a(1 - 2f) \in Z_H$ follows, giving $b = af + fa - 2faf \in Z_H^+$. Consequently $[a, b] = 0$. Since $a^2 = 0$, we get $afa - 2afaf = afa - 2fafa; (af)^2 = (fa)^2$. Thus $a_1 = faf$ is a symmetric cube-zero in H_1 . Consequently $a_1 \in Z_{H_1}$, the center of H_1 . By Step 1, $a_1 = faf = 0$ necessarily.

Now $f = e_1 + e_1^* = e + e^* - e^*e$, where e^*e is a symmetric nilpotent commuting with $a \in H$. Thus

$$\begin{aligned}
 0 = faf &= (e + e^* - e^*e)a(e + e^* - e^*e) \\
 &= (eae + eae^* - ee^*ea) + (e^*ae + e^*ae^* - e^*e^*ea) \\
 &\quad - (e^*eea + e^*ee^*a + e^*ee^*ea) \\
 &= eae + eae^* + e^*ae + e^*ae^* - 2e^*ee.
 \end{aligned}$$

Right multiplication by e^* combined with the relation $ee^* = 0$ gives

$$\begin{aligned}
 eae^* + e^*ae^* &= 0; \\
 eae^* &= -e^*ae^* = e^*(eae^*) = (e^*e)ae^* = ae^*ee^* = 0; \\
 e^*ae^* &= 0; \quad eae = 0; \\
 0 &= eae + eae^* + e^*ae + e^*ae^* - 2e^*ea; = e^*ae - 2e^*ea; \\
 e^*ae &= 2e^*ea = (2e^*ea)e = 2e^*(eae) = 0.
 \end{aligned}$$

Step 3. If $a^2 = 0$ with $a = a* \in H$, then $aKa = 0$.

Let $v = v_1 + v_2$ with $v_i \in R$, $v_1 \cdot v_2 = 0$. For every $n \geq 1$, we have $v^n = v_1^n + v_2^n + v_2^{n-1} \cdot v_1$. Setting $v = [k, a]$, we get for

$$v_1 = ka, v_2 = -ak = v_1^*, v_1v_2 = -ka^2k = 0;$$

$$v^n = (ka)^n + (-1)^n(ak)^n + (n - 1)(-1)^{n-1}(ak^{n-1}(ka)).$$

Now

$$[a, v] = 2aka; [a, v^2] = [a, v^4] = \dots = [a, v^{2n}] = 0;$$

$$[a, v^{2k+1}] = 2a(ka)^{2m+1}.$$

Since $v = v*$, we get by the basic definition that

$$2aka = [a, v] = [a, v^2p(v)] = 2\{\alpha_1a(ka)^3 + \alpha_2a(ka)^5 + \dots\};$$

$$aka = \alpha_1a(ka)^3 + \alpha_2a(ka)^5 + \dots;$$

$$(ak)^2 = \alpha_1(ak)^4 + \alpha_2(ak)^6 + \dots = (ak)^2p((ak)^2)(ak)^2.$$

Let $e = e^2 = (ak)^2p((ak)^2)$. We have $e*e = (ka)^2p((ka)^2) \cdot e = 0$. By Step 2, $eac* = 0$. Explicitly we get

$$0 = y = eac* = (ak)^2p((ak)^2)(ak)^2a(ka)^2p((ka)^2)(ka)^2$$

$$= \alpha_1^2(ak)^2a(ka)^2 + (\alpha_1\alpha_2(ak)^2a(ka)^4 + \alpha_1\alpha_2(ak)^4a(ka)^2) + \dots$$

$$= (\alpha_1^2(ak)^4 + 2\alpha_1\alpha_2(ak)^6 + \dots) \cdot a$$

$$= (\alpha_1(ak)^2 + \alpha_2(ak)^4 + \dots)^2 \cdot a = p^2((ak)^2) \cdot a,$$

so,

$$e = (ak)^2 \cdot p((ak)^2) = (ak)^4 \cdot p^2(ak)^2 = p^2(ak)^2 \cdot (ak)^4$$

$$= p^2((ak)^2) \cdot a(ka)^3k = 0;$$

$$(ak)^2 = e(ak)^2 = 0; \quad (ka)^3 = k(ak)^2a = 0;$$

$$aka = \alpha_1a(ka)^3 + \alpha_2a(ka)^5 + \dots = 0.$$

Having shown that $aka = 0$, we then quote the corollary to Proposition 6, which completes the proof.

2.3 *Skew nilpotents in H.* One difference from the symmetric case is that H could very well contain non-zero skew nilpotents. Take for example R to be the 2×2 matrices occurring in Theorem 1, type (3). Here $H = R$ certainly has skew nilpotents. An other obstruction is that an arbitrary nil ideal P of H is not *a priori* invariant. We circumvent the latter obstruction by choosing P to be the *prime* radical of H . Once we can show that $P = 0$ necessarily, using the fact that H contains no symmetric nilpotents $\neq 0$, clearly we get that H contains no nil ideals $\neq 0$. To circumvent the former obstruction, let us show the following.

PROPOSITION 8. For every $a \in P$ ($=$ prime radical of H) and every square-zero skew k , in R , ak is nilpotent.

Proof. Since k is quasi-unitary with quasi-inverse $-k$, for every $a \in P$, $(1 + k)a(1 - k) \in P$ follows. Thus $ka - ak - kak \in P$. Changing k to $-k$ gives $kak \in P$. Thus $akak \in P$, whence ak is nilpotent.

PROPOSITION 9. *Let R be a prime PI ring, and let $a \in H$ be a square-zero skew such that ak is nilpotent for any square-zero skew k . Then $a = 0$.*

Proof. By the corollary to Proposition 6 (Section 2.2), and the corollary to Theorem 1 (Section 1), we may take R to be an order in the 2×2 matrices \bar{R} over a field with symplectic involution. Moreover, since \bar{R} is obtained by localizing $\text{re}Z^+(R)$, the property of a remains true under the square-zero skews in \bar{R} . Now the square-zero skews in \bar{R} are of one of the following types:

- i) $k = \begin{bmatrix} 0 & x \\ 0 & 0 \end{bmatrix}$
- ii) $k = \begin{bmatrix} 0 & 0 \\ x & 0 \end{bmatrix}$
- iii) $k = \lambda \begin{bmatrix} 1 & x \\ y & -1 \end{bmatrix}$, $\lambda \neq 0, xy = -1$.

Since a is a square-zero skew of \bar{R} , a is of one of the types i)-iii). Assume that a is of type i), $a = \begin{bmatrix} 0 & a_0 \\ 0 & 0 \end{bmatrix}$. Then

$$a \begin{bmatrix} 1 & x \\ y & -1 \end{bmatrix} = \begin{bmatrix} 0 & a_0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & x \\ y & -1 \end{bmatrix} = \begin{bmatrix} a_0y & -a_0 \\ 0 & 0 \end{bmatrix}$$

is certainly non-nilpotent for $a_0 \neq 0$, that is, $a \neq 0$. Thus $0 \neq a$ cannot be of type i), and, by symmetry, a is not of type ii). On the other hand, if a is of type iii), the argument can be reversed. We have to agree that $a = 0$ necessarily,

PROPOSITION 10. *The prime radical of H is zero.*

Proof. By Proposition 7, from Section 2.2, P consists entirely of square-zero skews.

Step 1. *If $a \in P$ is such that $aSa = 0$, then $a = 0$.*

Exactly as in the parallel situation treated in Proposition 6, we can find a PI prime subring R_1 containing in its *-co-hypercenter the given element $a = -a^*$ in P . Because ak is nilpotent for every square-zero skew in R , clearly this property holds in R_1 . By Proposition 9, $a = 0$ necessarily.

Step 2. *If R contains some idempotent e with $e \oplus e^* = 1$, then $P = 0$.*

Let $a \in P$ and let $s \in S$. We have

$$\begin{aligned} [a, s] &= [eae^* + e^*ae + eae + e^*ae^*, ese + ese^* + ese + e^*se^*] \\ &= [eae^* + e^*ae + eae + e^*ae^*, ese + e^*se^*] = [eae^* + e^*ae, ese + e^*se^*], \end{aligned}$$

for $[a, ese^* + e^*se] = 0$, since ese^*, e^*se are symmetric nilpotents; $aea \in eHe \subseteq T_{eRe} = Z_{eRe}$; $e^*ae^* \in e^*He^* \subseteq T_{e^*re^*} = Z_{e^*Re^*}$. Now

$$\begin{aligned} [a, s] &= [eae^* + e^*ae, ese + e^*se^*] = \\ &= (eae^*se^* + (eae^*se^*)^*) + (e^*aese + (e^*aese)^*) \\ &= s_1 + s_2; \\ s_1^2 &= 0, s_i = s_i^* \quad (a \in P \text{ implies } a = -a^*). \end{aligned}$$

Thus $[a, [a, s]] = [a, s_1 + s_2] = 0$, so, $asa = 0$, all $s = s^*$, that is, $aSa = 0$. By Step 1, $a = 0$ follows.

Step 3. If e is any idempotent of R such that $ee^ = 0$, then $eae^* = e^*ae = 0$.*

Let $f = e + e^* - e^*e = e_1 \oplus e_1^*$. Let $a \in P_H$, and $a_1 = faf$. We have $(1 - 2f)a(1 - 2f) \in P_H$, so, $af + f \cdot a - 2faf \in P_H$. Thus $afa - 2afaf = -afa + 2faf$ (observed that a anti-commutes with $af + fa - 2faf$); $afa = afaf + fafa$

$$\begin{aligned} afa &= (afa)f + f(afa) = (afaf + fafa)f + f(afaf + fafa) \\ &= afaf + fafaf + fafaf + fafa = (afaf + fafa) + 2fafaf \\ &= afa + 2fafaf; \quad fafaf = 0; \\ a_1^2 &= (faf)(faf) = fafaf = 0. \end{aligned}$$

Moreover, if k_1 is a square-zero skew in $R_1 = fRf$, then a_1k_1 is nilpotent ($a_1 \cdot k_1 = fafk_1 = fak_1$, and $a_1k_1a_1k_1 = fafk_1fafk_1 = fak_1ak_1 \dots$). By Step 2, $a_1 = faf = 0$ necessarily. This gives, as in step 2 of Proposition 7, $eae^* = e^*ae = 0$ necessarily.

Step 4. Every $a \in P$ satisfies $aSa = 0$, so $a = 0$.

Set $v = v_1 + v_2, v_1v_2 = 0$, where $v_1 = sa, v_2 = v_1^* = -as$, and use an argument similar to Step 3 of Proposition 7, to get $aSa = 0$ as wished.

2.4 Skew nilpotents in R . So far, we have shown that H has no non-zero nil ideals where R is any $*$ -prime ring. To get that H^+ centralizes all skew nilpotents, we shall use a subdirect representation argument. In this connection we observe that any semi-prime ring R , whose characteristic is greater than 5, has a subdirect representation into $*$ -prime rings inheriting the characteristic assumption.

Then let $a \in H^+$ and let k be a skew nilpotent. Denote by A the subring generated by a and k . Factoring out the nil radical P , we get a ring \bar{A} whose characteristic is zero or greater than 5, which by the above has a subdirect representation into $*$ -prime rings Λ with the same characteristic assumption.

In any $*$ -prime image Λ , if α, σ are the images of a and k respectively, clearly $\alpha = \alpha^* \in H(\Lambda)$, while σ is a skew nilpotent. Thus σ^2 is a symmetric nilpotent and consequently $[\alpha, \sigma^2] = 0$. Because σ^2 evidently commutes with σ , σ^2 is then a central symmetric, so in view of the $*$ -primeness, $\sigma^2 = 0$ necessarily.

Thus $\sigma\alpha - \alpha\sigma - \sigma\alpha\sigma \in H(\Lambda)$. Changing σ to 2σ gives $\sigma\alpha - \alpha\sigma \in H(\Lambda)$ and $\sigma\alpha\sigma \in H(\Lambda)$. Since $\sigma\alpha\sigma$ is a symmetric square-zero element in $H(\Lambda)$, and since by Proposition 4 and 7, $H(\Lambda)$ contains no symmetric nilpotents, $\sigma\alpha\sigma = 0$ follows. Then $\tau = \sigma\alpha - \alpha\sigma$ is a symmetric in $H(\Lambda)$, whose square is

$$\tau^2 = \sigma\alpha\sigma\alpha + \alpha\sigma\alpha\sigma - \sigma\alpha^2\sigma - \alpha\sigma^2\alpha = -\sigma\alpha^2\sigma,$$

so τ is a symmetric nilpotent, whence $\tau^2 = 0$. Thus $\tau = 0$, that is, $[\sigma, \alpha] = 0$.

We return to the subring A . We claim that $(1 + k)^{-1}[a, k](1 - k)^{-1}$ is nilpotent. In fact in every $*$ -prime image Λ of A/P and hence of A , it was seen that $[a, k] = 0$. However by Remark 7 from Section 2.2, $a \in H$ gives $(1 + k)^{-1}[a, k](1 - k)^{-1} \in H$. Thus $(1 + k)^{-1}[a, k](1 - k)^{-1}$ is a symmetric nilpotent of R , which is $*$ -prime. It follows that

$$(1 + k)^{-1}[a, k](1 - k)^{-1} = 0$$

giving $[a, k] = 0$ as desired, and we have proved the following result.

PROPOSITION 11. *If R is $*$ -prime, then H^+ centralizes both the symmetric and skew nilpotents.*

Using Propositions 4, 7, 10, and 11 (Sections 2.1, 2.2, 2.3), and using a routine subdirect representation argument, we derive the following interesting theorem.

THEOREM 2. *Let R be any semi-prime ring. Then H has the following properties:*

- i) *H contains no non-zero symmetric nilpotents.*
- ii) *H contains no non-zero nil ideals (in H).*
- iii) *H^+ centralizes both the symmetric and skew nilpotents in R .*

3. Center of H . In this section we will establish an important step towards the main theorem stated at the outset; namely, every symmetric of the ring H belonging to the centre $Z(H)$ of H is in fact in Z . We will have to break the given ring R into subrings having two generators.

3.1 Subrings with two generators. Start with any ring R , and pick a in H , and b in $S \cup K$. Denote by $A = A(a, b)$ the subring generated by a and b . Of course a will remain in the $*$ -co-hypercenter of A . Denote by B the centralizer of b in A . Clearly $Z(A) = C_A(a) \cap C_A(b)$. We proceed to the following proposition.

PROPOSITION 11. *In the ring A , b is co-integral of index 2 over the center, with a centralizer B satisfying a polynomial identity.*

Proof. For let $s = s* \in C(B)$. By the basic property of $a \in H(A)$, there is p such that $[s - s^2 \cdot p(s), a] = 0$. Since $s - s^2 \cdot p(s) \in B$, it follows that $s - s^2 p(s) \in C_A(a) \cap C_A(b) = Z(A)$. By [4], every ring B satisfying $s - s^2 \cdot p(s) \in Z(B)$ must satisfy a polynomial identity. Moreover, since b^2 is certainly sym-

metric, b^2 is co-integral of index 1 over the center of A , which completes the proof.

By a result of S. Montgomery, as generalized by M. Smith [15], if the ring A as in Proposition 11 is a prime ring, then A must satisfy a polynomial identity, which is precisely the information that we are seeking in this subsection. But, if A is only a $*$ -prime ring, there is no way to apply directly Montgomery-Smith's result, nor to get directly in the non-prime case, that $H(A) \subseteq Z(A)$. This is circumvented using related results about centralizers.

PROPOSITION 12. *If A is $*$ -prime, then A must satisfy a polynomial identity.*

Proof.

Step 1. B is semi-prime.

If s is a symmetric or skew nilpotent in B , by Theorem 2, s commutes with a . Since $s \in B$, $s \in Z(A)$ follows. In view of the $*$ -primeness of R , $s = 0$ necessarily.

Step 2. B contains some non-trivial symmetric idempotent.

Let $e = e^* = e^2 \neq 0, 1$ in B . Clearly $[a, e] \neq 0$. Now in the course of the proof of Proposition 4 (Section 2.1) it was seen that if A were not prime, necessarily $H(A)$ centralizes all symmetric idempotents. Consequently A is necessarily a prime ring. We can finish up the proof by a localization argument. But there is no need for that. In fact, given $z \in Z^+$, $z \neq 0$, ze is symmetric, so $[a, ze - (ze)^2 p(ze)] = 0$ forces $z = z^2 p(z)$, $z \in Z^+$. It follows that B is $*$ -co-integral of index 1 over the zero subring. Now B cannot be nil (otherwise b is nilpotent, so $[a, b] = 0$, whence A is commutative, which we are ruling out). Thus R has a characteristic $p \neq 0$, and consequently R is an algebra over a field (Galois field). By Montgomery-Smith's result, A must satisfy a polynomial identity.

Step 3. B contains no non-trivial symmetric idempotents.

We claim that $Z^+ \neq 0$ necessarily. Otherwise, take any $0 \neq s = s^* \in B$. From $s - s^2 p(s) \in Z$ follows $s = s^2 p(s)$, giving the idempotent $e = e^* = s p(s)$, which must be then the unity of R , an impossibility. Thus B contains no symmetric $\neq 0$, so $b^2 = 0$, whence $[a, b] = 0$, resulting in A , commutative, which is ruled out.

Now every symmetric $s = s^*$, being of the form $d = s - s^2 p(s) \in Z$, is a non-zero divisor on R . For if $d = 0$ the argument above gives that s is indeed invertible, while $d \neq 0$ forces s to be non-zero divisor. Localizing A re $Z^+ \neq 0$, B becomes $\bar{B} = B(Z^+)^{-1}$, a semi-prime ring all of whose symmetric are invertible. By a result of M. Osborn, \bar{B} must be semi-simple artinian (with the extra property that \bar{B} contains no skew nilpotents). We proceed to show that b has some central power in R , hence in $\bar{R} = R(Z^+)^{-1}$. Consider the subring $Z^+[b^2]$ generated by Z^+ and b^2 . This is contained in B , so $Z^+[b^2]$ must be co-integral of index 1 over Z^+ . As the later subring is a commutative domain,

we derive that b^2 has some power in $Z^+(Z^+)^{-1}$, so $b^{2n} \cdot z_1 = z_2$, for some $z_i \in Z^+, z_2 \neq 0$. It follows that $b^{2n} \in Z^+$, as wished.

Having shown that b has some power in $Z(\bar{R})$, and that the centralizer \bar{B} of b in \bar{R} is semi-simple artinian, we get using [9] that \bar{R} itself is semi-simple artinian. A trivial adaptation of Montgomery’s result [12] shows that \bar{R} is then *PI*, so R must be *PI*, which completes the proof.

What can be said about any ring $A = A(a, b)$ of the considered generators a, b ? Denote by G the commutator ideal of A . (This is the ideal generated by all commutators in A .) We can prove the following theorem.

THEOREM 3. *For any $a = a* \in H(R)$, and $b \in S \cup K$, $A = A(a, b)$ satisfies a polynomial identity modulo the prime radical, and the commutator ideal $G = G(A)$ of the ring A is $*$ -co-integral over the zero subring.*

Proof. It suffices to prove the theorem for $R = A(a, b)$, a $*$ -prime ring with characteristic zero or greater than 5 (provided we can establish a polynomial identity of fixed degree, the reduction for the *PI* conclusion is clear. As for the nature of the commutator ideal G , reduce to the $*$ -prime case by considering an m -system

$$M = \{2^n \cdot 3^m \cdot 5^{r'} g(s)\}_{n,m,r;\sigma=t^r-t^{r+1}p(t)}$$

and take a $*$ -prime ideal maximal re the exclusion of M , where $s = s*$ is a fixed symmetric in G). By Proposition 12, R must satisfy a polynomial identity. If $H^+(R) \subseteq Z$, clearly $a \in H^+(R)$ commutes with b , so R is commutative, whence $G = 0$. If, on the other hand, $H^+(R) \not\subseteq Z$, Proposition 5, applies and yields R to be as in Theorem 1, type (2). It follows that R satisfies the standard identity in 4 variables, and that G is clearly $*$ -co-integral over the zero subring. The theorem is proved.

3.3. Symmetric idempotents. We take R to be a $*$ -prime ring, and let $a = a* \in Z_H$, the centre of H . We wish to show that for every symmetric idempotent $e = e*$ of R , $[a, e] = 0$ necessarily. As observed earlier this property is certainly true when R is not prime.

PROPOSITION 13. 1) *If $[a, e] \neq 0$, then R must have finite characteristic.*

2) *If $b = ae + ea - 2cae$, then $b = b* \in Z_H$, $[b, e] \neq 0$, and the subring $A(b, e)$ generated by b and e is finite.*

Proof. 1) Suppose, by way of contradiction, that R has characteristic 0. Given any $c = c* \in H(R)$ and any $x \in S \cup K(R)$, we know by Theorem 3, Section 2.4, that the corresponding subring $A = A(c, x)$ has a commutator ideal G , which is co-integral over the zero subring. Now G is a subring of R , which must be of characteristic 0, since R is $*$ -prime. Consequently G must be nil, giving in particular that $[c, x]$ is nilpotent. Since the later element is again in $S \cup K$, by Theorem 2 Section 2.4, $[c, [c, x]] = 0$ follows. Thus $[c, [c, x]] = 0$ for all $x \in R$. By Herstein’s Sublemma, $c \in Z$ follows, all $c = c* \in H$, contra-

dicting the assumption $[a, e] \neq 0$, for the considered elements $a \in H^+$, and $e \in R$. We have to agree that R has non-zero characteristic, so must be an algebra over a Galois field.

2) Since $e = e^*$ is an idempotent, and since Z_H is invariant (for H is invariant) containing b , it follows that $(1 - 2e)a(1 - 2e) = a - (2ea + 2ae) + 4eae \in Z_H$, resulting in $b = ea + ae - 2eae \in Z_H$. Observe that $b = be + eb$. If then b commutes with e , we get $eb = ebe + eb, be = be + ebe$, so $eb = be = 0$, whence $b = eb + be = 0$, that is, $ea + ae - 2eae = 0$. From this $ea + eae - 2eae = 0$ and $eae + ae - 2eae = 0$, giving $ea = eae = ae$, which is ruled out. Thus $[b, e] \neq 0$ necessarily.

Consider $E = \{e^n \cdot b^m\}_{n=0,1; m \leq m_0}$, where m_0 is the algebraic degree of b over the underlying Galois field. (In fact, $b = ea + ae - 2eae = [ae, e] + [e, ea]$ is in the commutator ideal of the subring $A(e, a)$, which, by Theorem 3 Section 2.4, is co-integral over the zero subring.) By inspection, E has as its span over the Galois field precisely $A(e, b)$, so $A(e, b)$ is finite.

PROPOSITION 14. *If R is \ast -prime, then every symmetric element in the centre of H centralizes every symmetric idempotent in R .*

Proof. Let $A = A(b, e)$. By Proposition 13, Section 3.3, A is a finite subring of R . Let $W = A \cap Z_H^+$. This is a commutative invariant subring of symmetric elements containing b (invariant re the ring A). If P is the prime radical of A , then the factor ring $A/P = \bar{A}$ is certainly finite, and W maps onto a commutative subring of symmetric elements \bar{W} containing the image \bar{b} of b , which is "almost invariant" in the sense that \bar{W} is preserved under the quasi-unitaries $2\bar{f}, \bar{f}$ any symmetric idempotent, or $2\bar{k}(1 - \bar{k})^{-1}$. The later types of quasi-unitaries are in fact liftable re nil ideals.

Now let Λ be a \ast -simple component of \bar{A} . Clearly \bar{W} maps onto a commutative subring of symmetric elements containing the image β of \bar{b} , which is almost invariant. In the presence of the finiteness of Λ (or just the fact that the ground division ring in Λ is not 4-dimensional), Remarks 6 extend to the almost invariant subalgebras. But we must first ensure that Λ is simple artinian. If not, taking into account that e maps onto an idempotent $\epsilon = e\ast$ of Λ , and that b maps onto the element $\beta \in H^+(\Lambda)$, we get immediately $[\beta, \epsilon] = 0$ necessarily. This allows us to take Λ to be simple. Clearly we may suppose that $H^+(\Lambda) \not\subseteq Z(\Lambda)$. By Corollary to Theorem 1, Section 1, Λ enjoys the property that every commutative subring of symmetric elements, which is almost invariant, must be central. Then $[\beta, \epsilon] = 0$ necessarily.

All in all, we have shown that $[b, e] = 0$ in every \ast -prime image of A . In view of the construction of b , this means that $b = 0$ in every \ast -prime image of A , resulting in b , a symmetric nilpotent of A . Since v was in $Z_H^+ \subseteq H$, by Theorem 2, Section 2.4, $b = 0$ follows. Thus $[b, e] = 0$, whence $[a, e] = 0$, proving the proposition.

3.4 Structure of the \ast -center of H . In this closing subsection, we let R be any \ast -prime ring and wish to establish that every central symmetric c of H , is a

central element of R . As already observed, we may take R to be with finite characteristic (Proposition 13, part 1) Section 3.3). Thus every co-integral element $x \in R$ over the zero subring is of the form $x^{n(x)} = c = c^2$. If, moreover, x is in $S \cup K$, $x^{n(x)}$ is a symmetric idempotent of R . By Proposition 14, Section 3.3, $[c, x^{n(x)}] = 0$ follows. Let then b be a fixed element of $S \cup K(R)$, and let $A(c, b)$ be the subring generated by c and b . By Theorem 3, Section 2.4, for every $x = x^*$ in the commutator ideal $G = G(A)$ of A , x is co-integral over the zero subring, and consequently $[c, x^{n(x)}] = 0$.

Let Λ be a $*$ -prime image of the ring A . By Theorem 3, A is *PI*. We claim that Λ is actually commutative. For in the contrary case, $[\alpha, \beta] \neq 0$, where a and b map respectively an α and β . Since $a = a^*$ was in $H(R) \cap A \subseteq H(A)$, it follows that $\alpha = \alpha^* \in H^+(\Lambda)$. Thus $H^+(\Lambda) \not\subseteq Z(\Lambda)$. In view of Proposition 5, Λ is necessarily of type (2) in Theorem 1, Section 1. In particular Λ is simple and non-commutative. Thus the commutator ideal $G(A)$ of A maps onto a non-zero ideal necessarily equal to Λ . Thus α has the property $[\alpha, x^{n(x)}] = 0$, for all $x \in \Lambda$. Consequently α centralizes all symmetric idempotents in Λ . However the subalgebra generated by these being invariant must be all of Λ forcing $\alpha \in Z(\Lambda)$. We conclude that Λ was commutative.

Since $[a, b]$ is zero in every $*$ -prime image of $A(a, b)$, it follows that $[a, b]$ is nilpotent. Because $[a, b] \in S \cup K$ and $a = a^* \in H$, by Theorem 2, $[a, [a, b]] = 0$ follows. Consequently $[a, [a, x]] = 0$ for all $x \in R$. By Herstein's Sublemma, $a \in Z$ follows. We have proved the following result.

THEOREM 4. *If R is $*$ -prime, then every symmetric element in the centre of H is in fact a central element of R .*

4. Structure of H . In this section we complete the proof of Theorem 5, as stated at the outset. We are given any $*$ -prime ring R with characteristic 0 or greater than 5. We now examine the case where $H^+ \not\subseteq Z$.

PROPOSITION 15. *If $H^+ \not\subseteq Z$, then R must be of type (2) in Theorem 1, Section 1.*

Proof. By Theorem 2, H is a semi-prime ring. By Remark 3, H satisfies a polynomial identity. If $J = J^*$ is a non-zero ideal of the ring H , then by a result of L. Rowen [16], J contains a central element c of H . If both $c + c^*$ and cc^* were equal to zero, c would be a central square-zero element of H , contrary to the semi-primeness (and the fact that $H \neq 0$ necessarily, since $H^+ \not\subseteq Z$). This shows that either $c + c^* \neq 0$ or $cc^* \neq 0$. If $cc^* \neq 0$, J contains the central symmetric element $z = cc^*$ in H . If, on the other hand, $c + c^* \neq 0$, then $z_1 = c + c^*$ is a central symmetric in J . This shows that J must contain an element $z \neq 0$ in $Z^+(H)$. By Theorem 4, $z \in Z(R)$ follows. Thus J contains a non-zero divisor on R . Consequently H must be a $*$ -prime ring.

We claim that the ring H must be of type (2), Theorem 1. To see this observe that since $H^+ \not\subseteq Z$ there must be $a = a^* \in H$, $a \notin Z$. By the contra-positive of Theorem 4, $a \notin Z(H)$. In view of the $*$ -primeness of H and the presence of a

polynomial identity in the ring H , we can then apply Proposition 5, Section 2.2, and get the desired information on H .

Since H is isomorphic to the 2×2 matrices over a field with a canonical transpose involution, it follows that H contains a unity f . Now f is a central element H , so must be central in R . Because $f = f^* = f^2$, by the $*$ -primeness of R , $f = 1$ necessarily, the unity of R . Also H contains a symmetric idempotent $e = e^*$ and some skew k_0 , such that $[e, k_0] = c \neq 0$. Now $c = c^*$ is a square-central symmetric in H , which can of course be taken such that $c^2 \neq 0$. It follows that $c^2 \neq 0$ is a central element of R (Theorem 4, Section 3.4), and consequently c is a non-zero divisor on R .

Now let $s = s^* \in C_R(e) = B$. Since both s and se are symmetric we can find a polynomial $p(t)$ so that $[k_0, s - s^2 \cdot p(s)] = [k_0, (se) - (se)^2 p(se)] = 0$. Then

$$0 = [k_0, (s - s^2 p(s)e)] = (s - s^2 p(s))[k_0, e] = (s^2 p(s) - s) \cdot c.$$

Since c is a non-zero divisor on R , $s = s^2 p(s)$ follows for all symmetric $s = s^*$ in $B = C_R(e)$.

However, eRe and $(1 - e)R(1 - e)$ are $*$ -prime rings contained in $B = C_R(e)$, thus inheriting the co-integral assumption $s = s^2 \cdot p(s)$. By Montgomery's result, eRe and $(1 - e)R(1 - e)$ are certainly right artinian and PI . It follows that R must be right artinian. Consequently R is semi-simple artinian. Since $B = C_R(e) = C_R(1 - 2e)$, with $(1 - 2e)^2 = 1$, by a result of Montgomery, R satisfies a polynomial identity, which completes the proof (Proposition 5, Section 2.1).

PROPOSITION 16. *Let R be any $*$ -prime ring, and suppose that $H^+ \subseteq Z$. Either $S \subseteq Z$ or $H \subseteq Z$, or else H must be a domain.*

Proof. If $Z^+ = 0$, we claim that $H = 0$ necessarily, so $H \subseteq Z$ would follow. In fact, since $H^+ \subseteq Z$, we get $H^+ = 0$. Given $k \in H$, k is then a skew, so $k^2 = 0$. Thus every element of H is square-zero, giving that H is nil. By Theorem 2, Section 2.4, $H = 0$ follows as wished. This shows that we may assume $Z^+ \neq 0$.

Let \bar{R} be the partial ring of fractions re Z^+ , and let \bar{H} be the expansion of H . Clearly every symmetric in \bar{H} must be a central element of \bar{R} , hence an invertible element. Also, since H is semi-prime (Theorem 2), \bar{H} must be also. It follows that either \bar{H} is a division ring, or \bar{H} is a direct product of division rings, or else \bar{H} is the 2×2 matrices over a field with symplectic involution.

Assume that H is not a domain. This forces \bar{H} to be a non-division ring. By the above, \bar{H} contains an idempotent e with $e \oplus e^* = 1_{\bar{R}} = 1_{\bar{H}}$. We shall now prove that if $S \not\subseteq Z$, necessarily $H \subseteq Z$, which will show the proposition.

Write $e = e_1 \cdot z^{-1}$, $z \in Z^+$. Clearly $e\bar{R}e$ is the localization of the subring $e_1 R e_1$. Since $e\bar{R}e$ is certainly semi-prime, $R_1 = e_1 R e_1$. must be also. We claim that for every $x \in H$, $x_1 = e_1 x e_1$ is in the co-hypercenter of R_1 . For let $y \in$

e_1Re_1 . Now $y + y^*$ is symmetric in R . By the basic property of x ,

$$(1) \quad [x, (y + y^*) - (y + y^*)^2p(y + y^*)] = 0.$$

However $y\mathcal{Z}^{-1} = e_1t_0e_1\mathcal{Z}^{-1} = e_1t_0e = et_0e_1$, and $y^*\mathcal{Z}^{-1} = e_1^*t_0^*e_1^*\mathcal{Z}^{-1} = e_1^*t_0^*e^* = e^*t_0^*e_1$. Thus $y\mathcal{Z}^{-1} \cdot y^*\mathcal{Z}^{-1} = e_1t_0e \cdot e^*t_0^*e_1 = 0 = y^*\mathcal{Z}^{-1} \cdot y\mathcal{Z}^{-1}$, giving $yy^* = y^*y = 0$. Thus (1) becomes

$$0 = [x, y - y^2p(y)] + [x, y^* - (y^*)^2p(y^*)].$$

Then

$$x(y - y^2p(y)) - (y - y^2p(y))x = [y^* - (y^*)^2p(y^*), x]$$

Now $y - y^2p(y) \in e_1Re_1$, so $(y - y^2p(y))e = e(y - y^2p(y)) = (y - y^2p(y))$. Thus

$$(2) \quad xe(y - y^2p(y)) - (y - y^2p(y))ex = [y^* - (y^*)^2p(y^*), x]$$

Multiply (2) on the left by e and on the right by e , to get

$$\begin{aligned} [exe, y - y^2p(y)] &= 0; \\ 0 &= [e_1xe_1 \cdot \mathcal{Z}^{-2}, y - y^2p(y)] = \mathcal{Z}^{-2}[e_1xe_1, y - y^2p(y)]; \\ [e_1xe_1, y - y^2p(y)] &= 0, \end{aligned}$$

placing $x_1 = e_1xe_1$ in the co-hypercenter of the ring $R_1 = e_1Re_1$. Consequently e_1xe_1 is a central element of e_1Re_1 . By symmetry, for x as before in H , $e_1^*xe_1^*$ is a central element of $R_1^* = e_1^*Re_1^*$.

Consider an arbitrary skew k in H , and an arbitrary symmetric $s = s^*$ in \bar{R} . At this point let us observe that since H centralizes all symmetric nilpotents in R , so will \bar{H} in \bar{R} , and by the above, that eke, e^*ke^* are respectively central elements in the corner subrings $e\bar{R}e$ and $e^*\bar{R}e^*$. Write

$$[k, s] = [k, ese + e^*se^* + e^*se + ese^*].$$

Since ese^* and e^*se are symmetric nilpotents, we get

$$[k, s] = [k, ese + e^*se^*].$$

Now $[k, s] = [eke + eke^* + e^*ke + e^*ke^*, ese + e^*se^*]$. Since $[eke, ese] = [eke, e^*se^*] = 0 = [e^*ke^*, e^*se^*] = [e^*ke^*, ese]$, we obtain

$$[k, s] = [eke^* + e^*ke, ese + e^*se^*] = s_1 + s_2,$$

where s_i are again, symmetric nilpotents. Thus

$$(3) \quad [k, [k, s]] = [k, s_1 + s_2] = 0.$$

Since H is semi-prime, with $H^+ \subseteq Z$, if then H were not contained in Z , in particular $H^- \neq 0$. If now H^- is nil, necessarily $k^2 = 0$ for all $k = -k^*$ in H , giving by a straightforward linearization $kk' = 0$, all $k, k' \in H^-$. Consequently H would have the nil radical H^- , which is ruled out by Theorem 2, Section 2.4. This shows that some $k \in H^-$ is a non-square zero. Because $k^2 = \mathcal{Z} \in Z$,

k is a non-zero divisor on R . However, by (3),

$$0 = [k, [k, s]] = k^2s - 2ksk + sk^2$$

Since $k^2 \in Z$, we get $2k^2s = ksk$, which on cancellation by k gives $ks = sk$ for all $s = s^* \in \bar{R}$, forcing $k \in Z$, for we had $S \not\subseteq Z(R)$, by a well-known result of Herstein. Knowing that H contains a central skew, we can now derive trivially the conclusion $H \subseteq Z$. For if k_0 is any skew in H , $k_0 \neq 0$, then k_0k is a non-zero symmetric in H , so $k_0k \in Z$ with $k \in Z$ whence $k_0 \in Z$, all $k_0 \in H^-$, $k_0 \neq 0$, so $H = H^+ \subseteq Z$, which completes the proof.

We have all the pieces to prove Theorem 5. We slightly re-phrase the statement.

THEOREM 5. *Let R be any $*$ -prime ring having characteristic 0 or greater than 5. Suppose that the fixed element c of R is such that for every symmetric $s = s^*$ of R , there is a polynomial $p(t)$ depending on c and s such that c commutes with $s - s^2 \cdot p(s)$. Then c is in fact a central element, except when R is of one of the following types:*

- 1) R is an order in the 2×2 matrices over a field with symplectic involution (so, all symmetric are central).
- 2) R is the 2×2 matrices over an algebraic field extension of a Galois field with a canonical transpose involution admitting no symmetric (or skew) nilpotents (so, every symmetric satisfies $s = s^{n(s)}$, $n(s) \geq 2$).

Proof. Suppose that R is not of type (2) and that $H \not\subseteq Z$. By the contrapositive of Proposition 15, $H^+ \subseteq Z$ follows. By Proposition 16, either $S \subseteq Z$ or $H \subseteq Z$, or else H must be a domain. Since we had $H \not\subseteq Z$, it must be that $S \subseteq Z$ or that H is a domain. Now the case $S \subseteq Z$ gives that R is necessarily prime (for R is non-commutative, whence R must be of type (1)).

We are left with the following possibility: $H^+ \subseteq Z$, $H^- \not\subseteq Z$, $S \not\subseteq Z$, and H a domain, that we must now rule out.

Step 1. Let $A(k, s)$ be the subring generated by a fixed skew k in H , and a fixed symmetric $s = s^*$ in R . Then A is PI modulo the prime radical, and the commutator ideal of A is co-integral over the zero subring.

It suffices to show this assertion for A a $*$ -prime non-commutative ring. We may of course assume that $S(A) \not\subseteq Z(A)$, and by Propositions 15, 16, that $H^-(A)$ consists entirely of non-nilpotent square-central skews. Observe that $k \in H(A)$ is one such element. Let $B = C_A(k)$. Given $\sigma = -\sigma^* \in B$, we claim that σ is non-nilpotent (for $\sigma \neq 0$). Suppose the contrary. Then σ^2 is a symmetric nilpotent. By the basic property of k , σ^2 commutes with k . Since $\sigma^2 \in B = C_A(s)$, $\sigma^2 \in Z(A)$ follows, giving $\sigma^2 = 0$. Because $H(A)$ is invariant, we get $(1 - \sigma)k(1 + \sigma) \in H(A)$. Changing σ to 2σ give $\sigma k \sigma$ and $\sigma k - k \sigma \in H(A)$. Because $\sigma k \sigma$ is square-zero, $\sigma k \sigma = 0$. It follows that

$$(\sigma k - k \sigma)^2 = -\sigma k^2 \sigma = -\sigma^2 k^2 = 0,$$

so, by the same token, $\sigma k = k\sigma$. Consequently $\sigma \in Z$, whence $\sigma = 0$ necessarily. Clearly B contains no symmetric nilpotents neither, since in fact, B is $*$ -co-integral of index 1 over Z . A trivial adaptation of the proof of Proposition 12, gives that A is PI. By Corollary to Proposition 5, A is either an order in the 2×2 matrices with symplectic involution, but then $A = A(k, s(=s*))$ would be commutative, or, the 2×2 matrices over a field, which is algebraic over a Galois field. Thus the later case must occur, giving immediately the conclusions in the assertion.

Step 2. Let $e = e$ be any symmetric idempotent of R . Then $[k, e] = 0$.*

Let $y = ck + kc - 2ckc$. We have $y = -y* \in H$ (using as in a previous case the invariance of H via the quasi-unitary $-2e$). Suppose that $y \neq 0$. By an argument (in the fourth paragraph of the proof) of Proposition 15, for every $b = b* \in C_R(e)$ there is a polynomial $p(b)$ such that

$$[y, e](b - b^2 \cdot b(b)) = 0.$$

Now

$$[y, e] = ye - ey = ye - (y - ye) = 2ye - y = y(2e - 1),$$

so

$$y(2e - 1)(b - b^2 p_b(b)) = 0.$$

On cancellation by $y = -y* \in H$, and by the formal unit $2e - 1$, we get $b = b^2 \cdot p_b(b)$, all $b = b* \in C_R(e)$. As in the proof of Proposition 15, this would give that R must be simple artinian, and Theorem 1 would apply, yielding the theorem. This shows that we may assume $y = 0$, so that $[k, e] = 0$ as desired.

Step 3. For every $x = x$ in the commutator ideal G of $A(k, s)$, $[k, x^{n(x)}] = 0$.*

If $[k, s] = 0$ there is nothing to prove. If not, we claim that $[k, s]$ is non-nilpotent. Otherwise, $[k, s]$ would be a symmetric nilpotent. Since $k \in H$, $0 = [k, [k, s]] = k^2s - 2ksk + sk^2$ follows. Because $0 \neq k^2 \in Z$, we would get $ks = sk$, which is false. Thus G is non-nil. By 1, G was co-integral over the zero subring. Consequently, R must be of finite characteristic, and every $x = x* \in G$ is of the form $x^{n(x)} = e = e*$. By 2, $[k, x^{n(x)}] = 0$ follows.

We can now easily reach a contradiction to the assumption $[k, s] \neq 0$. For if Λ is a $*$ -prime image of $A(k, s)$, this is a PI ring. If Λ were non-commutative, by the corollary to Proposition 5 (noting that $H(\Lambda) \not\subseteq Z(\Lambda)$ and that $S(\Lambda) \not\subseteq Z(\Lambda)$), Λ should be of type (2) in Theorem 1, Section 1, which would yield as in a previous situation that the image σ of k is such that $[\sigma, x^{n(x)}] = 0$, for all $x = x* \in \Lambda$, $n(x) \geq 2$, forcing $\sigma \in Z(\Lambda)$ necessarily. We conclude that $[k, s]$ is zero in every $*$ -prime image of A , giving that $[k, s]$ is a symmetric nilpotent in $A \subseteq R$, so $[k, [k, s]] = 0$ whence as in the above $[k, s] = 0$, all $s = s* \in R$, a contradiction to the assumption $k \notin Z$ and $S \not\subseteq Z$. The theorem is proved.

We conclude with some observations and questions. All the results in this paper carry over to the rings R with characteristic possibly 3 or 5, provided R is an algebra over a field containing more than 5 elements. Actually the results remain true for rings R with characteristic 5. This, however, requires rather heavy computations arising in the simple artinian case as our result on invariant subalgebras was assuming a ground division ring containing at least 7 elements. Concerning algebras over commutative rings Φ , the whole paper will extend to this context under a suitable assumption on Φ extending the integers; namely, if A is a commutative integral domain, which is co-integral over the subalgebra B , then A must be radical over the subfield of quotients of B .

Question 1. Does Theorem 5 carry over to rings with any characteristic?

Question 2. If R is semi-prime, in which, given $a = a*$, $b = b*$, $[a - a^2p_1(a), b - b^2 \cdot p_2(b)] = 0$, must R satisfy the standard identity in 4 variables?

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