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# Convergence of the gradient flow of renormalized volume to convex cores with totally geodesic boundary

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#### Abstract

We consider the Weil–Petersson gradient vector field of renormalized volume on the deformation space of convex cocompact hyperbolic structures on (relatively) acylindrical manifolds. In this paper we prove the conjecture that the flow has a global attracting fixed point at the unique structure  $M_{\rm geod}$  with minimum convex core volume.

#### 1. Introduction

The deformation space of convex cocompact structures CC(N) on a hyperbolizable 3-manifold N has a natural flow V, first studied in [BBB19]. This flow V has a classical description; at a point  $M \in CC(N)$  it is the Weil–Petersson dual of the Schwarzian derivative of the maps uniformizing the components of the conformal boundary  $\partial_c M$  of M. Work of Storm proved that the convex core volume is minimized if and only if N is acylindrical, with the minimum given by the manifold  $M_{\rm geod}$  whose convex core boundary is totally geodesic (see [Sto07]). A natural conjecture is that the flow V uniformizes N. Specifically, that for any flowline  $M_t$  of V we have  $M_t \to M_{\rm geod}$ . In this paper, we prove this conjecture and extend it to the class of relatively acylindrical manifolds.

Although the flow has the above classical description in terms of the Schwarzian derivative, it only arose recently in the study of renormalized volume. This perspective will not be needed in this paper, but renormalized volume gives an analytic function  $\operatorname{Vol}_R : CC(N) \to \mathbb{R}$  and the flow V is equal to the Weil–Petersson gradient flow of  $-\operatorname{Vol}_R$ . Renormalized volume was introduced in work of Graham and Witten [GW99] in physics to give an alternative notion of volume for conformally compact Einstein manifolds. In the hyperbolic setting, this was described and developed in the papers [TT03, ZT87, KS08, KS12] of Takhtajan, Zograf, Teo, Krasnov, and Schlenker. The renormalized volume  $\operatorname{Vol}_R(M)$  of a hyperbolic manifold M connects many analytic concepts from the deformation theory with the geometry of M and is closely related to

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classical objects such as the convex core volume  $Vol_C(M)$  and the Weil–Petersson geometry of Teichmüller space. For the description of these connections, we refer the reader to the earlier papers [BBB19, BBB22] for this perspective.

#### 1.1 Flow on a deformation space of relatively acylindrical manifold

For N a compact hyperbolizable 3-manifold, we denote by CC(N) the space of convex cocompact hyperbolic structures on the interior of N. We consider triples (N; S, X) where S is a union of components of  $\partial N$  and X a conformal structure on  $\partial N - S$ . We then define  $CC(N; S, X) \subseteq CC(N)$  to be the subset with conformal structure X on  $\partial N - S$ . The pair (N; S) is relatively acylindrical if there are no non-trivial annuli with boundary curves both in S. There are two important examples. The first is when  $S = \partial N$  and N is a acylindrical. The second important example is the pair  $(S \times [0,1], S \times \{0\})$  where S is a closed surface. Then  $CC(S \times [0,1]; S \times \{0\}, X)$  is called the Bers slice and denoted by  $\mathscr{B}_X$ . While these may be the two main cases of interest, our result will hold in the general setting of CC(N; S, X) for any relatively acylindrical (N; S). By the classical deformation theory of Kleinian groups (see [Kra74]), CC(N; S, X) is parameterized by the Teichmüller space Teich(S) of conformal or hyperbolic structures on S. Combined with Thurston's hyperbolization theorem, this deformation theory also implies that there is a unique  $M_{\text{geod}} \in CC(N; S, X)$  such that  $\partial_c M_{\text{geod}} = X \sqcup Y_{\text{geod}}$  and the convex core of  $M_{\text{geod}}$  has totally geodesic boundary facing  $Y_{\text{geod}}$ .

Given  $Y \in \text{Teich}(S)$ , we let  $M_Y \in CC(N; S, X)$  be the convex cocompact hyperbolic 3-manifold whose conformal boundary restricted to S is Y. Let  $\Gamma_Y$  be a Kleinian group with  $M_Y = \mathbb{H}^3/\Gamma_Y$  and let  $\Omega_Y$  be the union of components of the domain of discontinuity of  $\Gamma_Y$  that project to Y. The components of  $\Omega_Y$  will be Jordan domains in  $\widehat{\mathbb{C}}$ . Given a component  $\Omega$  of  $\Omega_Y$ , let  $f \colon \mathbb{H}^2 \to \Omega$  be a uniformizing univalent map. The Schwarzian derivative S(f) defines a holomorphic quadratic differential on  $\Omega$ . If we repeat this construction for every component of  $\Omega_Y$  we get a  $\Gamma_Y$ -invariant holomorphic quadratic on  $\Omega$  which will descend to a holomorphic quadratic differential  $\phi_Y$  on Y.

Recalling that we have an isomorphism  $CC(N; S, X) \cong \text{Teich}(S)$  and that tangent vectors in  $T_Y \text{Teich}(S)$  are given by Beltrami differentials on Y, we can define a vector field  $V = V_{(N;S,X)}$  on Teich(S) by taking the harmonic Beltrami differential associated to  $\phi_Y$ . Namely, let

$$V(Y) = -\left[\frac{\bar{\phi}_Y}{\rho_Y}\right],\,$$

where  $\rho_Y$  is the area form for the hyperbolic metric on Y. The expression inside the brackets is a Beltrami differential, with the brackets indicating that we are taking the equivalence class in the tangent space  $T_Y$  Teich(S). Thus, V is a vector field on Teich(S). Of course, the identification  $CC(N; S, X) \cong \text{Teich}(S)$  also allows us to consider V as a vector field on CC(N; S, X). Conceptually this may be preferable as the hyperbolic structures determine V. However, much of the actual work (after the definition) will only involve Teichmüller space and we will move freely between the two viewpoints. As we will discuss below, V is the Weil–Petersson gradient of the negative of the renormalized volume function on  $CC(N; S, X) \cong \text{Teich}(S)$ .

Our main result is the following theorem.

THEOREM 1.1. Let (N; S) be relatively acylindrical and  $M_t \in \text{Teich}(S)$  be a flowline for  $V = V_{(N;S,X)}$ . Then  $M_t$  converges to  $M_{\text{geod}}$ .

For the case of N being acylindrical, the above states that the flow V uniformizes N in that every convex cocompact structure flows to the unique structure  $M_{\text{geod}}$  with totally geodesic boundary. This is also the structure with minimal convex core volume (see [Sto07]).

We note that the existence of the manifold  $M_{\rm geod}$  is a consequence of Thurston's hyperbolization theorem along with the deformation theory of Kleinian groups mentioned above, and in fact the manifold  $M_{\rm geod}$  only exists if (N;S) is relatively acylindrical. More precisely, the proof is (a special case of) the induction step in the proof of Thurston's theorem which is to find a fixed point of the *skinning map* on Teichmüller space. Thurston proved this by showing that the skinning map has bounded image (see [Thu86]). McMullen gave an alternative proof by showing that this skinning map was a strict contraction (see [McM90]). Our proof uses McMullen's contraction of the skinning map at two key moments, although for one, Thurston's bounded image theorem would also work. So we are not giving a new proof of this existence theorem. It would be very interesting to give a more direct proof of Theorem 1.1 that did not depend on these two results, which would give an alternative proof of the existence of  $M_{\rm geod}$ .

The deformation space CC(N; S, X) is homeomorphic to an open ball and the vector field V has a single, attracting zero (see [Mor17, Var19]) so it may not seem surprising that the flow converges to this zero. However, the boundary of CC(N; S, X) appears to exhibit fractal behavior which the vector field must wind its way through to find the zero. For example, if CC(N; S, X) is the Bers slice  $\mathcal{B}_X$  then the Bers embedding identifies  $\mathcal{B}_X$  with a bounded open topological ball in the finite-dimensional vector space Q(X) of holomorphic quadratic differentials on X. When  $\mathcal{B}_X$  has complex dimension 1, Komori, Sugawa, Wada, and Yamashita (see [KSWY06]) and Dumas (see [Dum1] for images and [Dum2] for software) have drawn pictures that reveal this fractal behavior. More rigorously, also for dimension 1, Miyachi (see [Miy03]) has shown that 'cusped' manifolds on the boundary of  $\mathcal{B}_X$  correspond to cusps in the boundary of  $\mathcal{B}_X$  itself. By McMullen [McM91] cusped manifolds are dense in the boundary of  $\mathcal{B}_X$ , so together this implies that the boundary of  $\mathcal{B}_X$  has a dense set of cusps, a more concrete indication of the fractal nature of  $\mathcal{B}_X$ . The flow V is a natural flow that gives a contraction of these complicated domains to the Fuchsian basepoint.

The proof in the Bers slice case and the general case differ only in that the general case requires additional analysis to show that the extra components (called leopard spots) in the domain of discontinuity do not contribute to the limiting model flow detailed below. For clarity of exposition, we have isolated this additional analysis to § 5.

We conclude this introduction with a informal discussion of the flow V when N is acylindrical (so  $S = \partial N$ ). A construction of C. Epstein (see [Eps84]) describes a surface Y' in  $M_Y$ associated to the hyperbolic metric on Y. This surface cuts off a compact core of  $M_Y$  which is closely related to the convex core. When the  $L^2$ -norm of the Schwarzian is small the curvature is small. In particular, the integral of the mean curvature will be small. The difficulty is that this does not imply that the curvature is small everywhere in Y' but only on the  $\epsilon$ thick part of Y' for some small  $\epsilon$ . When we start flowing along V in CC(N) the flow will try to locally deform Y' to decrease its curvature. This puts the thick and thin parts in competition – to decrease the curvature in the thick part we need to increase it in the thin part and vice versa. Our central conclusion is that the thin part eventually wins - the flow will eventually decrease curvature in the thin part even at the cost of increasing it in the thick part. As this happens, the short curves will become longer as the flow travels to a different point in Teichmüller space. This process can repeat but in [BBB22] we saw that it can only happen a finite number of times, and eventually the Epstein surface will converge to a totally geodesic surface that bounds the convex core of the limiting manifold which therefore must be  $M_{\rm geod}$ .

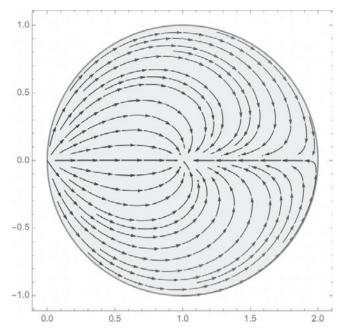


Figure 1. Vector field v on  $\mathscr{D}$ .

#### 1.2 The limiting model flow

In order to prove our main theorem, we show that if a flowline does not converge to  $M_{\text{geod}}$  then we can extract a limiting model flow as the flowline tends a point in the Weil–Petersson completion. We then use the properties of this model flow to obtain a contradiction. We now describe the limiting model flow.

Identifying the hyperbolic plane with the upper half-plane in  $\mathbb{C}$ , we consider the space of univalent maps  $f_c \colon \mathbb{H}^2 \to \hat{\mathbb{C}}$  of the form  $f_c(z) = z^c$  whose image is a Jordan domain. This is subspace of the space of all univalent maps on  $\mathbb{H}^2$  and it corresponds to the open disk  $\mathscr{D} = \{f_c \mid |c-1| < 1\}$  (see Lemma 4.2). For each  $f_c \in \mathscr{D}$  define a Beltrami differential  $\mu_c$  on  $\widehat{\mathbb{C}}$  such

$$f_c^* \mu_c = -\frac{\overline{S(f_c)}}{\rho_{\mathbb{H}^2}}$$

and  $\mu_c$  is zero on the complement of the image of  $f_c$ .

This family of Beltrami differentials defines a flow on  $\mathscr{D}$  as follows. For any  $f_c \in \mathscr{D}$  there is a family of quasiconformal homeomorphisms  $\psi_t \colon \widehat{\mathbb{C}} \to \widehat{\mathbb{C}}$  whose infinitesimal Beltrami differential at t = 0 is  $\mu_c$  and there is a smooth path  $f_{ct}$  such that  $f_{ct}(\mathbb{H}^2) = \psi_t(f_c(\mathbb{H}^2))$ . Furthermore, the  $\psi_t$  are defined for  $t \in \mathbb{R}$  and the flowline starting at  $f_{cs} \in \mathscr{D}$  is given by the formula  $\psi_{t+s} \circ \psi_{-s}$ .

The map  $f_c \mapsto c$  is a homeomorphism from  $\mathscr{D}$  to the disk |z-1| < 1 in  $\mathbb{C}$  and the flow on  $\mathscr{D}$  induces the flow  $c_t$  on the disk (see Figure 1). In fact, we have the following formula for the flow:

$$v(c) = \frac{1}{4}(|c|^4 - 2c\operatorname{Re}(c^2) - c^2 + 2c).$$

Although these last two paragraphs could be made rigorous as they are not necessary to prove our main result, we will not do so. This formula for v will follow from our derivation of the limiting vector field in our proof. However, to informally see the connection between this flow and the gradient flow V one should view the domains  $f_c(\mathbb{H}^2) \subset \widehat{\mathbb{C}}$  as approximations for a component of the domain discontinuity of a Kleinian group where the imaginary axis is the axis of some short curve in the conformal boundary and the constant c is the ratio of the complex length of the

curve in the hyperbolic 3-manifold to its length on the boundary. There is an explicit formula for the derivative of this length ratio (see  $\S 2.1$ ) and in Theorem 4.10 we calculate the limit of this formula as both the length on the boundary and the norm of the Schwarzian approach zero. The limiting formula we find is exactly the formula for the vector field v above.

We can also connect the discussion here to our discussion of the flow in the previous subsection. In our model the situation when c is near 2 corresponds to an acylindrical manifold where the surface Y' has small curvature outside of the  $\epsilon$ -thin part. where the closer c is to two the smaller we can choose  $\epsilon$ . When c is near 1 it corresponds to Y' having small curvature everywhere. While the flow v may initially appear to be converging to 2, it will eventually turn around and head towards 1 which corresponds Y' being totally geodesic and the hyperbolic manifold  $M_{\text{geod}}$ .

While our discussion here is only informal we will see that the properties of this limiting model flow play a crucial role in the proof of our main theorem.

#### 2. Weil-Petersson geometry

Let S be a closed surface of genus  $g \geq 2$ . Then the Teichmüller space Teich(S) is the space of marked conformal structures on S. Given  $X \in Teich(S)$ , the cotangent space  $T_X^*(Teich(S))$  is Q(X), the space of holomorphic quadratic differentials on X. We let B(X) be the space of Beltrami differentials on X. Then there is a pairing between Q(X) and B(X) given by

$$(\phi,\mu) = \int_X \phi \mu.$$

If we let  $N(X) \subseteq B(X)$  be the annihilator of Q(X) under this pairing, we obtain the identification  $T_X(\operatorname{Teich}(S)) = B(X)/N(X)$ .

Given  $\phi \in Q(X)$  and  $z \in X$ , we define the pointwise norm by

$$\|\phi(z)\| = \frac{|\phi(z)|}{\rho_X(z)},$$

where  $\rho_X$  is the hyperbolic metric on X. We define the  $L^p$  norm of  $\phi$ , denoted by  $\|\phi\|_p$ , to be the  $L^p$  norm of the function  $\|\phi(z)\|$  with respect to the hyperbolic area form on X. These  $L^p$  norms define Finsler cometrics on the cotangent bundle of Teich(S) and dual Finsler metrics on the tangent bundle of Teich(S). When p=2 this norm comes from an inner product and therefore determines a Riemannian metric on Teich(S) called the Weil-Petersson metric. Classical results are that the Weil-Petersson metric is incomplete (see [Chu76, Wol75]) and strictly negatively curved (see [Tro86, Wol86]).

In order to describe the Weil–Petersson completion  $\overline{\text{Teich}(S)}$ , we first describe the *augmented Teichmüller space*. For further details on the augmented Teichmüller space see [Abi77, Abi80, Har77].

For S a compact surface we let  $\mathcal{C}(S)$  be the *complex of curves*, the simplicial complex organizing the isotopy classes of simple closed curves on S that do not represent boundary components. To each isotopy class  $\alpha$  we associate a vertex  $v_{\alpha}$ , and each k-simplex  $\sigma$  is the span of k+1 vertices whose associated isotopy classes can be realized disjointly on S.

A point in the augmented Teichmüller space is given by a choice of multicurve  $\tau$ , a (0-skeleton of a) simplex in  $\mathscr{C}(S)$ , and finite-area hyperbolic structures on the complementary subsurfaces  $S \setminus \tau$ . The elements of  $\tau$  are the *nodes* and the point of the completion is a *noded Riemann surface*. The augmented Teichmüller space is stratified by the simplices of  $\mathscr{C}(S)$ : the collection of noded Riemann surfaces with nodes determined by a given simplex  $\sigma$  lies in a

product of lower-dimensional Teichmüller spaces determined by varying the structures on  $S \setminus \tau$ . This *stratum*,  $\mathscr{S}_{\tau}$ , inherits a natural metric from the Weil–Petersson metric, which by Masur (see [Mas76]) is isometric to the product of Weil–Petersson metrics on the Teichmüller spaces of the complementary subsurfaces.

It follows by Masur also that the augmented Teichmüller space is the Weil–Petersson completion  $\overline{\text{Teich}(S)}$ . The completion naturally descends under the action of the mapping class group to a finite-diameter metric on the Deligne–Mumford compactification of the moduli space of Riemann surfaces. The strata of the completion can be described as follows:

$$\mathscr{S}_{\tau} = \{ X \in \overline{\text{Teich}(S)} \mid \ell_{\alpha}(X) = 0 \text{ if and only if } \alpha \in \tau \},$$

where  $\ell_{\alpha}$  is the extended length function of  $\alpha$ .

# 2.1 Length functions and Gardiner's formula

For an essential closed curve  $\alpha$  on S there are two natural length functions on the deformation space  $CC(N; S, X) \cong \operatorname{Teich}(S)$ . The first is just the usual length function  $\ell_{\alpha} \colon \operatorname{Teich}(S) \to \mathbb{R}_{+}$  on Teichmüller space where  $\ell_{\alpha}(Y)$  is the length of the geodesic representative of  $\alpha$  on the hyperbolic surface Y. We have used this function already.

The Gardiner formula is a formula for the differential  $d\ell_{\alpha}$ . To state it we identify the universal cover of Y with the upper half-plane normalized so that the imaginary axis is an axis for  $\alpha$ . Then  $z\mapsto e^{\ell_{\alpha}(Y)}z$  is an element of the deck group for Y. We let  $A_{\alpha}$  be the quotient annulus for the action of this element. The annulus  $A_{\alpha}$  also covers Y, so if  $\mu$  is a Beltrami differential on Y (representing a tangent vector in  $T_Y$  Teich(S)) then  $\mu$  lifts to a Beltrami differential  $\mu_A$  on  $A_{\alpha}$ . The holomorphic quadratic differential  $dz^2/z^2$  on  $\mathbb{H}^2$  is invariant under the action of  $z\mapsto e^{\ell_{\alpha}(Y)}z$  so descends to a Beltrami differential on  $A_{\alpha}$ . We will continue to refer to this quadratic differential as  $dz^2/z^2$  on  $A_{\alpha}$ . If we let  $\langle , \rangle_{A_{\alpha}}$  be the pairing between Beltrami differentials and quadratic differentials on  $A_{\alpha}$  we have the following result.

THEOREM 2.1 (Gardiner [Gar75]). The derivative of  $\ell_{\alpha}$  on Teich(S) is given by the formula

$$d\ell_{\alpha}(\mu) = \frac{2}{\pi} \left\langle \mu_A, \frac{dz^2}{z^2} \right\rangle_{A_{\alpha}}.$$

If we consider  $\alpha$  as a closed curve in the hyperbolic 3-manifold  $M_Y$  then  $\alpha$  has a *complex length*. The real part is just the length of the geodesic representative of  $\alpha$  in  $M_Y$  while the imaginary part measures the twisting along the geodesic. We need the imaginary part to be a well defined real number (rather than just a number mod  $2\pi$ ). For this reason the definition is somewhat involved.

Let  $\Gamma_Y$  be a Kleinian group uniformizing  $M_Y \in CC(N; S, X)$  and let  $\Omega_Y$  be the components of the domain of discontinuity of  $\Gamma_Y$  that cover Y. The pre-image of  $\alpha$  in  $\Omega_Y$  will be a collection of arcs. Fixing an orientation of  $\alpha$  fixes an orientation of each of the arcs and we can assume that the pre-image contains an oriented arc  $\tilde{\alpha}$  with initial endpoint 0 and terminal endpoint  $\infty$ . Let  $\Omega \subset \Omega_Y$  be the component of the domain of discontinuity that contains  $\tilde{\alpha}$  and let log be a branch of the logarithm defined on  $\Omega$ . We then define

$$\mathcal{L}_{\alpha} : CC(N; S, X) \to \mathbb{C}$$

by

$$\mathscr{L}_{\alpha}(M_Y) = \log \tilde{\alpha}(\ell_{\alpha}(Y)) - \log \tilde{\alpha}(0),$$

where we are assuming the that  $\tilde{\alpha}$  is parameterized by arc length. The complex length  $\mathcal{L}_{\alpha}(M_Y)$  is independent of the choices we made in its definition.

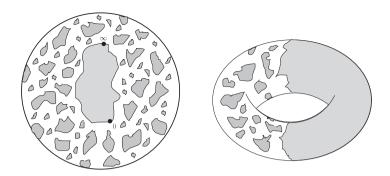


FIGURE 2. Leopard spots on Riemann sphere and quotient torus.

The complex length is a holomorphic function on CC(N:S,X) and we would also like a formula for its derivative. Miyachi observed [Miy03, First proposition, §8] that the proof of Theorem 2.1 can also be applied to find the derivative of the complex length. As  $CC(N;X,S)\cong \mathrm{Teich}(S)$  if  $\mu$  is a tangent vector in  $T_Y$  Teich(S), we can also consider it as a tangent vector to CC(N;X,S) at  $M_Y$ . Then  $\mu$  will lift to a  $\Gamma_Y$ -invariant Beltrami differential  $\tilde{\mu}$  on  $\Omega_Y$ . We can extend  $\tilde{\mu}$  to be zero everywhere else. The Kleinian group  $\Gamma_Y$  will contain the element  $z\mapsto e^{\mathscr{L}_{\alpha}(M_Y)}z$ . The quotient of the  $\mathbb{C}\smallsetminus\{0\}$  under the action of this element will be a torus  $T_\alpha$  and the Beltrami differential  $\tilde{\mu}$  will descend to a Beltrami differential  $\mu_T$  on  $T_\alpha$ . The quadratic differential  $dz^2/z^2$  will also descend to a quadratic differential on  $T_\alpha$ . If  $\langle , \rangle_{T_\alpha}$  is the pairing on  $T_\alpha$  we have the following theorem.

THEOREM 2.2 (Miyachi [Miy03]). The differential of  $\mathcal{L}_{\alpha}$  is given by the formula

$$d\mathcal{L}_{\alpha}(\mu) = \frac{1}{\pi} \left\langle \mu_T, \frac{dz^2}{z^2} \right\rangle_{T_{\alpha}}.$$

For what we will do below it will be useful to decompose this second Gardiner formula into two distinct terms. To describe this decomposition we note that the image of  $\Omega$  in  $T_{\alpha}$  will be an essential annulus, while every other component of  $\Omega_Y$  will map homeomorphically into  $T_{\alpha}$  (see Figure 2). We then write

$$\mu_T = \mu_T^{\text{cen}} + \mu_T^{\text{aux}},$$

where  $\mu_T^{\text{cen}}$  has support on the image of  $\Omega$  and the support of  $\mu_T^{\text{aux}}$  is in the image of the other components of  $\Omega_Y$ . Then

$$\frac{1}{\pi} \left\langle \mu_T^{\text{cen}}, \frac{dz^2}{z^2} \right\rangle_{T_{\alpha}} \quad \text{and} \quad \frac{1}{\pi} \left\langle \mu_T^{\text{aux}}, \frac{dz^2}{z^2} \right\rangle_{T_{\alpha}}$$

are the *central* and *auxiliary* terms of the differential  $d\mathcal{L}_{\alpha}(\mu)$ .

It will also be useful to write the central term as a pairing on the annulus  $A_{\alpha}$ . For this let

$$q: \mathbb{H}^2 \to \Omega$$

be the uniformizing map, normalized so that g takes the imaginary axis to  $\tilde{\alpha}$ . Then the pullbacks  $g^*\tilde{\mu}$  and  $g^*(dz^2/z^2)$  are both invariant under the isometry  $z\mapsto e^{\ell_{\alpha}(Y)}z$  and descend to objects on  $A_{\alpha}$ . In fact, the Beltrami differential will be the Beltrami differential  $\mu_A$  that we defined above. We can then write the central term as

$$\frac{1}{\pi} \left\langle \mu_T^{\text{cen}}, \frac{dz^2}{z^2} \right\rangle_{T_a} = \frac{1}{\pi} \left\langle \mu_A, g^* \left( \frac{dz^2}{z^2} \right) \right\rangle_{A_a}.$$

Note that both the central and auxiliary terms only depend on the Beltrami differential  $\mu$ . For later convenience we let  $J_{\alpha}(\mu)$  be the auxiliary term. Note that, for a Bers slice,  $\Omega$  is the only component of  $\Omega_Y$  so the auxiliary term is always zero. The extra work in the relatively acylindrical case is estimating  $J_{\alpha}(\mu)$  when  $\mu$  is a harmonic Beltrami differential with small  $L^2$ -norm.

#### 3. Limits of the flow

As mentioned in the introduction, the flow V is the negative of the Weil-Petersson gradient of the renormalized volume function  $Vol_R$  on CC(N; S, X). The definition of 'renormalized' is somewhat involved and not necessary for our work here. Therefore, we will omit it and restrict ourselves to discussing some of its important properties. The first of these is a variational formula.

THEOREM 3.1 [ZT87, TT03, KS12]. For tangent vector  $\mu \in T_{M_Y}CC(N) \cong T_Y \operatorname{Teich}(S)$  we have

$$d\operatorname{Vol}_R(\mu) = \operatorname{Re} \int_Y \phi_Y \mu.$$

This formula implies that our flow V is the Weil-Petersson gradient of  $-\text{Vol}_R$ .

By the Nehari bound on the norm of the Schwarzian derivative of a univalent map (see [Neh49]), V is bounded with respect to the Teichmüller metric on Teich(S). Therefore, as the Teichmüller metric is complete, the flowlines exists for all time (see [BBB19] for further details).

Also by the gradient description of V it follows that along a flowline  $M_t$ ,

$$\operatorname{Vol}_{R}(M_{0}) - \operatorname{Vol}_{R}(M_{T}) = \int_{0}^{T} \|\phi_{Y_{t}}\|_{2}^{2} dt.$$

As  $Vol_R \ge 0$  (see [BBB19]), it follows that

$$\int_0^\infty \|\phi_{Y_t}\|_2^2 dt < \infty.$$

We now describe the further properties of the flow proved in [BBB22] that we will need in our analysis.

THEOREM 3.2 (Bridgeman–Brock–Bromberg [BBB22]). Let (N, S) be relatively acylindrical and  $M_t = (X, Y_t)$  be a flowline for V on CC(N; S, X). Then the following assertions hold.

- (i)  $Y_t \to \hat{Y} \in \overline{\text{Teich}(S)}$  in the Weil–Petersson completion. Thus,  $\hat{Y}$  is a noded Riemann surface.
- (ii)  $\|\phi_{Y_t}\|_2 \to 0$  as  $t \to \infty$ .

In order to prove our main theorem, we need to prove that the set of nodes of any limit  $\hat{Y}$  is empty or alternately that  $\hat{Y} \in \text{Teich}(S)$ . We will do this by assuming  $\hat{Y}$  is noded and consider the limits of the projective structure as we zoom in on the nodes. This will produce our limiting model flow which will allow us to obtain a contradiction.

# 4. Taking limits at a node

The length functions  $\ell_{\alpha}$  and  $\mathcal{L}_{\alpha}$  are smooth functions on  $CC(N; S, X) \cong Teich(S)$ . If we pair their differentials against the vector field V we get a function on Teich(S). We would like to take the limit of these functions along the sequence  $Y_n$  in Teich(S) where  $||V(Y_n)||_2 \to 0$ . To do this we will map CC(N; S, X) to a space of univalent functions. We then use normal families theorems for holomorphic functions to evaluate the limit. We begin by setting up our space.

Let

$$\mathscr{U} = \{ \phi \mid \phi = S(f) \text{ for some univalent } f \colon \mathbb{H}^2 \to \mathbb{C} \}$$

be the space of quadratic differentials that arise as Schwarzian derivatives of univalent functions from  $\mathbb{H}^2$  (realized as the upper half-plane) to  $\mathbb{C}$ . We give  $\mathscr{U}$  the compact-open topology and recall some basic facts:

- $\mathscr{U}$  is compact.
- If  $\phi_n \to \phi$  and  $f_n \to f$  (in the compact-open topology) with  $S(f_n) = \phi_n$  then either f is constant or f is univalent and  $S(f) = \phi$ .

The following result is essentially Montel's theorem.

THEOREM 4.1. Let  $z_0$ ,  $z_1$  and  $z_2$  be distinct points in  $\overline{\mathbb{H}}^2$  and  $w_0$ ,  $w_1$  and  $w_2$  distinct points in  $\widehat{\mathbb{C}}$ . Let  $\mathscr{F}$  be a family of holomorphic maps on  $\mathbb{H}^2$  that extend continuously to the  $z_i$  if they are on the boundary of  $\mathbb{H}^2$ , and assume that for all  $f \in \mathscr{F}$  we have  $f(z_i) = w_i$  for i = 0, 1, 2 while if z is not in  $\{z_0, z_1, z_2\}$  then f(z) is not in  $\{w_0, w_1, w_2\}$ . Then  $\mathscr{F}$  is a normal family. If f is a limit of a sequence in  $\mathscr{F}$  then  $f(z_i) = w_i$  for  $z_i \in \mathbb{H}^2$  and if f is non-constant then  $f(z_i) = w_i$  for all i = 0, 1, 2.

For  $s \in \mathbb{R}$  we say that  $\phi \in \mathcal{U}$  is *s-invariant* if it is invariant under the isometry  $z \mapsto e^s z$  (as a quadratic differential). Let  $\mathcal{U}_{\mathbb{Z}} \subset \mathcal{U}$  be the subspace of quadratic differentials that are *s*-invariant for some  $s \neq 0$ . We can define a function

$$\ell \colon \mathscr{U}_{\mathbb{Z}} \to [0, \infty)$$

by taking  $\ell(\phi)$  to be the infimum over all positive s where  $\phi$  is s-invariant. Note that if  $s_n \to s$  and  $\phi_n$  are  $s_n$ -invariant then if  $\phi_n \to \phi$  we have that  $\phi$  is s-invariant. This implies that  $\ell$  is continuous on  $\mathscr{U}_{\mathbb{Z}}$ . It is possible that  $\ell(\phi) = 0$  and we let  $\mathscr{U}_{\mathbb{Z}}^0 = \ell^{-1}(0)$ . This space will be of particular interest.

LEMMA 4.2. If  $\phi \in \mathscr{U}^0_{\mathbb{Z}}$  then

$$\phi(z) = \left(\frac{1-c^2}{2}\right) \frac{dz^2}{z^2}$$

with  $|c-1| \le 1$ . If  $c \ne 0$  let  $g_c(z) = z^c/i^c$ . If c = 0 let  $g_c = \log z$ . Then  $\phi = S(g_c)$ .

Proof. If  $\ell(\phi) = 0$  then  $\phi$  is  $s_n$ -invariant for a sequence of  $s_n > 0$  with  $s_n \to 0$ . If  $\phi$  is s-invariant then  $\phi$  is s-invariant for all  $k \in \mathbb{Z}$ . Together this implies that  $\phi$  is s-invariant for a dense set of  $s \in \mathbb{R}$  so by continuity  $\phi$  is s-invariant for all  $s \in \mathbb{R}$ . From this invariance we see that if  $\phi(i) = -C dz^2$  for some  $C \in \mathbb{C}$  then  $\phi(it) = -(C/t^2) dz^2$  for all t > 0. As  $\phi$  is holomorphic this implies that  $\phi(z) = C/z^2 dz^2$ .

A direct computation gives that  $S(g_c) = ((1-c^2)/2) \cdot (dz^2/z^2)$ . We will show that  $g_c$  is univalent exactly when  $|c-1| \leq 1$ . We can write  $g_c(z) = e^{c\log z}/i^c$ . Let  $\mathscr S$  be the open horizontal strip in  $\mathbb C$  between the lines  $\operatorname{Im} z = 0$  and  $\operatorname{Im} z = \pi$  and let  $\mathscr S_c = \{cz \mid z \in \mathscr S\}$ . Then the image of  $\mathbb H^2$  (as the upper half-plane) under the map  $z \mapsto c \log z$  is  $\mathscr S_c$ . The exponential map restricted to  $\mathscr S_c$  is injective exactly when vertical lines in  $\mathbb C$  intersect  $\mathscr S_c$  in a segment of length  $< 2\pi$ . The intersection of a vertical line with  $\mathscr S_c$  has length  $|c|\pi/\cos(\theta)$  where  $\theta = \arg(c)$ . Thus, we have univalence if  $|c| \leq 2\cos(\theta) = 2\operatorname{Re}(c)/|c|$ , giving  $|c|^2 - 2\operatorname{Re}(c) \leq 0$ . Completing the square, we get  $|c-1|^2 \leq 1$ .

The invariance of the quadratic differentials  $\phi \in \mathcal{U}_{\mathbb{Z}}$  implies invariance for any univalent map f with  $S(f) = \phi$ . The next lemma makes this precise.

LEMMA 4.3. If the quadratic differential  $\phi \in \mathscr{U}_{\mathbb{Z}}$  is s-invariant and  $f : \mathbb{H}^2 \to \mathbb{C}$  is univalent with  $S(f) = \phi$  then there exists a  $\psi \in \mathrm{PSL}(2,\mathbb{C})$  with  $\psi \circ f(z) = f(e^s z)$ . Furthermore,  $\psi$  is either loxodromic or parabolic and f extends continuously to 0 and  $\infty$ , with f(0) the repelling fixed point of  $\psi$  and  $f(\infty)$  the attracting fixed point if  $\psi$  is loxodromic and  $f(0) = f(\infty)$  if  $\psi$  is parabolic.

Proof. If  $\phi$  is s-invariant and f is a univalent map with  $\phi = S(f)$  then the map  $z \mapsto f(e^s z)$  also has Schwarzian  $\phi$ . As two maps with the same Schwarzian differ by post-composition of an element of  $\mathrm{PSL}(2,\mathbb{C})$  we have that there exists a  $\psi \in \mathrm{PSL}(2,\mathbb{C})$  with  $\psi \circ f(z) = f(e^s z)$ . Iterating this formula, for any positive integer k we have that  $\psi^k \circ f(z) = f(e^{sk}z)$ . This implies that  $f(\mathbb{H}^2)$  is  $\psi$ -invariant and that the action of  $\psi$  on the simply connected space  $f(\mathbb{H}^2)$  does not have fixed points so  $\psi$  must be loxodromic or parabolic. Furthermore, the equation  $\psi^k \circ f(z) = f(e^{sk}z)$  implies that f extends continuously to 0 and  $\infty$  with f(0) the repelling fixed point of  $\psi$  and  $f(\infty)$  the attracting fixed point.

The element  $\psi$  is the *holonomy* of  $\phi$ . Let  $\mathscr{U}_{\mathbb{Z}}^+ \subset \mathscr{U}_{\mathbb{Z}}$  be the subspace of quadratic differentials  $\phi$  where  $\ell(\phi) > 0$  and the holonomy is loxodromic. For  $\phi \in \mathscr{U}_{\mathbb{Z}}^+$  we define a complex length function  $\mathscr{L} \colon \mathscr{U}_{\mathbb{Z}}^+ \to \mathbb{C}$  as follows. Let  $f \colon \mathbb{H}^2 \to \mathbb{C}$  be a univalent map with f(0) = 0,  $f(\infty) = \infty$  and  $S(f) = \phi$ . Choose a logarithm,  $\log_{\phi}$ , on  $f(\mathbb{H}^2)$ . We then define  $\mathscr{L}(\phi) = \log_{\phi}(f(e^{\ell(\phi)}z)) - \log_{\phi}(f(z))$ . The expression on the right is independent of the choice of f, the choice of  $z \in \mathbb{H}^2$ , and the choice of logarithm.

We also define a function  $c: \mathscr{U}_{\mathbb{Z}}^+ \to \mathbb{C}$  by

$$c(\phi) = \frac{\mathscr{L}(\phi)}{\ell(\phi)}.$$

LEMMA 4.4. The function c extends continuously to  $\mathscr{U}_{\mathbb{Z}}^0$ , and for  $\phi \in \mathscr{U}_{\mathbb{Z}}^0$  we have

$$\phi(z) = \frac{1 - c(\phi)^2}{2} \cdot \frac{dz^2}{z^2}.$$

*Proof.* If f is univalent and  $S(f) = \phi \in \mathscr{U}_{\mathbb{Z}}^+$  then we have the Bers inequality (or McMullen's interpretation of the Bers inequality [McM90, Proposition 6.4]):

$$\frac{1}{\ell(\phi)} \le \frac{2\operatorname{Re}\mathcal{L}(\phi)}{|\mathcal{L}(\phi)|^2}.\tag{4.1}$$

The statement is usually made in the context of quasifuchsian groups, but the proof goes through without change in our setting. From this we see that

$$|c(\phi)|^2 = \frac{|\mathcal{L}(\phi)|^2}{\ell(\phi)^2} \le 2\operatorname{Re}\left(\frac{\mathcal{L}(\phi)}{\ell(\phi)}\right) = 2\operatorname{Re}(c(\phi)),$$
$$|c(\phi)|^2 - 2\operatorname{Re}(c(\phi)) \le 0,$$
$$|c(\phi) - 1|^2 - 1 \le 0.$$

Thus,  $|c(\phi) - 1| \le 1$ .

Now assume  $\phi \in \mathscr{U}_{\mathbb{Z}}^0$ . Then by Lemma 4.3 we have  $\phi = C(dz^2/z^2)$  for some  $C \in \mathbb{C}$ . Take a sequence  $\phi_n$  in  $\mathscr{U}_{\mathbb{Z}}^+$  with  $\phi_n \to \phi$  and let  $\ell_n = \ell(\phi_n)$ ,  $\mathscr{L}_n = \mathscr{L}(\phi_n)$ , and  $c_n = c(\phi_n)$ . Since  $\ell$  is continuous on  $\mathscr{U}_{\mathbb{Z}}$  we have that  $\ell_n \to 0$  and we can choose integers  $k_n$  such that  $k_n\ell_n \to 1$ . We also fix univalent maps  $f_n$  with  $S(f_n) = \phi_n$  and the normalization  $f_n(\infty) = \infty$ ,  $f_n(i) = 0$ , and  $f_n(ie) = 1$ . By Theorem 4.1 the  $f_n$  will be a normal family. Let f be a limit of a subsequence of the  $f_n$ . Again by Theorem 4.1, f(i) = 0 and f(ie) = 1 so the limit will not be constant, which in turn implies that  $f(\infty) = \infty$  and that f is univalent. It follows that  $S(f_n) = \phi_n \to \phi = S(f)$ .

As f is the only map with  $S(f) = \phi$  plus the given normalization, any convergent subsequence of the  $f_n$  will converge to f. Therefore,  $f_n$  converges to f (uniformly on compact sets) without passing to a subsequence.

If  $\psi_n$  is the element of PSL(2,  $\mathbb{C}$ ) with  $f_n(e^{\ell_n}z) = \psi_n \circ f_n(z)$  then the attracting fixed point of  $\psi_n$  and all its powers is  $\infty$ . Therefore,  $\psi_n^{k_n}(z) = e^{k_n \mathcal{L}_n} z + A_n$  for some  $A_n \in \mathbb{C}$ . As  $c_n$  lies in a compact set we can pass to a subsequence such that  $c_n \to b$  and therefore  $k_n \mathcal{L}_n = k_n \ell_n c_n \to b$ . Taking the limit of the equation  $f_n(e^{k_n \ell_n}z) = e^{k_n \mathcal{L}_n} f_n(z) + A_n$ , we have

$$f(ez) = e^b f(z) + \lim_{n \to \infty} A_n.$$

Substituting in i, for z we see that  $A_n \to 1$ . It follows that  $e^b$  is determined by f (and hence  $\phi$ ). This determines b up to a multiple of  $2\pi i$ . However, we also have  $|b-1| \le 1$ , which implies that  $-1 \le \text{Im } b \le 1$ , so the equation uniquely determines b. In particular,  $c_n \to b$  before passing to a subsequence.

Let  $g_c$  be the univalent map given by Lemma 4.2 with  $S(g_c) = \phi$ . Then  $g_c = \beta \circ f$  for some  $\beta \in \mathrm{PSL}(2,\mathbb{C})$ . Note that  $\psi_n^{k_n} \to \psi$  in  $\mathrm{PSL}(2,\mathbb{C})$  with  $\psi(z) = e^b z + 1$ . If b = 0 then  $f(0) = f(\infty) = \infty$  so we must have that  $g_c(0) = g_c(\infty)$ , and this only occurs when c = 0 and  $g_c(z) = \log z$ . If  $b \neq 0$  then the repelling and attracting fixed points of  $\beta \circ \psi \circ \beta^{-1}$  are  $g_c(0) = 0$  and  $g_c(\infty) = \infty$ . This implies that  $\beta \circ \psi \circ \beta^{-1}(z) = e^b z$  and  $g_c(ez) = e^b g_c(z)$ . It follows that b = c. In both cases we can define  $c(\phi) = \lim_{n \to \infty} c_n$  and we have that c extends continuously to  $\mathscr{U}_{\mathbb{Z}}^0$  with

$$\phi(z) = \frac{1 - c(\phi)^2}{2} \cdot \frac{dz^2}{z^2}.$$

We now describe the map from CC(N; S, X) to  $\mathscr{U}_{\mathbb{Z}}^+ \subset \mathscr{U}$ . It will depend on a choice of essential closed curve  $\alpha$  on S. Given  $M_Y \in CC(N; S, X)$ , let  $\Omega$  be a component of the domain of discontinuity that projects to the component of Y that contains  $\alpha$ . Let  $f_Y \colon \mathbb{H}^2 \to \Omega$  be a uniformizing univalent map that takes the imaginary axis in  $\mathbb{H}^2$  to an axis for  $\alpha$  and let  $\phi_Y = S(f_Y)$ . While we have made several choices, the quadratic differential  $\phi_Y$  is independent of our choices. We can then define  $\Psi_\alpha \colon CC(N; S, X) \to \mathscr{U}$  by  $\Psi_\alpha(M_Y) = \phi_Y$ . This map is continuous and  $\ell_\alpha = \ell \circ \Psi_\alpha$ . Since  $\ell_\alpha$  is positive on CC(N; S, X) we have that the image of  $\Psi_\alpha$  lies in  $\mathscr{U}_{\mathbb{Z}}^+$ .

Given  $\phi \in \mathcal{U}$ , we define

$$\mu_{\phi} = -\frac{\bar{\phi}}{\rho_{\mathbb{H}}^2}.$$

Thus,  $\mu_{\phi}$  is the negative of the corresponding harmonic Beltrami differential. We also let  $A_s$  be the quotient of  $\mathbb{H}^2$  under the action  $z \mapsto e^s z$  and  $\langle, \rangle_s$  the pair of quadratic differentials and Beltrami differentials on  $A_s$ . If  $\phi$  is s-invariant as a quadratic differential then  $\mu_{\phi}$  is s-invariant as a Beltrami differential and descends to a Beltrami differential on  $A_s$ . We define a function

$$F_{\ell} \colon \mathscr{U}_{\mathbb{Z}}^+ \to \mathbb{R}$$

by

$$F_{\ell}(\phi) = \frac{2}{\pi \ell(\phi)} \operatorname{Re} \left\langle \mu_{\phi}, \frac{dz^2}{z^2} \right\rangle_{\ell(\phi)}.$$

As an immediate consequence of Gardiner's formula (Theorem 2.1) we have the following lemma. Lemma 4.5.

$$d \log \ell_{\alpha}(V(M_Y)) = F_{\ell}(\Psi_{\alpha}(M_Y)).$$

Therefore, to study the continuity of  $d\ell_{\alpha}(V)$  we will study the continuity of  $F_{\ell}$  on  $\mathscr{U}_{\mathbb{Z}}$ .

LEMMA 4.6. The function  $F_{\ell}$  extends continuously to  $\mathscr{U}_{\mathbb{Z}}^0$  with

$$F_{\ell}(\phi) = \frac{\operatorname{Re}(c(\phi)^2) - 1}{2}$$

for  $\phi \in \mathscr{U}_{\mathbb{Z}}^0$ .

*Proof.* The key to the proof is that if  $\mu$  and  $\psi$  are s-invariant then  $\langle \mu, \psi \rangle_{sk} = k \langle \mu, \psi \rangle_s$  for all positive integers k. Then if  $\phi_n \to \phi$  for  $\phi \in \mathscr{U}_{\mathbb{Z}}^0$  we can choose  $k_n$  such that  $k_n \ell(\phi_n) \to 1$  so that

$$F_{\ell}(\phi_n) = \frac{2}{\pi \ell(\phi)} \operatorname{Re} \left\langle \mu_{\phi_n}, \frac{dz^2}{z^2} \right\rangle_{\ell(\phi_n)}$$
$$= \frac{2}{\pi} \operatorname{Re} \left\langle \frac{1}{k_n \ell(\phi_n)} \mu_{\phi_n}, \frac{dz^2}{z^2} \right\rangle_{k_n \ell(\phi_n)}$$
$$\longrightarrow \frac{2}{\pi} \operatorname{Re} \left\langle \mu_{\phi}, \frac{dz^2}{z^2} \right\rangle_{1}.$$

This shows that the  $F_{\ell}$  extends continuously to  $\mathscr{U}^{0}_{\mathbb{Z}}$ . For  $\phi \in \mathscr{U}^{0}_{\mathbb{Z}}$  we have  $\phi(z) = ((1 - c(\phi)^{2})/2) \cdot (dz^{2}/z^{2})$ . The pairing is easier to calculate in the strip model for  $\mathbb{H}^2$  (the region  $\mathscr{S}$  between the horizontal lines  $\operatorname{Im} z = 0$  and  $\operatorname{Im} z = \pi$ ) with area form  $\rho_{\mathbb{H}}^2 = 1/\sin^2 y$ . In this model the quadratic differential  $dz^2/z^2$  becomes  $dz^2$  so  $\mu_{\phi}(z) = 1/\sin^2 y$ .  $\sin^2 y(\overline{c(\phi)}^2 - 1)/2$  and

$$\left\langle \mu_{\phi}, \frac{dz^2}{z^2} \right\rangle_1 = \frac{\overline{c(\phi)^2} - 1}{2} \int_0^{\pi} \int_0^1 \sin^2 y \, dx \, dy$$
$$= \frac{\pi \left( \overline{c(\phi)^2} - 1 \right)}{4}.$$

Taking the real part and multiplying by  $2/\pi$  gives the claimed formula for  $F_{\ell}(\phi)$ . 

We would like to similarly define a function on  $\mathscr{U}_{\mathbb{Z}}^+$  for the differential  $d \log \mathscr{L}_{\alpha}$ . We will not be able to do this exactly but instead give a formula for the central term. We will need to evaluate the auxiliary term separately.

Given  $\phi \in \mathscr{U}_{\mathbb{Z}}^+$ , let  $g_{\phi} \colon \mathbb{H}^2 \to \mathbb{C}$  be the univalent map with  $S(g_{\phi}) = \phi$  and  $g_{\phi}(0) = 0$ ,  $g_{\phi}(\infty) = \infty$ , and  $g_{\phi}(i) = i$ . By Theorem 4.1, this is a normal family. We observe that if  $\phi$  is s-invariant the quadratic differential  $(g_{\phi})^*(dz^2/z^2)$  is s-invariant. We then define a function

$$F_{\mathscr{L}} \colon \mathscr{U}_{\mathbb{Z}}^+ \to \mathbb{C}$$

by

$$F_{\mathscr{L}}(\phi) = \frac{1}{\pi \mathscr{L}(\phi)} \left\langle \mu_{\phi}, (g_{\phi})^* \left( \frac{dz^2}{z^2} \right) \right\rangle_{\ell(\phi)}.$$

From Theorem 2.2 and the discussion following it we have the following lemma.

Lemma 4.7.

$$d\log \mathcal{L}_{\alpha}(V(M_Y)) = F_{\mathcal{L}}(\Psi_{\alpha}(M_Y)) + \frac{J_{\alpha}(V(M_Y))}{\mathcal{L}_{\alpha}(M_Y)}.$$

We now establish the continuity of  $F_{\mathscr{L}}$ .

LEMMA 4.8. The function  $F_{\mathscr{L}}$  extends continuously to  $\mathscr{U}_{\mathbb{Z}}^0$  with

$$F_{\mathscr{L}}(\phi) = \frac{c(\phi)(\overline{c(\phi)}^2 - 1)}{4}.$$

*Proof.* The proof is similar to Lemma 4.6. Assume that  $\phi_n$  in  $\mathscr{U}^+_{\mathbb{Z}}$  converges to  $\phi \in \mathscr{U}^0_{\mathbb{Z}}$  and let  $g_n = g_{\phi_n}$ ,  $\ell_n = \ell(\phi_n)$ , etc. Choose integers  $k_n$  with  $k_n \ell_n \to 1$ . By Lemma 4.4 the function c is continuous and therefore  $k_n \mathscr{L}_n = k_n \ell_n c_n \to c(\phi)$ .

In Lemma 4.9 below we will show that

$$\frac{1}{c_n^2} g_n^* \left( \frac{dz^2}{z^2} \right) \to \frac{dz^2}{z^2}.$$

We assume this for now and then as in Lemma 4.6 we have

$$F_{\mathscr{L}}(\phi_n) = \frac{1}{\pi} \left\langle \frac{1}{k_n \mathscr{L}_n} \mu_n, (g_n)^* \left( \frac{dz^2}{z^2} \right) \right\rangle_{k_n \ell_n}$$

$$= \frac{c_n}{\pi} \left\langle \frac{1}{k_n \ell_n} \mu_n, \frac{1}{c_n^2} (g_n)^* \left( \frac{dz^2}{z^2} \right) \right\rangle_{k_n \ell_n}$$

$$\longrightarrow \frac{c(\phi)}{\pi} \left\langle \mu_\phi, \frac{dz^2}{z^2} \right\rangle_1$$

$$= \frac{c(\phi) \left( \overline{c(\phi)^2} - 1 \right)}{4}.$$

Lemma 4.9.

$$\frac{1}{c_n^2}g_n^*\left(\frac{dz^2}{z^2}\right) \to \frac{dz^2}{z^2}.$$

*Proof.* We first assume that  $c(\phi) \neq 0$ . By our normalization  $g_n(e^{k_n\ell_n}z) = e^{k_n\mathcal{L}_n}g_n(z)$ , so (after possibly passing to a subsequence) as  $n \to \infty$  we have  $g(ez) = e^{c(\phi)}g(z)$  where  $g_n \to g$ . Since  $c(\phi) \neq 0$  we have that g is non-constant and it follows that g fixes 0, i, and  $\infty$  and  $S(g) = \phi$ . This implies that  $g(z) = e^{c(\phi)}/i^{c(\phi)}$ . It follows that

$$(g_n)^* \left(\frac{dz^2}{z^2}\right) \to g^* \left(\frac{dz^2}{z^2}\right) = c(\phi)^2 \frac{dz^2}{z^2}$$

so the lemma holds if  $c(\phi) \neq 0$ .

When  $c(\phi) = 0$  (and therefore, by Lemma 4.2,  $\phi = 1/2 \cdot dz^2/z^2$ ) it will be necessary to choose a different normalization for the  $g_n$  so that they do not converge to a constant function. In particular, similar to the proof of Lemma 4.4, we choose univalent functions  $f_n$  with  $f_n(\infty) = \infty$ ,  $f_n(i) = i\pi$ , and  $f_n(ie) = 1 + i\pi$  and whose Schwarzian is  $\phi_n$ . By Theorem 4.1, the  $f_n$  form a normal family. As any limiting function will be non-constant the Schwarzians  $\phi_n$  will also converge to the Schwarzian of the limit. As by assumption  $\phi_n \to \phi$ , we have that any limiting function has Schwarzian  $\phi$ . The chosen normalizations of  $f_n$  will also persist in the limit. Together these conditions imply that the only possible limiting function is  $f(z) = \log z$ , so  $f_n$  converges to  $f(z) = \log z$  uniformly on compact sets.

Also, as in Lemma 4.4, we have the equation  $f_n(e^{k_n\ell_n}z) = e^{k_n\mathcal{L}_n}f_n(z) + A_n$  with  $A_n \to 1$ . If we choose  $\beta_n \in \mathrm{PSL}(2,\mathbb{C})$  with  $g_n = \beta_n \circ f_n$  then  $g_n^*(dz^2/z^2) = f_n^*(\beta_n^*(dz^2/z^2))$ . To calculate  $\beta_n^*(dz^2/z^2)$  we observe that  $\beta_n$  takes the attracting and repelling fixed points of  $z \mapsto e^{k_n\mathcal{L}_n}z + A_n$  to the attracting and repelling fixed points of  $z \mapsto e^{k_n\mathcal{L}_n}z$ . In particular,  $\beta_n(A_n/(1-e^{k_n\mathcal{L}_n})) = 0$  and  $\beta_n(\infty) = \infty$ . It follows that

$$\beta_n^* \left( \frac{dz^2}{z^2} \right) = \frac{dz^2}{\left( z - A_n / (1 - e^{k_n \mathcal{L}_n}) \right)^2}.$$

As  $k_n \mathcal{L}_n = c_n k_n \ell_n \to c(\phi) \cdot 1 = 0$  this quadratic differential will converge to zero. However, if we multiply the denominator by  $(k_n \mathcal{L}_n)^2$  the denominator will converge to 1 (since  $A_n \to 1$ ) and the quadratic differential will converge to  $dz^2$ . We further have that  $k_n \mathcal{L}_n/c_n \to 1$  and it follows that if we divide by  $c_n^2$  we have

$$\frac{1}{c_n^2}\beta_n^*\left(\frac{dz^2}{z^2}\right) \to dz^2,$$

and since  $f_n \to \log z$  this gives

$$\frac{1}{c_n^2}g_n^*\left(\frac{dz^2}{z^2}\right) = f_n^*\left(\frac{1}{c_n^2}\beta_n^*\left(\frac{dz^2}{z^2}\right)\right) \to \frac{dz^2}{z^2}.$$

This proves the lemma when  $c(\phi) = 0$ .

We can now prove our limiting formulas for the derivatives of  $\ell_{\alpha}$ ,  $\mathcal{L}_{\alpha}$ , and  $c_{\alpha}$ .

THEOREM 4.10. Let (N; S) be a relatively acylindrical pair and  $\alpha$  an essential simple closed curve in S. Let  $Y_n$  be a sequence in Teich(S) with the volume of  $C(M_{Y_n})$  bounded,  $||V(Y_n)||_2 \to 0$ ,  $\ell_{\alpha}(Y_n) \to 0$ , and  $c_{\alpha}(M_{Y_n}) \to c$  for some  $c \in \mathbb{C}$ . Then

- (i)  $\lim_{n \to \infty} d(\log \ell_{\alpha})(V(Y_n)) = \frac{1}{2} (\operatorname{Re}(c^2) 1),$
- (ii)  $\lim_{n\to\infty} d(\log \mathcal{L}_{\alpha})(V(Y_n)) = \frac{1}{4}c(\bar{c}^2 1),$
- (iii)  $\lim_{n \to \infty} dc_{\alpha}(V(Y_n)) = \frac{1}{4} (|c|^4 2c \operatorname{Re}(c^2) c^2 + 2c).$

In particular, if  $Y_t$  is a flowline for V,  $Y_n = Y_{t_n}$  for a sequence  $t_n \to \infty$ , and  $\ell_{\alpha}(Y_n) \to 0$  and  $c_{\alpha}(M_{Y_n}) \to c$ , then (1)–(3) hold.

*Proof.* Combining Lemmas 4.5 and 4.6 gives (1), and (3) follows from (1) and (2).

Recalling that  $V(Y_n)$  is a harmonic Beltrami differential, we will derive (2) from Lemmas 4.7 and 4.8 if we can show that  $|J_{\alpha}(V(Y_n))|/\operatorname{Re}\mathscr{L}_{\alpha}(M_Y)\to 0$ . This will be the proven in the next section. In particular, as  $\operatorname{Re}\mathscr{L}_{\alpha}(M_{Y_n})\leq 2\ell_{\alpha}(Y_n)$  and  $\ell_{\alpha}(Y_n)\to 0$  we have that  $\operatorname{Re}\mathscr{L}_{\alpha}(M_{Y_n})\to 0$ . By assumption  $\operatorname{Vol}_C(M_{Y_n})$  is bounded and  $||V(Y_n)||_2\to 0$ . It then follows from Theorem 5.1 that

$$|J_{\alpha}(V(Y_n))|/\operatorname{Re}\mathscr{L}_{\alpha}(M_{Y_n})\to 0$$

and (2) follows.

For the last statement we only need to show that the volume of  $C(M_{Y_n})$  is bounded. As  $Y_t$  is a flowline for the gradient of  $-\text{Vol}_R$ , the negative of the renormalized volume, we have that the renormalized volume is bounded. However, the difference between the renormalized volume and convex core volume is bounded by a constant depending only on the topology of the boundary and the length of the shortest compressible curve (see [BC17, Theorem 1.3]). This last constant is determined by X and hence is uniform on  $M_{Y_t}$ . This implies that the convex core volume is bounded and the theorem follows.

#### 5. Bounding the norm of the auxiliary term

This section is devoted to proving the following theorem.

THEOREM 5.1. There exists  $\delta_0 > 0$  such that, given  $\eta, K > 0$ , there exists a  $\delta > 0$  such that the following assertion holds. Assume that  $Y \in \text{Teich}(S)$  with  $\text{Vol}_C(M_Y) \leq K$ . Let  $\mu \in T_Y \text{Teich}(S)$  be a harmonic Beltrami differential  $\|\mu\|_{\infty} \leq 3/2$  and  $\alpha$  an essential closed curve on S with  $\|\mu\|_2 < \delta$  and  $\text{Re } \mathcal{L}_{\alpha}(M_Y) \leq \delta_0$ . Then

$$|J_{\alpha}(\mu)| \leq \eta \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_Y).$$

Note that the bound of 3/2 on  $\|\mu\|_{\infty}$  is essentially arbitrary. We have chosen it because that is the bound that we get when  $\mu$  is the harmonic Beltrami differential associated to the Schwarzian quadratic differential  $\phi_Y$ .

Recall that  $J_{\alpha}(\mu)$  is the pairing of a Beltrami differential and a quadratic differential. The absolute value of the quadratic differential is a Euclidean area form and one can bound the pairing by bounding the norm of the quadratic differential and the area of the quadratic differential. Typically, to show that a product of two terms is small, one finds a uniform bound on one term and shows that the other term is small. To get the necessary bound here we will need to decompose  $\mu$  into two parts. In one the norm will be small, while in the other the support will be small while the norm will only be bounded. In particular, write  $\mu$  as

$$\mu = \mu^{<\epsilon} + \mu^{\geq \epsilon}$$

where the support of  $\mu^{<\epsilon}$  is the  $\epsilon$ -thin part of Y and the support of  $\mu^{\geq \epsilon}$  is the  $\epsilon$ -thick part of Y. We will then bound  $J_{\alpha}(\mu^{<\epsilon})$  and  $J_{\alpha}(\mu^{\geq \epsilon})$  separately.

Most of the work will be to bound  $J_{\alpha}(\mu^{<\epsilon})$ , so we begin with the easier bound on  $J_{\alpha}(\mu^{\geq\epsilon})$ .

LEMMA 5.2. Given  $\epsilon, \eta > 0$ , there exists a  $\delta > 0$  such that if  $\|\mu\|_2 \leq \delta$  then

$$|J_{\alpha}(\mu^{\geq \epsilon})| \leq \eta \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_Y).$$

*Proof.* In general, bounds on  $\|\mu\|_2$  do not give bounds on  $\|\mu\|_{\infty}$ . However, a mean value estimate of Teo (see [Teo09]) gives bounds for points in the  $\epsilon$ -thick part. In particular, there exists a constant  $C_{\epsilon} > 0$  such that if  $z \in Y^{\geq \epsilon}$  then  $\|\mu(z)\| \leq C_{\epsilon} \|\mu\|_2$ . This implies

$$\|(\mu^{\geq \epsilon})_T^{\text{aux}}\|_{\infty} \leq \|\mu^{\geq \epsilon}\|_{\infty} \leq C_{\epsilon}\|\mu\|_2.$$

The absolute value of the quadratic differential  $dz^2/z^2$  is a Euclidean area form on  $T_{\alpha}$ . If we let  $\mathbf{area}_{\alpha}$  be this area we have

$$\operatorname{area}_{\alpha}(T_{\alpha}) = 2\pi \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_Y)$$

and therefore

$$\left|J_{\alpha}\left(\mu^{\geq \epsilon}\right)\right| \leq \left\|\left(\mu^{\geq \epsilon}\right)_{T}^{\operatorname{aux}}\right\|_{\infty} \cdot 2\pi \cdot \operatorname{Re}\mathscr{L}_{\alpha}(M_{Y}) \leq 2\pi C_{\epsilon} \operatorname{Re}\mathscr{L}_{\alpha}(M_{Y}) \|\mu\|_{2}.$$

Letting  $\delta = \eta/(2\pi C_{\epsilon})$ , the lemma follows.

To bound  $J(\mu^{<\epsilon})$  we need to bound the area of the support of  $(\mu^{<\epsilon})_T^{\text{aux}}$ . The proof of the following proposition will be most of the work of this section.

PROPOSITION 5.3. There exists  $\delta_0 > 0$  such that the following assertion holds. Given  $\eta, K > 0$ , there exists an  $\epsilon > 0$  such that if  $\text{Re } \mathcal{L}_{\alpha}(M_Y) \leq \delta_0$  and  $\text{Vol}_C(M_Y) \leq K$  then

$$\operatorname{area}_{\alpha}\left(\operatorname{supp}\left(\left(\mu^{<\epsilon}\right)_{T}^{\operatorname{aux}}\right)\right) \leq \eta \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}).$$

Assuming this for now, we can prove Theorem 5.1.

Proof of Theorem 5.1. By Proposition 5.3 we can fix an  $\epsilon > 0$  such that if  $\operatorname{Re} \mathscr{L}_{\alpha}(M_Y) \leq \delta_0$  and  $\operatorname{Vol}_C(M_Y) \leq K$  then

$$\operatorname{area}_{\alpha}\left(\operatorname{supp}\left(\left(\mu^{<\epsilon}\right)_{T}^{\operatorname{aux}}\right)\right) \leq (\eta/3) \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}).$$

Since  $\|\mu\|_{\infty} \leq 3/2$  this implies that

$$|J_{\alpha}(\mu^{<\epsilon})| \le (\eta/2) \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_Y).$$

By Lemma 5.2 we can choose  $\delta > 0$  such that if  $\|\mu\|_2 < \delta$  then

$$|J_{\alpha}(\mu^{\geq \epsilon})| \leq (\eta/2) \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_Y).$$

Note that  $\delta$  depends on  $\epsilon$  (and  $\eta$ ) but  $\epsilon$  only depends on  $\eta$  and K. Therefore,  $\delta$  only depends on  $\eta$  and K and we can combine the two estimates to get the claimed bound on  $|J_{\alpha}(\mu)|$ .

The remainder of this section is dedicated to the proof of Proposition 5.3.

# 5.1 Margulis tubes

Let M be a hyperbolic n-manifold and  $\epsilon > 0$ . Then we define the thick-thin decomposition  $M = M^{\leq \epsilon} \cup M^{> \epsilon}$  by

$$M^{\leq \epsilon} = \{ p \in M \mid \operatorname{inj}(p) \leq \epsilon \}, \quad M^{> \epsilon} = \{ p \in M \mid \operatorname{inj}(p) > \epsilon \}.$$

By the Margulis lemma (see [Thu79]), there exists a constant  $\epsilon_n$  such that for  $\epsilon \leq \epsilon_n$  the connected components of  $M^{\leq \epsilon}$  are disjoint embedded tubular neighborhoods of cusps or simple geodesics in M. These tubes are called *Margulis tubes* and for  $\alpha$  a simple closed geodesic, we denote the tube about  $\alpha$  in  $M^{\leq \epsilon}$  by  $T_{\epsilon}(\alpha)$ . We further denote the radius of  $T_{\epsilon}(\alpha)$  by  $R_{\epsilon}(\alpha)$ .

For hyperbolic 3-manifolds, if  $\epsilon < \epsilon_3$  then by elementary hyperbolic geometry we have

$$\operatorname{area}(\partial T_{\epsilon}(\alpha)) = \pi \sinh(2R_{\epsilon}(\alpha)) \operatorname{Re} \mathscr{L}_{\alpha}(M).$$

As  $\partial T_{\epsilon}(\alpha)$  has intrinsic Euclidean metric with injectivity radius  $> \epsilon$  we have the inequality

$$\pi \epsilon^2 \leq \pi \sinh(2R_{\epsilon}(\alpha)) \operatorname{Re} \mathscr{L}_{\alpha}(M).$$

In particular,

$$|\mathscr{L}_{\alpha}(M)| \ge \operatorname{Re}\mathscr{L}_{\alpha}(M) \ge \frac{\epsilon^2}{\sinh(2R_{\epsilon}(\alpha))}.$$
 (5.2)

We have the following fact due to Brooks and Matelski which gives uniform bounds on the change in  $R_{\epsilon}(\alpha)$  as  $\epsilon$  varies.

Theorem 5.4 (Brooks–Matelski [BM82]). Given  $\epsilon > 0$ , there exist continuous functions  $d_{\epsilon}^{l}, d_{\epsilon}^{u}$ :  $(0, \epsilon) \to \mathbb{R}_{+}$  such that  $d_{\epsilon}^{l}(\delta) \to \infty$  as  $\delta \to 0$  and  $d_{\epsilon}^{u}(\delta) \to 0$  as  $\delta \to \epsilon$ , and for  $\alpha$  a geodesic in a hyperbolic 3-manifold with  $\ell_{\alpha}(M) < \delta$  we have

$$d_{\epsilon}^{l}(\delta) \leq R_{\epsilon}(\alpha) - R_{\delta}(\alpha) \leq d_{\epsilon}^{u}(\delta).$$

#### 5.2 Orthogeodesics

Since Y is a closed surface the components of  $Y^{<\epsilon}$  will be collars of closed geodesics of length  $< 2\epsilon$ . We let  $\beta$  be one of these geodesics and  $\mathbf{C}_{\epsilon}(\beta)$  the collar. Note that it is possible that  $\beta = \alpha$ . The pre-image of these collars in the domain of discontinuity  $\Omega_Y$  will be a collection of strips. Under the covering map from  $\mathbb{C} \setminus \{0\}$  to  $T_{\alpha}$  most of these strips will map homeomorphically to strips. The only exception will be that there will be one component in the pre-image of the collar of  $\alpha$  that will map to an essential annulus in  $T_{\alpha}$ . This exceptional strip will be contained

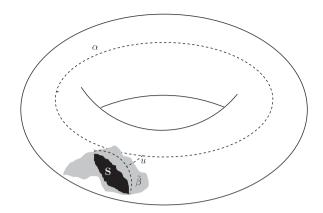


FIGURE 3. Component **S** of  $S_{\epsilon}^{\alpha}(\beta)$ .

in the central component  $\Omega$  of  $\Omega_Y$ . We only need to bound the area of the strips in  $T_{\alpha}$ . We are interested in bounding the area in  $T_{\alpha}$  of those strips that come from auxiliary components. To do this we will bound the area of all strips, even those coming from the central component. Our first goal is to bound the area of a single strip. We begin with some preparation.

Associated to  $\alpha$  is a solid torus cover  $W_{\alpha}$  of  $M_Y$ . The surface Y is a component of the conformal boundary of  $M_Y$ , so the  $\epsilon$ -collar of  $\beta$  will lie in the conformal boundary of  $M_Y$  and will lift to a collection of strips in the conformal boundary of  $W_{\alpha}$ . We want to bound the area of these strips in the Euclidean metric on the conformal boundary  $T_{\alpha} = \partial W_{\alpha}$  that comes from taking the absolute value of the quadratic differential  $dz^2/z^2$ . Our bounds will depend on the length of  $\alpha$  and the constant  $\epsilon$ . In the hyperbolic 3-manifold the closed geodesic  $\beta$  will lift to a collection of bi-infinite geodesics in  $W_{\alpha}$  and there is a natural correspondence between the strips and these geodesics. We will see that the area of each strip decays exponentially in the distance between  $\alpha$  and the corresponding lift of  $\beta$ .

With this informal discussion in mind we now set up the notation that we will need. Let  $\bar{M}_Y$  be the union of  $M_Y$  and its conformal boundary. Then the cover  $W_\alpha$  extends to a cover  $\bar{W}_\alpha$  of  $\bar{M}_Y$ . Let

$$\pi_{\alpha} \colon \bar{W}_{\alpha} \to \bar{M}_{Y}$$

be the covering map. We also have the nearest point projection

$$r: M_Y \to C(M_Y)$$

to the convex core. This map extends continuously to  $\bar{M}_Y$  and lifts to a map

$$r_{\alpha} \colon \bar{W}_{\alpha} \to C(W_{\alpha}),$$

where  $C(W_{\alpha}) = \pi_{\alpha}^{-1}(C(M_Y))$ . In particular, we have  $r \circ \pi_{\alpha} = \pi_{\alpha} \circ r_{\alpha}$ .

Now let  $S^{\alpha}_{\epsilon}(\beta)$  be the collection of strips in  $\partial \bar{W}_{\alpha}$  that map to  $\mathbf{C}_{\epsilon}(\beta)$ . If  $\beta \neq \alpha$  then this is just the union of components of  $\pi_{\alpha}^{-1}(\mathbf{C}_{\epsilon}(\beta))$ . If  $\beta = \alpha$  then there is one annular component in  $\pi_{\alpha}^{-1}(\mathbf{C}_{\epsilon}(\alpha))$  that is not included in  $S^{\alpha}_{\epsilon}(\alpha)$ . By [EMM04] the retraction r is a 2-Lipschitz map from the hyperbolic metric on Y to the induced path metric on  $\partial C(M_Y)$ . Therefore,  $r(\mathbf{C}_{\epsilon}(\beta)) \subset T_{2\epsilon}(\beta)$  and if  $\mathbf{S}$  is a component of  $S^{\alpha}_{\epsilon}(\beta)$  there is a unique component  $\mathbf{T}$  of  $\pi_{\alpha}^{-1}(T_{2\epsilon}(\beta))$  with  $r_{\alpha}(\mathbf{S}) \subset \mathbf{T}$  (see Figure 3).

There is a unique shortest geodesic u in  $W_{\alpha}$  from  $T_{\epsilon_3}(\alpha)$  to  $\mathbf{T}$ . This geodesic u will be orthogonal to the boundary of the two tubes, so we call u an *orthogeodesic*. We will see that the area of  $\mathbf{S}$  decays exponentially in the length of u. We note that while it may seem more

natural to take the orthogeodesic from the geodesic  $\alpha$  to the geodesic in the core of  $\mathbf{T}$ , for our purposes our choice of orthogeodesic is more convenient. We also emphasize that one endpoint of our orthogeodesic will always lie on the boundary of the  $\epsilon_3$ -Margulis tube  $T_{\epsilon_3}(\alpha)$  while the other end will lie on a lift of the  $2\epsilon$ -Margulis tube  $T_{2\epsilon}(\beta)$ , so while our notation does not emphasize this, the orthogeodesic u depends on  $\epsilon$  and as  $\epsilon$  decreases the orthogeodesic will become longer.

If H is an embedded half-space in  $W_{\alpha}$  then its closure in the conformal boundary is a round disk D. We begin by bounding the area of D in terms of the distance between the core geodesic of  $W_{\alpha}$  and H.

LEMMA 5.5. Let H be an isometrically immersed half-space in  $W_{\alpha}$  that is disjoint from the core geodesic  $\alpha$  with boundary disk D. Then

$$\operatorname{area}_{\alpha}(D) \leq \frac{\pi}{\sinh^2(d(\alpha, H))}.$$

*Proof.* We can lift the picture to  $\mathbb{H}^3$  and assume that  $\alpha$  has endpoints  $\pm 1$  and D is the disk of radius r centered at 0 with  $d(\alpha, H) = e^{-r}$ . Note that while the disk D is embedded in  $\mathbb{C}$  it may be immersed in  $\partial W_{\alpha}$ . However, this will only decrease its area. The map f(z) = (z-1)/(z+1) sends the geodesic  $\alpha$  to the geodesic with endpoints 0 and  $\infty$ . Thus, pulling back the metric  $|dz|^2/|z|^2$ , we have

$$darea_{\alpha} = \frac{|f'(z)|^2}{|f(z)|^2} |dz|^2 = \frac{4}{|z-1|^2|z+1|^2} |dz|^2 = \frac{4}{|z^2-1|^2} |dz|^2.$$

Therefore, on D we have

$$d\mathbf{area}_{\alpha} \le \frac{4}{|r^2 - 1|^2} |dz|^2$$

and it follows that

$$\operatorname{area}_{\alpha}(D) \le \frac{4\pi r^2}{(1-r^2)^2} = \frac{\pi}{\sinh^2(d(\alpha, H))}.$$

In the next lemma we show that each component of  $S_{\epsilon}^{\alpha}(\beta)$  lies in a round disk in  $T_{\alpha} = \partial W_{\alpha}$  which bounds a half-space in  $W_{\alpha}$  whose distance from the core Margulis tube  $T_{\epsilon_3}(\alpha)$  is given in terms of the length of the associated orthogeodesic.

LEMMA 5.6. There exists a  $\delta_0 > 0$  such that if  $\operatorname{Re} \mathscr{L}_{\alpha}(M_Y) < \delta_0$  and  $\epsilon < \epsilon_3/2$  then the following assertion holds. Let  $\ell_{\beta}(Y) < 2\epsilon$  and  $\mathbf{S}$  be a component of  $S^{\alpha}_{\epsilon}(\beta)$ . Let  $\mathbf{T}$  be the component of  $\pi^{-1}_{\alpha}(T_{2\epsilon}(\beta))$  with  $r_{\alpha}(\mathbf{S}) \subset \mathbf{T}$  and let u be the orthogeodesic between  $T_{\epsilon_3}(\alpha)$  and  $\mathbf{T}$ . Then there is a half-space H in  $W_{\alpha}$  whose boundary disk D contains  $\mathbf{S}$  with

$$d(\alpha, H) = R_{\epsilon_3}(\alpha) + \ell(u) - \log(1 + \sqrt{2}) \ge \log\sqrt{2}.$$

*Proof.* By inequality (5.2) for the radius of the Margulis tube we can choose  $\delta_0 > 0$  such that if  $\operatorname{Re} \mathcal{L}_{\alpha}(M_Y) < \delta_0$  then

$$R_{\epsilon_3}(\alpha) \ge \log(1+\sqrt{2}) + \sqrt{2}$$

(choosing  $\delta_0 = \epsilon_3/50$  will suffice). We also note that the inequality in the statement of the lemma follows from the lower bound on  $R_{\epsilon_3}(\alpha)$ .

As we assume  $\ell_{\beta}(Y) < 2\epsilon$ , it follows that  $S^{\alpha}_{\epsilon}(\beta)$  is non-empty so we can consider a component **S**. We again lift the picture to  $\mathbb{H}^3$ . Let  $\tilde{\mathbf{T}}$  be a lift of **T** to  $\mathbb{H}^3$  and let  $\tilde{u}$  be a lift of u that is orthogonal to  $\partial \tilde{\mathbf{T}}$ . Further, we extend u to the perpendicular v from  $\partial \mathbf{T}$  to  $\alpha$  with lift  $\tilde{v}$  containing  $\tilde{u}$ . We can assume that  $\tilde{u}$  is the vertical geodesic segment  $\{0\} \times [1, e^{\ell(u)}]$  in the upper-half-space model  $\mathbb{H}^3 = \mathbb{C} \times \mathbb{R}_+$  and that  $\tilde{\mathbf{T}}$  is orthogonal to  $\tilde{u}$  at (0,1). Therefore,  $\tilde{v}$  is the

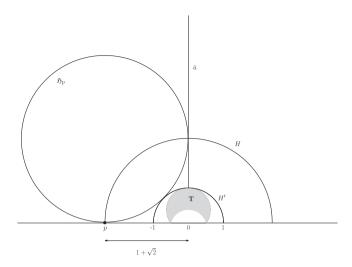


FIGURE 4. Tubes, half-spaces, and horoballs.

geodesic  $\{0\} \times [1, e^{\ell(v)}]$  where  $\ell(v) = R_{\epsilon_3}(\alpha) + \ell(u)$ . Let H' be the half-space in  $\mathbb{H}^3$  that contains  $\tilde{\mathbf{T}}$  and whose boundary plane is orthogonal to  $\tilde{u}$  at  $(0, 1) \in \mathbb{H}^3$ .

The retraction  $r_{\alpha}$  lifts to a retraction

$$\tilde{r} \colon \bar{\mathbb{H}}^3 \to C(\Lambda),$$

where  $\Lambda$  is the limit set of the Kleinian group uniformizing  $M_Y$ . Let  $\tilde{\mathbf{S}}$  be the lift of  $\mathbf{S}$  with  $\tilde{r}(\tilde{\mathbf{S}}) \subset \tilde{\mathbf{T}}$ . Let  $\tilde{D} \subset \mathbb{C}$  be the Euclidean disk of radius  $1 + \sqrt{2}$  centered at  $0 \in \mathbb{C}$  and  $\tilde{H}$  the half-space in  $\mathbb{H}^3$  with boundary  $\tilde{D}$ . We will show that  $\tilde{\mathbf{S}} \subset \tilde{D}$ , so that if D is the image of  $\tilde{D}$  in  $\partial W_{\alpha}$  then  $\mathbf{S} \subset D$ . The immersed half-space  $H \subset W_{\alpha}$  bounded by D is the image of  $\tilde{H}$  so we have that  $d(\alpha, H)$  is equal to  $\ell(v)$  minus the distance from the boundary plane of  $\tilde{H}$  to H'. As this latter distance is  $\log(1 + \sqrt{2})$  this will give

$$d(\alpha, H) = R_{\epsilon_3}(\alpha) + \ell(u) - \log(1 + \sqrt{2}).$$

If we can show the inclusion  $\tilde{\mathbf{S}} \subset \tilde{D}$  we are done.

We now prove this inclusion. For  $z \in \widehat{\mathbb{C}} \setminus \Lambda$  there is a unique horosphere  $\mathfrak{H}_z$  that intersects  $C(\Lambda)$  in a single point. This point of intersection is  $\tilde{r}(z)$ . If  $\tilde{r}(z) \in \tilde{\mathbf{T}}$  then  $\mathfrak{H}_z$  will intersect H'. The perpendicular  $\tilde{v}$  from  $\tilde{\alpha}$  to  $\tilde{\mathbf{T}}$  is contained in  $C(\Lambda)$  and therefore must not intersect the interior of  $\mathfrak{H}_z$ . As  $\tilde{v}$  is the vertical geodesic  $\{0\} \times [1, e^{\ell(v)}]$  a simple calculation shows that when  $\ell(v) \geq \log(1 + \sqrt{2})$  the interior of  $\mathfrak{H}_z$  will intersect  $\tilde{v}$  if  $|z| > 1 + \sqrt{2}$  (see Figure 4). By our choice of  $\delta_0$ , we have  $\ell(v) = R_{\epsilon_3}(\alpha) + \ell(u) \geq \log(1 + \sqrt{2})$ . Therefore, if  $z \in \tilde{\mathbf{S}}$  we have that  $z \in \tilde{D}$  and  $\tilde{\mathbf{S}} \subset \tilde{D}$ , completing the proof.

Let  $\mathscr{O}^{\alpha}_{\epsilon}(\beta)$  be the set orthogeodesics from  $T_{\epsilon_3}(\alpha)$  to the components of  $\pi_{\alpha}^{-1}(T_{\epsilon}(\beta))$ . With  $\delta_0$  as in Lemma 5.6, we have the following corollary.

COROLLARY 5.7. Given  $\delta, \eta > 0$ , there exists  $\epsilon > 0$  such that if  $\operatorname{Re} \mathcal{L}_{\alpha}(M_Y) < \delta_0$  and  $\operatorname{Re} \mathcal{L}_{\beta}(M_Y) < 2\delta$  then

$$\mathbf{area}_{\alpha}(S_{\epsilon}^{\alpha}(\beta)) \leq \eta \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}) \sum_{u \in \mathscr{O}_{\delta}^{\alpha}(\beta)} e^{-2\ell(u)}.$$

*Proof.* We first show that, for  $\epsilon < \epsilon_3/2$  and  $\ell_{\beta}(Y) \leq 2\epsilon$ ,

$$\mathbf{area}_{\alpha}(S^{\alpha}_{\epsilon}(\beta)) \leq A \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}) \sum_{u \in \mathscr{O}^{\alpha}_{2\epsilon}(\beta)} e^{-2\ell(u)},$$

where A is a universal constant.

As  $\ell_{\beta}(Y) \leq 2\epsilon$ , we have that  $S_{\epsilon}^{\alpha}(\beta)$  is non-empty. Given a component **S** of  $S_{\epsilon}^{\alpha}(\beta)$ , let **T** be the component of  $\pi_{\alpha}^{-1}(T_{2\epsilon}(\beta))$  with  $r_{\alpha}(\mathbf{S}) \subset \mathbf{T}$  and let u be the orthogeodesic between  $T_{\epsilon_3}(\alpha)$  and **T**. Then as  $\operatorname{Re} \mathscr{L}_{\alpha}(M_Y) < \delta_0$ , by Lemma 5.6, there is an immersed half-space H in  $W_{\alpha}$  whose boundary disk D contains **S** with

$$d(\alpha, H) = R_{\epsilon_3}(\alpha) + \ell(u) - \log(1 + \sqrt{2}).$$

If  $t \ge \log \sqrt{2}$  then  $\sinh(t) \ge e^t/4$ . Since the disk D contains S and by Lemma 5.6 we have  $d(\alpha, H) \ge \sqrt{2}$ , we can combine this estimate on sinh with Lemma 5.5 to get

$$\operatorname{area}_{\alpha}(\mathbf{S}) \leq 16\pi e^{-2d(\alpha,H)} \leq 16\pi e^{-2\left(R_{\epsilon_3}(\alpha) + \ell(u) - \log\left(1 + \sqrt{2}\right)\right)}.$$

By (5.2) we have

$$\operatorname{Re} \mathscr{L}_{\alpha}(M_Y) \ge \frac{\epsilon_3^2}{\sinh(2R_{\epsilon_3}(\alpha))} \ge 2\epsilon_3^2 e^{-2R_{\epsilon_3}(\alpha)}.$$

Together the two estimates give

$$\operatorname{area}_{\alpha}(\mathbf{S}) \leq (8\pi/\epsilon_3^2) \cdot (3 + 2\sqrt{2}) \cdot \operatorname{Re} \mathcal{L}_{\alpha}(M_Y) e^{-2\ell(u)}$$
.

Summing over the set of all orthogeodesics in  $\mathscr{O}^{\alpha}_{2\epsilon}(\beta)$  gives our first estimate.

Now let  $2\epsilon < \delta$ . We let  $\mathbf{T}'$  be the component of  $\pi_{\alpha}^{-1}(T_{\delta}(\beta))$  that contains  $\mathbf{T}$  and let u' be the orthogeodesic between  $T_{\epsilon_3}(\alpha)$  and  $\mathbf{T}'$ . Note that the map  $u \mapsto u'$  defines an bijection between  $\mathscr{O}_{2\epsilon}^{\alpha}(\beta)$  and  $\mathscr{O}_{\delta}^{\alpha}(\beta)$ . Then u' is a subsegment of u and

$$\ell(u) - \ell(u') = R_{\delta}(\beta) - R_{2\epsilon}(\beta) \ge d_{\delta}^{l}(2\epsilon),$$

where the function on the right comes from Theorem 5.4. Thus, for  $\ell_{\beta}(Y) \leq 2\epsilon$ ,

$$\mathbf{area}_{\alpha}(S^{\alpha}_{\epsilon}(\beta)) \leq Ae^{-2d^{l}_{\delta}(2\epsilon)} \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}) \sum_{u \in \mathscr{O}^{\alpha}_{\delta}(\beta)} e^{-2\ell(u)}.$$

For  $\ell_{\beta}(Y) > 2\epsilon$  the inequality also holds trivially as  $S_{\epsilon}^{\alpha}(\beta) = \emptyset$  and as  $\operatorname{Re} \mathscr{L}_{\beta}(M_Y) < 2\delta$ , the right-hand side is positive. Thus, as  $d_{\delta}^{l}(2\epsilon) \to \infty$  as  $\epsilon \to 0$  the theorem follows.

### 5.3 Uniform bounds on the Poincaré series

Except for the last lemma, in this subsection  $M = \mathbb{H}^3/\Gamma$  can be any complete hyperbolic 3-manifold uniformized by a Kleinian group  $\Gamma$ .

For  $x \in \mathbb{H}^3$  we define the *Poincaré series* 

$$P_{\alpha}(x) = \sum_{\gamma \in \Gamma} e^{-2d(x,\gamma x)},$$

where d is the hyperbolic distance. Poincaré series play an important role in dynamics, but here we will only be interested in obtaining uniform bounds on  $P_{\alpha}(x)$  which we will then use to bound the similar exponential sum of lengths of orthogeodesics that appears in Corollary 5.7.

We have the following elementary bound.

Lemma 5.8.

– Let  $\mathscr C$  be a closed convex set in  $\mathbb H^3$  and  $\overline{\mathscr C}$  be closure in  $\overline{\mathbb H}^3=\mathbb H^3\cup\widehat{\mathbb C}$ . Let

$$r: \bar{\mathbb{H}}^3 \to \mathscr{E}$$

be the nearest point projection. If  $x \in \partial \mathscr{C}$  and  $B_x(\epsilon)$  is the  $\epsilon$  ball centered at x then  $r^{-1}(B_x(\epsilon))$  contains a round disk  $D \subset \widehat{\mathbb{C}}$  that bounds a half-space  $H \subset \mathbb{H}^3$  with

$$e^{d(x,H)} \le 2 \coth(\epsilon)$$
.

- Given  $x \in \mathbb{H}^3$  and a half-space  $H \subset \mathbb{H}^3$  with boundary disk  $D \subset \widehat{\mathbb{C}}$ , we have

$$\operatorname{area}_x(D) \ge \pi e^{-2d(x,H)},$$

where  $\operatorname{area}_x$  is the visual measure on  $\widehat{\mathbb{C}}$  determined by x.

Proof. Let P be a support plane for  $\mathscr C$  at x. Then P bounds a half-space whose interior is disjoint from the interior of  $\mathscr C$ , and we let D be the round disk in the conformal boundary of this half-space that projects orthogonally to P with image in  $P \cap B_x(\epsilon)$ . Let y be the point on H closest to x, z a point in  $\partial D$ , and w the orthogonal projection of z to P. Then wxyz forms a planar quadrilateral with one ideal vertex at z and right angles at all other vertices. Standard formulas give  $\sinh d(x,y) \sinh d(x,w) = 1$ , which can be rewritten in a less symmetric form as  $\cosh d(x,y) = \coth d(x,w)$ . Noting that d(x,y) = d(x,H),  $d(x,w) = \epsilon$ , and  $e^t \leq 2 \cosh t$ , we have  $e^{d(x,H)} \leq 2 \coth(\epsilon)$ , so we will be done if we can show that  $r(D) \subset B_x(\epsilon)$ .

For  $z \in D$  let  $\gamma$  be the geodesic in  $\mathbb{H}^3$  from z to r(z) and let  $p = P \cap \gamma$ . Note that r(z) = r(p), and since r is a contraction (and r(x) = x) we have that  $d(x, r(z)) \leq d(x, p)$ . Let B' be the ball of radius d(x, p) centered at x and let  $H_p$  be the closed half-space whose boundary is tangent to B' at p and whose interior is disjoint from x (see Figure 5). If  $r(z) \neq p$  then r(z) is in the interior of B' and is hence disjoint from  $H_p$ . As  $\gamma$  intersects the boundary plane of  $H_p$  we have that z, the other endpoint of  $\gamma$ , will be contained in  $H_p$ . As the boundary plane of  $H_p$  is orthogonal to P, the orthogonal projection of every point in  $H_p$  to P will have distance from x that is at least d(x, p), so we have  $d(x, p) < \epsilon$ . Combining inequalities gives  $d(x, r(z)) \leq \epsilon$  when  $r(z) \neq p$ . If r(z) = p then the interior of  $\gamma$  will be disjoint from B' as for every  $q \in \gamma$  we have r(q) = r(z) = p and  $d(q, x) \geq d(p, x)$  by the contraction of r. However, if  $\gamma$  is not contained in  $H_p$  it must intersect the interior of B'. Therefore,  $\gamma$ , and in particular z, lie in  $H_p$ . As above, this implies that  $r(z) \in B_x(\epsilon)$ , completing the proof that  $r(D) \subset B_x(\epsilon)$ .

For the second part of the lemma, as above let y be the point on H closest to x and let z be a point in  $\partial D$ . These three points from a right triangle with one ideal angle at z and angle  $\theta$  at x. Therefore, D is a spherical disk of radius  $\theta$  in the visual metric based at x. For such right triangles we have  $\operatorname{sech} d(x,y) = \sin \theta$ . The area of a spherical triangle is  $2\pi(1-\cos\theta)$ , so  $\sin^2\theta = 1-\cos^2\theta \leq \operatorname{area}_x(D)/\pi$ . Since  $e^{-2d(x,y)} \leq \operatorname{sech} d(x,y)$  and d(x,y) = d(x,H), we can combine expressions to get

$$\pi e^{-2d(x,H)} \le \operatorname{area}_x(D).$$

Using these estimates, we can obtain our uniform bound on the Poincaré series.

LEMMA 5.9. If  $M = \mathbb{H}^3/\Gamma$  is a hyperbolic 3-manifold and x a point on the boundary of the convex core C(M) with injectivity radius  $\geq \epsilon$ , then for  $\tilde{x}$  a lift of x to  $\mathbb{H}^3$  we have

$$P_{\alpha}(\tilde{x}) \le 8 \coth^2(\epsilon).$$

*Proof.* Let  $\Lambda$  be the limit set of  $\Gamma$  and  $r: \overline{\mathbb{H}}^3 \to C(\Lambda)$  be the retract map to the convex hull of the limit set. Let  $B_{\epsilon}(\tilde{x})$  be the ball of radius  $\epsilon$  about  $\tilde{x}$ . As the injectivity radius of x is  $\geq \epsilon$  we

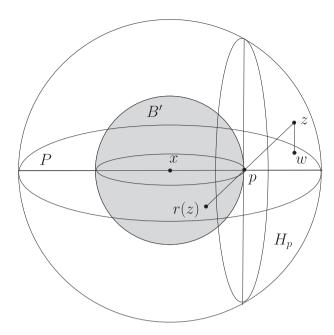


FIGURE 5. View in the Klein model.

have  $B_{\epsilon} \cap \gamma(B_{\epsilon}) = \emptyset$  for all  $\gamma \in \Gamma \setminus \{\text{id}\}$ . We define  $U = r^{-1}(B_{\epsilon}) \cap \widehat{\mathbb{C}}$ . Since r is  $\Gamma$ -invariant we have  $U \cap \gamma(U) = r^{-1}(B_{\epsilon} \cap \gamma(B_{\epsilon})) = \emptyset$  if  $\gamma \in \Gamma \setminus \{\text{id}\}$ . By Lemma 5.8 we have that U contains a disk D that bounds a half-space H with  $e^{d(\tilde{x},H)} \leq 2 \coth(\epsilon)$ . By the triangle inequality for all  $\gamma \in \Gamma$  we have that  $d(\tilde{x},\gamma(H)) \leq d(\tilde{x},\gamma(\tilde{x})) + d(\gamma(\tilde{x}),\gamma(H))$ . As  $d(\gamma(\tilde{x}),\gamma(H)) = d(\tilde{x},H)$  this gives  $d(\tilde{x},\gamma(\tilde{x})) \geq d(\tilde{x},\gamma(H)) - d(\tilde{x},H)$  which in turn implies

$$\begin{split} \sum_{\gamma \in \Gamma} e^{-2d(\tilde{x},\gamma(\tilde{x}))} &\leq e^{2d(\tilde{x},H)} \sum_{\gamma} e^{-2d(\tilde{x},\gamma(H))} \\ &\leq 2\pi^{-1} \coth^2(\epsilon) \sum_{\gamma \in \Gamma} \mathbf{area}_{\tilde{x}}(\gamma(D)) \\ &\leq 8 \coth^2(\epsilon), \end{split}$$

where the last sum is bounded by  $\operatorname{area}_{\tilde{x}}(\widehat{\mathbb{C}}) = 4\pi$  since the disks  $\gamma(D)$  are all disjoint.

We can now obtain a uniform bound on the exponential sum of orthogeodesic lengths.

LEMMA 5.10. Fix  $\delta < \epsilon_3$  and assume that  $\alpha$  and  $\beta$  are closed geodesics in  $M_Y \in CC(N, S; X)$  with length  $\leq 2\delta$ . Then the sum

$$\sum_{u \in \mathcal{O}^{\alpha}_{\delta}(\beta)} e^{-2\ell(u)}$$

is bounded by a constant that only depends on  $\delta$  and the diameter of  $C(M_Y)^{>\delta}$ .

Proof. Choose  $x \in \partial C(M_Y) \cap C(M_Y)^{\geq \epsilon_3}$  and let  $\tilde{x} \in \mathbb{H}^3$  be in the pre-image of x under the covering map  $\mathbb{H}^3 \to M_Y$ . We will define a map  $\psi$  from  $\mathscr{O}^{\alpha}_{\delta}(\beta)$  to  $\Gamma$  such that  $\ell(u) \geq d(\tilde{x}, \psi(u)(\tilde{x})) - 2D$ , where D is the diameter of  $C(M_Y)^{>\delta}$  and  $\psi$  is at most N-to-one. Once we have defined such a  $\psi$  we have that

$$\sum_{u \in \mathscr{O}^{\alpha}_{\delta}(\beta)} e^{-2\ell(u)} \leq \sum_{u \in \mathscr{O}^{\alpha}_{\delta}(\beta)} e^{-2d(\tilde{x}, \psi(u)(\tilde{x})) + 2D} \leq Ne^{2D} \sum_{\gamma \in \Gamma} e^{-2d(\tilde{x}, \gamma(\tilde{x}))}.$$

We claim that there exists an integer  $N_0$  such that at most  $N_0$  components of the pre-image of  $T_{\epsilon_3}(\alpha)$  and  $T_{\epsilon_3}(\beta)$  in  $\mathbb{H}^3$  intersect any ball of radius D. To see this we note that as  $\beta$  has length less than  $2\delta$ ,  $T_{\delta}(\beta)$  is non-empty. Thus, each point in  $T_{\epsilon_3}(\beta)$  is contained in a ball of radius  $r = d_{\epsilon_3}^l(\delta)$  which lies inside  $T_{\epsilon_3}(\beta)$ . Therefore, there are  $N_0$  disjoint balls of radius r in the ball of radius D + 2r. Thus,  $N_0 \operatorname{vol}(B_r) \leq \operatorname{vol}(B_{D+2r})$  bounding  $N_0$ . Let  $N = N_0^2$ .

For each  $u \in \mathcal{O}^{\alpha}_{\delta}(\beta)$  we will carefully choose a lift  $\tilde{u}$  to  $\mathbb{H}^3$ . More precisely, we will carefully choose where the initial endpoint  $\tilde{u}_-$  lies and then the terminal endpoint  $\tilde{u}_+$  will determine  $\psi(u)$ . Note that the endpoints  $\tilde{u}_-$  and  $\tilde{u}_+$  will lie in the pre-image of  $C(M)^{>\delta}$ , so we can choose  $\tilde{u}_-$  such that  $d(\tilde{x}, \tilde{u}_-) \leq D$ . Then there will be a  $\gamma \in \Gamma$  with  $d(\gamma(\tilde{x}), \tilde{u}_+) \leq D$  and we define  $\psi(u) = \gamma$ . It follows that

$$\ell(u) \ge d(\tilde{x}, \psi(u)(\tilde{x})) - 2D.$$

The orthogeodesic u is determined by the components in the pre-image of  $T_{\epsilon_3}(\alpha)$  and  $T_{\epsilon_3}(\beta)$  that  $\tilde{u}_-$  and  $\tilde{u}_+$  lie in. As there are at most  $N_0$  in a D-neighborhood of  $\tilde{x}$  and  $N_0$  in a D-neighborhood of  $\gamma(\tilde{x})$ , there are at most  $N = N_0^2$  orthogeodesics u with  $\psi(u) = N$ , so  $\psi$  is at most N-to-one.

For the previous lemma to be useful need to able to control the diameter of  $C(M_Y)^{>\epsilon}$ . The following argument is well known.

LEMMA 5.11. Let  $\epsilon > 0$  and V > 0. Let M be a geometrically finite hyperbolic manifold and convex core volume less than V. Then the diameter of every connected component of  $C(M)^{>\epsilon}$ , the  $\epsilon$ -thick part of the convex core, is bounded by a constant that is a function of  $\epsilon$ , V,  $\chi(\partial M)$ , and the length of the shortest compressible curve in  $\partial C(M)$ .

Proof. Given x, y in the connected component of  $C(M)^{>\epsilon}$ , let  $\gamma : [0,1] \to C(M)^{>\epsilon}$  be a path joining x, y. Then  $f(t) = d(x, \gamma(t))$  is continuous with f(0) = 0 and f(1) = d(x, y) and we can take  $t_k \in [0, 1]$  with  $f(t_k) = 2k\epsilon$  for  $0 \le k \le n$  where  $2n\epsilon \le d(x, y) < 2(n + 1)\epsilon$ . Then the balls  $B(\gamma(t_k), \epsilon)$  are disjoint, embedded, and contained in  $N_{\epsilon}(C(M))$ . Thus, the number of disjoint  $\epsilon$ -balls is bounded in terms of the volume of  $N_{\epsilon}(C(M))$  which in turn bounds d(x, y).

To bound the volume of  $N_{\epsilon}(C(M))$ , let  $V_t$  be the volume of  $N_t(C(M))$ . Then  $\dot{V}_t = A_t$  is the area of  $\partial N_t(C(M))$ . If  $\beta_M$  is the bending lamination on  $\partial C(M)$  then an easy calculation gives

$$A_t = 2\pi |\chi(\partial M)| \cosh^2(t) + L(\beta_M) \sinh(t) \cosh(t),$$

where  $L(\beta_M)$  is the length of  $\beta_M$ . Also, by [BBB19], there are universal constants A, B such that  $L(\beta_M) \leq (A + B/\delta)|\chi(\partial M)|$ . Integrating, it follows that the volume of  $N_{\epsilon}(C(M))$  is bounded by a function of  $V, \chi(\partial M)$ , and  $\delta$ .

To apply the previous lemma to bound the diameter of  $C(M)^{>\epsilon}$ , we need to know that this set is connected. In general this will be false. The next lemma shows that in our setting it holds for sufficiently small  $\epsilon$  for hyperbolic manifolds in a relatively acylindrical deformation space.

LEMMA 5.12. Given CC(N, S; X) with (N, S) relatively acylindrical, there exists a  $\delta > 0$  such that if  $M \in CC(N, S; X)$  then  $C(M)^{>\delta}$  is connected.

*Proof.* Let X' be the union of components of  $\partial C(M)$  that face X. The retraction from X to  $\partial C(M)$  is Lipschitz with Lipschitz constant only depending on  $\operatorname{inj}(X)$  (see [BC03]). Therefore, the diameter of X is bounded by D, a constant depending only on  $\chi(X)$  and  $\operatorname{inj}(X)$ .

Let Y' be the union of components of  $\partial C(M)$  facing Y and recall that the path metric on Y' is hyperbolic. Since (N; S) is relatively acylindrical there is at most one homotopy class of curve in S that is homotopic to  $\alpha$ . If there is such a curve, let C be the  $\epsilon_3$ -Margulis collar about

its geodesic representative  $\alpha'$  in Y'. Note that if there is no such curve or the curve has length  $\geq 2\epsilon_3$  then C is empty. By a theorem of Bers, Y' has a bounded length pants decomposition with constants only depending on the topology of Y', and each point in the  $\epsilon_3$ -thick part of Y' is a uniformly bounded distance from at least two of these curves. On the other hand, if p is in the  $\epsilon_3$ -thin part but not in C there is an essential curve of length  $\leq 2\epsilon_3$  that is not homotopic to a multiple of  $\alpha$ . Therefore, there exists an L > 0 such that, for  $p \in Y' - C$ , there is a closed curve of length  $\leq L$  containing p which is not homotopic to a multiple of  $\alpha$ .

We now choose  $\delta > 0$  such that  $d_{\epsilon_3}^l(\delta) \ge \max\{D, L\}$ . Let p be a point in  $\partial C(M) \cap T_{\delta}(\alpha)$ . If  $p \in X'$  then the diameter bound on X' implies that  $X' \subset T_{\epsilon_3}(\alpha)$ . This is a contradiction since X' cannot be contained in a Margulis tube. If  $p \in Y' \setminus C$  then there is an essential closed curve  $\beta$  through p of length  $\le L$  that is not homotopic to  $\alpha$ . Then the length bound implies that  $\beta \subset T_{\epsilon_3}(\alpha)$ , which is again a contradiction. It follows that  $T_{\delta}(\alpha) \cap \partial C(M) \subseteq C$ .

To finish the proof we show that  $\partial T_{\delta}(\alpha) \cap C(M)$  is connected. We can assume that  $\partial T_{\delta}(\alpha)$  and  $\partial C(M)$  are transverse. (If not, we can slightly decrease  $\delta$ .) On  $\partial T_{\delta}(\alpha)$  the intersection  $\partial T_{\delta}(\alpha) \cap \partial C(M)$  will be a collection of simple closed curves that either are homotopic to  $\alpha$  or are contractible and bound disks. These curves will bound the region of  $\partial T_{\delta}(\alpha)$  that is contained in C(M). We will show that it is either a pair of parallel curves homotopic to  $\alpha$  or a collection of contractible curves bounding disks whose interiors are disjoint from C(M).

First we assume that the intersection of  $\alpha'$  with the bending lamination is zero. Then the collar C is totally geodesic outside of  $\alpha'$  where it is bent at some angle (possibly zero). This implies that for points in C the injectivity radius on  $\partial C(M)$  agrees with the injectivity radius in the ambient hyperbolic 3-manifold M and therefore the intersection of  $\partial C(M)$  with  $T_{\delta}(\alpha)$ is the  $\delta$ -Margulis collar about  $\alpha$ . In particular,  $\partial T_{\delta}(\alpha) \cap \partial C(M)$  is a pair of parallel curves and  $\partial T_{\delta}(\alpha) \cap C(M)$  is an annulus bounded by these curves and therefore is connected. If  $\alpha'$ intersects the bending lamination then we can foliate C with geodesic segments in M joining the boundary components of C. Note that C is contained in  $T_{\epsilon_3}(\alpha)$ , and as any geodesic in  $T_{\epsilon_3}(\alpha)$  will intersect  $T_{\delta}(\alpha)$  in a connected set we have that each geodesic arc of the foliation has connected intersection with  $T_{\delta}(\alpha)$ . Thus, the intersection is either empty, a point, or a closed interval. By continuity of the foliation this implies that  $C \cap T_{\delta}(\alpha)$  is either a union of disks or an annulus. In the annulus case,  $\partial T_{\delta}(\alpha) \cap C(M)$  is also an annulus and therefore is connected. For the disk case, Y' intersects  $T_{\delta}(\alpha)$  in a union of disjoint disks and  $\partial T_{\delta}(\alpha) \cap C(M)$  is either a union of disks or the complement of a union of disks. As  $T_{\delta}(\alpha) \cap C(M)$  is the intersection of two convex sets, it is connected. Therefore,  $\partial T_{\delta}(\alpha) \cap C(M)$  must be the complement of a union of disks and therefore is connected. 

# 5.4 Proof of Proposition 5.3

We now are ready to prove Proposition 5.3, which will complete the proof that the auxiliary components do not contribute to the limiting model flow. We restate it.

PROPOSITION 5.3. There exists  $\delta > 0$  such that the following assertion holds. Given  $\eta, K > 0$ , there exists a  $\epsilon > 0$  such that if  $\text{Re } \mathcal{L}_{\alpha}(M_Y) \leq 2\delta$  and  $\text{Vol}_C(M_Y) \leq K$  then

$$\operatorname{area}_{\alpha}\left(\operatorname{supp}\left(\left(\mu^{<\epsilon}\right)_{T}^{\operatorname{aux}}\right)\right) \leq \eta \cdot \operatorname{Re} \mathscr{L}_{\alpha}(M_{Y}).$$

*Proof.* The support of  $(\mu^{<\epsilon})_T^{\text{aux}}$  is contained in the union of  $S_{\epsilon}^{\alpha}(\beta)$  where  $\beta$  ranges over the closed geodesics of length  $< 2\epsilon$  in Y. There are at most 3g - 3 such curves, where g is the genus of Y, so it will be enough to bound the area of the individual  $S_{\epsilon}^{\alpha}(\beta)$ .

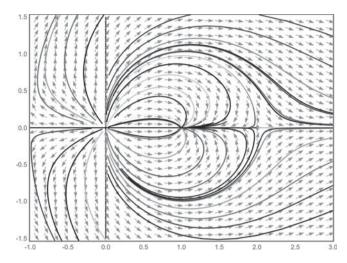


FIGURE 6. Vector field  $v(z) = \frac{1}{4}(|z|^4 - 2z \operatorname{Re}(z^2) - z^2 + 2z)$ .

Fix  $\delta > 0$  as in Lemma 5.12 and choose  $\tilde{x} \in \mathbb{H}^3$  that maps to  $x \in M^{\geq \epsilon_3} \cap \partial C(M)$  under the covering map  $\mathbb{H}^3 \to M_Y$ . Then by Lemmas 5.9 and 5.10 we have, for  $\alpha, \beta$  of length less than  $2\delta$ ,

$$\sum_{u \in \mathcal{O}^{\alpha}_{\delta}(\beta)} e^{-2\ell(u)} \le N e^{2D} \sum_{\gamma \in \Gamma} e^{-2d(\tilde{x}, \gamma(\tilde{x}))} \le 8N e^{2D} \coth^2(\epsilon_3),$$

where D is a the diameter bound on  $C(M_Y)^{<\delta}$  and N depends on D. By Lemma 5.11, the diameter of each component of  $C(M_Y)^{<\delta}$  is bounded by a constant only depending on the volume of  $C(M_Y)$ . By Lemma 5.12,  $C(M_Y)^{<\delta}$  is connected. Therefore, D, and hence N, only depend on the volume of  $C(M_Y)$  and the sum only depends on the volume of  $C(M_Y)$ . The result then follows from Corollary 5.7 by further choosing  $2\delta < \delta_0$  so that  $\text{Re } \mathcal{L}_{\alpha}(M_Y) < \delta_0$ .

# 6. Vector field at infinity

We now return to our flowline  $Y_t$  of the gradient vector field V on  $\mathrm{Teich}(S)$  and recall that by Theorem 3.2 we have  $Y_t \to \hat{Y} \in \mathrm{Teich}(S)$  and  $\|V(Y_t)\|_2 = \|\phi_{Y_t}\|_2 \to 0$ . The surface  $\hat{Y}$  is a noded surface (with possibly empty nodal set). We can assume that  $\alpha$  is one of the nodes and let  $c_{\alpha}(t) = \ell_{\alpha}(Y_t)/\mathscr{L}_{\alpha}(M_{Y_t})$ . Then, by Theorem 4.10, if  $t_n \to \infty$  is a subsequence with  $c_{\alpha}(t_n) \to c$  for some  $c \in \mathbb{C}$  we have  $c'_{\alpha}(t) \to v(c)$  where v is the vector field

$$v(z) = \frac{1}{4} (|z|^4 - 2z \operatorname{Re}(z^2) - z^2 + 2z)$$

shown in Figure 6.

PROPOSITION 6.1. The critical points of the vector field v(z) are z = 0 (unstable), z = 1 (stable), z = 2 (saddle), and z = -1 (saddle). The basin of attraction of z = 1 is the disk |z - 1| < 1, and the circle |z - 1| = 1 consists of two trajectories from 0 to 2.

*Proof.* A direct computation shows that v(-1) = v(0) = v(1) = v(2) = 0 and that there are no other zeros. The linearization of each zero is non-trivial and gives that each zero of v is as described. Once we show that the circle |z-1|=1 consists of two trajectories from 0 to 2 it will follow that |z-1|<1 is the basin of attraction for z=1 as there are exactly two trajectories limiting to the unstable fixed point at z=2 and therefore all the other trajectories in the disk must limit to z=1 (since they cannot limit to the unstable fixed point at z=0).

If we let w(z) = (z-1)/|z-1| be the radial vector field centered at z=1 then the Euclidean inner product of v and w is given by taking the real part of the product of v and the conjugate of w (as functions). Points in the circle |z-1|=1 are of the form  $z=1+e^{i\theta}$  and on calculates to see that  $\langle v(z), w(z) \rangle = 0$  for such points so v is tangent to this circle.

On the other hand, if we let h(z) = 1 be the constant horizontal vector field then on the circle we have

$$\langle v(z), h(z) \rangle = \operatorname{Re} v(1 + e^{i\theta}) = \frac{3}{4} \sin^2 \theta (2 + \cos \theta). \tag{6.3}$$

This is zero only when z is 0 or 2 (and  $\theta$  is  $\pi$  or 0) and is positive otherwise. This implies that z = 0 and z = 2 are the only critical points on the circle and that the flowlines are from 0 to 2 as claimed.

We will use the next lemma to analyze the limiting behavior of the path  $c_{\alpha}$ .

LEMMA 6.2. Let v be a smooth vector field on  $\mathbb{R}^n$  and  $\gamma \colon [0, \infty) \to \mathbb{R}^n$  be a smooth path whose image lies in a compact subset. Also assume that  $\gamma'(t_n) \to v(p)$  for all sequences  $t_n$  with  $\gamma(t_n)$  converging to some  $p \in \mathbb{R}^n$ .

Let  $\mathscr{A}$  be the accumulation set for  $\gamma$ . Then  $\mathscr{A}$  is a union of trajectories of the flow of v.

*Proof.* Since the image of  $\gamma$  has compact support we can assume that v has compact support and |v| is bounded. We first show that  $|\gamma'(t) - v(\gamma(t))| \to 0$  as  $t \to \infty$ . If not we can find a sequence  $t_n \to \infty$  such that  $\gamma(t_n) \to p$  in M but  $|\gamma'(t_n) - v(\gamma(t_n))|$  converges to some non-zero  $s \in \mathbb{R}$ . But by assumption  $\gamma'(t_n) \to v(p)$  which implies  $|\gamma'(t_n) - v(\gamma(t_n))| \to 0$ , a contradiction.

Therefore,  $\gamma'(t)$  is bounded. Now let  $\gamma_{\tau}(t) = \gamma(t+\tau)$  and for  $p \in \mathcal{A}$  choose a sequence  $\tau_i \to \infty$  with  $\gamma_{\tau_i}(0) \to p$ . As the  $\gamma'_{\tau}$  are also bounded, by Arzelà–Ascoli (after possibly passing to a subsequence), we have a locally uniform limit  $\gamma_i(t) = \gamma_{\tau_i} \to \beta$  and  $\gamma'_{\tau_i}(t)$  limits locally uniformly to  $v(\beta(t))$ . Note that  $\beta$  is defined on all of  $\mathbb{R}$ . Therefore, we have

$$\beta(0) + \int_0^t v(\beta(s)) ds = \gamma_i(t) - \left(\gamma_i(0) + \int_0^t \gamma_i'(s) ds\right) + \beta(0) + \int_0^t v(\beta(s)) ds$$
$$= \lim_{i \to \infty} \left(\gamma_i(t) + \left(\beta(0) - \gamma_i(0)\right) + \int_0^t \left(v(\beta(s)) - \gamma_i'(s)\right) ds\right)$$
$$= \beta(t)$$

which implies that  $\beta$  is a trajectory of v. Note that the image of  $\beta$  will be contained in  $\mathscr{A}$ , so we have shown that  $\mathscr{A}$  is a union of trajectories.

For the final statement let  $\epsilon = |p - q|/3$ . Then for any  $\delta > 0$  the path  $\gamma$  must leave and enter any ball of radius  $\delta < \epsilon$  centered at p infinitely often. Therefore, we can find  $t_n \to \infty$  such that  $|\gamma(t_n) - p| = \delta$  and  $\langle w, \gamma'(t_n) \rangle \ge 0$ . After possibly passing to a subsequence there is a trajectory  $\beta \in \mathscr{A}$  with  $\gamma(t_n) \to \beta(t)$  and  $\gamma'(t_n) \to \beta'(t)$ . Continuity implies that  $|\beta(t) - p| = \delta$  and  $\langle w, \beta'(t) \rangle \ge 0$  as claimed. The other inequality follows similarly.

We can now show that  $c_{\alpha}(t)$  converges to 1.

PROPOSITION 6.3. As  $t \to \infty$  we have  $c_{\alpha}(t) \to 1$ .

*Proof.* Let  $\mathscr{C}$  be the accumulation set of  $c_{\alpha}$ . As  $c_{\alpha}(t)$  is contained in the disk  $|z-1| \leq 1$ , we must have that all of the trajectories in  $\mathscr{C}$  are contained in this disk. Note that any non-constant

trajectory in the disk contains either 0 or 1 (and often both) in its closure, so if  $\mathscr{C}$  contains a non-constant trajectory then it contains either 0 or 1, and by the second part of Lemma 6.2 we then have  $\mathscr{C} = \{0\}$  or  $\mathscr{C} = \{1\}$ . In particular,  $\mathscr{C}$  cannot contain any non-constant trajectories and therefore, again by the second part of Lemma 6.2, must be equal to one of the zeros of v in the disk  $|z-1| \leq 1$ . We finish the proof by showing that  $c_{\alpha}(t)$  does not converge to 0 or 2.

If as  $t \to \infty$  we have  $c_{\alpha}(t) \to 0$ , then for  $f(t) = \text{Re}(\log(c_{\alpha}(t)))$  we have  $f(t) \to -\infty$ . But by Theorem 4.10,

$$\lim_{t \to \infty} \frac{c'_{\alpha}(t)}{c_{\alpha}(t)} = \frac{1}{2}.$$

As  $f'(t) = \text{Re}(c'_{\alpha}(t)/c_{\alpha}(t))$  it follows that f(t) is increasing for t, contradicting that  $\lim_{t\to\infty} f(t) = -\infty$ . Thus,  $\lim_{t\to\infty} c_{\alpha}(t) \neq 0$ .

If  $c_{\alpha}(t) \to 2$  as  $t \to \infty$  then by (1) of Theorem 4.10 we have

$$\lim_{n \to \infty} \frac{\ell_{\alpha}'(t_n)}{\ell_{\alpha}(t_n)} = \frac{3}{2}$$

for all sequences  $t_n \to \infty$ . On the other hand, as  $\ell_{\alpha}(t) \to 0$  as  $t \to \infty$ , we can choose a subsequence such that  $\ell'_{\alpha}(t_n) \leq 0$  and therefore

$$\lim_{n \to \infty} \frac{\ell_{\alpha}'(t_n)}{\ell_{\alpha}(t_n)} \le 0.$$

This is a contradiction, and therefore  $\lim_{t\to\infty} c_{\alpha}(t) \neq 2$ . It follows that  $\lim_{t\to\infty} c_{\alpha}(t) = 1$ .

#### 7. Main theorem

Before we finish the proof of the main theorem, we will need the following bound on the  $L^{\infty}$ -norm of a harmonic Beltrami differential in terms of the  $L^2$ -norm and the derivative of the length of short geodesics.

LEMMA 7.1 (Wolpert [Wol17, Lemma 11]). There exists an  $\epsilon_0$  satisfying the following assertion. Given  $\epsilon < \epsilon_0$ , there exists c > 0 such that if  $\tau$  is the family of geodesics with  $\ell_{\alpha} < \epsilon$  for  $\alpha \in \tau$  and  $\mu$  is a harmonic Beltrami differential on Y then

$$\|\mu\|_{\infty} \le c \left(\frac{1}{2} \max_{\alpha \in \tau} |d(\log \ell_{\alpha})(\mu)| + \|\mu_0\|_2\right),$$

where  $\mu_0$  is the component of  $\mu$  orthogonal (in the Weil–Petersson inner product) to the span of the of the gradients  $\nabla \ell_{\alpha}$ .

Wolpert's original bound is in terms of the gradient of the root-length functions  $\ell_{\alpha}^{1/2}$ . One can translate his statement to the above statement via the chain rule.

The above gives the following immediate corollary.

COROLLARY 7.2. Let (N; S) be relatively acylindrical and  $M_t \in CC(N; S, X)$  be a flowline for V, with quadratic differential  $\phi_t$ . Then

$$\lim_{t \to \infty} \|\phi_t\|_{\infty} = 0.$$

*Proof.* We have  $Y_t \to Y_\tau$  where  $\tau$  is the collection of nodes. Then there is an  $\epsilon_1 > 0$  such that if  $\ell_{\beta}(Y_t) < \epsilon_1$  then  $\beta \in \tau$ . We apply the above to  $\mu = V_t = -\overline{\phi}_t/\rho_{Y_t}$  for  $\epsilon < \min(\epsilon_0, \epsilon_1)$ .

As  $\|\mu_0\|_2 \leq \|\mu\|_2$ , we have

$$\|\phi_t\|_{\infty} \le c \left(\frac{1}{2} \max_{\alpha \in \tau} |d(\log \ell_{\alpha})(V_t)| + \|\phi_t\|_2\right).$$

By Proposition 6.3,  $\lim_{t\to\infty} c_{\alpha}(t) = c_{\alpha} = 1$ . Thus, by Theorem 4.10,

$$\lim_{t \to \infty} d(\log \ell_{\alpha})(V_t) = \frac{1}{2}(\operatorname{Re}(c_{\alpha}^2) - 1) = 0.$$

Thus, as  $\lim_{t\to\infty} \|\phi_t\|_2 = 0$  the result follows.

We now have everything in place to prove our main result.

THEOREM 1.1. Let (N; S) be relatively acylindrical and  $M_t \in CC(N; S, X)$  be a flowline for V. Then  $M_t$  converges to  $M_{\text{geod}}$ .

*Proof.* If  $Y_t$  is the corresponding flowline of V on Teich(S) we have that  $M_t = M_{Y_t}$ . We let  $\phi_t$  be the quadratic differential given by the Schwarzian on  $Y_t$ . Then by Theorem 3.2 we have that  $Y_t \to \hat{Y}$  where  $\hat{Y}$  is a possibly noded surface in the Weil–Petersson completion  $\overline{Teich}(S)$ . We will show that the set of nodes is empty as the  $\hat{Y}$  is actually contained in Teich(S).

We let  $\sigma = \sigma_{(N:S)}$ : Teich $(S) \to \text{Teich}(S)$  be the restriction of the skinning map to  $S \subseteq \partial N$ .

By McMullen, the skinning map is contracting in the Teichmüller metric  $d_{\text{Teich}}$  with contraction factor c < 1 depending only on the topology of (N; S) (see [McM90, Theorem 6,1 and Corollary 6.2]). It follows that there is a unique fixed point of  $\sigma$  which we label  $Y_{\text{geod}}$  since  $M_{\text{geod}} = M_{Y_{\text{geod}}}$ .

For any contraction mapping we can bound the distance from a point to the fixed point in terms of the distance between the point and its first iterate. In particular, for any  $Y_t$  we have

$$d_{\text{Teich}}(Y_t, Y_{\text{geod}}) \le \frac{d_{\text{Teich}}(Y_t, \sigma(Y_t))}{1 - c}.$$

By the Ahlfors–Weill quasiconformal reflection theorem (see [AW62, Theorem A]) if  $\|\phi_t\|_{\infty} < 1/2$  then

$$d_{\text{Teich}}(Y_t, \sigma(Y_t)) \le \frac{1}{2} \log \left( \frac{1 + 2\|\phi_t\|_{\infty}}{1 - 2\|\phi_t\|_{\infty}} \right).$$

By Corollary 7.2,  $\lim_{t\to\infty} \|\phi_t\| \to 0$ . so we can combine the two inequalities to get  $\lim_{t\to\infty} d_{\text{Teich}}(Y_t, Y_{\text{geod}}) = 0$ . Therefore,  $M_t \to M_{\text{geod}}$  as claimed.

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