

## NONTRIVIAL RATIONAL POLYNOMIALS IN TWO VARIABLES HAVE REDUCIBLE FIBRES

WALTER D. NEUMANN AND PAUL NORBURY

We show that every  $f : \mathbb{C}^2 \rightarrow \mathbb{C}$  which is a rational polynomial map with irreducible fibres is a coordinate.

We shall call a polynomial map  $f: \mathbb{C}^2 \rightarrow \mathbb{C}$  a “coordinate” if there is a  $g$  such that  $(f, g): \mathbb{C}^2 \rightarrow \mathbb{C}^2$  is a polynomial automorphism. Equivalently, by Abhyankar–Moh and Suzuki [1, 12],  $f$  has one, and therefore all, fibres isomorphic to  $\mathbb{C}$ . Following [7] we call a polynomial  $f: \mathbb{C}^2 \rightarrow \mathbb{C}$  “rational” if the general fibres of  $f$  (and hence all fibres of  $f$ ) are rational curves. The following theorem, which says that a rational polynomial map with irreducible fibres cannot be part of a counterexample to the 2-dimensional Jacobian Conjecture, has appeared in the literature several times. It appears with an algebraic proof in Razar [10]. It appears in [4, Theorem 2.5] (as corrected in the Corrigendum), and Lê and Weber, who give a geometric proof in [6], also cite the reference Friedland [3], which we have not seen.

**THEOREM 1.** *If  $f: \mathbb{C}^2 \rightarrow \mathbb{C}$  is a rational polynomial map with irreducible fibres and is not a coordinate then  $f$  has no jacobian partner (that is, there is no polynomial  $g$  such that the jacobian of  $(f, g)$  is a nonzero constant).*

In this note we prove the above theorem is empty:

**THEOREM 2.** *There is no  $f$  satisfying the assumptions of the above theorem. That is, a rational  $f$  with irreducible fibres is a coordinate.*

**PROOF:** This theorem is implicit in [7]. Suppose  $f$  is rational. As in [7, 6, 9], et cetera, we consider a nonsingular compactification  $Y = \mathbb{C}^2 \cup E$  of  $\mathbb{C}^2$  such that  $f$  extends to a holomorphic map  $\bar{f}: Y \rightarrow \mathbb{P}^1$ . Then  $E$  is a union of smooth rational curves  $E_1, \dots, E_n$  with normal crossings. An  $E_i$  is called *horizontal* if  $\bar{f}|E_i$  is nonconstant. Let  $\delta$  be the number of horizontal curves. Then we have

$$\delta - 1 = \sum_{a \in \mathbb{C}} (\tau_a - 1),$$

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where  $r_a$  is the number of irreducible components of  $f^{-1}(a)$ . This is Miyanishi and Sugie [7, Lemma 1.6] who attribute it to Saito [11], and Lê and Weber [6, Lemma 4] who attribute it to Kaliman [5, Corollary 2]. The proof is simple arithmetic from the topological observation that on the one hand the Euler characteristic of  $Y$  is  $n + 2$  and on the other hand it is  $4 + \sum_{a \in \mathbb{P}^1} (\bar{r}_a - 1)$ , where  $\bar{r}_a$  is the number of components of  $\bar{f}^{-1}(a)$ ,  $a \in \mathbb{P}^1$ .

By this formula, if  $f$  has irreducible fibres then there is just one horizontal curve. [7, Lemma 1.7] now says that  $f$  is a coordinate. This also follows from the following proposition, which implies that the generic fibres of  $f$  have just one point at infinity and are thus isomorphic to  $\mathbb{C}$ .  $\square$

**PROPOSITION 3.** *Let  $f: \mathbb{C}^2 \rightarrow \mathbb{C}$  be any polynomial map and  $\bar{f}: Y \rightarrow \mathbb{P}^1$  an extension as above. Denote by  $d$  the greatest common divisor of the degrees of  $\bar{f}$  on the horizontal curves of  $Y$  and  $D$  the sum of these degrees. Then the general fibre of  $f$  has  $d$  components (so  $f = h \circ f_1$  for some polynomials  $f_1: \mathbb{C}^2 \rightarrow \mathbb{C}$  and  $h: \mathbb{C} \rightarrow \mathbb{C}$  with  $\deg(h) = d$ ), each of which is a compact curve with  $D/d$  punctures.*

**PROOF:** Let  $E_1, \dots, E_\delta$  be the horizontal curves and  $d_1, \dots, d_\delta$  be the degrees of  $\bar{f}$  on these. Note that the points at infinity of a general fibre  $f^{-1}(a)$  are the points where  $\bar{f}^{-1}(a)$  meet the horizontal curves  $E_i$ , so there are  $d_i$  such points on  $E_i$  for  $i = 1, \dots, \delta$ . The relationship between plumbing diagram and splice diagram (see [9, 2]) says that the splice diagram  $\Gamma$  for a regular link at infinity for  $f$  (see [8]) has  $\delta$  nodes with arrows at them, and the number of arrows at these nodes are  $d_1, \dots, d_\delta$  respectively. Let  $\Gamma_0$  be the same splice diagram but with  $d_1/d, \dots, d_\delta/d$  arrows at these nodes. Then a minimal Seifert surface  $S$  for the link represented by  $\Gamma$  will consist of  $d$  parallel copies of a minimal Seifert surface for the link represented by  $\Gamma_0$ , so this  $S$  has  $d$  components. But the general fibre of  $f$  is such a minimal Seifert surface [8, Theorem 1], completing the proof. (It also follows that  $\Gamma_0$  is the regular splice diagram for the polynomial  $f_1$  of the proposition.)  $\square$

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Department of Mathematics  
The University of Melbourne  
Parkville, Vic 3052  
Australia  
e-mail: neumann@maths.mu.oz.au

Department of Mathematics  
The University of Melbourne  
Parkville, Vic 3052  
Australia  
e-mail: norbs@maths.mu.oz.au