

## PROJECTIONS ON TREE-LIKE BANACH SPACES

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1. In this paper, we investigate the ranges of projections on certain Banach spaces of functions defined on a diadic tree. The notion of a "tree-like" Banach space is due to James [4], who used it to construct the separable space  $JT$  which has nonseparable dual and yet does not contain  $l_1$ . This idea has proved useful. In [3], Hagler constructed a hereditarily  $c_0$  tree space,  $HT$ , and Schechtman [6] constructed, for each  $1 \leq p \leq \infty$ , a reflexive Banach space,  $ST_p$ , with a 1-unconditional basis which does not contain  $l_p$ , yet is uniformly isomorphic to  $\left(\sum_{i=1}^n \oplus ST_p\right)_{l_p^n}$  for each  $n$ .

In [1] we showed that if  $U$  is a bounded linear operator on  $JT$ , then there exists a subspace  $W \subset JT$ , isomorphic to  $JT$  such that either  $U$  or  $(I - U)$  acts as an isomorphism on  $W$  and  $UW$  or  $(I - U)W$  is complemented in  $JT$ . In this paper, we establish this result for the Hagler and Schechtman tree spaces.

By arguments of Casazza and Lin [2], this implies that if  $X$  is either the Hagler or one of the Schechtman tree spaces,  $X = Z \oplus W$ , and either  $Z$  or  $W$  is isomorphic to its square, then either  $Z$  or  $W$  is itself isomorphic to  $X$ . Although in both this paper and in [1] and [2], great use is made of the symmetry properties of the unit vector basis, the arguments of [1] are not sufficient for analyzing the Hagler or Schechtman tree spaces. The new idea which is used is that of a banded subtree (see Definition 1), and in the case of these spaces, we show that the unit vector basis is equivalent to any subsequence of it which is supported on a banded subtree. Roughly speaking, bandedness means that for each  $n$ , when levels in the original tree are considered, the  $n$ -th subtree level is completed before the  $(n + 1)$ -st subtree level is begun.

In Section 2, we present the terminology and elementary lemmas concerning trees, as well as the definitions of the tree-like spaces of Hagler and Schechtman. We analyze the spaces in Sections 3 and 4, respectively.

Our notation is standard in Banach space theory, as may be found in [5]. If  $A$  is a subset of a Banach space, we denote the closed linear span of  $A$  by  $[A]$ . The greatest integer function is also denoted by  $[\cdot]$ . Standard results concerning perturbations of Schauder bases are used in several places.

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2. The standard tree is

$$\mathcal{T} = \{ (n, i): 0 \leq n < \infty, 0 \leq i < 2^n \}.$$

The points  $(n, i)$  are called *nodes*, and we say  $(n, i)$  is on the  $n$ -th level of  $\mathcal{T}$ . We denote the level of a node  $t$  by  $\text{lev } t$ . We say that  $(n + 1, 2i)$  and  $(n + 1, 2i + 1)$  are the *successors* of  $(n, i)$ . A *segment* is a finite set  $S = \{t_1, t_2, \dots, t_k\}$  of nodes such that for each  $j, t_{j+1}$  is a successor of  $t_j$ . If  $\text{lev}(t_1) = m$  and  $\text{lev}(t_k) = n$ , we say the segment  $\{t_1, \dots, t_k\}$  is an  $m - n$  *segment*. A family of segments  $\{S_1, \dots, S_r\}$  is *admissible* if the segments are mutually disjoint and there exist integers  $m$  and  $n$  such that each  $S_i$  is an  $m - n$  segment.  $\mathcal{T}$  is partially ordered by the relation  $<$  defined by  $t_1 < t_2$  if and only if  $t_1 \neq t_2$  and there is a segment with first element  $t_1$  and last element  $t_2$ . If  $t_2 \geq t_1$ , we say  $t_2$  is a *follower* of  $t_1$ . A sequence of nodes  $\{t_i\}$  is *strongly incomparable* provided  $i \neq j$  implies  $t_i$  and  $t_j$  are not comparable and no more than two of the  $t_i$  are contained in the segments of any admissible family. An  $n$ -*branch* is a totally ordered set  $\{(m, l_m)\}_{m=n}^\infty$  and a *branch* is a set which is an  $n$ -branch for some  $n$ .

A *tree* is a partially ordered set  $\mathcal{S}$  which is order isomorphic to  $\mathcal{T}$ . If  $\mathcal{S}_1$  and  $\mathcal{S}_2$  are trees with  $\mathcal{S}_1 \subset \mathcal{S}_2$ , we say that  $\mathcal{S}_1$  is a *subtree* of  $\mathcal{S}_2$ . If  $\mathcal{S}$  is a tree and  $\psi: \mathcal{S} \rightarrow \mathcal{T}$  is an order isomorphism, we may use  $\psi$  to carry the above terminology from  $\mathcal{T}$  to  $\mathcal{S}$ . In particular, for  $s \in \mathcal{S}$ , we define

$$\text{lev}_{\mathcal{S}}(s) = \text{lev}(\psi(s)).$$

If  $\mathcal{S} \subset \mathcal{T}$  is a subtree of  $\mathcal{T}$  and  $S$  is a segment of  $\mathcal{T}$ , we say  $S$  is *compatible* with  $\mathcal{S}$  if there exist  $s_1, s_2 \in \mathcal{S}$  such that  $s_1 \leq t \leq s_2$  for all  $t \in S$ .

For ease of referral, we isolate the next notions in

*Definition 1.* Let  $\{m_i\}, \{n_i\}$  be sequences of natural numbers such that  $m_i \leq n_i < m_{i+1}$  for all  $i$ . We say the subtree  $\mathcal{S} \subset \mathcal{T}$  is *banded* by  $\{m_i\}, \{n_i\}$  (or *banded*) if

1.  $\text{lev}_{\mathcal{S}}(t) = i$  implies  $m_i \leq \text{lev}(t) \leq n_i$ ,
2.  $\text{lev}_{\mathcal{S}}(t) = i$  implies there is a unique  $m_i - n_i$  segment  $S_i$  of  $\mathcal{T}$  which contains  $t$  and is compatible with  $\mathcal{S}$ , and
3.  $\text{lev}_{\mathcal{S}}(t) = i$  implies there exist precisely two  $n_i - m_{i+1}$  segments  $S_j$ , which are compatible with  $\mathcal{S}$  and such that  $s \in S_j$  implies  $t \leq s$ .

We shall omit the proofs of the following propositions. Proposition 4 is a strengthened version of Proposition 5 of [1].

**PROPOSITION 2.** *If  $\mathcal{S}$  is a tree and  $A$  is a subset of  $\mathcal{S}$ , then there exists a subtree  $\mathcal{S}_1 \subset \mathcal{S}$  such that either  $\mathcal{S}_1 \subset A$  or  $\mathcal{S}_1 \subset \bar{A}$ .*

**PROPOSITION 3.** *Each subtree of  $\mathcal{T}$  contains a banded subtree.*

**PROPOSITION 4.** *Let  $f$  be bounded real valued function on a tree. Then for any  $\epsilon > 0$ , there exists a subtree  $\mathcal{S}$  such that*

- a. *for any branch  $B$  of  $\mathcal{S}$*

$$\lim_{\substack{t \rightarrow \infty \\ t \in B}} f(t) = L_B \text{ exists, and}$$

b. if, for each  $t \in \mathcal{S}$ ,  $B_t$  is a branch of  $\mathcal{S}$  containing  $t$ , then

$$\sum_{t \in \mathcal{S}} |F(t) - L_{B_t}| < \epsilon.$$

Let  $L$  denote the space of finitely nonzero functions on  $\mathcal{T}$ . The unit vectors are

$$x_t(s) = \begin{cases} 1 & s = t \\ 0 & s \neq t, \end{cases}$$

and we denote the sequence of biorthogonal functionals by  $\{x_t^*\}$ . We shall use the projections and functionals on  $L$ , or any completion of  $L$ , defined by the following formulas. In these,  $N$  is a natural number,  $S$  is either a segment or a branch, and  $t$  is a node.

$$\langle S^*, x \rangle = \sum_{t \in S} \langle x_t^*, x \rangle,$$

$$P_S x = \sum_{t \in S} \langle x_t^*, x \rangle x_t,$$

$$P_t x = \sum_{s \cong t} \langle x_s^*, x \rangle x_s,$$

$$P_N = \sum_{\substack{t \\ \text{lev}(t) \leq N}} \langle x_t^*, x \rangle x_t, \text{ and}$$

$$Q_N = \sum_{\text{lev}(t) \geq N} P_t = I - P_{N-1}.$$

The Hagler tree space,  $HT$ , is the completion of  $L$  with respect to the norm

$$\|x\| = \sup \sum_{i=1}^r |\langle S_i^*, x \rangle|,$$

where the supremum is taken over all  $r$  and all admissible families  $\{S_1, \dots, S_r\}$ . The unit vectors, in the order  $x_{0,0}, x_{1,0}, x_{1,1}, x_{2,0}, \dots$ , are a Schauder basis for  $HT$ . We shall discuss this space in Section 3.

The spaces  $ST_p$  were constructed by Schechtman after an analysis of several tree spaces. For  $\lambda > 1$ , define a sequence of norms on  $L$  by

$$\|x\|_0 = \|x\|_{l_1},$$

$$\|x\|_m = \inf \left\{ \|x_0\|_{m-1} + \lambda \sum_{k=1}^K \max_{0 \leq i < 2^k} \|P_{k,i}x_k\|_{m-1} \right\}$$

where the infimum is taken over all  $K$  and all sequences  $x_0, \dots, x_K$  in  $L$  such that

$$\sum_{k=0}^K x_k = x \quad \text{and} \quad Q_k x_k = x_k \quad \text{for } k = 0, \dots, K.$$

Let

$$\|x\| = \lim_{m \rightarrow \infty} \|x\|_m,$$

and denote by  $Y_m$  and  $Y$  the completions of  $L$  with respect to the norms  $\|\cdot\|_m$  and  $\|\cdot\|$ , respectively. The norms dual to these are

$$|x|_0 = \|x\|_{c_0}$$

$$|x|_m = \max \left\{ |x|_{m-1}, \lambda^{-1} \max_{1 \leq k < \infty} \sum_{i=0}^{2^k-1} |P_{k,i}x|_{m-1} \right\},$$

and

$$|x| = \lim_{m \rightarrow \infty} |x|_m.$$

We shall denote by  $Z_m$  and  $Z$  the completions of  $L$  with respect to these norms.

The space  $ST_\infty$  is then the completion of  $L$  with respect to

$$\|\sum a_{n,i}x_{n,i}\| = \|\sum |a_{n,i}|^2 x_{n,i}\|_Y^{1/2}.$$

To define  $ST_p$  for  $1 \leq p < \infty$ , let  $\{x_i\}$  be the unit vector basis in  $ST_\infty$ , and let  $\{x_i^*\}$  be the biorthogonal sequence in  $ST_\infty^*$ . Take  $ST_1 = ST_\infty^*$ , and for  $1 < p < \infty$ , let  $ST_p$  be the completion of  $L$  under the norm

$$\|\sum a_{n,i}x_{n,i}\| = \|\sum |a_{n,i}|^p x_{n,i}^*\|_{ST_1}^{1/p}.$$

**3. In this section, we prove**

**THEOREM 5.** *Let  $U:HT \rightarrow HT$  be a bounded linear operator. Then there exists a subspace  $X \subset HT$  such that  $X$  is isomorphic to  $HT$ ,  $U|X$  (or  $(I - U)|X$ ) is an isomorphism, and  $UX$  (or  $(I - U)X$ ) is complemented in  $HT$ .*

We prepare for the proof of this theorem with several propositions.

PROPOSITION 6. Let  $\mathcal{S}$  be a banded subtree of  $\mathcal{T}$ , and let

$$X = [ \{x_s : s \in \mathcal{S}\} ].$$

Then  $X$  is isomorphic to  $HT$  and complemented in  $HT$ .

*Proof.* Let  $\mathcal{S}$  be banded by  $\{m_i\}$  and  $\{n_i\}$ , let  $\phi : \mathcal{S} \rightarrow \mathcal{T}$  be an order isomorphism, and for each  $t = (i, j) \in \mathcal{T}$ , let  $S_t$  be the unique  $m_i - n_j$  segment of  $\mathcal{T}$  containing  $\phi^{-1}(t)$ , and compatible with  $\mathcal{S}$ .

If  $\{a_t\}$  is a finite set of scalars, and  $x = \sum a_t x_t$ , let  $\{S_1, \dots, S_r\}$  be an admissible family such that

$$\|x\| = \sum_{i=1}^r | \langle S_i^*, x \rangle |.$$

Since  $\{S_1, \dots, S_r\}$  is admissible, there exist  $p, q$  such that each  $S_i$  is a  $p - q$  segment. If  $S'_i$  is the unique  $m_p - n_q$  segment of  $\mathcal{T}$  which contains all of the  $\phi^{-1}(t)$  for  $t \in S_i$  and is compatible with  $\mathcal{S}$ , then  $\{S'_i\}_{i=1}^r$  is an admissible family, and

$$\sum_{i=1}^r | \langle S'_i, \sum a_t x_{\phi^{-1}(t)} \rangle | = \sum_{i=1}^r | \langle S_i^*, x \rangle | = \|x\|.$$

Hence

$$\| \sum a_t x_t \| \leq \| \sum a_t x_{\phi^{-1}(t)} \|.$$

For the reverse inequality, let  $S_1, \dots, S_r$  be  $p - q$  segments with

$$\| \sum a_t x_{\phi^{-1}(t)} \| = \sum_{i=1}^r | \langle S_i^*, \sum a_t x_{\phi^{-1}(t)} \rangle |.$$

Since  $\mathcal{S}$  is banded, we may assume there exist  $i$  and  $j$  such that  $m_i \leq p \leq n_i$  and  $m_j \leq q \leq n_j$ , and with

$$y = \sum a_t x_{\phi^{-1}(t)},$$

we have

$$\begin{aligned} \sum_{i=1}^r | \langle S_i^*, y \rangle | &= \sum_{i=1}^r | \langle S_i^*, P_{n_i} y + (P_{m_j} - P_{n_i}) y \\ &\quad + (I - P_{m_j}) y \rangle | \leq 3 \| \sum a_t x_t \|. \end{aligned}$$

It follows that the basic sequence  $\{x_s\}_{s \in \mathcal{S}}$  is equivalent to  $\{x_t\}$ , and hence, that  $X$  is isomorphic to  $ST$ .

For each  $t = \phi^{-1}(n, i) \in \mathcal{S}$ , let  $S_t$  be the unique  $(n_{i-1} + 1) - n_i$  segment containing  $t$  and compatible with  $\mathcal{S}$ . Define

$$Px = \sum_{t \in \mathcal{S}} \langle S_t^* x \rangle x_t.$$

It is apparent that  $P$  is a projection onto  $X$  and that  $\|P\| \leq 2$ .

**PROPOSITION 7.** *Let  $U:HT \rightarrow HT$  be a bounded linear operator,  $\epsilon > 0$ ,  $N$  an integer,  $\mathcal{S} \subset \mathcal{T}$  a subtree and  $t_0 \in \mathcal{S}$ . Then there exists  $t_1 \in \mathcal{S}$ ,  $t_1 > t_0$ , such that*

$$\|P_N U x_{t_1}\| < \epsilon.$$

*Proof.* If no such  $t_1$  exists, then for any follower  $t \in \mathcal{S}$  of  $t_0$ , there exists  $t'$ ,  $\text{lev}(t') \leq N$  with

$$(4) \quad |\langle x_{t'}^*, P_N U x_t \rangle| \geq \epsilon/K,$$

where  $K = 2^{N+1} - 1$ . Thus, for any  $L$  and any collection  $\{t_l\}_{l=1}^L$  of followers in  $\mathcal{S}$  of  $t_0$ ,  $[L/K]$  of the  $t_l$  satisfy (4) for the same node  $t'$ . Hence there is a choice of signs  $\{\theta_l = \pm 1\}$  such that

$$(5) \quad \left| \left| \sum_{l=1}^L P_N U(\theta_l x_{t_l}) \right| \right| \geq \langle x_{t'}^*, \sum_{l=1}^L U(\theta_l x_{t_l}) \rangle \geq \frac{\epsilon}{K} \left[ \frac{L}{K} \right].$$

If, however, the  $\{t_l\}$  are chosen to be strongly noncomparable, we have

$$\left| \left| \sum_{l=1}^L P_N U(\theta_l x_{t_l}) \right| \right| \leq \|U\| \|\sum \theta_l x_{t_l}\| \leq 2 \|U\|.$$

Since  $L$  is arbitrary, (5) is contradicted.

**PROPOSITION 8.** *Let  $U:HT \rightarrow HT$  be a bounded linear operator,  $\epsilon > 0$ ,  $N$  an integer,  $\mathcal{S}$  a subtree of  $\mathcal{T}$ , and  $t_0, \dots, t_k$  mutually noncomparable nodes of  $\mathcal{S}$ . Then there exists  $t > t_0$ ,  $t \in \mathcal{S}$ ,  $M \in \mathbf{N}$ ,  $N_1 \geq N$ , and  $N_1 - (M + 1)$  segments  $S_i$ ,  $i = 1, \dots, k$ , of  $\mathcal{T}$  having the properties:*

- a.  $\|P_N U x_t\| < \epsilon$ ,
- b.  $\|(I - P_M) U x_t\| < \epsilon$ ,
- c. For each  $i$ , there exists  $t'_i \in \mathcal{S}$  such that  $t_i \leq s < t'_i$  for all  $s \in S_i$ ,
- d. For each  $i$ ,  $|\langle S_i^*, U x_t \rangle| < \epsilon$  for each segment  $S \supset S_i$ .

*Proof.* Let  $K$  satisfy

$$2^{-K} \|U\| < \epsilon/3,$$

and let

$$N_1 \geq \max(N, \text{lev}(t_i))$$

be such that for each  $i = 1, \dots, k$  there are  $2^K$  branches of  $\mathcal{S}$  which contains  $t_i$  and pass through distinct nodes in the  $N_1$ -th level of  $\mathcal{T}$ . Then there exists  $t > t_0$  such that  $t \in \mathcal{S}$  and

$$\|P_{N_1} Ux_t\| < \epsilon/3.$$

Hence a. is satisfied. To satisfy b., choose  $M > N_1$  such that

$$\|(I - P_M)Ux_t\| < \epsilon/3.$$

Now for  $i = 1, \dots, K$ , let  $S_i^1, \dots, S_i^{2^K}$  be disjoint  $N_1 - (M + 1)$  segments satisfying c. For fixed  $i$ , if no  $S_i^j$  satisfies

$$|\langle S_i^{j*}, Ux_t \rangle| < \epsilon/3,$$

it follows that

$$\begin{aligned} \frac{\epsilon}{3} 2^K &\leq \sum_{j=1}^{2^K} |\langle S_i^{j*}, Ux_t \rangle| \\ &\leq \|Ux_t\| \leq \|U\| < \frac{\epsilon}{3} 2^K, \end{aligned}$$

a contradiction. Hence for each  $i$ , there exists  $S_i = S_i^j$  such that

$$|\langle S_i^*, Ux_t \rangle| < \epsilon/3.$$

Now, if  $S \supset S_i$ ,

$$\begin{aligned} |\langle S^*, Ux_t \rangle| &\leq |\langle S^*, P_{N_1-1} Ux_t \rangle| + |\langle S_i^*, Ux_t \rangle| \\ &\quad + |\langle S^*, (I - P_{M+1})Ux_t \rangle| < \epsilon. \end{aligned}$$

We are now ready for the

*Proof of Theorem 5.* Let  $0 < \gamma < 1/2$ . Using standard perturbation arguments, Propositions 2, 3, 4, 7, 8, and the arguments of [1], we may assume the existence of a subtree  $\mathcal{S} = \{t(n, i)\} \subset \mathcal{T}$  banded by sequences  $\{m_i\}$  and  $\{n_i\}$  such that for each  $t \in \mathcal{S}$  and each  $n_i - m_j$  segment  $S$  of  $\mathcal{T}$  which is compatible with  $\mathcal{S}$ , we have

$$\langle S^*, Vx_t \rangle = \begin{cases} \gamma_t & t \in S \\ 0 & t \notin S, \end{cases}$$

where  $\gamma \leq \gamma_t \leq \|V\|$ , where  $V$  is either  $U$  or  $(I - U)$ . We shall assume that  $V = U$ , and show that  $U(HT)$  contains a complemented isomorph of  $HT$ . Furthermore, we may assume that along each branch  $B$  of  $\mathcal{S}$ ,

$$\lim_{\substack{t \rightarrow \infty \\ t \in B}} \gamma_t = \gamma_B \text{ exists}$$

and that if  $\gamma'_t = \gamma_{B_t}$  for some branch containing  $t$ , then

$$\sum_{t \in \mathcal{S}} |\gamma_t - \gamma'_t| < \frac{\gamma}{6}.$$

Let  $X = [ \{x_t\}_{t \in \mathcal{S}} ]$ . By Proposition 6,  $X$  is isomorphic to  $HT$ , and we shall now show that  $\{Ux_t\}_{t \in \mathcal{S}}$  is a basic sequence equivalent to  $\{x_t\}_{t \in \mathcal{S}}$ . It will follow that  $U|X$  is an isomorphism.

Since  $U$  is bounded, if  $\{a_{n,i}\}$  is a finite set of scalars,

$$\| \sum a_{n,i} Ux_{t(n,i)} \| \leq \|U\| \| \sum a_{n,i} x_{t(n,i)} \|.$$

For the reverse inequality, let

$$x = \sum a_{n,i} x_{t(n,i)},$$

and notice that there exist disjoint  $m_p - n_q$  segments  $S_1, \dots, S_k$  of  $\mathcal{T}$  and branches  $B_j \supset S_j$  such that

$$\begin{aligned} \|x\| &\leq 3 \sum_{j=1}^k | \langle S_j^*, x \rangle | \\ &\leq \frac{3}{\gamma} \sum_{j=1}^k \gamma_{B_j} \left| \sum_{t(n,i) \in S_j} a_{n,i} \right| \\ &= \frac{3}{\gamma} \langle f, x \rangle \end{aligned}$$

where  $f \in HT^*$  is defined by

$$f = \sum_{j=1}^k \gamma_{B_j} \operatorname{sgn} \langle S_j^*, x \rangle S_j^*.$$

Let  $\epsilon_j = \operatorname{sgn} \langle S_j^*, x \rangle$ , and let

$$\tilde{\gamma}_s = \begin{cases} \gamma_t & t \in \mathcal{S} \cap B_j \\ \gamma_{B_j} & t \in B_j \setminus \mathcal{S} \end{cases}$$

and define  $g \in HT^*$  by

$$g = \sum_{j=1}^k \epsilon_j \sum_{s \in S_j} \tilde{\gamma}_s x_s^*.$$

Then

$$\|g - f\| \leq \sum_{j=1}^k \sum_{t \in S_j \cap \mathcal{S}} |\gamma_t - \gamma_{B_j}| < \frac{\gamma}{6},$$

so for any  $y \in HT$ ,

$$\langle f, y \rangle \leq \langle g, y \rangle + \frac{\gamma}{6} \|y\|.$$

In particular,

$$\begin{aligned} \|x\| &\leq \frac{3}{\gamma} \langle f, x \rangle \leq \frac{3}{\gamma} \left[ \langle g, x \rangle + \frac{\gamma}{6} \|x\| \right] \\ &\leq \frac{3}{\gamma} \sum_{j=1}^k \epsilon_j \sum_{t(n,i) \in S_j} \gamma_{n,i} a_{n,i} + \frac{1}{2} \|x\|, \end{aligned}$$

so

$$\begin{aligned} \|x\| &\leq \frac{6}{\gamma} \sum_{j=1}^k \epsilon_j \sum_{t(n,i) \in S_j} \gamma_{n,i} a_{n,i} \\ &\leq \frac{6}{\gamma} \sum_{j=1}^k |\langle S_j^*, Ux \rangle| \leq \frac{6}{\gamma} \|Ux\|. \end{aligned}$$

Thus,  $U|X$  is an isomorphism, and to see that  $UX$  is complemented, observe first that the preceding argument may be used to show that the multiplier operator  $M$  on  $X$  defined by  $Mx_t = \gamma_t x_t$  is bounded and invertible. Denoting by  $P$  the projection onto  $X$  constructed in the proof of Proposition 6, we see that  $UX$  is complemented by  $Q = (U|X) M^{-1}P$ .

4. This section is devoted to proving

**THEOREM 9.** *If  $X$  is one of the Schechtman tree spaces  $Y$ ,  $Z$  or  $ST_p$ ,  $1 \leq p \leq \infty$ , and  $U$  is a bounded linear operator on  $X$ , then there is a subspace  $W \subset X$  such that  $U|W$  (or  $(I - U)|W$ ) is an isomorphism and  $UW$  (or  $(I - U)W$ ) is complemented in  $X$ .*

In [6], Schechtman proved that  $\{x_{n,i}\}$  is a 1-unconditional basis for  $Y_m$  and for  $Y$ , and that  $c_0$  does not embed in  $Y$ . From this we easily obtain

- PROPOSITION 10.**
1.  $\{x_{n,i}\}$  is a boundedly complete basis for  $Y$ .
  2.  $Z^* = Y$  and  $\{x_{n,i}\}$  is a shrinking basis for  $Z$ .
  3.  $\{x_{n,i}\}$  is a 1-unconditional basis for  $Z_m$  and for  $Z$ .
  4.  $\{x_{n,i}\}$  converges weakly to zero in  $Z$ .

**PROPOSITION 11.** *Let  $\mathcal{S} = \{t(n, i)\}$  be a banded subtree of  $\mathcal{T}$ . Then  $[\{x_t\}_{t \in \mathcal{S}}]$  in  $Z$  is isometric to  $Z$  and  $[\{x_t\}_{t \in \mathcal{S}}]$  in  $Y$  is isometric to  $Y$ .*

*Proof.* We first consider the unit vectors in  $Z$  and show that for any finite scalar sequence  $\{a_{n,i}\}$ ,

$$|\sum a_{n,i} x_{n,i}| = |\sum a_{n,i} x_{t(n,i)}|.$$

The proof is by induction and passage to the limit. Since  $|\cdot|_0 = \|\cdot\|_{c_0}$ , we have that

$$|\sum a_{n,i} x_{n,i}|_0 = |\sum a_{n,i} x_{t(n,i)}|_0$$

for any banded subtree  $\mathcal{S} = \{t(n, i)\}$  and any sequence of scalars  $\{a_{n,i}\}$ . Assume that for any banded subtree  $\mathcal{S} = \{t(n, i)\}$ ,

$$|\sum a_{n,i}x_{n,i}|_{m-1} = |\sum a_{n,i}x_{t(n,i)}|_{m-1}$$

for all scalar sequences  $\{a_{n,i}\}$ . Now let  $\mathcal{S}$  be banded by  $\{m_i\}$ ,  $\{n_i\}$ , and let

$$x = \sum a_{n,i}x_{t(n,i)}.$$

We have

$$\begin{aligned} |x|_m &\geq \max \left\{ |x|_{m-1}, \lambda^{-1} \max_{m_k} \sum_{i=0}^{2^{m_k}-1} |P_{m_k,i}x|_{m-1} \right\} \\ &= \max \left\{ |\sum a_{n,i}x_{n,i}|_{m-1}, \lambda^{-1} \max_k \sum_{i=0}^{2^k-1} |P_{k,i}(\sum a_{n,i}x_{n,i})|_{m-1} \right\} \\ &= |\sum a_{n,i}x_{n,i}|_{m'} \end{aligned}$$

by the induction hypothesis. For the other inequality, we consider two cases:

- (1)  $|x|_m = |\sum a_{n,i}x_{t(n,i)}|_{m-1}$  and
- (2)  $|x|_m = \lambda^{-1} \max_{1 \leq k < \infty} \sum_{i=0}^{2^k-1} |P_{k,i}x|_{m-1}.$

In the first case, the induction hypothesis implies that

$$|x|_m = |x|_{m-1} = |\sum a_{n,i}x_{n,i}|_{m-1} \leq |\sum a_{n,i}x_{n,i}|_{m'}.$$

In the second case, there exists  $K$  such that

$$|x|_m = \lambda^{-1} \sum_{i=0}^{2^K-1} |P_{K,i}x|_{m-1},$$

and let  $j$  be the largest integer such that  $m_j \leq K$ . If  $m_j \leq K < n_j$ , then there exists  $l$  such that

$$P_{K,i}x = P_{K,i}P_{m_j,l}x,$$

and by the 1-unconditionality in  $|\cdot|_{m-1}$ ,

$$|P_{K,i}x|_{m-1} \leq |P_{m_j,l}x|_{m-1}.$$

Hence

$$|x|_m = \lambda^{-1} \sum_{i=0}^{2^K-1} |P_{K,i}x|_{m-1} \leq \lambda^{-1} \sum_l |P_{m_j,l}x|_{m-1}$$

$$= \lambda^{-1} \sum_l |P_{j,l} \sum a_{n,i} x_{n,i}|_{m-1} \cong |\sum a_{n,i} x_{n,i}|_m.$$

On the other hand, if  $n_j \leq K < m_{j+1}$ , then for each  $i$ , either there exist  $l_1$  and  $l_2$  such that

$$P_{K,i}x = P_{m_{j+1},l_1}x + P_{m_{j+1},l_2}x$$

or there exists  $l$  such that

$$P_{K,i}x = P_{m_{j+1},l}x.$$

In either case, using the triangle inequality, we have

$$\begin{aligned} |x|_m &= \lambda^{-1} \sum_{i=0}^{2^k-1} |P_{K,i}x|_{m-1} \\ &\leq \lambda^{-1} \sum_l |P_{m_{j+1},l}x|_{m-1} \\ &= \lambda^{-1} \sum_l |P_{j+1,l}(\sum a_{n,i} x_{n,i})| \\ &\cong |\sum a_{n,i} x_{n,i}|_m. \end{aligned}$$

The equivalence of  $\{x_t\}_{t \in \mathcal{T}}$  and  $\{x_t\}_{t \in \mathcal{S}}$  in the space  $Y$  follows from the equivalence in  $Z$  and the fact that  $Z^* = Y$ .

*Proof of Theorem 9.* As in the proof of Theorem 5, the argument may be carried out for one of  $U$  or  $(I - U)$ . We shall call that operator  $U$ , and show that  $UX$  contains a complemented isomorph of  $X$ .

If  $U$  is a bounded operator on  $Z$ ,  $\{Ux_{n,i}\}$  converges weakly to zero since  $\{x_{n,i}\}$  converges weakly to zero, and we may assume there exists a banded subtree  $\mathcal{S} = \{t(n, i)\}$  such that  $t \in \mathcal{S}$  implies

$$|\langle x_t^*, Ux_t \rangle| \geq 1/2,$$

and that the  $Ux_t$  are disjointly supported. With  $W = [\{x_t\}_{t \in \mathcal{S}}]$ ,  $W$  is isometric to  $Z$ , and the unconditionality of  $\{x_{n,i}\}$  implies that  $U|_W$  is an isomorphism. Again by the unconditionality, the operator  $M$  defined by

$$Mx_t = \begin{cases} \langle x_t^*, Ux_t \rangle^{-1} x_t & t \in \mathcal{S} \\ 0 & t \notin \mathcal{S} \end{cases}$$

is bounded, and  $UW$  is complemented by the projection  $UM$ .

In the case of the space  $Y$ , the unit vectors do not tend weakly to zero, and if  $U$  is a bounded linear operator on  $Y$ , in order to obtain a sequence  $\{f_{n,i}\}$  for which  $\{Uf_{n,i}\}$  is disjointly supported, we use differences of unit vectors. To this end, select a subtree  $\mathcal{S} \subset \mathcal{T}$  such that  $t \in \mathcal{S}$  implies

$$\langle x_t^*, Ux_t \rangle \geq 1/2,$$

and inductively choose sequences  $\{m_i\}$ ,  $\{n_j\}$  and nodes  $t^1(n, i)$ ,  $t^2(n, i)$  of  $\mathcal{S}$  such that

- a.  $t^1(n, i) < t^2(n, i)$
  - b.  $t^2(n, i) < t^1(n + 1, 2i)$  and  $t^2(n, i) < t^1(n + 1, 2i + 1)$
  - c.  $\{t^l(n, i)\}$  is banded by  $\{m_j\}$  and  $\{n_j\}$ , for  $l = 1, 2$
  - d.  $\langle x_{t^2(n,i)}^*, Ux_{t^1(n,i)} \rangle = 0$ , and
  - e. with  $f_{n,i} = x_{t^2(n,i)} - x_{t^1(n,i)}$ , the  $Uf_{n,i}$  are disjointly supported.
- Now, let  $W = [ \{f_{n,i}\} ]$ . Then

$$\begin{aligned} \|\sum a_{n,i}x_{n,i}\| &= \|\sum a_{n,i}x_{t^2(n,i)}\| \\ &\cong \|\sum a_{n,i}f_{n,i}\| \quad \text{by d,} \\ &\cong 2\|\sum a_{n,i}x_{n,i}\|, \end{aligned}$$

so  $W$  is isomorphic to  $Y$ . Furthermore, since

$$\langle x_{t^*}, Ux_t \rangle \cong 1/2,$$

by the unconditionality of  $\{x_{n,i}\}$  and e,

$$\begin{aligned} \|\sum a_{n,i}f_{n,i}\| &\cong 2\|\sum a_{n,i}x_{n,i}\| \\ &= 2\|\sum a_{n,i}x_{t^2(n,i)}\| \\ &\cong 4\|\sum a_{n,i}Uf_{n,i}\| \\ &\leq 4\|U\| \|\sum a_{n,i}f_{n,i}\|. \end{aligned}$$

It is easily seen that  $UW$  is complemented in  $Y$ .

As for the spaces  $ST_p$ ,  $1 \leq p \leq \infty$ , it follows from Proposition 11 and the definitions of the norms that whenever  $\mathcal{S}$  is a bounded subtree of  $\mathcal{T}$ ,  $\{x_t\}_{t \in \mathcal{S}}$  is isometrically equivalent to  $\{x_t\}_{t \in \mathcal{S}}$ . Since these spaces are reflexive, the unit vector basis is shrinking, and thus converges weakly to zero. Thus, the argument used for the space  $Z$  also proves the theorem for  $ST_p$ ,  $1 \leq p \leq \infty$ .

**5.** A consequence of Theorems 5 and 9 is that if  $X$  is either the Hagler tree space or one of the Schechtman tree spaces, and  $W$  is complemented in  $X$ , then  $W$  contains a complemented isomorph of  $X$ . Since these spaces are isomorphic to their Cartesian squares, the arguments of [2] show

**COROLLARY 10.** *If  $X = HT, Z, Y$ , or  $ST_p$ ,  $1 \leq p \leq \infty$ ,  $X = W \oplus V$ , and  $W \approx W \oplus W$  or  $V \approx V \oplus V$ , then either  $W \approx X$  or  $V \approx X$ .*

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