

# ON THE COHOMOLOGY OF LOOP SPACES OF COMPACT LIE GROUPS

by HOWARD HILLER†

(Received 12 January, 1984)

**Introduction.** Let  $G$  be a compact, simply-connected Lie group. The cohomology of the loop space  $\Omega G$  has been described by Bott, both in terms of a cell decomposition [1] and certain homogeneous spaces called generating varieties [2]. It is possible to view  $\Omega G$  as an infinite dimensional “Grassmannian” associated to an appropriate infinite dimensional group, cf. [3], [7]. From this point of view the above cell-decomposition of Bott arises from a Bruhat decomposition of the associated group. We choose a generator  $H \in H^2(\Omega G, \mathbb{Z})$  and call it the hyperplane class. For a finite-dimensional Grassmannian the highest power of  $H$  carries geometric information about the variety, namely, its degree. An analogous question for  $\Omega G$  is: What is the largest integer  $N_k = N_k(G)$  which divides  $H^k \in H^{2k}(\Omega G, \mathbb{Z})$ ?

Of course, if  $G = \text{SU}(2) = S^3$ , one knows  $N_k = k!$ . In general, the deviation of  $N_k$  from  $k!$  measures the failure of  $H$  to generate a divided polynomial algebra in  $H^*(\Omega G, \mathbb{Z})$ .

One approach to the above question is to find a general formula for multiplying  $H$  by an arbitrary Bott class in terms of the Bott basis arising from the cell decomposition. This is an analogue of the classical Pieri formula in a Grassmannian and will be described elsewhere.

In fact, the numbers  $N_k$  can be computed more efficiently using the generating variety approach. If we interpret the problem mod  $p$ , we are led to finding the smallest integer  $r$  such that  $0 = H^r$  in  $H^{2r}(\Omega G, \mathbb{Z}/p)$ . A result of Hubbuck [6] allows one to glue together this  $p$ -primary information so as to answer the original problem. We compute the numbers  $N_k(G)$  explicitly for all classical  $G$  and  $G_2$ . Further computation with the exceptional groups provides an easy alternative proof of the Serre-Kumpel theorem on the regular primes of groups  $G \neq E_8$ .

It is a pleasure to thank J. Hubbuck, L. Smith and R. Switzer for helpful conversations concerning this problem.

**1. Classical groups.** Suppose that  $G$  is a compact, simply-connected Lie group of rank  $n$  with exponents  $m_1 \leq \dots \leq m_n$ . In particular the dimensions of the exterior algebra generators of  $H^*(G, \mathbb{Q})$  are  $2m_i + 1$ ,  $1 \leq i \leq n$ . Recall that  $p$  is a torsion prime for  $G$  if  $H^*(G, \mathbb{Z})$  contains  $p$ -torsion.

We begin with the following lemma which expresses the basic relation between powers of the hyperplane class  $H$  in  $G$  and the Steenrod algebra action in  $G$ .

**LEMMA 1.1.** *If  $p$  is not a torsion prime and  $p^r$  is not an exponent for  $G$ , then  $H^{p^r} = 0$  in  $H^*(\Omega G, \mathbb{Z}/p)$ .*

† Partially supported by the Alexander von Humboldt Stiftung.

*Proof.* There is a commutative diagram in  $\mathbb{Z}/p$ -cohomology:

$$\begin{array}{ccc} H^2(\Omega G) & \xrightarrow{(\ )^{p'}} & H^{2p'}(\Omega G) \\ \sigma' \uparrow & & \uparrow \sigma' \\ H^3(G) & \xrightarrow{\varphi} & H^{2p'+1}(G) \end{array}$$

where  $\mathcal{P} = \mathcal{P}^{p^{r-1}} \cdot \dots \cdot \mathcal{P}^p \cdot \mathcal{P}^1$  and  $\sigma'$  is cohomology suspension. Since  $p$  is not a torsion prime, the indecomposables  $x_{2m_i+1}$  of  $H^*(G, \mathbb{Z}/p)$  lie in dimensions  $2m_i + 1$ ,  $1 \leq i \leq n$ . Hence if  $p^r \neq m_i$ ,  $\mathcal{P}(x_3)$  is indecomposable. But  $\sigma'$  kills indecomposables and  $\sigma'(x_3) = H$ , so  $H^{p^r} = 0$ .

**REMARK 1.2.** If  $p$  is a non-torsion prime for  $G$ , then apart from the cases  $(\text{Sp}(n), 2)$  and  $(G_2, 3)$ ,  $p^r$  is not an exponent for  $G$  if and only if  $p^r > m_n$ . (See Table at the end of this section.)

**REMARK 1.3.** Bott [1] showed the following are equivalent:

- (i)  $\pi_4(G) = \mathbb{Z}/2$ ,
- (ii) 2 is neither a torsion prime nor an exponent prime for  $G$ ,
- (iii)  $H^2 = 0$  in  $H^*(\Omega G, \mathbb{Z}/2)$ ,
- (iv)  $G = \text{Sp}(n)$  for some  $n \geq 1$ .

**REMARK 1.4.** For the torsionless groups  $\text{SU}(n+1)$ ,  $\text{Sp}(n)$  there is another way to see that  $H^p = 0$ ,  $p > m_n$ , which is of interest in its own right. We observe:

$$\begin{aligned} H^*(\Omega \text{SU}(n+1), \mathbb{Z}) &= \mathbb{Z}[\sigma_1, \sigma_2, \dots] / (\psi_{n+1}, \psi_{n+2}, \dots), \\ H(\Omega \text{Sp}(n), \mathbb{Z}) &= \mathbb{Z}[\sigma_1, \sigma_2, \dots] / (\psi_2, \psi_4, \dots, \psi_{2n-2}, \psi_{2n}, \psi_{2n+1}, \dots) \end{aligned}$$

where the  $\sigma_i$ 's can be viewed as elementary symmetric power series and the  $\psi_i$ 's as the corresponding Newton polynomials. One recalls that  $\psi^p \equiv \sigma_1^p \pmod{p}$  and  $H = \sigma_1$ , so the result follows.

We now examine the classical groups, family by family, to show that  $H^{p^r}$  is non-zero essentially when it is allowed by (1.1). We exploit Bott's construction of generating varieties  $G/P \rightarrow \Omega G$  [2].

**PROPOSITION 1.5.** In  $H^*(\Omega \text{SU}(n+1), \mathbb{Z}/p)$ ,  $H^{p^r} = 0$  if and only if  $p^r > m_n = n$ .

*Proof.* The generating variety is  $g: \mathbb{C}P^n \rightarrow \Omega \text{SU}(n+1)$ . (This is the adjoint of the well-known map  $\Sigma \mathbb{C}P^n \rightarrow \text{SU}(n+1)$ .) Since  $g^*(H)$  generates  $H^2(\mathbb{C}P^n, \mathbb{Z}/p)$ ,  $H^{p^r} \neq 0$  if  $p^r \leq n$ . The other direction is from (1.1).

**PROPOSITION 1.6.** In  $H^*(\Omega \text{Spin}(2n+1), \mathbb{Z}/p)$ ,  $p > 3$ ,  $H^{p^r} = 0$  if and only if  $p^r > m_n = 2n - 1$ . If  $p = 2$ ,  $H^{2^r} = 0$  if and only if  $2^r \geq n$ .

*Proof.* The generating variety is  $g: Q_{2n-1} \rightarrow \Omega \text{Spin}(2n+1)$ , where  $Q_{2n-1}$  is the quadric hypersurface in  $\mathbb{C}P^{2n}$ . Recall that

$$H^*(Q_{2n-1}, \mathbb{Z}) = \mathbb{Z}[x, y] / (x^{n+1} - 2y, y^2)$$

where  $x \in H^2(Q_{2n-1}, \mathbb{Z})$  and  $y \in H^n(Q_{2n-1}, \mathbb{Z})$  and  $g^*(H) = x$ . In particular, if  $p$  is odd:  $H^*(Q_{2n-1}, \mathbb{Z}/p) = H^*(\mathbb{C}P^{2n-1}, \mathbb{Z}/p)$ , so that  $H^{p^r} \neq 0$  if  $p^r \leq 2n-1$ . This proves the first assertion. If  $p=2$ ,  $x^i \neq 0$ ,  $i < n$ . Hence  $H^{2^r} \neq 0$ , if  $2^r < n$ . It remains to show  $H^{2^r} = 0$  if  $2^r \geq n$ . We consider the following commutative diagram (with  $\mathbb{Z}/2$  coefficients suppressed):

$$\begin{array}{ccc}
 H^2(\Omega \text{Spin}(2n+1)) & \xrightarrow{(\cdot)^{2^r}} & H^{2^{r+1}}(\Omega \text{Spin}(2n+1)) \\
 \uparrow \sigma^1 & & \uparrow \sigma^r \\
 H^3(\text{Spin}(2n+1)) & \longrightarrow & H^{2^{r+1}+1}(\text{Spin}(2n+1)) \\
 \uparrow \sigma^1 & & \uparrow \sigma^r \\
 H^4(B \text{Spin}(2n+1)) & \xrightarrow{\text{Sq}} & H^{2^{r+1}+2}(B \text{Spin}(2n+1))
 \end{array}$$

where  $\text{Sq} = \text{Sq}^{2^r} \cdot \dots \cdot \text{Sq}^4 \cdot \text{Sq}^2$ . Using the Wu formula one can compute that  $\text{Sq}(w_4) = w_{2^r+1,2}$  modulo decomposables. Since  $H^*(B \text{Spin}(2n+1), \mathbb{Z}/2) = \mathbb{Z}/2[w_3, w_4, \dots, w_{2n+1}]$ ,  $\text{Sq}(w_4)$  is decomposable if  $2^{r+1} + 2 > 2n+1$ , i.e.  $2^r \geq n$ . Hence the result follows.

**PROPOSITION 1.7.** *In  $H^*(\Omega \text{Sp}(n), \mathbb{Z}/p)$ ,  $p > 2$ ,  $H^{p^r} = 0$  if and only if  $p^r > m_n = 2n-1$ . If  $p=2$ ,  $H^2 = 0$ .*

*Proof.* The last line follows from either (1.1) or (1.4). If  $p$  is an odd prime, we can simply quote the  $p$ -equivalence  $\Omega \text{Sp}(n) \sim_p \Omega \text{SO}(2n+1)$  of Harris [4] and (1.6) above.

**PROPOSITION 1.8.** *In  $H^*(\Omega \text{Spin}(2n), \mathbb{Z}/p)$ ,  $p > 2$ ,  $H^{p^r} = 0$  if and only if  $p^r > m_n = 2n-3$ . If  $p=2$ ,  $H^{2^r} = 0$  if and only if  $2^r \geq n$ .*

*Proof.* As in (1.6), there is a generating variety  $Q_{2n-2} \rightarrow \Omega \text{Spin}(2n)$ . The integral cohomology of an even-dimensional quadric possesses, at least, a 2-dimensional generator  $e$  satisfying  $e^k$  is a generator of  $H^{2k}(Q_{2n-2})$ ,  $k < n$ . In particular,  $H^{p^r} \neq 0$  if  $p^r \leq 2n-2$ . This proves the first assertion and the second follows as in (1.6).

We now recall the following lemma from Hubbuck [6] which essentially allows us to globalize the above  $p$ -primary information.

**LEMMA 1.9 (Hubbuck).** *Suppose  $X$  has no  $p$ -torsion. If  $x \in H^i(X, \mathbb{Z})$  and  $x^p = py$ , then there is a  $z \in H^{p^2i}(X, \mathbb{Z})$  such that  $y^p = pz$ . Hence*

$$x^{p^i} = p^{(p^i-1)/(p-1)} v$$

for some  $v \in H^{p^i}(X, \mathbb{Z})$ .

We also have the following easy arithmetic fact.

**LEMMA 1.10.** (i) *If  $\sigma_p(k)$  denotes the sum of the coefficients in the  $p$ -adic expansion of  $k$  and  $v_p$  denotes  $p$ -adic valuation, then*

$$v_p(k!) = \frac{k - \sigma_p(k)}{p-1}.$$

(ii)

$$v_p(p^j!) = \frac{p^j - 1}{p-1}.$$

The numbers  $N_k(G)$ , defined in the introduction, will be described using the following function:

$$F_m(p, k) = v_p \left[ \frac{k}{p^{\lfloor \log_p(m) \rfloor}} ! \right]$$

where  $[x]$  is the greatest integer  $\leq x$ . Observe that if  $p > m$  then  $F_m(p, k) = v_p(k!)$ . It is now possible to combine (1.5) to (1.10), using elementary arguments with cup products and the comultiplication in cohomology induced from the loop multiplication to obtain:

**THEOREM 1.11.** *If  $N_k(G)$  denotes the largest integer which divides  $H^k \in H^{2k}(\Omega G, \mathbb{Z})$ , then the  $p$ -adic valuations of these numbers for the classical groups are given by:*

- (i)  $v_p N_k(\text{SU}(n+1)) = F_n(p, k)$ .
- (ii)  $v_p N_k(\text{Spin}(m)) = \begin{cases} F_{m-2}(p, k) & \text{if } p > 2, \\ F_{m/2}(p, k) & \text{if } p = 2. \end{cases}$
- (iii)  $v_p N_k(\text{Sp}(n)) = \begin{cases} F_{2n-1}(p, k) & \text{if } p > 2, \\ F_1(p, k) & \text{if } p = 2. \end{cases}$

We can use the following arithmetic result to simplify the statement of this result in low rank cases.

**LEMMA 1.12.**  $v_p(k!) - v_p([k/p]!) = [k/p]$ .

*Proof.* Let  $k = ap + j$ ,  $0 \leq j < p$ . Then by (1.10)

$$\begin{aligned} v_p(k!) - v_p([k/p]!) &= \frac{1}{p-1} [k - \sigma_p(k) - (a - \sigma_p(a))] \\ &= \frac{1}{p-1} [k - a - \sigma_p(ap + j) - \sigma_p(a)] \\ &= \frac{1}{p-1} [ap + j - a - j] = a = [k/p]. \end{aligned}$$

Since  $H^*(\Omega \text{SU}(2), \mathbb{Z})$  is a divided power algebra,  $N_k(\text{SU}(2)) = k!$ , for all  $k$ . For the rank 2 groups we have

**COROLLARY 1.13.**

- (i)  $N_k \text{SU}(3) = k! 2^{-[k/2]}$ .
- (ii)  $N_k \text{Sp}(2) = k! 3^{-[k/3]}$ .
- (iii)  $N_k G_2 = k! 2^{-[k/2]} 5^{-[k/5]}$ .

*Proof.* (i) and (ii) follow from (1.11), (1.12) and (iii) is done in the next section.

TABLE 1

Group	Exponents	Torsion	Generating variety V	dim <sub>C</sub> V
SU(n+1)	1, 2, ..., n	∅	CP <sup>n</sup>	n
Spin(2n+1)	1, 3, ..., 2n-1	2	Q <sub>2n-1</sub>	2n-1
Sp(n)	1, 3, ..., 2n-1	∅	Sp(n)/U(n)	$\binom{n+1}{2}$
Spin(2n)	1, 3, ..., 2n-3, n-1	2	Q <sub>2n-2</sub>	2n-2
E <sub>6</sub>	1, 4, 5, 7, 8, 11	2, 3	E <sub>6</sub> /SO(10)	16
E <sub>7</sub>	1, 5, 7, 9, 11, 13, 17	2, 3	E <sub>7</sub> /E <sub>6</sub>	27
F <sub>4</sub>	1, 5, 7, 11	2, 3	F <sub>4</sub> /Sp(3)	15
G <sub>2</sub>	1, 5	2	Q <sub>5</sub>	5

**2. Exceptional groups.** The generating variety for the exceptional group G<sub>2</sub> is the 5-dimensional quadric Q<sub>5</sub> → ΩG<sub>2</sub>. The cohomology of Q<sub>5</sub> (as in (1.6) above) contains a 2-dimensional generator x with x<sup>2</sup> a generator and x<sup>3</sup> divisible by 2. Hence we obtain:

PROPOSITION 2.1. In H\*(ΩG<sub>2</sub>, Z/p), p > 2, H<sup>p'</sup> = 0 if and only if p' is not an exponent, i.e. p' ≠ 5. If p = 2, H<sup>2</sup> ≠ 0 and H<sup>4</sup> = 0.

*Proof.* For the first assertion, it suffices to show H<sup>5</sup> ≠ 0. This follows from the fact that x<sup>5</sup> ≠ 0, for odd primes. Similarly, H<sup>2</sup> ≠ 0. The last assertion follows from the existence of a fibration

$$G_2 \xrightarrow{i} \text{Spin}(7) \rightarrow S^7$$

which induces the following diagram in Z/2-cohomology:

$$\begin{array}{ccc} H^3(\text{Spin}(7)) & \xrightarrow{i^*} & H^3(G_2) \\ \text{Sq} \downarrow & & \downarrow \text{Sq} \\ H^9(\text{Spin}(7)) & \xrightarrow{i^*} & H^9(G_2) \end{array}$$

where Sq = Sq<sup>4</sup>Sq<sup>2</sup>. Hence by the argument in (1.6), Sq(x<sub>3</sub>) = 0 on the left-hand side, therefore also on the right. Hence H<sup>4</sup> = 0 in H\*(ΩG<sub>2</sub>) by the usual argument with σ'. This also completes the proof of (1.13).

PROPOSITION 2.2. In H\*(ΩE<sub>6</sub>, Z/p), p > 3, H<sup>p'</sup> = 0 if and only if p' > m<sub>6</sub> = 11. If p = 2, H<sup>8</sup> ≠ 0. If p = 3, H<sup>3</sup> ≠ 0.

*Proof.* For the first assertion it suffices to show H<sup>p</sup> ≠ 0 in H\*(ΩE<sub>6</sub>, Z/p) for p = 5, 7, 11. There is a generating map E<sub>6</sub>/SO(10) → ΩE<sub>6</sub>. So we need only check our assertions in this homogeneous space. This follows from the following picture of the Schubert cell-decomposition of E<sub>6</sub>/SO(10). The numbers attached to the cells give the coefficients of the pullback of powers of H in the Schubert basis (see [8], [5]).

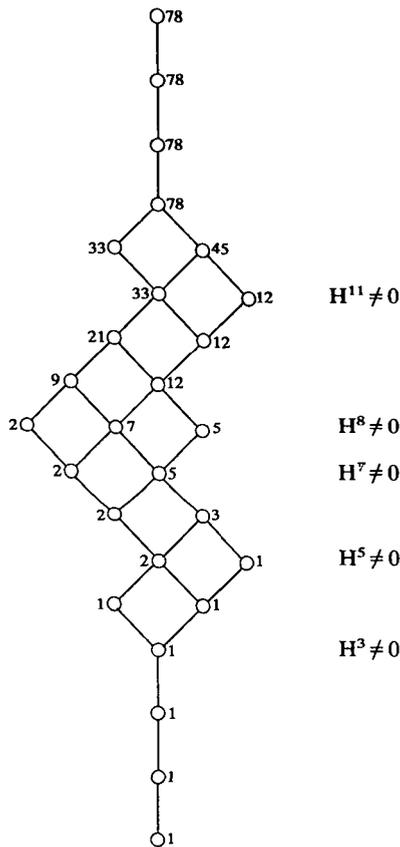


Figure 1  
E<sub>6</sub>/SO(10)

**PROPOSITION 2.3.** In  $H^*(\Omega E_7, \mathbb{Z}/p)$   $p > 3$ ,  $H^{p^r} = 0$  if and only if  $p^r > m_7 = 17$ . If  $p = 2$ ,  $H^8 \neq 0$ . If  $p = 3$ ,  $H^9 \neq 0$ .

*Proof.* The generating map is  $E_7/E_6 \rightarrow \Omega E_7$ . As in (2.2) the result follows from the picture.

**REMARK 2.4.** If  $p = 2$  in (2.3) and (2.2), in fact, one knows  $H^{16} \neq 0$  [9].

**PROPOSITION 2.5.** In  $H^*(\Omega F_4, \mathbb{Z}/p)$ ,  $p > 3$ ,  $H^{p^r} = 0$  if and only if  $p^r \geq m_4 = 11$ . If  $p = 2$ ,  $H^2 \neq 0$ . If  $p = 3$ ,  $H^3 \neq 0$ .

*Proof.* The minimal generating variety for  $\Omega F_4$  is  $F_4/Sp(3) \rightarrow \Omega F_4$ . The corresponding

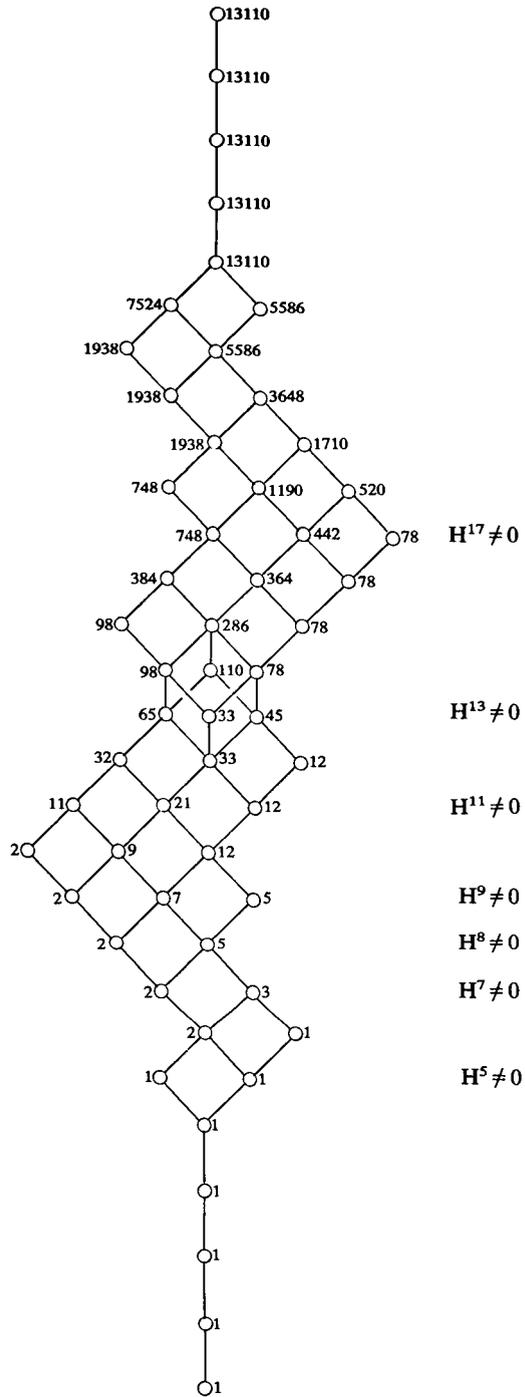


Figure 2  
 $E_7/E_6$

cell-decomposition of the homogeneous space is described by:

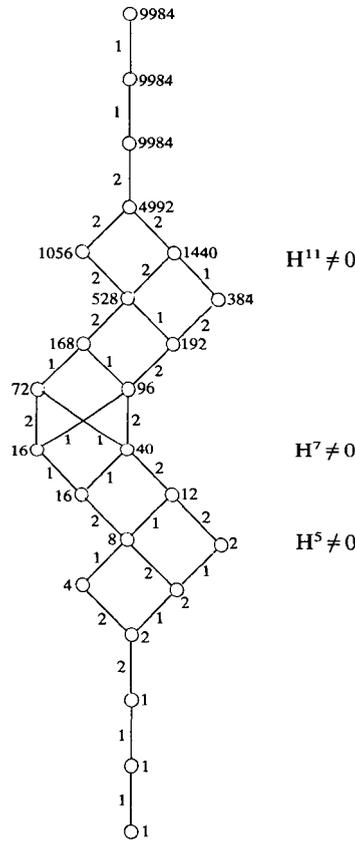


Figure 3  
 $F_4/Sp(3)$

The coefficients in this example are more difficult to compute as multiplication by  $H$  produces multiplicities. These numbers are computed using the generalized Pieri formula [5, p. 151] and appear as edge labels. The assertions are now easy to check.

We record here a geometric consequence of the three propositions above. (The second one corrects a mis-count in [5, p. 179].) Recall that the degree of a projective variety can be computed from the top power of a hyperplane class.

PROPOSITION 2.6. *The degrees of the generating varieties are given by:*

$$\deg E_6/SO(10) = 78,$$

$$\deg E_7/E_6 = 13110,$$

$$\deg F_4/Sp(3) = 9984.$$

Finally we obtain

**COROLLARY 2.7.** *If  $G$  is a compact, simply-connected Lie group  $\neq E_8$  and  $p \leq m_n$ , then  $p$  is not a regular prime for  $G$ , i.e. the  $p$ -localization of  $G$  is not a product of mod  $p$  spheres.*

*Proof.* If  $p$  is a torsion prime the result is clear. For all other primes  $\leq m_n$ , we have shown  $H^{p'} \neq 0$  in  $H^*(\Omega G, \mathbb{Z}/p)$ . It now follows from the diagram in (1.1) that  $G$  has a non-trivial Steenrod reduced power in its mod  $p$  cohomology. Hence the claim follows.

#### REFERENCES

1. R. Bott and H. Samelson, Applications of the theory of Morse to symmetric spaces, *Amer. J. Math.* **80** (1958), 964–1029.
2. R. Bott, The space of loops on a Lie group, *Michigan Math. J.* **5** (1958), 35–61.
3. H. Garland and M. Raghunathan, A Bruhat decomposition for the loop space of a compact Lie group: a new approach to results of Bott, *Proc. Nat. Acad. Sci. U.S.A.* **72** (1975), 4716–4717.
4. B. Harris, On the homotopy groups of the classical groups, *Ann. of Math. (2)* **74** (1961), 407–413.
5. H. Hiller, *Geometry of Coxeter groups*, Research Notes in Mathematics **54** (Pitman, 1982).
6. J. Hubbuck, Finitely generated cohomology Hopf algebras, *Topology* **9** (1970), 205–210.
7. V. Kac and D. Peterson, Infinite flag varieties and conjugacy theorems, preprint.
8. R. Proctor, Interactions between combinatorics, Lie theory and algebraic geometry via the Bruhat orders (Ph.D. thesis, MIT, 1980).
9. E. Thomas, Exceptional Lie groups and Steenrod squares, *Michigan Math. J.* **11** (1964), 151–156.

MATHEMATISCHES INSTITUT  
UNIVERSITÄT GÖTTINGEN  
WEST GERMANY

DEPARTMENT OF MATHEMATICS  
COLUMBIA UNIVERSITY  
NEW YORK, N.Y. 10027  
U.S.A.