

# ON THE $C$ -PROJECTIVITY OF IDEALS IN BANACH ALGEBRAS<sup>†</sup>

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The notion of projective Banach module was defined by Helemskii in [1]—the paper which properly founded the homological theory of Banach algebras. The same author introduced the definition of the (relatively) flat Banach module in [2]. Recently M. C. White [3] modified both of those definitions, introducing so called  $C$ -projective and  $C$ -flat Banach modules.

For a given constant  $C > 0$  the Banach module  $X$  over a Banach algebra  $A$ , (abbreviated below as “module”), is called  $C$ -projective [3] if for arbitrary modules  $Y, Z$  and morphism  $\phi: X \rightarrow Z$ , epimorphism  $\sigma: Y \rightarrow Z$ , and bounded linear operator  $j: Z \rightarrow Y$  such that  $\sigma j = 1$ , there exists a morphism  $\psi: Z \rightarrow Y$  such that  $\sigma\psi = \phi$  and  $\|\psi\| \leq C\|\phi\|\|j\|$ . As well as [1], the paper [3] gives us the more useful equivalent definition of  $C$ -projectivity. Namely, a module  $X$  is  $C$ -projective if and only if the morphism of external multiplication  $\pi: A \hat{\otimes} X \rightarrow X$ , defined by the formula  $\pi(a \otimes x) = ax$ , has a right inverse morphism  $\rho$  such that  $\|\rho\| \leq C$ . Here the symbol  $\hat{\otimes}$  denotes the projective tensor product of Banach spaces [4]. If  $\|\rho\| = C$  and there is no right inverse with a norm smaller than  $C$ , then it is natural to say that  $X$  is *exactly*  $C$ -projective. In this paper we give answers to two questions that (directly or not) were put in [3]. First, for arbitrary  $C > 1$ , we give an example of an exactly  $C$ -projective Banach  $A$ -module. (Moreover, it is a maximal ideal in a uniform algebra  $A$ .) Note that  $C$ -projectivity is impossible for  $C < 1$  and for  $C = 1$  there exist trivial examples: consider for example any maximal ideal in the disc-algebra, corresponding to an inner point of the disc. Second, we shall show that  $C$ -projectivity does not possess the same “continuity property” as  $C$ -flatness [3]: that is, there exists a module (again a maximal ideal in the uniform algebra) that is  $(C + \epsilon)$ -projective for all  $\epsilon > 0$  but not  $C$ -projective.

As usual, we denote by  $A(E)$  the uniform algebra of functions that are continuous on the given compact subset  $E \subset \mathbb{C}$  and analytic in its interior.

**EXAMPLE 1.** Consider the compact subset  $K = D \cup E$  of  $\mathbb{C} \times \mathbb{R}$ , where  $D = \{(z, 0) : |z| \leq 1\}$  is the closed disc and  $E = \{(z, t) : |z|^{-1} \leq |z| \leq 1, 0 < t \leq 1\}$  is the cylindrical annulus. (We denote by  $E_t$  its section, where  $t$  is constant.) Consider the uniform algebra

$$A = \{f \in C(K), f(z, 0) \in A(D), f(z, t) \in A(E_t) \text{ for } t \in (0, 1]\}$$

**PROPOSITION 1.** For the maximal ideal  $M \subset A$ , corresponding to the point  $O = (0, 0)$ ,

- (1)  $M$  is a  $C$ -projective Banach  $A$ -module,
- (2)  $M$  is not  $k$ -projective Banach  $A$ -module for any  $k < C$ .

*Proof.* (1) Consider two functions:  $h \in M$  such that  $h(z, t) = z$  for  $(z, t) \in K$  and  $f(z, t) = 1/z$  for  $(z, t) \in K \setminus O$ . Note that  $\|h\| = 1$  and, for each  $m \in M$ ,  $fm$  is defined on  $K \setminus O$  and we extend the definition to  $K$  by continuity. We have  $\|fm\| \leq C\|m\|$ , because  $|f| \leq C$  on

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$E_i$  and multiplication by  $z$  preserves the uniform norm in  $A(D)$ . Now define the morphism  $\rho : M \rightarrow A \hat{\otimes} M$  by the formula  $\rho(m) = mf \otimes h$ . Obviously  $\pi(\rho(m)) = mfh = m$  and  $\|\rho(m)\| = \|mf\| \|h\| \leq C \|m\|$ . Hence part (1) is proved.

(2) Assume the contrary; then for the epimorphism  $\omega : A \rightarrow \mathbf{C}$  of evaluation at the point  $O$  (which has a right inverse operator  $j$  given by the natural injection) and morphism  $\phi : M \rightarrow \mathbf{C}$  given by the formula  $\phi(f) = \frac{\partial f}{\partial z}(O)$  there exists a morphism  $\psi : M \rightarrow A$  such that  $\omega\psi = \phi$  and  $\|\psi\| \leq k\|\phi\| \|j\| = k$ . But each morphism  $\psi : M \rightarrow A$  is a multiplication by some function  $g \in C(K \setminus O)$ . See, for example, the standard argument in [5]. Since  $\|gm\| \leq k\|m\|$  we can conclude that  $|g| \leq k$  on each annulus  $E_i$ . Since  $\omega\psi = \phi$  it is evident that  $g = \frac{1}{z} + a$  on  $D \setminus O$ , where  $a \in A(D)$ . By continuity  $|\frac{1}{z} + a| \leq k$  on  $E_0$  and hence on the circle  $T = \{(z, 0) : |z| = 1/C\}$ . Therefore  $|1 + az| = |gz| \leq k|z| = k/C < 1$  on  $T$ . This contradicts the maximum modulus principle, and so  $M$  is an exactly  $C$ -projective  $A$ -module.

EXAMPLE 2. Consider the compact subset  $K = D \cup (\cup_{n>C+1} E_n)$  of  $\mathbf{C} \times \mathbf{R}$ , where  $D$  is the same disc and  $E_n = \{(z, \frac{1}{n}) : \frac{1}{C} - \frac{1}{n} \leq |z| \leq 1 + \frac{1}{n}\}$  is the closed annulus. Then

$$A = \left\{ f \in C(K) : f(z, 0) \in A(D), f\left(z, \frac{1}{n}\right) \in A(E_n), f\left(\frac{1}{C}, \frac{1}{n}\right) = f\left(\frac{1}{C}, 0\right), \forall n > C + 1 \right\}$$

is a uniform algebra. Let  $M$  be the maximal ideal, corresponding to the point  $O = (0, 0)$ .

PROPOSITION 2. For the maximal ideal  $M \subset A$ , corresponding to the point  $O = (0, 0)$ ,

- (1)  $M$  is a  $(C + \epsilon)$ -projective Banach  $A$ -module for all  $\epsilon > 0$ ,
- (2)  $M$  is not a  $C$ -projective Banach  $A$ -module.

Proof. (1) Fix  $n > C + 1$  and let two functions  $h \in M$  and  $f \in C(K \setminus O)$  be defined by  $h(z, t) = 1$  on  $E_k$ , ( $C < k \leq n - 1$ ), but  $h(z, t) = z$  on  $E_k$ , ( $k \geq n$ ), and  $f(z, t) = 1$  on  $E_k$ , ( $C < k \leq n - 1$ ), but  $f(z, t) = \frac{1}{z}$  on  $E_k$ , ( $k \geq n$ ) and on  $D \setminus O$ . Note that  $\|h\| = 1 + \frac{1}{n}$  and, for each  $m \in M$ , we have  $\|fm\| \leq nC/(n - C)\|m\|$ , because  $|f| \leq nC/(n - C)$  on  $E_n$  and the multiplication by  $z$  preserves the uniform norm in  $A(D)$ . Now define the morphism  $\rho : M \rightarrow A \hat{\otimes} M$  by the formula  $\rho(m) = mf \otimes h$ . Obviously  $\pi(m) = mfh = m$  and  $\|\rho(m)\| = \|fm\| \|h\| \leq nC/(n - C)\|m\|(1 + \frac{1}{n}) = C[1 + (C + 1)/(n - C)]\|m\|$ . Since  $n$  is arbitrarily large part (1) is proved.

(2) Repeating the argument from Proposition 1 we obtain a function  $g \in C(K \setminus O)$  such that  $|g| \leq C$  on each annulus  $E_n$  and  $g = \frac{1}{z} + a$  on  $D \setminus O$ , where  $a \in A(D)$ . As the inner circles  $T_n$  of  $E_n$  tend to the circle  $T$  of radius  $\frac{1}{C}$  from  $D$ , by continuity we obtain  $|g| \leq C$  on  $T$ . Hence  $|1 + za| = |gz| \leq C \cdot \frac{1}{C} = 1$  on  $T$ . Using the maximum modulus principle we conclude that  $a \equiv 0$ . Thus  $g \equiv \frac{1}{z}$  on  $D$  and so  $g(\frac{1}{C}, 0) \equiv C$ ; also by definition of the algebra  $A$ ,  $g(\frac{1}{C}, \frac{1}{n}) = C$ , for all  $n$ . Applying the maximum modulus principle to each annulus  $E_n$ , we conclude that  $g \equiv C$  on  $E_n$ . By continuity  $g \equiv C$  on  $T$  giving a contradiction.

Note that both examples represent so-called non-idempotent maximal ideals; (that is  $M \neq \overline{M^2}$ ). We know almost nothing about the exact estimates of  $C$ -projectivity in the idempotent case. If we analyse Helemskii's original proof of the projectivity of the algebra of convergent sequences  $c_0$  (and the algebra  $l_1$  of summable sequences) one can see that both these algebras are 1-projective [1]. The author can generalize this result to the algebra  $C(K)$ , where  $X$  is a semi-discrete compact set. Let  $X$  be a compact set; denote by  $X'$  the set of its

accumulation points and  $X^{(n+1)} = X^{(n)}$  ( $n \in \mathbf{N}$ ). If  $X^{(n)}$  is empty for some  $n \in \mathbf{N}$ , we say  $X$  is a *semidiscrete compact set*. As for the algebra  $C[0; 1]$  we can only see from [1] that the maximal ideals in it are 2-projective, but the constant 2 seems not to be the best possible.

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