

ON THE SPECIAL VALUES OF L -FUNCTIONS OF CM-BASE CHANGE FOR HILBERT MODULAR FORMS

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Abstract. In this paper we generalize some results, obtained by Shimura, on the special values of L -functions of l -adic representations attached to quadratic CM-base change of Hilbert modular forms twisted by finite order characters. The generalization is to the case of the special values of L -functions of arbitrary base change to CM-number fields of l -adic representations attached to Hilbert modular forms twisted by some finite-dimensional representations.

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1. Introduction. For F , a totally real number field, let J_F be the set of infinite places of F , and let $\Gamma_F := \text{Gal}(\bar{\mathbb{Q}}/F)$. Let f be a normalized Hecke eigenform of $\text{GL}(2)/F$ of weight $k = (k(\tau))_{\tau \in J_F}$, where all $k(\tau)$ have the same parity and $k(\tau) \geq 2$. We denote by Π the cuspidal automorphic representation of $\text{GL}(2)/F$ generated by f . In this paper we assume that Π is non-CM. We denote by ρ_Π the l -adic representation attached to Π , for some prime number l (by fixing an isomorphism $\iota: \bar{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$ one can regard ρ_Π as a complex valued representation). Define $k_0 = \max\{k(\tau) | \tau \in J_F\}$ and $k^0 = \min\{k(\tau) | \tau \in J_F\}$. In this paper we write $a \sim b$ for $a, b \in \mathbb{C}$ if $b \neq 0$ and $a/b \in \bar{\mathbb{Q}}$. By a CM-field we mean a quadratic totally imaginary extension of a totally real number field.

In this paper we prove the following result.

THEOREM 1.1. *Assume $k(\tau) \geq 3$ for all $\tau \in J_F$, and $k(\tau) \bmod 2$ is independent of τ . Let M be a CM-field which contains F , and let ψ be a finite-dimensional complex-valued continuous representation of $\Gamma_M := \text{Gal}(\bar{\mathbb{Q}}/M)$ such that $K := \bar{\mathbb{Q}}^{\ker \psi}$ is an abelian extension of a CM number field. Then*

$$L(m, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi) \sim \pi^{(m+1-k_0)[M:\mathbb{Q}] \dim \psi} \langle f, f \rangle^{\frac{[M:F]}{2} \dim \psi},$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2.$$

Theorem 1.1 is a generalization of Theorem 5.7 of [7] (i.e. Proposition 2.1; and the inner product $\langle f, f \rangle$ is normalized as in Section 2). In the proof of Theorem 1.1 we use some results on the behaviour (see [10, 11]) of the inner product $\langle f, f \rangle$ under base change of f to some large ([1]) totally real extensions of F (see formula (3.1)).

We remark that Deligne’s [4] conjecture for motives predicts that

$$L(n, \iota_{\rho_{\Pi}}|_{\Gamma_M} \otimes \psi) \sim_{\mathbb{Q}(\Pi_{/M}, \psi)} c^+(\text{Res}_{M/\mathbb{Q}}(M(f)_{/M} \otimes M(\psi)(n))),$$

for any integer n satisfying $(k_0 - k^0)/2 < n < (k_0 + k^0)/2$, where $M(f)$ is the motive conjecturally associated to f and $M(\psi)$ is the motive associated to ψ , $\mathbb{Q}(\Pi_{/M}, \psi)$ is the field of rationality of $M(f)_{/M} \otimes M(\psi)$, ‘ $\sim_{\mathbb{Q}(\Pi_{/M}, \psi)}$ ’ means up to multiplication by an element in the number field $\mathbb{Q}(\Pi_{/M}, \psi)$, and $c^+(\text{Res}_{M/\mathbb{Q}}(M(f)_{/M} \otimes M(\psi)(n)))$ is Deligne’s period associated to the n -Tate twist of $\text{Res}_{M/\mathbb{Q}}(M(f)_{/M} \otimes M(\psi))$. In this paper we cannot say anything about Deligne’s conjecture, as we do not know how to relate $c^+(\text{Res}_{M/\mathbb{Q}}(M(f)_{/M} \otimes M(\psi)(n)))$ to $(f, f)^{\frac{[M:F]}{2} \dim \psi}$ (i.e. we do not know how to obtain even an equality up to an algebraic number times a power of π between these two periods; not even when $F = \mathbb{Q}$, ψ is a character and M is an imaginary quadratic number field).

2. Known results. Consider F a totally real number field and let J_F be the set of infinite places of F . If Π is a cuspidal automorphic representation (discrete series at infinity) of weight $k = (k(\tau))_{\tau \in J_F}$ of $\text{GL}(2)/F$, where all $k(\tau)$ have the same parity and all $k(\tau) \geq 2$, let $k_0 = \max\{k(\tau) | \tau \in J_F\}$ and $k^0 = \min\{k(\tau) | \tau \in J_F\}$. Then there exists ([8]) a λ -adic representation

$$\rho_{\Pi} := \rho_{\Pi, \lambda} : \Gamma_F \rightarrow \text{GL}_2(O_{\lambda}) \hookrightarrow \text{GL}_2(\overline{\mathbb{Q}}_l),$$

which satisfies $L(s, \iota_{\rho_{\Pi, \lambda}}) = L(s - \frac{(k_0-1)}{2}, \Pi) = L(s - \frac{(k_0-1)}{2}, f)$, where $\iota : \overline{\mathbb{Q}}_l \xrightarrow{\sim} \mathbb{C}$ is a specific isomorphism, and the above equality of L -functions is up to finitely many Euler factors; also, because the line of convergence of $L(s, \Pi)$ is $\text{Re}(s)=1$, we get that the line of convergence of $L(s, \rho_{\Pi, \lambda})$ is $\text{Re}(s) = (k_0 + 1)/2$; the representation ρ_{Π} is unramified outside the primes dividing \mathfrak{n} . Here f is the normalized Hecke eigenform of $\text{GL}(2)/F$ of weight k corresponding to Π , O is the coefficients ring of Π (i.e. O is the ring of integers of the field generated over \mathbb{Q} by the eigenvalues a_{\wp} defined by $T_{\wp} f = a_{\wp} f$, where T_{\wp} is the Hecke operator at \wp , and \wp runs over the prime ideals of F (see [8] for details)), λ is a prime ideal of O above some prime number l and \mathfrak{n} is the level of Π . We define

$$(f, f) = \pi^{\sum_{\tau \in J_F} k(\tau)} \int_{Z_{\infty+} \text{GL}_2(F) \backslash \text{GL}_2(\mathbb{A}_F)} f(x) \overline{f(x)} dx$$

where $Z_{\infty+} \simeq \mathbb{R}_+^{\times}$ is the connected component of the center of $\text{GL}_2(\mathbb{R})$, and the measure is normalized such that $\text{vol}(Z_{\infty+} \text{GL}_2(F) \backslash \text{GL}_2(\mathbb{A}_F)) = 1$.

Proposition 2.1 follows from Proposition 5.2 and Theorem 5.7 of [7]. We actually use the fact that $L(s, \iota_{\rho_{\Pi}|_{\Gamma_M}} \otimes \psi) = L(s, \iota_{\rho_{\Pi}} \otimes \text{Ind}_{\Gamma_M}^{F} \psi)$ in order to reduce Proposition 2.1 to a particular case of Theorem 5.7 of [7] where a convolution of two cuspidal automorphic representations (one non-CM, and the other CM) of $\text{GL}(2)/F$ was considered. We remark that $\text{Ind}_{\Gamma_M}^{F} \psi$ corresponds to a CM cuspidal automorphic representation of $\text{GL}(2)/F$ of weight 1.

PROPOSITION 2.1. *Assume $k(\tau) \geq 2$ for all $\tau \in J_F$ and $k(\tau) \pmod 2$ is independent of τ . Let M be a quadratic CM-extension of F , and let ψ be a continuous one-dimensional*

representation of Γ_M . Then

$$L(m, \iota_{\rho_{\Pi}|_{\Gamma_M}} \otimes \psi) \sim \pi^{(m+1-k_0)[M:\mathbb{Q}]}(f, f)$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2.$$

3. The proof of Theorem 1.1 for ψ a character. We fix a non-CM cuspidal automorphic representation Π of $GL(2)/F$ as in Theorem 1.1, and let M/F be a CM-finite extension. In this section we assume that ψ is an arbitrary one-dimensional continuous representation of Γ_M and prove Theorem 1.1 in this case.

We know the following result (Theorem 1.1 of [12] or Theorem 2.1 of [13] or Theorem A of [1]).

THEOREM 3.1. *Let Π be a cuspidal automorphic representation of weight $k = (k(\tau))_{\tau \in J_F}$ of $GL(2)/F$, where all $k(\tau)$ have the same parity and all $k(\tau) \geq 2$. Let F' be a totally real extension of F . Then there exists a totally real Galois extension F'' of F' such that $\rho_{\Pi}|_{\Gamma_{F''}}$ is cuspidal automorphic, i.e. there exists a cuspidal automorphic representation Π'' of weight k'' of $GL(2)/F''$ such that $\rho_{\Pi}|_{\Gamma_{F''}} \cong \rho_{\Pi''}$.*

We denote by F' the maximal totally real subfield of M ; hence M is a quadratic CM-extension of F' . Then from Theorem 3.1 we know that we can find a totally real Galois extension F'' of F' , and a cuspidal automorphic representation Π'' of $GL(2)/F''$ such that $\rho_{\Pi}|_{\Gamma_{F''}} \cong \rho_{\Pi''}$. Because Π is non-CM, we get that Π'' is non-CM.

From Theorem 15.10 of [3] we know that there exist some subfields $M_i \subseteq MF''$ such that $M \subseteq M_i$ and $\text{Gal}(MF''/M_i)$ are solvable, and some integers n_i , such that the trivial representation

$$1_M : \text{Gal}(MF''/M) \rightarrow \mathbb{C}^\times$$

can be written as

$$1_M = \sum_{i=1}^u n_i \text{Ind}_{\text{Gal}(MF''/M_i)}^{\text{Gal}(MF''/M)} 1_{M_i}$$

(an equality in the character ring of $\text{Gal}(MF''/M)$), where

$$1_{M_i} : \text{Gal}(MF''/M_i) \rightarrow \mathbb{C}^\times$$

is the trivial representation. In particular, we have $1 = \sum_{i=1}^u n_i [M_i : M]$. Then (for the equality between the second and the third terms below, we use Corollary 10.20 of [3], which says that if G is a finite group and H a subgroup, and if ρ and ϕ are k -linear

representations of G and H , where k is a field, then $\rho \otimes \text{Ind}_H^G \phi \simeq \text{Ind}_H^G(\rho|_H \otimes \phi)$,

$$\begin{aligned} L(s, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi) &= \prod_{i=1}^u L(s, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \text{Ind}_{\Gamma_{M_i}}^{\Gamma_M} 1_{M_i} \otimes \psi)^{n_i} \\ &= \prod_{i=1}^u L(s, \text{Ind}_{\Gamma_{M_i}}^{\Gamma_M} (\iota\rho_\Pi|_{\Gamma_{M_i}} \otimes 1_{M_i} \otimes \psi|_{\Gamma_{M_i}}))^{n_i} \\ &= \prod_{i=1}^u L(s, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \psi|_{\Gamma_{M_i}})^{n_i}. \end{aligned}$$

Since $\rho_\Pi|_{\Gamma_{F''}}$ is cuspidal automorphic and MF'' is a quadratic extension of F'' , we get ([5]) that $\rho_\Pi|_{\Gamma_{MF''}}$ is cuspidal automorphic, and because the group $\text{Gal}(MF''/M_i)$ is solvable, one easily gets (see Section 4 of [9]) that $\rho_\Pi|_{\Gamma_{M_i}}$ is cuspidal automorphic.

Hence, the function $L(s, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi)$ has a meromorphic continuation to the entire complex plane and satisfies a functional equation because each function $L(s, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \psi|_{\Gamma_{M_i}})$ has a meromorphic continuation to the entire complex plane and satisfies a functional equation. Moreover, since each function $L(s, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \psi|_{\Gamma_{M_i}})$ has no poles or zeros for $\text{Re}(s) \geq (k_0 + 1)/2$ (see Proposition 5.2 of [7] and Proposition 4.16 of [6]), we get that the function $L(s, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi)$ has no poles or zeros for $\text{Re}(s) \geq (k_0 + 1)/2$. Thus, for any integer m satisfying

$$(k_0 + 1)/2 \leq m,$$

we get the identity

$$L(m, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi) = \prod_{i=1}^u L(m, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \psi|_{\Gamma_{M_i}})^{n_i}.$$

Let F_i be the maximal totally real subfield of M_i . Since $\rho_\Pi|_{\Gamma_{M_i}}$ is cuspidal automorphic and M_i/F_i is quadratic, one can easily prove that $\rho_\Pi|_{\Gamma_{F_i}}$ is cuspidal automorphic (see Lemma 1.3 of [2]), so $\rho_\Pi|_{\Gamma_{F_i}} \cong \rho_{\Pi_i}$, for some cuspidal automorphic representation Π_i of $\text{GL}(2)/F_i$. We denote by f_i the normalized Hecke eigenform of $\text{GL}(2)/F_i$ associated to Π_i . Then f_i has weight $k_i = (k_i(\tau))_{\tau \in J_{F_i}}$, where J_{F_i} is the set of infinite places of F_i , and $k_i(\tau) = k(\tau|F)$ for any $\tau \in J_{F_i}$.

Now from Proposition 2.1 we get

$$L(m, \iota\rho_\Pi|_{\Gamma_{M_i}} \otimes \psi|_{\Gamma_{M_i}}) \sim \pi^{(m+1-k_0)[M_i:\mathbb{Q}]} \langle f_i, f_i \rangle,$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2.$$

But we know that (see the paragraph just before Remark 5.1 of [10])

$$\langle f_i, f_i \rangle \sim \langle f, f \rangle^{[F_i:F]}, \tag{3.1}$$

and using the fact that $1 = \sum_{i=1}^u n_i [M_i : M]$, we obtain

$$L(m, \iota\rho_\Pi|_{\Gamma_M} \otimes \psi) \sim \pi^{\sum_{i=1}^u (m+1-k_0)[M_i:\mathbb{Q}]n_i} \prod_{i=1}^u \langle f_i, f_i \rangle^{n_i}$$

$$\sim \pi^{\sum_{i=1}^u (m+1-k_0)[M_i:\mathbb{Q}]n_i} (f, f)_{\sum_{i=1}^u [F_i:F]n_i} \sim \pi^{(m+1-k_0)[M:\mathbb{Q}]} (f, f)_{\frac{[M:F]}{2}}$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2,$$

which proves Theorem 1.1 for ψ one-dimensional representation. ■

4. The proof of Theorem 1.1 for general ψ . Let ψ be a finite-dimensional representation of Γ_M as in Theorem 1.1. We denote by M' the maximal CM-subfield of $K := \overline{\mathbb{Q}}^{\ker \psi}$. Obviously, M'/M is Galois and K is an abelian extension of M' .

From the beginning of Section 15 in [3] we know that there exist some subfields $E_i \subseteq M'$ such that $M \subseteq E_i$ and $\text{Gal}(M'/E_i)$ are cyclic, and some integers n_i such that the trivial representation

$$1_M : \text{Gal}(M'/M) \rightarrow \mathbb{C}^\times$$

can be written as

$$[M' : M]1_M = \sum_{i=1}^u n_i \text{Ind}_{\text{Gal}(M'/E_i)}^{\text{Gal}(M'/M)} 1_{E_i},$$

where $1_{E_i} : \text{Gal}(M'/E_i) \rightarrow \mathbb{C}^\times$ is the trivial representation. In particular, we have $[M' : M] = \sum_{i=1}^u n_i [E_i : M]$. Then

$$\begin{aligned} L(s, \iota_{\rho_{\Pi}}|_{\Gamma_M} \otimes \psi)^{[M':M]} &= \prod_{i=1}^u L(s, \iota_{\rho_{\Pi}}|_{\Gamma_M} \otimes \psi \otimes \text{Ind}_{\Gamma_{E_i}}^{\Gamma_M} 1_{E_i})^{n_i} \\ &= \prod_{i=1}^u L(s, \text{Ind}_{\Gamma_{E_i}}^{\Gamma_M} (\iota_{\rho_{\Pi}}|_{\Gamma_{E_i}} \otimes \psi|_{\Gamma_{E_i}} \otimes 1_{E_i}))^{n_i} \\ &= \prod_{i=1}^u L(s, \iota_{\rho_{\Pi}}|_{\Gamma_{E_i}} \otimes \psi|_{\Gamma_{E_i}})^{n_i}. \end{aligned}$$

We write

$$\psi|_{\Gamma_{E_i}} = \bigoplus_{j=1}^{u_i} \psi_{ij},$$

where ψ_{ij} are irreducible representations of Γ_{E_i} . Since $\text{Gal}(M'/E_i)$ is cyclic, $\psi_{ij}|_{\Gamma_{M'}}$ is abelian and ψ_{ij} is irreducible, we get that the following:

LEMMA 4.1. *We have*

$$\psi_{ij} \simeq \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} \phi_{ij}$$

for some continuous character

$$\phi_{ij} : \Gamma_{E_{ij}} \rightarrow \mathbb{C}^\times,$$

where E_{ij} is a subfield of M' which contains E_i .

Proof: Let σ be a generator of $\text{Gal}(M'/E_i)$. Then, since M'/E_i is Galois, σ permutes the irreducible components of $\psi_{ij}|_{\Gamma_{M'}}$. The representation $\psi_{ij}|_{\Gamma_{M'}}$ is abelian, and thus a direct sum of characters. Let ϕ be one of these characters. We denote by E_{ij} the subfield of M' which contains E_i having the property that $\text{Gal}(M'/E_{ij})$ is the stabiliser of ϕ under the action of $\text{Gal}(M'/E_i) = \langle \sigma \rangle$. The character ϕ extends to a character ϕ_{ij} of $\Gamma_{E_{ij}}$. Then, because ψ_{ij} is irreducible, $\sigma \in \text{Gal}(E_{ij}/E_i)$ permutes simply transitively all the components of the abelian representation $\psi_{ij}|_{\Gamma_{E_{ij}}}$ and we have $[E_{ij} : E_i] = \dim \psi_{ij}$. Let $V_{\psi_{ij}}$ be the space corresponding to ψ_{ij} , and $V_{\phi_{ij}}$ be the space corresponding to ϕ_{ij} . Since $\text{Hom}_{\Gamma_{E_{ij}}}(V_{\psi_{ij}}, V_{\phi_{ij}})$ is non-trivial, by Frobenius reciprocity we get that $\text{Hom}_{\Gamma_{E_i}}(V_{\psi_{ij}}, \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} V_{\phi_{ij}})$ is also non-trivial. But $\dim \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} \phi_{ij} = \dim \psi_{ij}$, and thus we obtain $\psi_{ij} \simeq \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} \phi_{ij}$. ■

Therefore, we obtain

$$\begin{aligned} L(s, \iota\rho_{\Pi}|_{\Gamma_M} \otimes \psi)^{[M':M]} &= \prod_{i=1}^u L(s, \iota\rho_{\Pi}|_{\Gamma_{E_i}} \otimes \psi|_{\Gamma_{E_i}})^{n_i} \\ &= \prod_{i=1}^u \prod_{j=1}^{u_i} L(s, \iota\rho_{\Pi}|_{\Gamma_{E_i}} \otimes \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} \phi_{ij})^{n_i} \\ &= \prod_{i=1}^u \prod_{j=1}^{u_i} L(s, \text{Ind}_{\Gamma_{E_{ij}}}^{\Gamma_{E_i}} (\iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij}))^{n_i} \\ &= \prod_{i=1}^u \prod_{j=1}^{u_i} L(s, \iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij})^{n_i}. \end{aligned}$$

Hence, the function $L(s, \iota\rho_{\Pi}|_{\Gamma_M} \otimes \psi)^{[M':M]}$ has a meromorphic continuation to the entire complex plane and satisfies a functional equation because from Section 3 we know that each function $L(s, \iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij})$ has a meromorphic continuation to the entire complex plane and satisfies a functional equation. Also, since each function $L(s, \iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij})$ has no poles or zeros for $\text{Re}(s) \geq (k_0 + 1)/2$, we get that the function $L(s, \iota\rho_{\Pi}|_{\Gamma_M} \otimes \psi)^{[M':M]}$ has no poles or zeros for $\text{Re}(s) \geq (k_0 + 1)/2$. Thus, for any integer m satisfying

$$(k_0 + 1)/2 \leq m,$$

we get the identity

$$L(m, \iota\rho_{\Pi}|_{\Gamma_M} \otimes \psi)^{[M':M]} = \prod_{i=1}^u \prod_{j=1}^{u_i} L(m, \iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij})^{n_i}.$$

From Section 3 we know that

$$L(m, \iota\rho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij}) \sim \pi^{(m+1-k_0)[E_{ij}:\mathbb{Q}]} (f, f)^{\frac{[E_{ij}:F]}{2}}$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2.$$

Hence, from the fact that $[M' : M] \dim \psi = \sum_{i=1}^u \sum_{j=1}^{u_i} n_i [E_{ij} : M]$, we get

$$\begin{aligned} L(m, \varrho_{\Pi}|_{\Gamma_M} \otimes \psi)^{[M':M]} &= \prod_{i=1}^u \prod_{j=1}^{u_i} L(m, \varrho_{\Pi}|_{\Gamma_{E_{ij}}} \otimes \phi_{ij})^{n_i} \\ &\sim \pi^{\sum_{i=1}^u \sum_{j=1}^{u_i} (m+1-k_0)[E_{ij}:\mathbb{Q}]n_i} \langle f, f \rangle^{\sum_{i=1}^u \sum_{j=1}^{u_i} \frac{[E_{ij}:F]}{2}n_i} \\ &\sim \pi^{(m+1-k_0)[M':\mathbb{Q}] \dim \psi} \langle f, f \rangle^{\frac{[M':F]}{2} \dim \psi}, \end{aligned}$$

and thus

$$L(m, \varrho_{\Pi}|_{\Gamma_M} \otimes \psi) \sim \pi^{(m+1-k_0)[M:\mathbb{Q}] \dim \psi} \langle f, f \rangle^{\frac{[M:F]}{2} \dim \psi}$$

for any integer m satisfying

$$(k_0 + 1)/2 \leq m < (k_0 + k^0)/2.$$

This concludes the proof of Theorem 1.1. ■

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