

ON A PROBLEM IN PARTIAL DIFFERENCE EQUATIONS⁽¹⁾

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The purpose of this paper is not to solve a problem but to pose one that may be of some interest, depth, and consequence.

Given that the positive integer n has the canonical representation $n = \prod_{i=1}^h p_i^{\alpha_i}$, the problem of finding the number $F(n) = f(\alpha_1, \alpha_2, \dots, \alpha_h)$ of ordered factorizations of n into positive nontrivial integral factors is equivalent to that of finding the number of ordered partitions of the vector $(\alpha_1, \alpha_2, \dots, \alpha_h)$ into nonzero vectors with nonnegative integral components. This problem was solved as early as 1893 by P. A. MacMahon [3], who proved that

$$(1) \quad \begin{aligned} F(n) &= f(\alpha_1, \alpha_2, \dots, \alpha_h) \\ &= \sum_{j=1}^q \sum_{i=0}^{j-1} (-1)^i \binom{j}{i} \prod_{k=1}^h \binom{\alpha_k + j - i - 1}{\alpha_k} \end{aligned}$$

where $q = \sum_{i=1}^h \alpha_i$. While this formula gives $f(\alpha_1, \alpha_2, \dots, \alpha_h)$ in closed form, it clearly is not particularly useful for calculation. A much more useful result which allows for the recursive calculation of the $F(n)$ was given by Long [2] and by Carlitz and Moser [1], who proved that, for $n > 1$,

$$(2) \quad \frac{1}{2} \sum_{d|n} F(d) = F(n) = 2 \sum_{d|n} \mu(d)F(n/d) - \mu(n).$$

In terms of the function f , (2) becomes a partial difference equation in $\alpha_1, \alpha_2, \dots, \alpha_h$. For example, for $h=1$, we obtain

$$(3) \quad f(\alpha_1) - 2f(\alpha_1 - 1) = 0, \quad f(0) = 1,$$

which has the solution $f(\alpha_1) = 2^{\alpha_1 - 1}$. For $h=2$, we obtain

$$(4) \quad f(\alpha_1, \alpha_2) - 2f(\alpha_1 - 1, \alpha_2) - 2f(\alpha_1, \alpha_2 - 1) + 2f(\alpha_1 - 1, \alpha_2 - 1) = 0$$

with $f(0, 0) = 1$, $f(\alpha_1, 0) = 2^{\alpha_1 - 1}$ for $\alpha_1 \geq 1$, and $f(0, \alpha_2) = 2^{\alpha_2 - 1}$ for $\alpha_2 \geq 1$, and it is not difficult to show directly that the solution is given by

$$(5) \quad f(\alpha_1, \alpha_2) = 2^{\alpha_1 + \alpha_2 - 1} \sum_{i \geq 0} 2^{-i} \binom{\alpha_1}{i} \binom{\alpha_2}{i}.$$

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For $h=3$, we obtain

$$(6) \quad \begin{aligned} & f(\alpha_1, \alpha_2, \alpha_3) - 2f(\alpha_1 - 1, \alpha_2, \alpha_3) - 2f(\alpha_1, \alpha_2 - 1, \alpha_3) - 2f(\alpha_1, \alpha_2, \alpha_3 - 1) \\ & + 2f(\alpha_1 - 1, \alpha_2 - 1, \alpha_3) + 2f(\alpha_1 - 1, \alpha_2, \alpha_3 - 1) + 2f(\alpha_1, \alpha_2 - 1, \alpha_3 - 1) \\ & - 2f(\alpha_1 - 1, \alpha_2 - 1, \alpha_3 - 1) = 0 \end{aligned}$$

with $f(0, 0, 0) = 1$, $f(\alpha_1, 0, 0) = 2^{\alpha_1 - 1}$ for $\alpha_1 \geq 1$, $f(0, \alpha_2, 0) = 2^{\alpha_2 - 1}$ for $\alpha_2 \geq 1$, and $f(0, 0, \alpha_3) = 2^{\alpha_3 - 1}$ for $\alpha_3 \geq 1$ and the general pattern is now clear. I now assert that the solution to (6) can be obtained in the following intriguing way: Fully expand the polynomial

$$(7) \quad 2^{\alpha_1 - 1} (2x_1 + 1)^{\alpha_2} (2x_1x_2 + x_1 + x_2 + 1)^{\alpha_3} = 2^{\alpha_1 - 1} \{x_1 + (x_1 + 1)\}^{\alpha_2} \{x_1x_2 + (x_1 + 1)(x_2 + 1)\}^{\alpha_3}$$

and then replace x_1^k by

$$\binom{\alpha_1}{\alpha_2 + \alpha_3 - k} \quad \text{for } 0 \leq k \leq \alpha_2 + \alpha_3$$

and replace x_2^k by

$$\binom{\alpha_2}{\alpha_3 - k} \quad \text{for } 0 \leq k \leq \alpha_3.$$

The resulting function of $\alpha_1, \alpha_2, \alpha_3$ is the desired solution to (6).

In general, in the n variable case, one fully expands the polynomial

$$(8) \quad 2^{\alpha_1 - 1} \prod_{i=2}^n \left\{ \prod_{j=1}^{i-1} x_j + \prod_{j=1}^{i-1} (x_j + 1) \right\}^{\alpha_i}$$

and then replaces x_1^k by the binomial coefficient

$$\binom{\alpha_i}{\sum_{j=i+1}^n \alpha_j - k} \quad \text{for } 0 \leq k \leq \sum_{j=i+1}^n \alpha_j$$

to obtain the desired function $f(\alpha_1, \alpha_2, \dots, \alpha_n)$. Thus, for example, in the two variable case, we expand

$$\begin{aligned} 2^{\alpha_1 - 1} (2x_1 + 1)^{\alpha_2} &= 2^{\alpha_1 - 1} \sum_{i=0}^{\alpha_2} \binom{\alpha_2}{i} (2x_1)^{\alpha_2 - i} \\ &= 2^{\alpha_1 + \alpha_2 - 1} \sum_{i=0}^{\alpha_2} \binom{\alpha_2}{i} x_1^{\alpha_2 - i} 2^{-i} \end{aligned}$$

and replace $x_1^{\alpha_2 - i}$ by

$$\binom{\alpha_1}{\alpha_2 - (\alpha_2 - i)} = \binom{\alpha_1}{i}$$

to obtain the solution (5) noted above.

Of course, the difficulty is that I can prove my claim only in the cases $n = 1, 2, 3$ and have checked it in particular cases for $n = 4, 5, 6$. But then, in a very real sense, the solution is quite beside the point; MacMahon has already provided that. What may be of considerable importance is that the conjectured method of solution suggests the existence of a transform method of solution which may be applicable to a reasonably large class of finite partial difference equations. Hopefully, some reader may be able to decide the issue.

REFERENCES

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