

TABLES

2.1 Mining firms with and without patents	<i>page 47</i>
3.1 Mining MNEs in UNCTAD Top 100 ranking of the largest global MNEs	56
4.1 Natural resources matter for GDP and trade for emerging and Latin American countries	91
5.1 Average distance and Capesize vessel shipping price to Port of Qingdao, China	124
6.1 Patent classification of clean mining patents	148
6.2 Top countries as ranked according to their share of clean patents in total mining patents, 1990–2015	150
6.3 Control variables	157
6.4 Baseline results	160
6.5 Results – Impact of market vs. nonmarket EPS	163
6.6 Results – Impact of individual policy instruments	164
6A Summary statistics of key variables	170
6B Robustness best of baseline estimation, using further lags and moving-average definition	171
7.1 Characteristics of mining and METS firms	177
7.2 Effect on innovation and access to finance of price change	179
7.3 Time series estimation	187
7.4 Time series estimation, different mining categories	189
7.5 Time series estimation, different mining categories, decomposed price cycles	190
7.6 Time series estimation, mining vs METS firms	191
7.7 Panel estimation	192
7.8 Panel estimation, using country-specific price index	193
8.1 Brazilian ore production (2015)	205
8.2 Mining sector challenges and technological demands	209
8.3 Patents applications: 2000–15	214
8.4 Coapplications and foreign inventors, by mining technology	219
8.5 Research sample (technology import contracts) (2000–15)	220
8.6 Technology import contracts by type, by contractor and by supplier (2000–15)	221

8.7 Mining firm contractors (subsidiaries and parent companies)	223
9.1 Share of global production and reserves (% , 2015)	233
9.2 Percentage of firms that innovate (mining suppliers vis-à-vis the industry and the economy)	236
9.3 Mining-related patents filed in the Chilean Patent Office	238
9.4 Major 10 nonresident (NR) firms filing patents in Chile, by country of origin	240
9.5 Descriptive statistics (in US dollars)	243
9.6 IP applications filed in the Chilean Patent Office and abroad, by instrument (%)	247
9.7 Questions on IP practices and regulation (%)	247
9.8 Innovating firms' reasons for not protecting innovations (%)	248
10.1 MINER Act on health outcomes, 1995–2014	275
12.1 Mining patent filings by Australians, by technology and entity type	316
12.2 Mining support service patent filings by Australians, by technology and entity type	318