

## Long Form Research Paper

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# Ecological resource availability: a method to estimate resource budgets for a sustainable economy

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**Non-technical summary**

Resources are the basis of our economy and their provision causes major shares of the global environmental burdens, many of which are beyond safe limits today. In order to be sustainable, our economy needs to be able to operate within those boundaries. As resources are the physical ‘currency’ of our economy, we present a method that allows translating Earth system boundaries into resource budgets. This ecological resource availability determines the global annual production of a resource that can be considered absolutely sustainable. The budgets can be managed like financial budgets, bringing absolute environmental limits one step closer to decision-makers.

**Technical summary**

In this paper, we propose a new method translating Earth system boundaries into resource budgets. These Earth system boundaries are represented by 10 variables from the planetary boundaries framework and one additional boundary for renewable energy potentials. This follows the idea that, in a sustainable economy, resources are not limited by their physical and/or geopolitical availability, but rather by the environmental impacts caused due to their utilization. The method is designed to estimate how much of a specific resource can be provided to the society within Earth system boundaries, taking into account impacts caused by primary production and end-of-life treatment. For the calculation, it is necessary to specify how global boundaries are allocated to the various resources and the acceptable risk of boundary violation. The method considers multiple boundary dimensions and can therefore effectively avoid burden shifting. We calculate the ecological resource availability (ERA) for major metals. We find that, in the current forms of production (state-of-the-art processes), the current share of production (i.e., resource mix) and when allocating the global boundaries according to the same share of impacts caused by these resources today (grandfathering principle), the ERA budgets are 40 times smaller than production volumes in 2016.

**Social media summary**

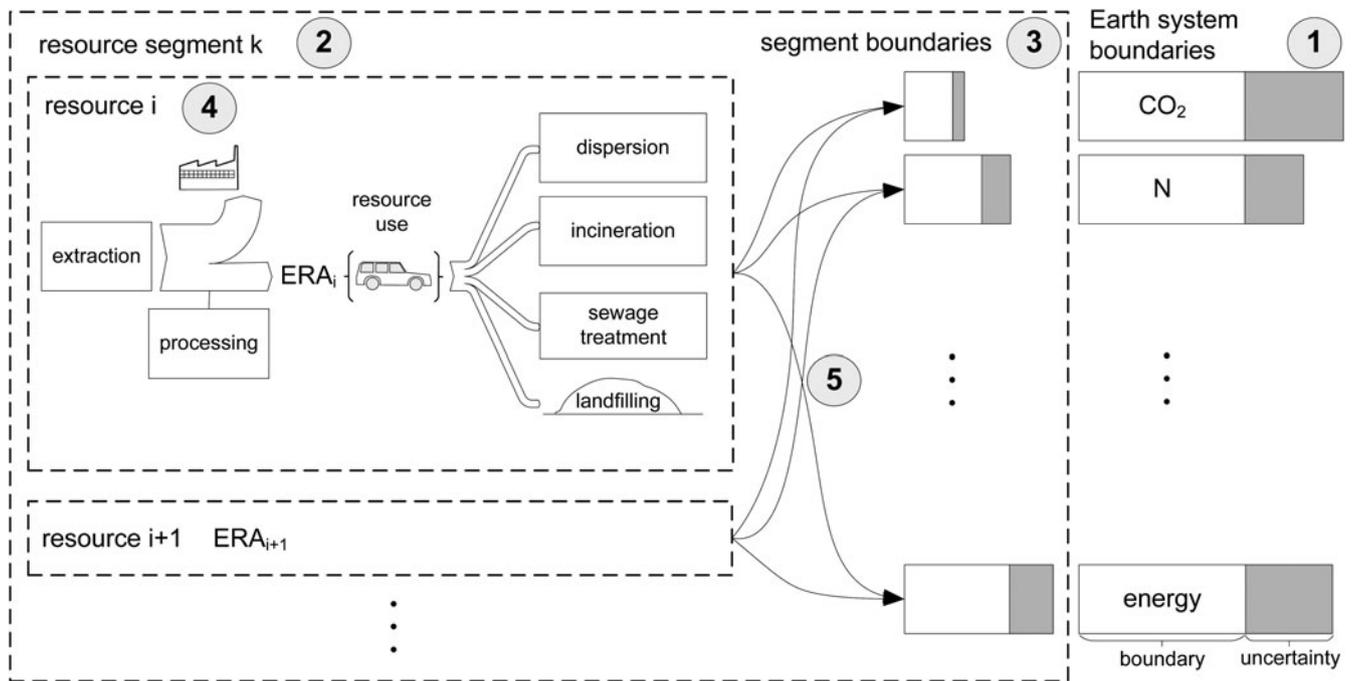
Resource budgets in accordance with the Earth system boundaries enable the management of our planetary household.

**1. Introduction**

Natural resources are at the basis of all products and services in the economy (Ashby, 2005, 2012) and have enabled the progress of humankind over the past centuries. However, not only have they caused economic progress, but their extraction is also responsible for major shares of today’s environmental burdens (IRP, 2019). The pressure of our society on the Earth system has already crossed safe limits for many vital Earth system processes (Rockström *et al.*, 2009b; Steffen *et al.*, 2015). One increasingly popular concept among academia, policymakers and businesses to reduce those burdens is to create a circular economy (CE), where materials do not become waste at the end of the product’s life, but instead are recovered to be used as an input for new products (Desing *et al.*, 2020). Nevertheless, materials cannot be cycled indefinitely (Ayres, 1999), due to irreversible losses (such as corrosion, abrasion and degradation), necessitating primary material input (Bocken *et al.*, 2017; Grosso *et al.*, 2017) and safe final sinks (Kral *et al.*, 2013).

Looking at physical availability, major resources remain abundant in the Earth’s crust, albeit at lower and decreasing concentrations than the deposits mined today (Henckens *et al.*, 2014; Müller-Wenk, 1998; Valero & Valero, 2015; Van Vuuren *et al.*, 1999). In view of the global environmental crisis, the concern for society may not be that we run out of

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**Fig. 1.** Schematic representation of the ecological resource availability (ERA) method, consisting of five steps: (1) selection of Earth system boundaries; (2) resource segment definition; (3) allocation of safe operating space (e.g., by using environmentally extended input–output tables); (4) environmental impacts of resource production (e.g., by using life cycle assessment); and (5) upscaling of resource production until the impacts ‘hit’ the allocated segment boundaries to determine ERA.

resources, but rather that the environmental impacts associated with the production and final disposal of these resources irreversibly damages Earth’s life support system. For example, burning up all of the fossil fuels contained in the Earth’s crust will certainly destabilize the climate system beyond safe limits (Hansen *et al.*, 2013; IPCC, 2018, 2013). As a counteraction to this, the international community has decided to restrict the use of fossil fuels (i.e., reduced their availability to society based on an environmental boundary condition). Sustainable production rates for other resources have been proposed by Henckens *et al.* (2014) based on a minimum required depletion time of known deposits. This approach, however, does not ensure that Earth system boundaries (ESBs) are respected. Generalizing the idea of environmental restrictions to resource production rates, this can be formulated as a hypothesis: the primary material input into a sustainable economy is not limited by the physical availability of resources, but by the environmental pressure arising from extraction, processing and disposal.

In this paper, we follow this hypothesis and propose a new method that allows us to quantify the annual production of primary resources compatible with a stabilized Earth system. The idea of ESBs was proposed decades ago (Boulding, 1966; Carson, 1962; Meadows *et al.*, 1972), and several attempts to quantify them exist (Sabag-Munoz & Gladek, 2017). While some approaches focus on single indicators (e.g., remaining carbon budget – IPCC, 2018), others take multiple dimensions into account to better reflect the complexity of the Earth system (e.g., ecological footprint – Wackernagel *et al.*, 1999; planetary boundaries (PBs) – Rockström *et al.*, 2009b; Steffen *et al.*, 2015). To ensure that ESBs are respected, governments, companies and individuals have to integrate them into their decision-making (Clift *et al.*, 2017; Meyer & Newman, 2018, 2020). In fact, governments and companies have shown strong interest in using ESBs as a decision

support tool for production or consumption activities (Clift *et al.*, 2017; Cole *et al.*, 2014; Dao *et al.*, 2018; Nykvist *et al.*, 2013). To facilitate this, the method described in this paper translates global environmental limits, expressed as ecosystem parameters, into annual resource budgets, expressed in units of mass, which we define here as *ecological resource availability* (ERA). In contrast to existing absolute sustainability assessment tools that focus on comparing societal activities to absolute benchmarks (e.g., Bjørn *et al.*, 2016, 2018; Doka, 2016; Fang *et al.*, 2015; Meyer & Newman, 2018; Ryberg *et al.*, 2018b; Sandin *et al.*, 2015), our method aims to explore the magnitude of sustainable resource consumption. It is a modelling tool for testing the effects of technological and societal options on the sustainable resource base. Furthermore, the resource budgets can be used for decision support when designing new products or resource governance strategies.

In Section 2, the ERA method and its five consecutive steps are introduced. We then show the application of the method with the example for major metals, which are produced with current technology and with the same relative importance in the economy as today (see Section 3). In Section 4, we discuss possible applications and further developments. Further details on methods, data and calculation code can be found in the Supplementary Materials (SM).

## 2. The ERA method

The ERA method aims to quantify global resource budgets (i.e., the amount of primary resources that can be made available annually while respecting ESBs in the long run). Over time, all resource inputs into the socioeconomic system are turned into final waste or emissions (mass conservation). Therefore, the ERA method includes environmental impacts associated with primary extraction, processing and final disposal back to the environment (see Figure 1). ERA represents the level of resource



are considered in the respective ERA budgets. Direct use impacts are to be considered in the relevant segments. Similarly, secondary material production can be considered as a separate segment with its own boundary, and thus it is assumed not to affect the ERA of primary materials. Cycling strategies do increase the resource base available to the economy, but only delay and do not prevent materials from entering final sinks.

Four principal disposal options can be distinguished: *open dump* (= dispersion), *landfilling*, *incineration* and *sewage sludge*. If the disposal routes are known (e.g., for plastics in Switzerland, see Kawecki & Nowack 2019), an overall waste process can be created as a combination of the four options. Otherwise, impacts can be modelled with an uncertainty range spanning the best and worst option.

The application of the precautionary approach necessitates modelling of uncertainties for unit impacts. For example, uncertainties in life cycle assessment (LCA) stem from the inventory uncertainties (e.g., measurement errors, spatial and temporal variability; Ecoinvent, 2013; Muller *et al.*, 2014) and different life cycle impact assessment methods (e.g., different time horizons for global warming potentials). Data for unit impacts (*UI*) are stored in a three-dimensional matrix ( $n_{\text{resources}} \times n_{\text{ESB}} \times n_{\text{runs}}$ ):

$$\begin{array}{c} \text{resources } \downarrow \\ A \\ \vdots \\ X \end{array} = \begin{array}{c} 1 \quad \dots \quad n_{\text{ESB}} \\ \left[ \begin{array}{ccc} \frac{m_{\text{CO}_2}}{m_A} & & \\ & & \\ & & \frac{E_{\text{el}}}{m_X} \end{array} \right] \cdot n_{\text{runs}} \end{array} \quad (4)$$

As a consequence of the global scope of the ERA method, the processes modelled to calculate the unit impacts have to be representative of the global average for primary production (e.g., global datasets in *ecoinvent*). Attention has to be paid to ensure that secondary materials are not included in these global averages.

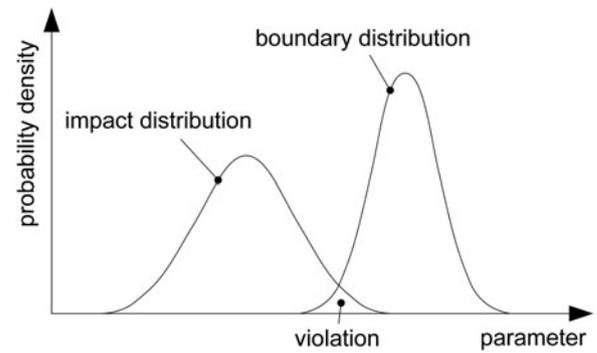
### 2.5. Upscaling of resource production

The last step of the ERA method increases the production of a resource (and thus its environmental impacts) until the probability density functions (PDFs) of both the boundaries and environmental impacts overlap. As long as all possible impacts are smaller than all possible boundary thresholds, the system can be considered fully sustainable. However, as soon as some possible impacts are larger than some possible boundaries (i.e., when two PDFs start to overlap), there is an increasing probability that the system will violate the environmental sustainability criterion and thus be not sustainable (see Figure 2). If all impacts are larger than their respective boundaries, then the system is certainly not sustainable.

The *UI* of the individual resources within one segment are combined to an overall unit impact for the segment,  $UI_k$  (i.e., the impact caused by the production of one unit of the resource mix as specified by the *SoP*; see Section 2.2).

$$UI_k = SoP^T \times UI \quad (5)$$

The production volume of the resource mix in the segment  $ERA_k$  is then increased stepwise, until one segment boundary *SB* is violated with the chosen probability of violation  $P_v$ . As the calculation of  $P_{v,i}$  in each step depends on the shape of the distributions of both impacts and boundaries, a numerical



**Fig. 2.** Schematic representation of the concept of probability of boundary violation, which results from the overlap from the probability distribution of the environmental impacts with the distribution of the respective boundary.

approach is taken. The initial  $ERA_{k,i}$  is calculated as the  $1 - \frac{P_v}{2}$ -quantile of the  $UI_k$  PDF and the  $\frac{P_v}{2}$ -quantile of the  $SB_k$  PDF. In an iteration loop, the  $P_v$  is calculated and the  $ERA_{k,i+1}$  increased or decreased until  $P_{v,i}$  equals the required value  $P_v$ .

$$ERA_{k,i} = \frac{\text{quantile}\left(SB_k \mid \frac{P_v}{2}\right)}{\text{quantile}\left(UI_k \mid 1 - \frac{P_v}{2}\right)} \quad (6)$$

$$ERA_{k,i+1} = \begin{cases} ERA_{k,i} \cdot (1 - 5 \cdot P_v(1 + P_{v,i})) & P_{v,i} > P_v \\ ERA_{k,i} \cdot (1 + P_v - P_{v,i}) & P_{v,i} < P_v \end{cases} \quad (7)$$

The resource budget  $ERA_k$  is calculated for each boundary separately. As all *SBs* need to be respected, the smallest  $ERA_k$  defines the budget for the resource segment. For each resource in the resource segment  $k$ , the resource budget is calculated through multiplying the smallest  $ERA_k$  with the *SoP*. *ERA* is a vector ( $n_{\text{resources}} \times 1$ ) and contains the ERA budget for each of the resources in the segment with the chosen confidence  $1 - P_v$ .

$$ERA = SoP \cdot \min(ERA_k) \quad (8)$$

## 3. Case study: metals

In the following subsections, we demonstrate the application of the ERA method for the resource segment *metals*, using a grandfathering allocation approach.

### 3.1. Selection of Earth system boundaries: adaptation of planetary boundaries

As the sustainability objective, we choose the protection of the Holocene-like state of the Earth system and use the PB framework as a set of *ESBs* (Rockström *et al.*, 2009b; Steffen *et al.*, 2015). The adaptation of the boundaries is based on the literature and for the purpose of demonstrating the ERA method. Furthermore, the probability of violating the boundaries is set to  $P_v = 0.01$  (Desing *et al.*, 2019) to illustrate the method. This is in between the probability of failure tolerated for critical technical systems (usually  $< 0.001$ ; see Table S2) and deemed acceptable in current Earth system governance (e.g.,  $P_v = 0.33$  for reaching the 2.0°C target (IPCC, 2013);  $P_v = 0.5$  for reaching the 1.5°C target

(IPCC, 2018), despite potentially catastrophic effects (Lenton *et al.*, 2019)).

The PBs define boundary values for nine crucial Earth system processes.<sup>3</sup> When crossing the boundary values, fast and irreversible environmental change is expected to happen, leading to a new Earth system state being less hospitable for human civilizations and most other forms of life (Steffen *et al.*, 2018). Respecting the PBs can be seen as necessary for reaching environmental sustainability, but not sufficient to ensure it (Chandrakumar & McLaren, 2018). The PB framework can be refined with more detailed control variable definitions (e.g., for biodiversity, see Alig *et al.*, 2019; Mace *et al.*, 2014) or extended to other variables (e.g., net primary production O'Neill *et al.*, 2018; Running, 2012). To show this, we add a boundary on renewable energy potentials (Desing *et al.*, 2019), representing the amount of energy that can be appropriated by society without transgressing other critical PBs (e.g., land-system change) or compromising food supply. The global appropriable technical potential (ATP) for renewable energy is estimated to be  $ATP_{el,p=0.98} = (1.52^{+1.24}_{-0.81}) \times 10^{14} \text{W}$  (Desing *et al.*, 2019). This added boundary is a global boundary for a driver of environmental pressure and is particularly relevant for a CE, as for increasingly closed material cycles, energy may become limiting (Ayres, 1999).

The PBs themselves need translation in order to be compatible with the measurement of impacts with units commonly used in LCA and environmentally extended input–output tables (EE-IOTs). This has been addressed by various authors using different approaches (e.g., impact reduction targets in LCA – Sandin *et al.*, 2015; deriving measurable boundaries – Dao *et al.*, 2015, 2018; Meyer & Newman, 2018; boundary characterization factors – Ryberg *et al.*, 2018b; per-capita impact allowance – Bjørn & Hauschild, 2015; Doka, 2016; combining footprints with PBs – Fang *et al.*, 2015). For the purpose of illustrating the method, we do not consolidate the various different approaches, which is a topic for further research. Meanwhile, we derive measurable boundaries and uncertainty ranges by using a combination of approaches in the literature (Table 1, Figure S1 and SM), except for the three boundaries described in the following. We adopt the biodiversity boundary from Doka (2016); however, we highlight the need to refine this boundary in the future. For example, up-to-date and United Nations Environment Programme (UNEP)–Society of Environmental Toxicology and Chemistry (SETAC) recommended methods to assess the global fraction of species loss exist (UNEP & SETAC, 2016) and can be converted into extinction rates (Alig *et al.*, 2019). The land appropriation boundary in Ryberg *et al.* (2018b) only considers forest area, which cannot be measured easily with LCA and EE-IOTs, whereas in Doka (2016), all biomes other than forest can be appropriated, endangering biodiversity in these biomes. Bjørn and Hauschild (2015) define the land boundary based on minimum biodiversity conservation targets; however, they do not consider the forest boundary set with climate considerations of the original PBs. We therefore adopt the land boundary setting in our earlier work (Desing *et al.*, 2019), which combines the remaining forest area boundary from Steffen *et al.* (2015) with the 'Nature Needs Half' proposal (Dinerstein *et al.*, 2017) for all other biomes.

For the CO<sub>2</sub> boundary, we propose a different approach to be in line with the ERA method's scope of maintaining a sustainable state of the Earth system over time. The atmospheric CO<sub>2</sub> concentration needs to be constant at or below the PB. Without human influence, the CO<sub>2</sub> concentration in the atmosphere had been decreasing (Foster *et al.*, 2017). Continuous CO<sub>2</sub> emissions are

possible to the extent of continuous removal by natural processes. CO<sub>2</sub> removal by sedimentation and weathering has been relatively constant over the last 20 Ma (IPCC, 2013; Stein, 1991), when atmospheric CO<sub>2</sub> concentration had been below 450 ppm (IPCC, 2013) and therefore within or below the PB, and so overcompensating for natural CO<sub>2</sub> release (e.g., volcanism). The condition of keeping the concentration constant allows continuous (but small) emissions of anthropogenic CO<sub>2</sub> of  $\dot{m}_{\text{CO}_2} < [8.25, 22] \times 10^{11} \text{kg/a}$ . This boundary translation is in contrast to other studies, where the CO<sub>2</sub> emission boundary is set with a specific time horizon (e.g., 300 a – Ryberg *et al.*, 2018b; or for negative emissions required between 2050 and 2080 to reach the 350 ppm target before 2100, see Meyer & Newman, 2018).

### 3.2. Resource segment definition: metals

The resource segment *metals* includes all 14 metals given in the *Exiobase* database: aluminium, copper, steel, cast iron, zinc, lead, tin, nickel, gold, silver, platinum, titanium, chromium and stainless steel. Other metals (e.g., rare earth metals) are not included in this segment. The production share (mass fraction) of all materials within the segment (SoP) needs to be defined. We use production data from USGS (2016) and for cast iron from Ashby (2012), as this material is not reported in the first source. In order to calculate the SoP as the final output of resource segments to the rest of the economy without double counting the production that is necessary to produce other materials, the production data need to be corrected to production output with the oversize factor  $\omega = \frac{\dot{m}_{\text{overall production}}}{\dot{m}_{\text{production output}}}$  (Cabernard *et al.*, 2019; Dente *et al.*, 2018; see Table 2 and SM Section 3.4).

### 3.3. Allocation of the safe operating space: grandfathering approach

The allocation principle has an important influence on the results (Bjørn *et al.*, 2018; Ryberg *et al.*, 2018a; Sabag-Munoz & Gladek, 2017). To illustrate the ERA method, a grandfathering allocation approach (Sabag-Munoz & Gladek, 2017) is applied in this case study, where each resource receives a SoSOS equal to its historic impact share. For example, if the production of steel is responsible for 9% of the global CO<sub>2</sub> emissions today, steel receives the same share of the CO<sub>2</sub> boundary. This approach reflects the question: what if we rescale today's socioeconomic system to fit within ESBs? As today's world economy already transgresses six boundaries (see Figure 3), resource production as well as final demand would have to be downscaled significantly, leaving large parts of the global society without access to basic services. This scenario is therefore to be seen as indicative only.

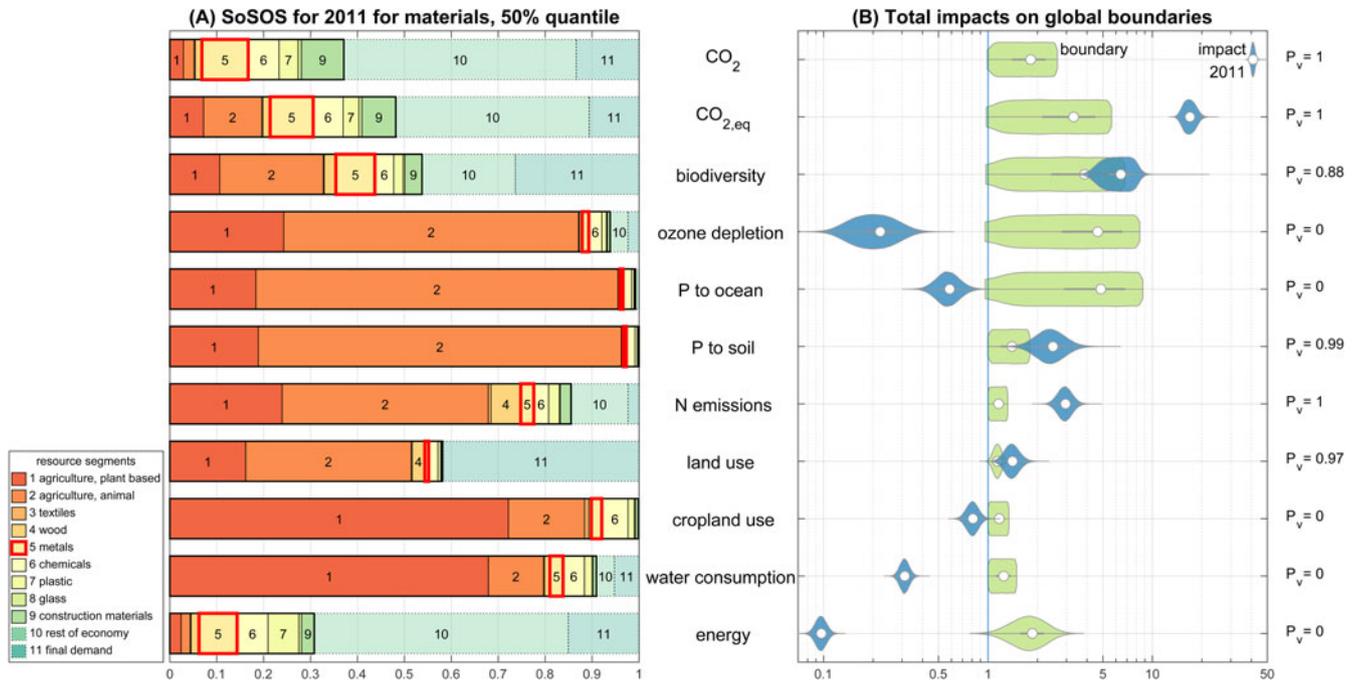
The calculation of the relative historic impact of each resource segment is conducted with a top-down approach, using the *Exiobase* database v3.4 (Stadler *et al.*, 2018; Tukker *et al.*, 2009).<sup>4</sup> In *Exiobase*, the emissions for each industry are reported that are directly produced through the industry's activity itself. Industries concerned with the production or EoL treatment of materials are grouped into nine resource segments, and the remaining industries are aggregated into *rest of economy*. Impacts associated with consumption in households are contained in the final demand category. We use the approach from Cabernard *et al.* (2019) to calculate the cumulative impacts for nine resource segments without double counting the impacts already included in the supply chain of another segment (e.g.,

**Table 1.** Translation of Earth system boundaries considered in this study (10 variables from the planetary boundaries framework (Rockström *et al.*, 2009b; Steffen *et al.*, 2015) and complemented by the appropriable technical potential for renewable energy (Desing *et al.*, 2019)) to annual flows compatible with environmentally extended input–output tables and life cycle assessment units. Intervals are expressed in this paper in their mathematical form (i.e.,  $x = [x_{\min}, x_{\max}]$  meaning  $x_{\min} \leq x < x_{\max}$ ). This notation implies a level of confidence of the interval of  $p = 1$ . Uncertainty of values is reported for a specified level of confidence of the interval  $p$ , the 50% value and the lower and upper deviations that confine the interval.

Boundary category	Control variable	Original boundary	Translated control variable	Translated boundary
Climate change	Atmospheric CO <sub>2</sub> concentration	[350, 450]ppm	Direct fossil CO <sub>2</sub> emissions to air	$\dot{m}_{\text{CO}_2} < [8.25, 22] \times 10^{11} \text{ kg/a}$
	Energy imbalance at top of the atmosphere	[1, 1.5]W/m <sup>2</sup>	Global warming potential	$\dot{m}_{\text{CO}_2, \text{eq}} < [2.83, 16.4] \times 10^{12} \text{ kg/a}$
Biosphere integrity	Extinction rate	[10, 100] <sup>extinctions/</sup> <sub>10<sup>6</sup>species · a</sub>	Not considered	–
	Biodiversity intactness index	$BII > [0.9, 0.3]$	Potentially disappeared species (reversible)	$[1.95, 13.7] \times 10^5$
Stratospheric O <sub>3</sub> depletion	Stratospheric O <sub>3</sub> concentration loss	[14.5, 29]DU	Emission of O <sub>3</sub> -depleting substances	$\dot{m}_{\text{CFCl}_{-11, \text{eq}}} < [4.24, 36.9] \times 10^8 \text{ kg/a}$
Biogeochemical flows	P to oceans	$\dot{m}_{\text{P, ocean}} < [11, 100]\text{Tg/a}$	No change	$\dot{m}_{\text{P, ocean}} < [11, 100] \times 10^9 \text{ kg/a}$
	P to soil	$\dot{m}_{\text{P, soil}} < [6.2, 11.2]\text{Tg/a}$	No change	$\dot{m}_{\text{P, soil}} < [6.2, 11.2] \times 10^9 \text{ kg/a}$
	Industrial and intentional biological N fixation	$\dot{m}_{\text{N}} < [62, 82]\text{Tg/a}$	Reactive N emissions	$\dot{m}_{\text{N}} < [62, 82] \times 10^9 \text{ kg/a}$
Land system change	Remaining fraction of original forest area (global average)	$\frac{\text{area of forest}}{\text{area of original forest}} > [0.75, 0.54]$	Appropriable land area (all biomes)	$A_{\text{appr}, p=0.98} = (6.01 \pm 0.92) \times 10^{13} \text{ m}^2$
	Fraction of ice-free land appropriable for cropland	$< [0.15, 0.2]$	Appropriable land area for cropland	$A_{\text{crop}} = [1.94, 2.61] \times 10^{13} \text{ m}^2$
Freshwater use	Blue water consumption	$\dot{m}_{\text{w, global}} < [4000, 6000] \text{ km}^3/\text{a}$	No change	$\dot{m}_{\text{w, global}} < [4, 6] \times 10^{12} \text{ m}^3/\text{a}$
	Blue water withdrawal	$\frac{\text{monthly withdrawal}}{\text{mean monthly river flow}} < [0.25, 0.85]$	Not considered	–
Atmospheric aerosol loading	Aerosol optical depth (South Asia only)	$AOD < [0.25, 0.5]$	Not considered	–
Ocean acidification	Surface saturation state of aragonite	$\Omega_{\text{arag}} \geq [0.8, 0.7]$	Not considered, as respected if CO <sub>2</sub> is respected	–
Novel entities	No control variable defined	–	Not considered	–
Energy	–	–	appropriable technical potential (ATP) for renewable energy resources (in electricity equivalents)	$ATP_{\text{el}, p=0.98} = (1.52_{-0.81}^{+1.24}) \times 10^{14} \text{ W}$

metals necessary to produce plastics). A detailed description of the calculation, the impact characterization methods used and the uncertainty modelling can be found in the SM. The results of the SoSOS calculations are shown in Figure 3(A). The SoSOS is determined by the 50% value of the uncertainty distribution resulting from the Monte Carlo simulation. This set of values is chosen so that the total relative impacts add up to 1 and the

full SoS can be utilized. The SoSOS has been determined with data from the year 2011 (the difference from the same procedure with data from 1995 is small, Person covariance  $r = 0.9954$ ). The overall impact for the year 2011 on the global boundaries as calculated with *Exiobase* is qualitatively consistent with Steffen *et al.* (2015), except for the two climate boundaries. In Steffen *et al.* (2015), the impact on these boundaries is within the uncertainty



**Fig. 3.** Panel (A) shows the relative impact contribution for nine resource segments (including supply chain impacts), which defines the share of the safe operating space (SoSOS) in the grandfathering approach. The contributions of the resource segment *metals* (5) are highlighted with red boxes. The *rest of economy* segment (10) represents all activities that are not part of the resource production chain (e.g., manufacturing of end-user devices), while the *final demand* segment (11) comprises the purchase and use of goods and services by the end consumer. In panel (B), the total global environmental impacts of the socioeconomic system in the year 2011 is compared to the global boundaries. Six out of the 11 boundaries are crossed with a probability greater than 1%. All values are scaled relative to the 0.5 percentile of the respective boundary distribution ( $1 \pm ESB_{p=0.005}$ ).

range, whereas in our assessment, they exceed the boundaries by far (see Figure 3(B)). This is due to the translation of state variables (CO<sub>2</sub> concentration, irradiation imbalance) into pressure variables ( $\dot{m}_{CO_2}$ ,  $\dot{m}_{CO_2,eq}$ ; see Section 3.1).

### 3.4. Environmental impacts of metals production

The impacts on the selected boundaries caused by the production and EoL treatment of one unit of material are calculated with a bottom-up approach using LCA and *ecoinvent* v3.5 (Wernet *et al.*, 2016). This database is chosen because it aims to offer global coverage of the supply chains of materials in necessary detail. Hence, for each material, at least a global average dataset is available as required in the ERA method for calculating global resource budgets. The unit impacts are constructed from two processes: (1) primary material production (e.g., aluminium, primary, ingot); and (2) EoL treatment, which can consist of several processes (e.g., incineration, landfill). From *ecoinvent*, the cut-off (or recycled content) system model is chosen, as in this model the primary material production chains show the entire impacts of the production steps; no parts of these impacts are already allocated to secondary material cycles or to EoL treatment processes. At the same time, the EoL processes contain only the impacts related to the respective treatment processes. An overview of all of the processes considered and the impact characterization methods and uncertainty considerations for this analysis is provided in the SM.

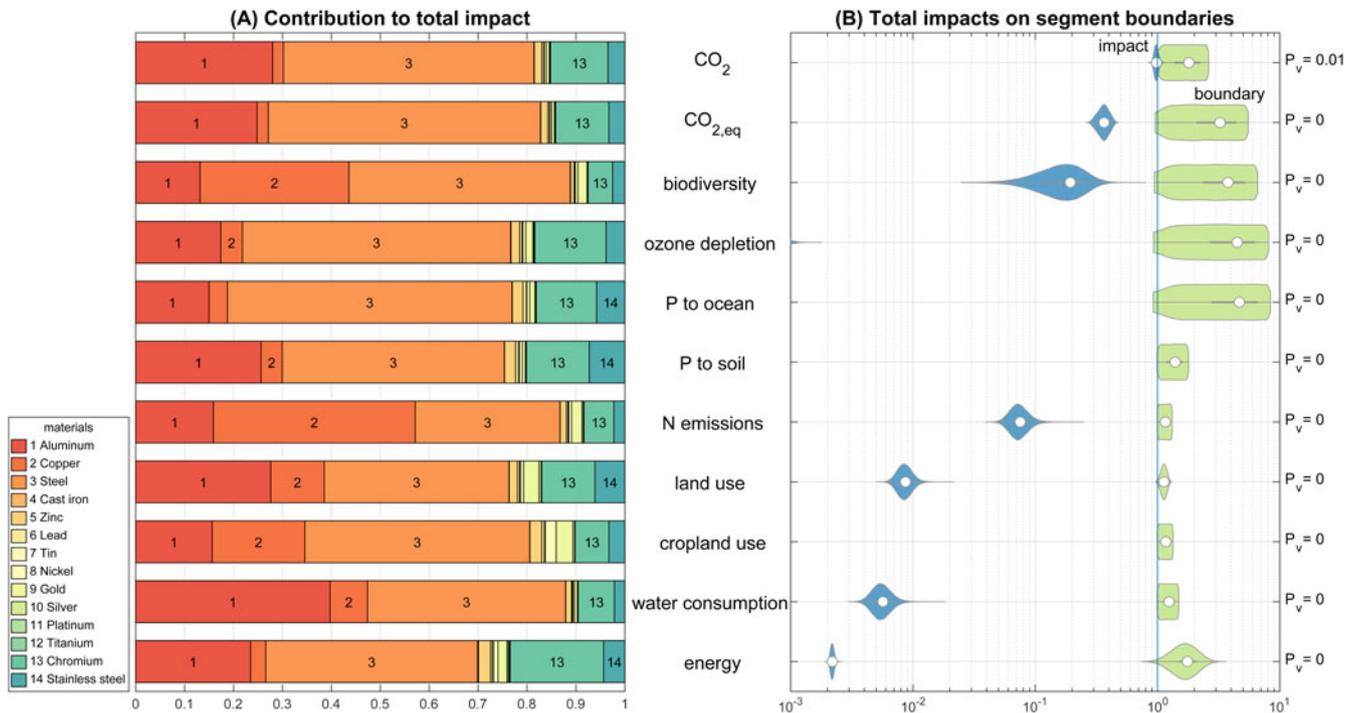
### 3.5. Upscaling of resource production: ERA determination

Following the calculation steps (Section 2.5) and using  $n_{runs} = 10^5$  simulation runs leads to the results as depicted in Figure 4. The

limiting SB for metals is CO<sub>2</sub> due to the large overshoot of global impacts on this boundary. The production in 2016 (USGS, 2016),  $\omega$ , SoP and ERA budgets are given in Table 2 for the metal segment. The ERA for the whole segment is  $3.5 \times 10^{10}$  kg/a and thus is 40 times smaller than production output in the year 2016. In other words, the primary resource production must be reduced by a factor of 40 to be within the selected ESBs in the grandfathering approach. The CO<sub>2</sub> boundary is limiting the production of metals (see Figure 4), as it is the boundary that is crossed the most on the global scale (see Figure 3). Within the segment, steel is dominating the impact shares due to its large production share ( $SoP_{steel} = 0.9$ ). The depletion time of extractable global resources (Henckens *et al.*, 2014; USGS, 2016) at the rate of ERA is for all investigated metals  $\gg 1500$  a (except 920 a for gold). This confirms the hypothesis that the depletion of physical resources is not the pressing constraint for a sustainable economy, but rather the environmental impacts caused.

## 4. Discussion

Based on our settings above, we show that ERA budgets for metals are 40 times smaller than production rates in 2016, assuming state-of-the-art-technology, today's resource demand pattern (grandfathering allocation) and current production shares in the metal sector. The CO<sub>2</sub> boundary is thereby limiting the ERA budget due to today's carbon-intensive production technology. The climate crisis is, according to our findings, the most pressing environmental concern, followed by biodiversity loss (see Figure 3(B)). This confirms the current societal and political focus on CO<sub>2</sub>. However, a shift in production technology (e.g.,



**Fig. 4.** Panel (A) shows the relative contribution of each metal to the total environmental impacts of the resource segment *metals*. In panel (B), the cumulative impacts of metals (blue) are compared relative to the 0.5 percentile of the allocated boundary for the metal segment (green) ( $1 \pm SB_{p=0.005}$ ). CO<sub>2</sub> is limiting the metal segment ( $P_{v,CO_2} = 0.01$ ).

fossil-free) and towards less carbon-intensive materials (e.g., biomass) may lead to increased pressure on other boundaries.

The method proposed here is capable of avoiding *hidden burden shifting* among the included boundary categories, and additional categories can be included in the method to avoid negative effects on processes currently not considered (e.g., soil

quality – Chandrakumar & McLaren, 2018; imperishable waste – Meyer & Newman, 2018). Furthermore, the flexible design of the ERA method allows us to consider different technologies for resource production, allocation principles or sustainability objectives and thus can serve as a scenario tool for modellers. The method can also be used to evaluate, for example, a fossil-free

**Table 2.** Global production in 2016  $\dot{m}_{\text{production},2016}$  (USGS, 2016), oversize factor  $\omega$ , share of production (SoP) and ecological resource availability (ERA) for the investigated metals.

Metal	$\dot{m}_{\text{production},2016}$ (kg/a)	$\omega$	SoP	ERA (kg/a)
Aluminium	$5.89 \times 10^{10}$	1.4	0.035	$1.06 \times 10^9$
Copper	$2.30 \times 10^{10}$	1.36	0.014	$4.26 \times 10^8$
Steel	$1.63 \times 10^{12}$	1.49	0.9	$2.75 \times 10^{10}$
Cast iron	$2.30 \times 10^9$	1.49	0.0013	$3.89 \times 10^7$
Zinc	$1.35 \times 10^{10}$	1.6	0.007	$2.12 \times 10^8$
Lead	$4.95 \times 10^9$	1.6	0.0026	$7.79 \times 10^7$
Tin	$2.89 \times 10^8$	1.6	0.00015	$4.55 \times 10^6$
Nickel	$2.28 \times 10^9$	1.91	0.00099	$3.01 \times 10^7$
Gold	$3.10 \times 10^6$	1.91	0.0000013	$4.09 \times 10^4$
Silver	$2.51 \times 10^7$	1.91	0.000011	$3.31 \times 10^5$
Platinum	$1.89 \times 10^5$	1.91	0.0000001	$2.49 \times 10^3$
Titanium	$1.60 \times 10^8$	1.91	0.000007	$2.11 \times 10^6$
Chromium	$3.04 \times 10^{10}$	1.91	0.013	$4.01 \times 10^8$
Stainless steel	$4.20 \times 10^{10}$	1.49	0.023	$7.10 \times 10^8$
Total for resource segment	$1.81 \times 10^{12}$	1.49	1	$3.05 \times 10^{10}$

scenario, where resource production processes do not run on fossil fuels, but on renewable energy exclusively. In this way, the ERA method can be used as a quantitative tool to evaluate the effects of different scenarios on the sustainable consumption scale of resources for the future. Such scenarios can form the basis for sustainable resource governance and the design of effective policies. For example, the ERA method can be used to evaluate different policy options (e.g., allocation principles, technology promotion) in terms of their effects on the availability of resources to society. Resource budgets can serve governments and international organizations as a strategic prioritization tool for resource governance. Furthermore, the ERA budgets can be used for decision support, such as material selection for product design or assessment of products' and companies' resource footprints (Desing *et al.*, submitted). The concept of a resource budget allows us to include ESBs in decision-making, similarly to today's consideration of financial budgets.

For the moment, the ERA method is designed to calculate global budgets only. However, environmental circumstances on a regional level may be different compared to the global average (e.g., biodiversity – Chaudhary *et al.*, 2016; land use – Gerten *et al.*, 2020; water scarcity – Gleeson *et al.*, 2020; Pfister & Bayer, 2014; Zipper *et al.*, 2020) and therefore require regionalization. In principle, integrating regionalized boundaries is possible in the ERA method; however, this requires both the setting of regional boundaries as well as regionalized impact assessment.

Another limitation is the allocation process of the boundary to resource segments. In this paper, we applied a grandfathering allocation approach to divide the SOS based on historic emission shares for the purpose of demonstration. While informative, we do not consider this a realistic allocation principle, as scaling the whole economy equally will result in an economy that is unable to provide a decent living standard (Rao & Min, 2018) for a growing global population (UN Department of Economic and Social Affairs, 2019). For example, food production will be downscaled by the same order of magnitude as metals, which may lead to starvation. Therefore, it is essential to define allocation principles that allow a decent life for a prospective global population of  $N_{p=0.95} = (10.87^{+1.79}_{-1.45}) \times 10^9$  in 2100 (UN Department of Economic and Social Affairs, 2019). For example, an allocation approach that assigns very small shares to fossil resources (coal, oil, gas) would free up operating space for other resources and increase their budgets. Even more interesting for the transformation towards a sustainable economy would be to develop an allocation principle that increases the final services provided for society (e.g., based on Science Based Targets (Pineda *et al.*, 2015), which try to define ambitious but realistic emission reduction scenarios for different industries in a participatory approach). This is not considered in this proof of concept of the ERA method in this paper, but it represents a potential area for further research. For the application of the ERA method, it must always be made clear which allocations and assumptions have been chosen.

## 5. Conclusion and outlook

In this paper, we presented a novel method – the ERA method – to calculate the maximum production of primary materials that respects ESBs. The ERA method effectively translates ESBs into annual primary material production budgets and thus brings absolute environmental limits one step closer to decision-makers. Materials bring benefits to society; therefore, they are at the core

of decision-making on different levels (e.g., product design, resource governance) – in contrast to environmental impacts.

We have shown in the exemplary application of the ERA method for metals that current production rates will deplete the sustainably available budgets on 9 January (in analogy to Earth Overshoot Day<sup>5</sup>) if we continue to produce them with current technology, today's economic structure and current production shares in the metal sector. This confirms our hypothesis that environmental impacts are presently the most limiting factor for sustainable metals production. But how can we avoid running out of sustainably produced metals? The ERA method can help to evaluate the effectiveness of various measures, for example:

- Reducing the primary resource intensity of the economy through resource efficiency and increased material cycling;
- Shifting technology to low carbon intensity (e.g., renewable energy – Desing *et al.*, 2019; International Energy Agency, 2019; Pineda *et al.*, 2015; or direct hydrogen reduced steel – Ahman *et al.*, 2018);
- Optimizing the SoP by using more resources with low unit impacts. This is, however, only possible to a certain extent, as every resource has specific properties required in its applications (e.g., electrical conductivity of copper).

Applying the ERA method allows us to evaluate how effective these options are at increasing the resource budgets for society, and thus it provides companies and governments with 'consistent and accurate feedback about whether the magnitude of their impacts mitigation efforts is sufficient to halt large scale planetary change' (Sabag-Munoz & Gladek, 2017, p. 5).

**Supplementary materials.** The supplementary text contains details on uncertainty modelling, planetary boundaries translation, allocation of safe operating space to industrial sectors using *Exiobase*, resource impact calculation using *ecoinvent*, a glossary and a list of abbreviations used in the article. Furthermore, the calculation data and *Matlab* (R2018) code files can be accessed here: <https://doi.org/10.5281/zenodo.3629366>.

The supplementary material for this article can be found at <https://doi.org/10.1017/sus.2020.26>.

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**Ethical standards.** Both the paper and the supplementary materials are the original work of the authors.

## Notes

<sup>1</sup> Driver, Pressure, State, Impact, Response.

<sup>2</sup> The responsibility principle means that the actor introducing primary materials is responsible for all environmental impacts caused by it, even if they are spatially and temporally separated (Ekardt, 2010).

<sup>3</sup> I.e.: climate change, biodiversity integrity, land-system change, freshwater use, biogeochemical flows, ocean acidification, atmospheric aerosol loading, stratospheric ozone depletion and novel entities. Several boundary categories have more than one control variable specifying the boundary.

<sup>4</sup> Available online: <https://www.exiobase.eu/index.php/data-download/exiobase3mon>

<sup>5</sup> <https://www.overshootday.org>

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