

CORRIGENDUM

EXTREME COVERINGS OF n -SPACE BY SPHERES

Journal of the Australian Mathematical Society 7 (1967), 115–127

E. S. BARNES and T. J. DICKSON

(Received 4 September 1967)

The proof of Theorem 1 is deficient; the error lies in part (ii) of the proof of Lemma 4.1, where the ‘sufficient smallness’ of ε is not shown to be independent of the matrix T . In order to repair the proof, we need the following refinements of Lemmas 3.1 and 3.3:

LEMMA 3.1'. *Let $f(\mathbf{x}) = \mathbf{x}'A\mathbf{x}$, where A is positive definite. Then there exist positive constants c_1, ε_1 such that for any neighbouring form*

$$(1) \quad g(\mathbf{x}) = \mathbf{x}'(A + \varepsilon T)\mathbf{x}$$

satisfying

$$(2) \quad \text{tr}(A^{-1}T) = 0, \quad \max |t_{ij}| = 1$$

we have

$$(3) \quad d(g) < d(f)(1 - c_1\varepsilon^2) \text{ whenever } 0 < \varepsilon < \varepsilon_1.$$

PROOF. As in the proof of Lemma 3.1, we have

$$(4) \quad d(g) = d(f)(1 + k_2\varepsilon^2 + k_3\varepsilon^3 + \cdots + k_n\varepsilon^n)$$

where

$$(5) \quad A = P'P, \quad T = P'DP, \quad D = \text{diag}(d_1, d_2, \dots, d_n),$$

$$(6) \quad k_2 = -\frac{1}{2} \sum_{i=1}^n d_i^2 < 0.$$

Setting $a = \max |a_{ij}|$, $p = \max |p_{ij}|$, $d = \max |d_i|$, we have, from (5) and (6),

$$a \geq p^2, \quad 1 = \max |t_{ij}| \leq np^2d, \quad 2|k_2| \geq d^2.$$

Hence

$$|k_2| \geq \frac{1}{2n^2a^2},$$

giving a lower bound for $|k_2|$ which is independent of T . Also the coefficients

k_3, \dots, k_n in (4) are clearly bounded independently of T , since $\max |t_{ij}| = 1$. The result (3) now follows, with any c_1 satisfying

$$0 < c_1 < \frac{1}{2n^2 a^2}.$$

We now write the result (3.3) of Lemma 3.2 in full as

$$(7) \quad \mathbf{w} = \mathbf{v} + \sum_{n=1}^{\infty} \varepsilon^n \boldsymbol{\alpha}_n$$

where, as in (3.4), (3.5),

$$(8) \quad \boldsymbol{\alpha}_1 = \boldsymbol{\gamma} - A^{-1} T \mathbf{v},$$

$$(9) \quad \boldsymbol{\alpha}_n = -A^{-1} T \boldsymbol{\alpha}_{n-1} \quad \text{for all } n > 1.$$

We now prove, with the above notation,

LEMMA 3.3'.

$$(10) \quad g(\mathbf{w}) = f(\mathbf{v}) + \varepsilon(2\mathbf{v}' A \boldsymbol{\gamma} - \varphi(\mathbf{v})) + \sum_{n=1}^{\infty} \varepsilon^{2n} \psi(\boldsymbol{\alpha}_n)$$

where

$$(11) \quad \varphi(\mathbf{x}) = \mathbf{x}' T \mathbf{x}, \quad \psi(\mathbf{x}) = f(\mathbf{x}) - \varepsilon \varphi(\mathbf{x}) = \mathbf{x}' (A - \varepsilon T) \mathbf{x}.$$

PROOF. All series being absolutely convergent for all T satisfying (2) if ε is sufficiently small, we have

$$\begin{aligned} g(\mathbf{w}) &= \mathbf{w}' (A + \varepsilon T) \mathbf{w} \\ &= f(\mathbf{v}) + \sum_{n=1}^{\infty} c_n \varepsilon^n, \end{aligned}$$

where, from (7), (8) and (9), we easily find that

$$c_1 = 2\mathbf{v}' A \boldsymbol{\gamma} - \varphi(\mathbf{v})$$

and, for $n \geq 2$,

$$c_n = \boldsymbol{\alpha}'_{n-1} A \boldsymbol{\alpha}_1.$$

Using (9) repeatedly, we obtain

$$\boldsymbol{\alpha}'_{n-1} A \boldsymbol{\alpha}_1 = -\boldsymbol{\alpha}'_{n-2} T \boldsymbol{\alpha}_1 = \boldsymbol{\alpha}'_{n-2} A \boldsymbol{\alpha}_2 = \dots$$

whence

$$c_{2n} = \boldsymbol{\alpha}'_n A \boldsymbol{\alpha}_n, \quad c_{2n+1} = -\boldsymbol{\alpha}'_n T \boldsymbol{\alpha}_n \quad (n \geq 1).$$

The result (10) now follows at once.

PROOF OF LEMMA 4.1 (ii).

We have to show that an interior form f is extreme if there exists no symmetric T satisfying

$$(12) \quad \text{tr} (A^{-1}T) \geq 0$$

and, for every maximal vertex \mathbf{v} of Π_f ,

$$(13) \quad 2\mathbf{v}'A\Upsilon - \varphi(\mathbf{v}) < 0.$$

As in the original paper (p. 122), we note that any sufficiently close neighbour g of f , which is not a multiple of f , can be written as

$$(14) \quad g(\mathbf{x}) = \mathbf{x}'(A + \varepsilon T)\mathbf{x}$$

where

$$(15) \quad \varepsilon > 0, \max |t_{ij}| = 1$$

and

$$(16) \quad \text{tr} (A^{-1}T) = 0.$$

We choose ε_2 so small that the form ψ defined in (11) is positive definite for all T satisfying (15) and all ε satisfying

$$(17) \quad 0 < \varepsilon < \varepsilon_2.$$

Since T now satisfies (12), our hypothesis implies that there is a maximal vertex \mathbf{v} of Π_f for which

$$(18) \quad 2\mathbf{v}'A\Upsilon - \varphi(\mathbf{v}) \geq 0.$$

We denote the corresponding vertex of Π_g by \mathbf{w} ; then, from (10), (17) and (18),

$$(19) \quad \begin{aligned} m(g) &\geq g(\mathbf{w}) \\ &\geq f(\mathbf{v}) + \varepsilon(2\mathbf{v}'A\Upsilon - \varphi(\mathbf{v})) \\ &\geq f(\mathbf{v}) = m(f). \end{aligned}$$

Choosing also ε_1 as in Lemma 3.1', we obtain at once from (3) and (19) that

$$\mu(g) > \mu(f)$$

provided only that $0 < \varepsilon < \min(\varepsilon_1, \varepsilon_2)$; and this now shows that f is extreme.

University of Adelaide
University of Western Australia