

δ -CONTINUOUS SELECTIONS OF SMALL MULTIFUNCTIONS

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1. Introduction. A multifunction $\varphi : X \rightarrow Y$ from a topological space X into a topological space Y is a correspondence such that $\varphi(x)$ is a non-empty subset of Y for every $x \in X$. A single-valued function $f : X \rightarrow Y$ is called a *selection* of φ if $f(x) \in \varphi(x)$ for all $x \in X$; it is called a continuous selection if f is continuous. It is well-known that not every semi-continuous or even continuous multifunction has a continuous selection (see e.g. [4] for a survey on selection theory).

We investigate here some connections between multifunctions which are “almost single-valued” and selections which are “almost continuous”. More precisely, let Y be a metric space with metric d , and define that the multifunction φ is δ -small for some $\delta > 0$ if the diameter $\text{diam}(\varphi(x)) \leq \delta$ for all $x \in X$. We further use the terminology of Muenzenberger [5] and Smithson [6] and say that $f : X \rightarrow Y$ is δ -continuous if for every $x \in X$ there exists an open neighbourhood $U(x)$ such that $f(U(x)) \subset S_\delta(f(x))$, where

$$S_\delta(f(x)) = \{y \in Y \mid d(y, f(x)) < \delta\}.$$

(This definition is related to, but not identical with, the definition of δ -continuity originally introduced by Klee [3].) The function f is continuous if it is δ -continuous for every $\delta > 0$ [6], and clearly φ is single-valued if it is δ -small for all $\delta > 0$. Hence we can think of f as almost continuous and of φ as almost single-valued if δ is small.

We prove in Theorem 2.1 that every selection of an upper or lower semi-continuous δ -small multifunction is 2δ -continuous, and in Theorem 2.2 that for every δ -continuous function f on a compact Hausdorff space X , there exist 2δ -small and either upper or lower semi-continuous multifunctions for which f is a selection. We further show that the multifunctions φ constructed in Theorem 2.2 have “nice” properties for certain spaces. They are convex-valued if Y is a normed linear space, and continuum-valued if Y is a dendrite. Klee’s [3] and Smithson’s [6] results that compact convex subsets of finite-dimensional normed linear spaces and dendrites have the proximate fixed point property are obtained as corollaries.

2. δ -continuous selections of small multifunctions. Let X and Y be topological spaces. A multifunction $\varphi : X \rightarrow Y$ is called usc (*upper semi-*

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continuous) if for every open set $V \subset Y$ with $\varphi(x) \subset V$ there exists an open neighbourhood U of x such that $\varphi(U) \subset V$. It is called *lsc* (*lower semi-continuous*) if for every $x \in X$ and for every open set $V \subset Y$ with $\varphi(x) \cap V \neq \emptyset$ there exists an open neighbourhood U of x such that $\varphi(x') \cap V \neq \emptyset$ for all $x' \in U$. If φ is both usc and lsc it is called *continuous*. A *point-closed* (*point-open*) multifunction is a multifunction for which $\varphi(x)$ is closed (open) for each $x \in X$.

We assume from now on that Y is a metric space with a metric d . Let

$$S_\delta(A) = \{y \in Y | d(y, A) < \delta\}$$

and

$$\bar{S}_\delta(A) = \{y \in Y | d(y, A) \leq \delta\} \quad .$$

The first theorem is an easy consequence of the definitions.

THEOREM 2.1. *If the multifunction $\varphi : X \rightarrow Y$ is δ -small and either usc or lsc, then every selection of φ is 2δ -continuous.*

Proof. Let $f : X \rightarrow Y$ be a selection of φ .

- (i) φ is usc: Take $V(x) = S_{2\delta}(f(x))$. As φ is δ -small we have $\varphi(x) \subset V(x)$, and as φ is usc, there exists an open neighbourhood $U(x)$ such that $\varphi(U(x)) \subset V(x)$. Hence $f(U(x)) \subset S_{2\delta}(f(x))$, so that f is 2δ -continuous.
- (ii) φ is lsc: This time take $V(x) = \bar{S}_\delta(f(x))$. As φ is lsc and $\varphi(x) \cap V(x) \neq \emptyset$, there exists an open neighbourhood $U(x)$ such that $\varphi(x') \cap V(x) \neq \emptyset$ for all $x' \in U(x)$. As φ is δ -small we have $d(f(x), f(x')) < 2\delta$ for all $x' \in U(x)$, hence $f(U(x)) \subset S_{2\delta}(f(x))$ and f is 2δ -continuous.

Note that the constant 2δ in the conclusion of the theorem cannot be replaced by δ . As an example we can take the multifunction $\varphi : [0, 1] \rightarrow [0, 1]$ defined by

$$\begin{aligned} \varphi(x) &= \frac{1}{4} \quad \text{for } 0 \leq x < \frac{1}{2}, \\ \varphi\left(\frac{1}{2}\right) &= \left\{\frac{1}{4}, \frac{3}{4}\right\}, \\ \varphi(x) &= \frac{3}{4} \quad \text{for } \frac{1}{2} < x \leq 1 \end{aligned}$$

which has no $\frac{1}{2}$ -continuous selection although it is a $\frac{1}{2}$ -small usc multifunction. But the constant 2δ can be replaced by $\delta + \epsilon$, for any $\epsilon > 0$.

We now show that on the other hand every δ -continuous function can be a selection of a suitable small usc or lsc multifunction, at least if X is compact Hausdorff. The proof is more complicated, but constructive.

THEOREM 2.2. *Let X be compact Hausdorff and $f : X \rightarrow Y$ be δ -continuous. Then there exist both a point-closed usc and a point-open lsc 2δ -small multifunction for which f is a selection.*

Proof. (i) Construction of a point-closed usc multifunction. Choose for every $x \in X$ an open neighbourhood $U(x)$ such that $f(U(x)) \subset S_\delta(f(x))$. As X is compact, the cover $\{U(x)|x \in X\}$ has a finite subcover

$$\{U(x_i)|i \in I \text{ and } I \text{ finite}\}.$$

For every $x \in X$, denote by $J(x)$ the subset of I given by $i \in J(x)$ if and only if $x \in U(x_i)$. Define a correspondence $\varphi : X \rightarrow Y$ by

$$(1) \quad \varphi(x) = \bigcap [\bar{S}_\delta(f(x_i))|i \in J(x)].$$

We show that φ has the desired properties.

For all $x \in X$ we have $x \in \bigcap [U(x_i)|i \in J(x)]$, and hence

$$\begin{aligned} f(x) \in f[\bigcap (U(x_i)|i \in J(x))] &\subset \bigcap [f(U(x_i))|i \in J(x)] \\ &\subset \bigcap [\bar{S}_\delta(f(x_i))|i \in J(x)] = \varphi(x). \end{aligned}$$

So $\varphi(x) \neq \emptyset$, and f is a selection of the multifunction φ .

To show that φ is usc, choose any $x \in X$, and let V be an open set with $\varphi(x) \subset V$. As $J(x)$ is finite, the set $N(x) = \bigcap [U(x_i)|i \in J(x)]$ is an open neighbourhood of x . If $x' \in N(x)$, then the definition (1) of φ implies that $\varphi(x') \subseteq \varphi(x)$. Therefore we have $\varphi(N(x)) \subseteq \varphi(x) \subset V$. As $\varphi(x)$ is closed and $\text{diam } \varphi(x) \leq 2\delta$ by construction, φ is the desired point-closed usc and 2δ -small multifunction.

(ii) Construction of a point-open lsc multifunction. For every $x \in X$ there exists an open neighbourhood $U'(x)$ with $f(U'(x)) \subset S_\delta(f(x))$, and as X is regular, we can select an open neighbourhood $U(x)$ with

$$U(x) \subset \text{Cl}(U(x)) \subset U'(x),$$

where Cl denotes the closure. Let $\{U(x_i)|i \in I\}$ again be a finite subcover of the cover $\{U(x)|x \in X\}$ of the compact space X . For any $x \in X$ let this time $J(x)$ be the subset of I given by $i \in J(x)$ if and only if $x \in \text{Cl}(U(x_i))$ and define $\psi : X \rightarrow Y$ by

$$(2) \quad \psi(x) = \bigcap [S_\delta(f(x_i))|i \in J(x)].$$

In a similar way as in (i) we see that $f(x) \in \psi(x)$ for every $x \in X$, so that f is a selection of the multifunction ψ .

To show that ψ is lsc, choose for any $x \in X$ an open set V with $V \cap \psi(x) \neq \emptyset$. As $I \setminus J(x)$ is finite, the set $\bigcup [\text{Cl}(U(x_i))|i \in I \setminus J(x)]$ is closed, and we can find an open neighbourhood $N(x)$ such that

$$N(x) \cap (\bigcup [\text{Cl}(U(x_i))|i \in I \setminus J(x)]) = \emptyset.$$

Therefore $\psi(x') \supseteq \psi(x)$ for all $x' \in N(x)$, and hence $\psi(x') \cap V \neq \emptyset$. So ψ is lsc, and it is point-open and 2δ -small by construction.

This completes the proof of Theorem 2.2.

We notice that in general $\varphi(x) \neq \psi(x)$ and that φ is usually not lsc and ψ not usc. It would be interesting to know whether one can also construct a continuous 2δ -small multifunction for which f is a selection.

3. Application to the proximate fixed point property. The space X with metric d has the p.f.p.p. (*proximate fixed point property*) if for every $\epsilon > 0$ there exists a $\delta > 0$ such that for every δ -continuous function $f : X \rightarrow X$ there is a point $x \in X$ with $d(x, f(x)) < \epsilon$. (The concept of the p.f.p.p. was introduced by Klee [3], but we use the slightly different definitions of Smithson [6] and Muenzenberger [5].) Theorem 2.2 can be used to obtain results on the p.f.p.p. The method consists in showing that for suitable spaces the function φ defined in (1) has additional properties which ensure a fixed point of φ .

PROPOSITION 3.1. *If Y is a convex subset of a normed linear space, then the multifunction φ defined in (1) is convex-valued.*

Proof. The set $\bar{S}_\delta(f(x_i))$ is convex, and the intersection of convex sets is convex also.

COROLLARY 3.2. *A compact convex subset C of a finite-dimensional normed linear space has the p.f.p.p. (See Klee [3, Theorem 3].)*

Proof. For any $\epsilon > 0$, take $\delta = \epsilon/3$, and let $f : C \rightarrow C$ be δ -continuous. The function $\varphi : C \rightarrow C$ defined in (1) is usc and convex-valued, and hence has a fixed point x_0 ; i.e. a point with $x_0 \in \varphi(x_0)$ [1, p. 251]. As φ is 2δ -small and $f(x_0) \in \varphi(x_0)$, we have $d(x_0, f(x_0)) \leq 2\delta < \epsilon$, so that C has the p.f.p.p.

A *dendrite* is a metrizable continuum in which every pair of points can be separated by a third one. It has a convex metric [2].

PROPOSITION 3.3. *If Y is a dendrite with a convex metric, then the multifunction φ defined in (1) is continuum-valued.*

Proof. Each set $\bar{S}_\delta(f(x_i))$ used in the definition of φ is arc-connected, as it contains with every point $z \neq f(x_i)$ also the unique arc from z to $f(x_i)$. Hence it is a continuum, and the intersection of finitely many continua in a dendrite is a continuum [8, p. 88].

COROLLARY 3.4. *A dendrite has the p.f.p.p. (See Smithson [6].)*

Proof. The proof is analogous to that of Corollary 3.2, as dendrites have the fixed point property for usc and continuum-valued multifunctions [7].

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