

# A GENERALIZED FREDHOLM THEORY FOR CERTAIN MAPS IN THE REGULAR REPRESENTATIONS OF AN ALGEBRA

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**Introduction.** Given an algebra  $A$ , the elements of  $A$  induce linear operators on  $A$  by left and right multiplication. Various authors have studied Banach algebras  $A$  with the property that some or all of these multiplication maps are completely continuous operators on  $A$ ; see (1–5). In (3) I. Kaplansky defined an element  $u$  of a Banach algebra  $A$  to be completely continuous if the maps  $a \rightarrow ua$  and  $a \rightarrow au$ ,  $a \in A$ , are completely continuous linear operators. The set of all completely continuous elements of  $A$  forms an ideal. Assume that  $A$  is a semisimple Banach algebra, and let  $B$  be the intersection of all the primitive ideals of  $A$  which contain the socle of  $A$ . Using (1, Theorem 7.2), it can be shown that the ideal of completely continuous elements of  $A$  is contained in  $B$ .

In general the elements of  $B$  are not completely continuous (in fact there are important algebras  $A$  where  $A = B$ , but zero is the only completely continuous element of  $A$ ). However, the multiplication maps induced by elements  $u \in B$  do have special properties similar to those of completely continuous operators. It is the purpose of this paper to develop a generalized Riesz–Fredholm theory for these maps. We shall make only the assumption that  $A$  is semisimple and, in some cases, that  $A$  is a normed algebra. Theorem 3.6 serves as a partial summary of our results.

**1. Preliminaries.** Throughout this paper we shall assume that  $A$  is a complex semisimple algebra. We assume that the reader is acquainted with such notions as quasi-regularity of an element of  $A$ , left and right regular representations of  $A$  on  $A$ , primitive ideals, etc. We use in general the definitions in C. Rickart's book (6). For  $B$  an algebra, we denote by  $E_B$  the set of all minimal idempotents of  $B$ , and by  $S_B$ , the socle of  $B$ ; see (6, pp. 45–47). A non-empty subset  $M$  of  $E_B$  is orthogonal if  $ef = 0$  for any two distinct elements  $e$  and  $f$  in  $M$ .

We shall be interested in the elements in  $k(h(S_A))$ , the ideal which is the intersection of all those primitive ideals of  $A$  which contain  $S_A$ . Let  $B$  be the algebra  $k(h(S_A))$ . It is not difficult to verify that  $P$  is a primitive ideal of  $B$  if and only if  $P$  is of the form  $B \cap Q$  where  $Q$  is a primitive ideal of  $A$ . Now

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$S_B = S_A \cap B$ , and this in combination with the previous statement implies that  $S_B$  is contained in no primitive ideal of  $B$ . This is a necessary and sufficient condition that a semisimple algebra  $B$  be a modular annihilator algebra by **(1, Theorem 4.3 (4), p. 570)**. For the definition and elementary properties of modular annihilator algebras see either **(1)** or **(10)**. Since  $k(h(S_A))$  is a modular annihilator algebra, we have the following result which is used repeatedly.

(1.1) *If  $u \in k(h(S_A))$ , then  $u$  is left (right) quasi-singular, i.e.,  $A(1 - u) \neq A$  ( $(1 - u)A \neq A$ ), if and only if there exists  $x \in A, x \neq 0$ , such that  $(1 - u)x = 0$  ( $x(1 - u) = 0$ ).*

In §3 it will be necessary for us to assume that  $A$  is a normed algebra. Assume for the present that  $A$  has a norm  $\|\cdot\|$ . Then  $B = k(h(S_A))$  is also a normed algebra. Let  $I$  be the norm closure in  $B$  of  $S_A$ .  $B/I$  is then a normed radical algebra (recall that  $S_A = S_B$  is included in no primitive ideal of  $B$ ). If  $v \in B/I$  and  $|\cdot|$  is the induced norm on the quotient algebra, it follows that  $|v^n|^{1/n} \rightarrow 0$  as  $n \rightarrow \infty$ ; see **(6, Theorem (1.6.3), p. 28)**. We can draw the following conclusion concerning elements in  $B$ :

(1.2) *Assume  $A$  has norm  $\|\cdot\|$ . If  $u \in k(h(S_A))$ , then there exists a sequence  $\{s_n\} \subset S_A$  such that  $\|u^n - s_n\|^{1/n} \rightarrow 0$  as  $n \rightarrow \infty$ .*

We do not assume that  $A$  has an identity. If  $A$  does have an identity, we denote it by  $1$ ; and if  $\lambda$  is a scalar, we denote  $\lambda \cdot 1$  simply by  $\lambda$ . If  $A$  does not have an identity,  $1$  and  $\lambda \cdot 1$ , denoted again by  $\lambda$ , are symbolic, but make sense when multiplied by an element of  $A$ . Our main concern is with operators defined on  $A$  by left or right multiplication by  $(\lambda - u)$  where  $\lambda$  is a scalar and  $u \in A$ ; the left multiplication operator on  $A$  determined by  $(\lambda - u)$  is the operator which takes  $x \in A$  into  $(\lambda - u)x \in A$ . If  $M$  is any subset of  $A$ , we let  $R[M] = \{a \in A \mid Ma = 0\}$  and  $L[M] = \{a \in A \mid aM = 0\}$ . With this notation the null space of the left multiplication operator determined by  $(\lambda - u)$  is the right ideal  $R[A(\lambda - u)]$ ; the range is the right ideal  $(\lambda - u)A$ . The right multiplication operator on  $A$  determined by  $(\lambda - u)$  has a similar definition and similar properties.

In the course of studying left and right multiplication operators on  $A$ , we make important use of the concepts of ascent and descent of a linear operator. For the definitions and elementary properties of these concepts, see **(8, pp. 271-274)**. We denote the ascent of the left (right) multiplication operator on  $A$  determined by  $(\lambda - u)$  by  $\alpha_l(\lambda - u)$  ( $\alpha_r(\lambda - u)$ ) and the descent by

$$\delta_l(\lambda - u) \quad (\delta_r(\lambda - u)).$$

Finally we denote the spectrum of an element  $u \in A$  by  $\sigma(u)$ .

**2. Ideals of finite order and elements of the socle.** In the generalized Fredholm theory that we develop for elements in  $k(h(S_A))$ , the concept of a

left or right ideal of finite order replaces that of finite-dimensional subspace. In this section we study the elementary properties of ideals of finite order, and using these results, derive basic information concerning the socle of  $A$ .

*Definition.* A right (left) ideal  $K$  of  $A$  has finite order if and only if  $K$  can be written as the sum of a finite number of minimal right (left) ideals of  $A$ . We define the order of  $K$  to be the smallest number of minimal right (left) ideals of  $A$  which have sum  $K$ . For convenience we say that the zero ideal has finite order 0.

If  $I$  is a two-sided ideal of  $A$ , the definition of the order of  $I$  is ambiguous. However, it is a corollary of Theorem 2.2 that the order of  $I$  considered as a right ideal is the same as the order of  $I$  considered as a left ideal. Thus we shall ignore the ambiguity.

**THEOREM 2.1.** *Assume that  $M$  is a left ideal of  $A$  of finite order  $n$ . If  $f_1, f_2, \dots, f_m$  are in  $E_A$ ,  $Af_1 + Af_2 + \dots + Af_m \subset M$ , and this sum is direct, then  $m \leq n$ . A similar statement holds for right ideals of finite order.*

*Proof.* Choose  $e_1, e_2, \dots, e_n \in E_A$  such that  $M = Ae_1 + Ae_2 + \dots + Ae_n$ . Since  $f_1 \in M$ , there exist elements  $x_k \in A$  such that  $f_1 = x_1 e_1 + \dots + x_n e_n$ . Assume that  $x_j e_j \neq 0$ . Then

$$Ae_j = Ax_j e_j \subset \left( \sum_{\substack{k=1 \\ k \neq j}}^n Ae_k \right) + Af_1.$$

Thus  $M$  must be the sum on the right-hand side of this inclusion. Now  $f_2 \in M$ , and therefore there exist elements  $y_k \in A$  and  $z \in A$  such that

$$f_2 = zf_1 + \sum_{\substack{k=1 \\ k \neq j}}^n y_k e_k.$$

Since the sum  $Af_1 + Af_2 + \dots + Af_m$  is direct,  $y_i e_i \neq 0$  for some  $i \neq j$ . Then, proceeding as before, we have that

$$M = Af_1 + Af_2 + \left( \sum_{\substack{k=1 \\ k \neq j, i}}^n Ae_k \right).$$

By continuing in this manner, we can at each successive step replace an ideal  $Ae_q$  by an ideal  $Af_p$ . If  $m > n$ , then at the end of this process we have  $M = Af_1 + Af_2 + \dots + Af_n$ . But this contradicts the assumption that the sum  $Af_1 + \dots + Af_m$  is direct. Therefore  $m \leq n$ .

**THEOREM 2.2.** *Assume that  $K$  is a non-zero right ideal of finite order  $n$ . Then any maximal orthogonal set of minimal idempotents in  $K$  contains  $n$  elements, and if  $\mathfrak{M} = \{e_1, e_2, \dots, e_n\}$  is such a set, then  $K = eA$ , where  $e = e_1 + e_2 + \dots + e_n$ .*

*Proof.* Let  $\mathfrak{M}$  be a maximal orthogonal set of minimal idempotents in  $K$ . By Theorem 2.1,  $\mathfrak{M}$  must be a finite set (note that if  $\{f_1, \dots, f_k\}$  is an orthogonal set of minimal idempotents, then the sum  $f_1 A + \dots + f_k A$  is direct), so we

write  $\mathfrak{M} = \{e_1, e_2, \dots, e_p\}$ . Now assume that  $g$  is a minimal idempotent in  $K$  such that  $e_k g = 0$  for  $1 \leq k \leq p$ . By the maximality of  $\mathfrak{M}$ ,  $ge_k \neq 0$  for some  $k$ ,  $1 \leq k \leq p$ . By renumbering the elements of  $\mathfrak{M}$  we may assume that  $ge_j \neq 0$  if  $1 \leq j \leq m$  and  $ge_j = 0$  if  $j > m$ . Let

$$f = g - \sum_{k=1}^m ge_k.$$

Since  $fg = g \neq 0$ , then  $f \neq 0$ . It is easy to verify that  $e_k f = fe_k = 0$  for all  $k$ ,  $1 \leq k \leq p$ . Also

$$f^2 = \left(g - \sum_{k=1}^m ge_k\right)f = gf = f,$$

and  $fA = gfA = gA$ ; thus  $f$  is a minimal idempotent. This contradicts the definition of  $\mathfrak{M}$  as a maximal orthogonal set of minimal idempotent in  $K$ . Thus there can be no minimal idempotents  $g \in K$  such that  $e_k g = 0$  for all  $e_k \in \mathfrak{M}$ .

Now take  $v \in K$  and define

$$w = v - \sum_{k=1}^p e_k v.$$

Then  $e_k w = 0$  for all  $k$ ,  $1 \leq k \leq p$ . If  $w \neq 0$ , then since  $wA \subset K \subset S_A$ , there exists  $g \in E_A$  such that  $g \in wA$ . But then  $e_k g = 0$  for  $1 \leq k \leq p$ . Therefore  $w$  must be 0. Thus it follows that for any  $v \in K$ ,

$$v = \sum_{k=1}^p e_k v.$$

Let  $e = e_1 + e_2 + \dots + e_p$ . We have proved that  $K = eA$ .

It remains to be shown that  $p = n$ . First by Theorem 2.1,  $p \leq n$ . But  $p$  cannot be strictly less than  $n$  by the definition of the order of an ideal and the fact that  $K = e_1 A + \dots + e_p A$ . This completes the proof of the theorem.

If  $K$  is any left or right ideal of finite order and  $\mathfrak{M}$  is a maximal orthogonal set of minimal idempotents in  $K$ , we shall call  $\mathfrak{M}$  an orthogonal basis for  $K$ . It is not difficult to verify that if  $K$  is a left ideal of finite order and  $J$  is a left ideal such that  $J \subset K$ , then  $J$  has finite order; furthermore, if  $J$  is properly contained in  $K$ , then the order of  $J$  is strictly less than the order of  $K$ , and any orthogonal basis for  $J$  can be extended to an orthogonal basis for  $K$ .

Now we turn to the investigation of the elements in  $S_A$ , although we state the next lemma more generally for elements in  $k(h(S_A))$ .

LEMMA 2.3. Assume that  $u \in k(h(S_A))$ . Furthermore, assume that  $R[A(1 - u)^m]$  is of finite order and that  $\alpha_l(1 - u) = m$ . Then

- (1)  $\delta_r(1 - u) = m$ ;
- (2)  $A(1 - u) = A(1 - e)$ , where  $e$  is an idempotent in  $S_A$  such that  $R[A(1 - u)] = eA$ .

*Proof.* By Theorem 2.2 there exists an idempotent  $e_m \in S_A$  such that  $R[A(1 - u)^m] = e_m A$ . Now consider the left ideal  $M = A((1 - u)^m - e_m)$

which is of the form  $A(1 - v)$  where  $v \in k(h(S_A))$ . We shall prove that  $R[M] = 0$ . Suppose that  $Mx = 0$ . Then  $(1 - u)^m x = e_m x$  and

$$(1 - u)^{2m} x = (1 - u)^m e_m x = 0.$$

But since  $\alpha_i(1 - u) = m$ , then  $R[A(1 - u)^{2m}] = R[A(1 - u)^m]$ ; it follows that  $(1 - u)^m x = 0$  and  $e_m x = 0$ . But  $x \in R[A(1 - u)^m]$ , and hence  $x = e_m x = 0$ . Thus  $R[M] = 0$  and, by (1.1),  $M = A$ .

Now suppose that  $y \in A(1 - u)^n$  for some  $n \geq m$ . Then  $ye_m = 0$ . But also  $y = z((1 - u)^m - e_m)$  for some  $z \in A$ . Then  $ze_m = ye_m = 0$ , and hence  $y = z(1 - u)^m$ . Thus  $y \in A(1 - u)^m$ , and it follows that

$$A(1 - u)^n = A(1 - u)^m.$$

This proves in fact that  $\delta_r(1 - u) = m$ .

Since  $M = A$ , we have  $A(1 - u)^m + Ae_m = A$ .

$$R[A(1 - u)] \subset R[A(1 - u)^m],$$

and is therefore of finite order. Let  $e$  be an idempotent in  $S_A$  such that  $R[A(1 - u)] = eA$ . Let  $B = k(h(S_A))$ , and let  $N = B(1 - u) + Be$ .  $N$  is a left ideal of  $B$ , and it is easy to verify that the right annihilator of  $N$  in  $B$  is 0. Since  $B$  is a modular annihilator algebra and  $N$  is a modular left ideal of  $B$ , it follows that  $B = N$ . Thus

$$Ae_m \subset B = B(1 - u) + Be \subset A(1 - u) + Ae.$$

But also  $A(1 - u)^m \subset A(1 - u)$ . Then

$$A = A(1 - u)^m + Ae_m \subset A(1 - u) + Ae.$$

Assume that  $z \in A(1 - e)$ .  $z$  is of the form  $z = w(1 - u) + ye$  for some  $w, y \in A$ . But  $ye = ze = 0$ . Thus  $z = w(1 - u)$ , and it follows that  $A(1 - u) = A(1 - e)$ .

Next we prove our main result concerning elements of the socle of  $A$ . All considerations are completely algebraic, as they have been up to this point in the paper.

**THEOREM 2.4.** *Assume that  $s \in S_A$ . Then*

- (1)  $R[A(1 - s)]$  and  $L[(1 - s)A]$  are of finite order;
- (2)  $\alpha_i(1 - s) = \delta_i(1 - s) = \alpha_r(1 - s) = \delta_r(1 - s)$  and all these quantities are finite;

- (3)  $A(1 - s) = A(1 - e)$  where  $e$  is an idempotent in  $S_A$  such that

$$R[A(1 - s)] = eA;$$

- (4)  $\sigma(s)$  is finite.

*Proof.* Let  $K = R[A(1 - s)]$ . If  $x \in K$ , then  $(1 - s)x = 0$ , and thus  $x = sx \in sA$ . But then  $K \subset sA$ , and since  $sA$  is of finite order,  $K$  must be of finite order. By a similar proof, we find that  $L[(1 - s)A]$  is of finite order.

Next we prove that  $\alpha_i(1 - s)$  is finite. Suppose it is not; then setting  $K_n = R[A(1 - s)^n]$ , we have that  $K_n$  is a proper subset of  $K_{n+1}$  for all  $n \geq 0$ . We may choose an orthogonal sequence  $\{e_k\} \subset E_A$  with the property that  $e_n \in K_n$  (choose first orthogonal bases  $\mathfrak{M}_n$  for each  $K_n$  such that  $\mathfrak{M}_{n+1}$  is an extension of  $\mathfrak{M}_n$ ; next, choose  $e_k$  to be an element in  $\mathfrak{M}_k$  not in  $\mathfrak{M}_{k-1}$ ). But then  $(1 - s)^n e_n = 0$ , and this implies that  $e_n \in sA$ . This contradicts the fact that  $sA$  is of finite order. Therefore  $\alpha_i(1 - s)$  must be finite. With a similar proof we find that  $\alpha_r(1 - s)$  is finite. By Lemma 2.3 (1) we have  $\alpha_i(1 - s) = \delta_r(1 - s)$  and  $\alpha_r(1 - s) = \delta_i(1 - s)$ . Finally,  $\alpha_i(1 - s) = \delta_i(1 - s)$  since when the ascent and descent of an everywhere defined linear operator are both finite, they are equal by (8, Theorem 5.41-E, p. 273). This completes the proof of (2).

Now having proved (2), (3) follows immediately by Lemma 2.3 (2).

Lastly, we prove (4). Assume that  $\{\lambda_k\}$  is an infinite sequence of distinct non-zero elements in  $\sigma(s)$ . We may assume that there is a sequence  $\{e_k\} \subset E_A$  such that  $se_k = \lambda_k e_k$ ; see (1.1). It follows that  $e_k \in sA$  for all  $k$ . Suppose that there are  $x_k \in A$  such that

$$e_1 x_1 + e_2 x_2 + \dots + e_n x_n = 0$$

and that  $e_n x_n \neq 0$ . Then

$$-e_n x_n = e_1 x_1 + \dots + e_{n-1} x_{n-1}.$$

Therefore

$$\begin{aligned} 0 &= (\lambda_1 - u)(\lambda_2 - u) \dots (\lambda_{n-1} - u)e_n x_n \\ &= (\lambda_1 - \lambda_n)(\lambda_2 - \lambda_n) \dots (\lambda_{n-1} - \lambda_n)e_n x_n. \end{aligned}$$

This contradiction implies that for any  $n \geq 1$ , the sum

$$e_1 A + e_2 A + \dots + e_n A$$

is direct. This in turn contradicts the fact that  $sA$  has finite order.

**3. The elements in  $k(h(S_A))$ .** In this section we generalize the results of §2 concerning elements in  $S_A$  to the elements in  $k(h(S_A))$ . The first theorem is an easy extension of Theorem 2.4 (1).

**THEOREM 3.1.** *If  $u \in A$  is quasi-regular modulo  $S_A$ , then  $R[A(1 - u)]$  and  $L[(1 - u)A]$  are of finite order. In particular, this conclusion holds whenever  $u \in k(h(S_A))$ .*

*Proof.* If  $u$  is left quasi-regular modulo  $S_A$ , then there exists  $w \in A$  and  $s \in S_A$  such that  $(1 - w)(1 - u) = (1 - s)$ . Then  $A(1 - s) \subset A(1 - u)$ . It follows that  $R[A(1 - u)] \subset R[A(1 - s)]$ , and since  $R[A(1 - s)]$  is of finite order by Theorem 2.4 (1), then  $R[A(1 - u)]$  must have finite order. Similarly, if  $u$  is right quasi-regular modulo  $S_A$ , then  $L[(1 - u)A]$  must have finite order. Now  $B = k(h(S_A))$  is a modular annihilator algebra, and thus  $B/S_A$  is a radical algebra. It follows in the case when  $u \in k(h(S_A))$  that  $u$  is quasi-regular modulo  $S_A$ .

We shall usually find it necessary in this section to assume that  $A$  is a normed algebra. The proof of the next theorem depends in a crucial way upon this assumption. In the proof we use a version of a result proved by A. F. Ruston concerning a bounded linear operator  $T$  defined on a Banach space  $X$  which has the property that  $\lim_{n \rightarrow \infty} \|T^n - C_n\|^{1/n} = 0$  (where  $\|\cdot\|$  is the operator norm) for some sequence  $\{C_n\}$  of completely continuous operators on  $X$ . Ruston's proof of the result we use (7, Lemma 3.2, p. 323) does not require  $X$  to be a Banach space in the given norm. The conclusion of Ruston's Lemma 3.2 is that the ascent of  $I - T$  must be finite where  $I$  is the identity operator on  $X$ .

**THEOREM 3.2.** *Let  $A$  be a normed algebra with norm  $\|\cdot\|$ . Assume that  $u \in k(h(S_A))$ . Then  $\alpha_l(1 - u)$  and  $\alpha_r(1 - u)$  are finite.*

*Proof.* We prove only that  $\alpha_l(1 - u)$  is finite. Denote the right ideal  $R[A(1 - u)] \cap (1 - u)^n A$  by  $K_n$ . Assume that  $K_n \neq 0$  for all  $n \geq 0$ . Now by Theorem 3.1,  $R[A(1 - u)]$  is of finite order. Also note that for all  $k \geq 0$ ,  $(1 - u)^{k+1} A \subset (1 - u)^k A$ . It follows that there exists an integer  $m$  such that whenever  $n \geq m$ , then  $K_n = K_m$ . Since  $K_m \neq 0$ , there exists an  $e \in E_A$  such that  $e \in K_m$ . Then  $e \in K_n$  for all  $n \geq 0$ . It follows that for all integers  $k \geq 0$ ,

$$e \in (R[A(1 - u)] \cap Ae) \cap (1 - u)^k Ae.$$

Let  $a \rightarrow T_a$  be the left regular representation of  $A$  on  $Ae(T_a(xe) = axe$  for all  $xe \in Ae$ ).  $Ae$  is a normed linear space and  $T_a$  is a bounded operator on  $Ae$ . Now by assumption  $u \in k(h(S_A))$ . Therefore there exists a sequence  $\{s_n\} \subset S_A$  such that  $\|u^n - s_n\|^{1/n} \rightarrow 0$  as  $n \rightarrow \infty$  by (1.2). Let  $|T_a|$  denote the operator norm of  $T_a$  on the normed linear space  $Ae$ . Then we have immediately that  $|T_{u^n} - T_{s_n}|^{1/n} \rightarrow 0$  as  $n \rightarrow \infty$ . But it can be shown that  $T_{s_n}$  is an operator of finite rank on  $Ae$ . By Ruston's result (see the discussion preceding the statement of this theorem), the ascent of  $I - T_u$  on  $Ae$  must be finite. Lemma 3.4 (9, p. 22) implies that a linear operator  $W$  has finite ascent if and only if there exists an integer  $p$  such that the intersection of the null space of  $W$  with the range of  $W^p$  is 0. Letting  $W$  represent the operator  $I - T_u$  on  $Ae$ , we have that  $(R[A(1 - u)] \cap Ae) \cap (1 - u)^p Ae$  must be 0 for some  $p$ . This is a contradiction, and we conclude that  $K_m = 0$  for some  $m$ . But now let  $W$  represent the left multiplication operator on  $A$  determined by  $(1 - u)$ .

$$0 = K_m = R[A(1 - u)] \cap (1 - u)^m A,$$

and this last object is exactly the intersection of the null space of  $W$  with the range of  $W^m$ . Therefore  $\alpha_l(1 - u)$  is finite.

**THEOREM 3.3.** *Assume that  $A$  is a normed algebra. If  $u \in k(h(S_A))$ , then*

(1)  $\alpha_l(1 - u) = \delta_l(1 - u) = \alpha_r(1 - u) = \delta_r(1 - u)$  and all these quantities are finite;

(2)  $A(1 - u) = A(1 - e)$ , where  $e$  is an idempotent in  $S_A$  such that  $R[A(1 - u)] = eA$ .

*Proof.* By Theorem 3.1,  $R[A(1 - u)^k]$  is of finite order for all  $k \geq 1$ . By Theorem 3.2,  $\alpha_l(1 - u)$  and  $\alpha_r(1 - u)$  are finite. Now (2) follows directly from Lemma 2.3 (2). Also by Lemma 2.3 (1),  $\alpha_l(1 - u) = \delta_r(1 - u)$ . Then since the ascent and descent of an operator are equal if they are finite by (8, Theorem 5.41-E, p. 273), it follows that

$$\delta_l(1 - u) = \alpha_l(1 - u) = \delta_r(1 - u) = \alpha_r(1 - u).$$

The next theorem concerns the spectrum of elements in  $k(h(S_A))$ . It has a direct application to modular annihilator algebras which we state as a corollary.

**THEOREM 3.4.** *Assume that  $A$  is normed with norm  $\|\cdot\|$ . If  $u \in k(h(S_A))$ , then  $\sigma(u)$  is either finite or countable and has no non-zero limit points.*

*Proof.* Assume that  $\lambda \neq 0$  is in  $\sigma(u)$ , and that  $\{\lambda_n\}$  is a sequence of distinct non-zero elements in  $\sigma(u)$  such that  $\lambda_n \rightarrow \lambda$  as  $n \rightarrow \infty$ . We may assume by appealing to (1.1) that there exists a sequence  $\{e_n\} \subset E_A$  with the property that  $(\lambda_n - u)e_n = 0$  for  $n \geq 1$ . By Theorem 3.3 (1),

$$\alpha_r(1 - u) = \delta_r(1 - u) = m$$

for some integer  $m$ . Let  $K = L[(\lambda - u)^m A]$ . By (8, Theorem 5.41-F, p. 273)  $A = A(\lambda - u)^m + K$ . Now define  $M$  to be the left ideal

$$\{v \in A \mid \|ve_n/\|e_n\| \| \rightarrow 0 \text{ as } n \rightarrow \infty\}.$$

Now  $(\lambda - u)^m e_k = (\lambda - \lambda_k)^m e_k$  for all  $k \geq 1$ , and therefore  $A(\lambda - u)^m \subset M$ . It also follows that  $e_k \in (\lambda - u)^m A$  for all  $k \geq 1$ . Therefore  $Ke_k = 0$  for all  $k \geq 1$ . Then  $K \subset M$ , and finally  $A = K + A(\lambda - u)^m \subset M$ . But

$$\|ue_k/\|e_k\| \| = |\lambda_k|$$

for all  $k$ , which implies that  $u \notin M$ , a contradiction.

**COROLLARY.** *If  $A$  is a semisimple normed modular annihilator algebra, then the spectrum of any element in  $A$  is either finite or countable, and has no non-zero limit points.*

**THEOREM 3.5.** *Assume that  $A$  is normed. Then if  $u \in k(h(S_A))$ , the order of  $R[A(1 - u)]$  is the same as the order of  $L[(1 - u)A]$ . If  $u \in S_A$ , the same conclusion holds without the hypothesis that  $A$  have a norm.*

*Proof.* We prove the theorem for the case where  $u \in k(h(S_A))$  and  $A$  is normed. By Theorem 3.1, we may assume that  $R[A(1 - u)]$  has finite order  $n$  and that  $L[(1 - u)A]$  has finite order  $m$ . The proof proceeds by induction on  $n$ . In the case when  $n = 0, m = 0$  by Theorem 3.3 (1). Now assume that  $n \geq 1$ , and that the theorem holds for all  $k$  such that  $0 \leq k < n$ . First note that  $m \geq 1$ , again by Theorem 3.3 (1). Let  $\mathfrak{N} = \{e_1, \dots, e_n\}$  be a maximal orthogonal set of minimal idempotents in  $R[A(1 - u)]$ , and let

$$\mathfrak{N} = \{f_1, \dots, f_m\}$$

be a maximal orthogonal set of minimal idempotents in  $L[(1 - u)A]$ .

Suppose that  $f_k A e_1 = 0$  for all  $k, 1 \leq k \leq m$ . Let  $P = L[Ae_1]$ ; then  $P$  is a primitive ideal of  $A$ . Let  $B = A/P$ , and let  $\pi: A \rightarrow B$  be the natural projection of  $A$  onto the quotient algebra  $B$ . Note that  $B$  is a primitive normed algebra and that  $\pi(u) \in k(h(S_B))$ . Clearly  $\pi(e_1) \neq 0$  and  $(1 - \pi(u))\pi(e_1) = 0$ . Then there exists  $x \in A$  such that  $\pi(x) \neq 0$  and  $\pi(x)(1 - \pi(u)) = 0$  by Theorem 3.3 (1). Now by (1, Proposition 3.1 (1), p. 567),  $P = L[Ae_1] = R[e_1 A]$ . Then since  $\pi(x - xu) = 0, e_1 A(x - xu) = 0$ . Thus

$$(e_1 Ax) \subset A(f_1 + \dots + f_m) \subset P;$$

hence  $(e_1 Ax) \subset P$ . Then  $(e_1 A)(e_1 Ax) = 0$ , and it follows that  $e_1 Ax = 0$ . Thus  $\pi(x) = 0$ , a contradiction.

Therefore there exists some  $j, 1 \leq j \leq m$ , such that  $f_j A e_1 \neq 0$ . We may assume that  $j = 1$ . Choose  $y \in A$  such that  $f_1 y e_1 \neq 0$ , and let  $w = u + f_1 y e_1$ ; note that  $w \in k(h(S_A))$ . Assume that  $A(1 - w)v = 0$ . Then

$$(1 - u)v = (f_1 y e_1)v.$$

Multiplying this equation on the left by  $f_1$ , we have that  $(f_1 y e_1)v = 0$ . It follows that  $0 = A(f_1 y e_1 v) = A e_1 v$ , and hence that  $e_1 v = 0$ . But also  $(1 - u)v = 0$ . Thus

$$v = (e_1 + e_2 + \dots + e_n)v = (e_2 + \dots + e_n)v.$$

Therefore  $R[A(1 - w)] = (e_2 + \dots + e_n)A$ . In a similar fashion we find that  $L[(1 - w)A] = A(f_2 + \dots + f_m)$ . By the induction hypothesis, it follows that  $n = m$ .

The last theorem of this section is a summary of the main results given in this paper. We use the notations  $\mathcal{N}(W), \alpha(W),$  and  $\delta(W)$  to stand for the null space, the ascent, and the descent of a linear operator  $W$ , respectively. We hope that the notation and the particular formulation of the results presented in this theorem will make explicit the concept of a generalized Fredholm theory for elements in  $k(h(S_A))$ .

**THEOREM 3.6.** *Assume that  $A$  is a semisimple normed algebra, and that  $u \in k(h(S_A))$ . Let  $a \rightarrow T_a$  be the left regular representation of  $A$  on  $A$ , and let  $a \rightarrow T'_a$  be the right regular representation of  $A$  on  $A$ . Assume that  $\lambda$  is a non-zero scalar. Then:*

- (1) *The orders of  $\mathcal{N}(\lambda I - T_u)$  and  $\mathcal{N}(\lambda I - T'_u)$  are finite and equal.*
- (2)  *$\alpha(\lambda I - T_u) = \delta(\lambda I - T_u) = \alpha(\lambda I - T'_u) = \delta(\lambda I - T'_u)$  and all these quantities are finite.*
- (3) *The equation  $(\lambda I - T_u)x = y$  has a solution  $x \in A$  if and only if  $zy = 0$  for all  $z \in \mathcal{N}(\lambda I - T'_u)$ . The equation  $(\lambda I - T'_u)x = y$  has a solution  $x \in A$  if and only if  $yz = 0$  for all  $z \in \mathcal{N}(\lambda I - T_u)$ .*
- (4) *The equation  $(\lambda I - T_u)x = y$  has a solution  $x \in A$  for all given  $y \in A$ , except for at most a countable set of  $\lambda$ . If there is an infinite sequence of such exceptional values  $\{\lambda_n\}$ , then  $\lambda_n \rightarrow 0$  as  $n \rightarrow \infty$ .*
- (5) *If  $u \in S_A$ , then (1)–(4) hold without the assumption that  $A$  have a norm, and in fact in (4) only a finite number of exceptional values is possible.*

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