

INTEGRAL POINTS ON ELLIPTIC CURVES OVER FUNCTION FIELDS OF POSITIVE CHARACTERISTIC

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Let K be a one variable function field of genus g defined over an algebraically closed field k of characteristic $p > 0$. Let E/K be a non-constant elliptic curve. Denote by M_K the set of places of K and let $S \subset M_K$ be a non-empty finite subset.

Mason in his paper “Diophantine equations over function fields” Chapter VI, Theorem 14 and Voloch in “Explicit p -descent for elliptic curves in characteristic p ” Theorem 5.3 proved that the number of S -integral points of a Weierstrass equation of E/K defined over R_S is finite. However, no explicit upper bound for this number was given. In this note, under the extra hypotheses that E/K is semi-stable and $p > 3$, we obtain an explicit upper bound for this number for a certain class of Weierstrass equations called S -minimal.

1. INTRODUCTION

The paper is organised as follows. In Section 2 we introduce some preliminaries on the canonical height and torsion points of E . In Section 3 we show our main result on S -integral points.

2. PRELIMINARIES

Let $\hat{h} : E(\bar{K}) \rightarrow \mathbb{R}$ be the canonical height of E . Given a place \mathfrak{p} of K , let $v_{\mathfrak{p}}$ be the normalised valuation of K corresponding to \mathfrak{p} , $K_{\mathfrak{p}}$ the completion of K with respect to $v_{\mathfrak{p}}$ and $\lambda_{\mathfrak{p}} : E(K_{\mathfrak{p}}) \rightarrow \mathbb{R}$ the Néron function associated to \mathfrak{p} (see [8, Chapter VI]).

Suppose that E/K is semi-stable. Let X be a smooth irreducible projective curve defined over k with function field K . Denote by $\varphi_{\mathcal{E}} : \mathcal{E} \rightarrow X$ the semi-stable minimal model of E/K . Let $j_{\mathcal{E}} : X \rightarrow \mathbb{P}_k^1$ be the j -map induced by $\varphi_{\mathcal{E}}$ and p^e its inseparable degree. In the sequel we regard $j_{\mathcal{E}}$ as an element of K .

Goldfeld and Szpiro in [2, Proposition 11] gave an explicit version of a Theorem of Manin in which \hat{h} is computed in terms of an intersection number in \mathcal{E} . This allows the

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decomposition $\widehat{h}(P) = \sum_{\mathfrak{p} \in M_K} \lambda_{\mathfrak{p}}(P)$ and reduces the problem of finding a lower bound for the canonical height of points P of infinite order of E to bounding Néron’s functions at P . The main ingredient to obtain a global result is the following lemma due to Hindry and Silverman.

LEMMA 1. [4, Proposition 1.2] *Let $\mathfrak{p} \in M_K$ be such that $v_{\mathfrak{p}}(j_{\mathcal{E}}) < 0$. For any distinct points $P_0, \dots, P_N \in E(K_{\mathfrak{p}})$ we have $\sum_{i \neq l} \lambda_{\mathfrak{p}}(P_i - P_l) \geq ((N + 1)^2/12v_{\mathfrak{p}}(j_{\mathcal{E}}^{-1})) - ((N + 1)v_{\mathfrak{p}}(j_{\mathcal{E}}^{-1})/12)$.*

DEFINITION 2: Let $\mathcal{D}_{E/K}$ be the minimal discriminant of E/K and $\mathfrak{F}_{E/K}$ its conductor. Denote $d_{E/K} = \deg(\mathcal{D}_{E/K})$ and $f_{E/K} = \deg(\mathfrak{F}_{E/K})$. Let $\sigma_{E/K} = d_{E/K}/f_{E/K}$ be the Szpiro’s ratio of E/K . Since E/K is semi-stable, $d_{E/K} = \deg(j_{\mathcal{E}}) = [K : k(j_{\mathcal{E}})]$.

CONVENTION. Given a finite set T we denote by $|T|$ its cardinal.

PROPOSITION 3. *The set $\mathcal{S}_{\sigma} = \{P \in E(K); \widehat{h}(P) \leq d_{E/K}\sigma_{E/K}^{-2}/96\}$ has at most $2\sigma_{E/K}^2$ elements.*

PROOF: Suppose that $|\mathcal{S}_{\sigma}| > 2\sigma_{E/K}^2$. Let $N \geq 1$ be any integer such that $2\sigma_{E/K}^2 < N + 1 \leq |\mathcal{S}_{\sigma}|$. Let $\mathfrak{p} \in M_K$ be such that $v_{\mathfrak{p}}(j_{\mathcal{E}}) \geq 0$. It follows from [5, Chapter XI, Theorem 5.1] that for any $P \in E(K)$, $\lambda_{\mathfrak{p}}(P) \geq 0$. Given $P_0, \dots, P_N \in E(\overline{K})$, let $H = \max_{0 \leq i \leq N} \widehat{h}(P_i)$. It follows from the triangle inequality that $H \geq (1/(4N(N + 1))) \sum_{i \neq l} \widehat{h}(P_i - P_l)$. Hence, Proposition 1 implies $H \geq (1/(48N)) \sum_{\mathfrak{p}} \left(((N + 1)/v_{\mathfrak{p}}(j_{\mathcal{E}}^{-1})) - v_{\mathfrak{p}}(j_{\mathcal{E}}^{-1}) \right)$, where where $\sum_{\mathfrak{p}}$ denotes the sum over $\mathfrak{p} \in M_K$ such that $v_{\mathfrak{p}}(j_{\mathcal{E}}) < 0$. Since $\sum_{\mathfrak{p}} v_{\mathfrak{p}}(j_{\mathcal{E}}^{-1}) = d_{E/K}$ and $\left| \left\{ \mathfrak{p} \in M_K; v_{\mathfrak{p}}(j_{\mathcal{E}}) < 0 \right\} \right| = f_{E/K}$, $H \geq (1/(48N)) \left((N + 1)d_{E/K}\sigma_{E/K}^{-2} - d_{E/K} \right)$. By hypothesis $N + 1 > 2\sigma_{E/K}^2$, therefore $H > d_{E/K}\sigma_{E/K}^{-2}/96$. \square

COROLLARY 4. *For every $P \in E(K)$ of infinite order we have $\widehat{h}(P) \geq (d_{E/K}\sigma_{E/K}^{-6})/1536$.*

PROOF: Suppose that $\widehat{h}(P) < d_{E/K}\sigma_{E/K}^{-6}/1536$. For any integer n such that $1 \leq n \leq 4\sigma_{E/K}^2$, $\widehat{h}(nP) = n^2\widehat{h}(P) = d_{E/K}\sigma_{E/K}^{-2}/96$. But this shows that $|\mathcal{S}_{\sigma}| \geq 4\sigma_{E/K}^2$, which contradicts Proposition 3. \square

Corollary 4 implies the following version of a conjecture of Lang (see [3, Theorem 0.2]).

THEOREM 5. *For every $P \in E(K)$ of infinite order there exists a constant $c_2(j_{\mathcal{E}}, g)$ depending on g and on the inseparable degree p^e of $j_{\mathcal{E}} : X \rightarrow \mathbb{P}_k^1$ such that $\widehat{h}(P) \geq c_2(j_{\mathcal{E}}, g)d_{E/K}$, where $c_2(j_{\mathcal{E}}, g)$ is equal to $\left((2.18)10^{-10} \right) p^{-6e}$, if $d_{E/K} \geq 24p^e(g - 1)$ and to $\left((3.4)10^{-12} \right) p^{-6e}g^{-6}$, if $d_{E/K} < 24p^e(g - 1)$.*

PROOF: Szpiro’s theorem on the minimal discriminant of elliptic curves over function fields states that $d_{E/K} \leq 6p^e(2g - 2 + f_{E/K})$ (see [9, Théorème 1]). Hence, $\sigma_{E/K}^{-1} \geq (6p^e)^{-1} - (2g - 2)d_{E/K}^{-1}$. In the case where $d_{E/K} \geq 24p^e(g - 1)$, we obtain $\sigma_{E/K} \leq 12p^e$. Otherwise, $\sigma_{E/K} \leq d_{E/K} < 24p^e(g - 1)$. These two inequalities and Corollary 4 prove the theorem. \square

REMARK 6. Theorem 5 slightly improves [3, Theorem 0.2] in the sense that the lower bound for the canonical height of points of infinite order depends polynomially on $\sigma_{E/K}$, instead of exponentially. This had already been remarked and proved for elliptic curves over number fields by David (see [1, Corollaire 1.5]) using transcendence methods, which in contrast with Hindry-Silverman’s method is global rather than local.

As a consequence of Proposition 3 we obtain an upper bound for the torsion subgroup $E(K)_{\text{tor}}$ of $E(K)$.

THEOREM 7. $|E(K)_{\text{tor}}| \leq 2\sigma_{E/K}^2$.

REMARK 8. In [2, Theorem 13] Goldfeld and Szpiro proved that $|E(K)_{\text{tor}}| \leq (6p^e((2g - 2)f_{E/K}^{-1} + 1))^2$. It follows from Szpiro’s discriminant theorem that the bound of Theorem 7 is twice the bound of [2, Theorem 13]; however the method is different.

3. INTEGRAL POINTS

DEFINITION 9: Let $R_S \subset K$ be the ring of S -integers and $R_S^* \subset R_S$ the group of S -units. Let L be a finite extension of K and $\alpha \in L$. Define $h_L(\alpha) = [L : k(\alpha)]$, if $\alpha \notin k$, otherwise $h_L(\alpha) = 0$. Denote by S_L the set of places of L lying over S . Let g_L be the genus of L , $R_{S_L} \subset L$ the ring of S_L -integers and $R_{S_L}^* \subset R_{S_L}$ the subgroup of S_L -units.

DEFINITION 10: Let $y^2 = f(x)$ be a Weierstrass equation for E/K . Suppose that $f(X) \in R_S[X]$ and denote by Δ its discriminant. This equation is called S -minimal if $h_K(\Delta)$ is minimal subject to $f(X) \in R_S[X]$.

DEFINITION 11: Let $f(X) = (X - \varepsilon_1)(X - \varepsilon_2)(X - \varepsilon_3)$ be the factorisation of $f(X)$ in $\overline{K}[X]$. Given $P = (x_P, y_P) \in E(R_S)$ and $i \in \{1, 2, 3\}$, let $\xi_i^2 = x_P - \varepsilon_i$ and $L = K(\varepsilon_1, \varepsilon_2, \varepsilon_3, \xi_1, \xi_2, \xi_3)$. For any permutation $\{i, l, m\}$ of the elements of $\{1, 2, 3\}$, let $\Xi = \left\{ (\xi_i - \xi_l)/(\xi_i - \xi_m), (\xi_i - \xi_l)/(\xi_i + \xi_m), (\xi_i + \xi_l)/(\xi_i - \xi_m), (\xi_i + \xi_l)/(\xi_i + \xi_m) \right\}$.

The main result needed to obtain an explicit bound for the number of S -integral points of an S -minimal Weierstrass equation for E is an upper bound for the height of the y -coordinates of integral points (see [3, Proposition 8.2]). Before doing this it is necessary to obtain an upper bound for the height of S -units.

PROPOSITION 12. Let $y^2 = f(x)$ be a Weierstrass equation for E/K . Suppose that $f(X) \in R_S[X]$, $\Delta \in R_S^*$ and $p > 2$. For any $\eta \in \Xi$ we have $h_L(\eta) \leq 2p^e(2g_L - 2 + |S_L|)$.

PROOF: Let $t = (\varepsilon_3 - \varepsilon_1)/(\varepsilon_2 - \varepsilon_1)$ and denote by $y^2 = x(x - 1)(x - t)$ a Legendre form of E/K . Note that since the inseparable degree of j_E is p^e , $j_E \in K^{p^e} - K^{p^{e+1}}$. But $j_E = 2^8(t^2 - t + 1)^3/(t^2(t - 1)^2)$, thus $t \notin L^{p^{e+1}}$. Furthermore, any permutation of 1, 2 and 3 replaces t by an element of $\{t, 1 - t, 1/t, 1/(t - 1), t/(t - 1), (t - 1)/t\}$. Therefore, for any distinct $i, l, m \in \{1, 2, 3\}$, $\kappa = (\varepsilon_l - \varepsilon_i)/(\varepsilon_m - \varepsilon_i) = \left((\xi_i - \xi_l)/(\xi_i - \xi_m) \right) \left((\xi_i + \xi_l)/(\xi_i + \xi_m) \right) \notin L^{p^{e+1}}$. Suppose that for any $\eta \in \Xi$ we have $\eta \notin L^{p^{e+1}}$. Let $0 \leq r, s \leq e$ be the smallest integers such that $\kappa \in L^{p^r} - L^{p^{r+1}}$ and $\eta \in L^{p^s} - L^{p^{s+1}}$, respectively. Denote $\kappa_r = \kappa^{p^r}$ and $\eta_s = \eta^{p^s}$. Observe that $\kappa_r, 1 - \kappa_r, \eta_s, 1 - \eta_s \in R_{S_L}^* \cap (L - L^p)$. It follows from [6, Chapter VI, Lemma 10] that $h_L(\kappa_r), h_L(\eta_s) \leq 2g_L - 2 + |S_L|$. Hence, $h_L(\kappa), h_L(\eta) \leq p^e(2g_L - 2 + |S_L|)$. If some $\eta \in \Xi$ lies in $L^{p^{e+1}}$, then $\tau = \kappa\eta^{-1} \notin L^{p^{e+1}}$. By using the same argument as above we conclude that $h_L(\tau) \leq p^e(2g_L - 2 + |S_L|)$. Therefore, $h_L(\eta) = h_L(\kappa) + h_L(\tau) \leq 2p^e(2g_L - 2 + |S_L|)$, which proves the proposition. \square

PROPOSITION 13. *With the same hypothesis and notation of Proposition 12, suppose furthermore that $p > 3$. For any $P = (x_P, y_P) \in E(R_S)$ we have $h_K(y_P^4/\Delta) \leq 48p^e(2g - 2 + |S|)$.*

PROOF: The proof follows the same lines as [3, Proposition 8.2] replacing [3, (42)] by the inequality of Proposition 12. However, we need to remark that the Riemann-Hurwitz formula can be applied for L/K , because $p > 3$ implies that L/K is separable and has no wild ramification. \square

In order to obtain an explicit upper bound for $|E(R_S)|$, recall from [7, Lemma 1.2 (a)] that $|E(R_S)| \leq |E(K)_{\text{tor}}| (1 + 2\sqrt{\beta/\alpha})^{r_E}$, where $\alpha = \min\{\widehat{h}(P); P \in (E(K) - E(K)_{\text{tor}}) \cap E(R_S)\}$, $\beta = \max\{\widehat{h}(P); P \in E(R_S)\}$ and $r_E = \text{rank}(E(K))$. The lower bound α is obtained from Theorem 5.

REMARK 14. Since $p > 3$, we write the Weierstrass equation of E/K as $y^2 = x^3 + Ax + B$. Suppose it is S -minimal. In this case, $\beta \leq p^e(12g + 4|S| + 5d_{E/K})$. The proof of this inequality is the same as in [3, Corollary 8.5] replacing [3, Proposition 8.2] by Proposition 13.

THEOREM 15. *Suppose that $p > 3$ and $y^2 = x^3 + Ax + B$ is an S -minimal equation for E/K . If $d_{E/K} \geq 24p^e(g - 1)$, then $|E(R_S)| \leq 288p^{2e} \left(((8.57)10^5)p^{4e}\sqrt{|S|} \right)^{r_E}$; otherwise $|E(R_S)| \leq (1152)p^{2e}g^2 \left(((2.51)10^7)p^{4e}g^4\sqrt{|S|} \right)^{r_E}$.*

PROOF: Suppose that $d_{E/K} \geq 24p^e(g - 1)$. Thus $g \leq d_{E/K}p^{-e}/24 + 1$ and $\sigma_{E/K} \leq 12p^e$. Since $|S| \geq 1$, $\beta/\alpha \leq \left((4.59)10^9 \right) p^{7e} \left(5 + (12g + 4|S|)d_{E/K}^{-1} \right) \leq \left((4.59)10^{11} \right) p^{7e}|S|$. Theorem 7 implies $|E(K)_{\text{tor}}| \leq 2\sigma_{E/K}^2 \leq 288p^{2e}$. This proves the first part of the theorem. Suppose now that $d_{E/K} < 24p^e(g - 1)$. In this case, since $|S| \geq 1$ and $g \geq 2$, $\beta/\alpha \leq \left((2.94)10^{11} \right) p^{7e}g^6 \left(5 + (12g + 4|S|)d_{E/K}^{-1} \right) \leq \left((3.94)10^{13} \right) p^{7e}g^7|S|$. It follows from

Theorem 7 that $|E(K)_{\text{tor}}| \leq 2\sigma_{E/K}^2 < 2(24p^e(g-1))^2$. Hence, the second part of the theorem is proved. \square

REMARK 16. Theorem 15 is an analogue for $\text{char}(k) = p > 3$ of [3, Theorem 8.1].

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