

## WEAKNESS OF THE TOPOLOGY OF A $JB^*$ -ALGEBRA

ALI BENSEBAH

**ABSTRACT.** The main purpose of this paper is to prove, that the topology of any (non-complete) algebra norm on a  $JB^*$ -algebra is stronger than the topology of the usual norm. The proof of this theorem consists of an adaptation of the recent Rodriguez proof [8] that every homomorphism from a complex normed (associative)  $Q$ -algebra onto a  $B^*$ -algebra is continuous.

**1. Previous concepts and results.** Let us recall that a complex unital normed Jordan algebra  $A$  is a complex Jordan algebra with product  $a \circ b$ , having a unit 1, and a norm  $\| \cdot \|$ , such that  $A$  with the norm  $\| \cdot \|$  is a normed space,  $\|1\| = 1$ , and for all  $a$  and  $b$  in  $A$   $\|a \circ b\| \leq \|a\| \|b\|$ .

As we shall only be considering complex unital normed Jordan algebras, we shall use “normed Jordan algebra” in place of “complex unital normed Jordan algebra”. A Banach Jordan algebra is a normed Jordan algebra  $(A, \| \cdot \|)$  such that the normed linear space  $A$  with norm  $\| \cdot \|$  is complete (*i.e.* every Cauchy sequence converges).

A  $JB^*$ -algebra is a Banach Jordan algebra  $A$ , with an involution  $*$  such that, for all  $a$  in  $A$

$$\|U_a(a^*)\| = \|a\|^3,$$

where  $U_a(b) = 2a \circ (a \circ b) - a^2 \circ b$ .

Let  $(A, \| \cdot \|)$  be a  $B^*$ -algebra. A  $JC^*$ -algebra  $J$  of  $A$  is a complex Banach subspace of  $A$  satisfying:

- i)  $J$  is a self-adjoint set (*i.e.*  $a \in J \implies a^* \in J$ ),
- ii)  $1 \in J$ ,
- iii)  $a, b \in J \implies a \circ b = \frac{1}{2}(ab + ba) \in J$ , where  $ab$  is the associative product.

It is easy to prove that every  $JC^*$ -algebra is a  $JB^*$ -algebra. However, in [9] it is shown that  $JC^*$ -algebras are not the only examples of  $JB^*$ -algebras. Thus, the converse of the preceding result is not true.

One should also note that if  $A$  is an associative algebra over the complex field which is a Banach space in the norm  $\| \cdot \|$  and where, in terms of the Jordan multiplication  $a \circ b = \frac{1}{2}(ab + ba)$ ,  $\|a \circ b\| \leq \|a\| \|b\|$  for all  $a, b$  in  $A$ ; then it is not necessary that the associative product be continuous. An example is given in [5] of such an  $A$ .

Let  $(A, \| \cdot \|)$  be a normed Jordan algebra (completeness is not assumed). The spectral radius of an element  $a$  in  $A$ , denoted by  $r_{\| \cdot \|}(a)$  (or simply  $r(a)$ , when it is clear to which norm it refers), is defined by

$$r_{\| \cdot \|}(a) = \lim_{n \rightarrow \infty} \|a^n\|^{\frac{1}{n}}.$$

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An element  $a$  of  $A$  is invertible with inverse  $b$  if  $a \circ b = 1$  and  $a^2 \circ b = a$ . The spectrum of  $a$ , denoted by  $\text{Sp}(A, a)$ , is defined by

$$\text{Sp}(A, a) = \{\lambda \in C : \lambda - a \text{ is not invertible in } A\}.$$

An element  $a$  of  $A$  has the quasi-inverse  $b$  if  $(1 - a)$  has the inverse  $(1 - b)$ . An element that has a quasi inverse is said to be quasi-invertible.

The normed Jordan algebra  $(A, \|\cdot\|)$  is called a Jordan  $Q$ -algebra if the set of quasi-invertible elements of  $A$  is open.

In what follows we will use without comment, the fact that  $(A, \|\cdot\|)$  is a Jordan  $Q$ -algebra if and only if

$$r(a) = \sup\{|\lambda| : \lambda \in \text{Sp}(A, a)\}.$$

(See [10], lemma 2.1).

The proofs of many results on Banach Jordan algebras depend only on the fact that Banach Jordan algebras are Jordan  $Q$ -algebras, and this is the case of the following result: Let  $A$  and  $B$  be Jordan  $Q$ -algebras and  $F$  be homomorphism from  $A$  into  $B$ . Then

$$r(F(a)) \leq r(a),$$

for all  $a$  in  $A$ .

The notion of Jacobson radical for associative algebras has been generalized by K. Mc Crimmon to Jordan algebras (see [4]). In a Jordan algebra we say that an ideal  $I$  is quasi-invertible if all its elements are quasi-invertibles. Mc Crimmon proved that in any Jordan algebra there exists a unique quasi-invertible ideal containing every quasi-invertible ideal.

By definition, this ideal is the Mc Crimmon radical of  $A$  and is denoted by  $\text{rad } A$ .  $A$  is said to be semi-simple if  $\text{rad } A = \{0\}$ .

In the case of Banach algebras  $\text{rad } A = \{q \in A : aq \text{ is quasi-invertible for all } a \text{ in } A\}$ .

In the case of Banach Jordan algebras a similar result is not true. It is the reason why the proof of proposition 25.10 in [1] cannot be adapted to the Jordan case. Nevertheless, we shall give an alternate proof of that result.

NOTATION. If  $A$  and  $B$  are normed Jordan algebras and  $F$  is a linear mapping from  $A$  into  $B$  we denote by  $S(F)$  (the separating subspace for  $F$ ) the set of those  $b$  in  $B$  for which there is a sequence  $\{a_n\}$  in  $A$  such that  $0 = \lim\{a_n\}$  and  $b = \lim\{F(a_n)\}$ .

PROPOSITION 1. *Let  $A$  be a Jordan  $Q$ -algebra and  $B$  be a semi-simple Banach Jordan algebra. Suppose that  $F$  is homomorphism from  $A$  onto  $B$ . Then*

- i)  $r(b) = 0$ , for every  $b$  in  $S(F)$ ,
- ii) The kernel of  $F$  is closed.

PROOF. i) The proof of  $r(b) = 0$  in [6] remains valid in the Jordan case.

ii) It is straightforward to check that  $F(\overline{\ker F})$  is an ideal of  $B$ .

Given  $b \in F(\overline{\ker F})$ , we have  $b = F(a)$  for some  $a$  in  $\overline{\ker F}$ , and so there exists  $\{a_n\}$  in  $\ker F$  such that  $a = \lim\{a_n\}$ . Since  $F(a_n) = 0$ , we obtain  $0 = \lim\{a - a_n\}$  and  $\lim\{F(a - a_n)\} = F(a)$ . Therefore  $F(a)$  is in  $S(F)$  and therefore, by (i),  $r(F(a)) = 0$ . Thus  $b$  is quasi-invertible,  $F(\overline{\ker F})$  is a quasi-invertible ideal of  $B$ , and so  $F(\overline{\ker F}) \subset \text{rad } B = \{0\}$  and  $\overline{\ker F} \subset \ker F$ . Therefore,  $\ker F$  is closed. ■

**PROPOSITION 2.** *The quotient of a Jordan  $Q$ -algebra by a closed ideal is also a Jordan  $Q$ -algebra.*

**PROOF.** Let  $J$  be a closed ideal of a Jordan  $Q$ -algebra  $A$ . Let  $\pi$  be the canonical projection of  $A$  onto the normed Jordan algebra  $A/J$ ,  $\pi$  is open and  $\pi(G(A)) \subset G(A/J)$ , where  $G(X)$  denote the set of invertible elements in  $X$ . Let  $a \in G(A)$ , then  $\pi(a)$  is an interior point of  $G(A/J)$ . Choose  $b$  in  $G(A/J)$ . Then the linear operator  $U_b$  is a homeomorphism on  $A/J$  and it leaves invariant the set  $G(A/J)$  (see [3], Theorem 1.3, p.52), so  $U_b(\pi(a))$  is a interior point of  $G(A/J)$ . Since the mapping  $x \mapsto U_x(\pi(a))$ ,  $x \in A/J$ , is continuous, it follows that there is some number  $r > 0$  such that

$$U_x(\pi(a)) \in G(A/J),$$

so  $x \in G(A/J)$  whenever  $\|x - b\| < r$ . Hence  $G(A/J)$  is open. Since the mapping  $x \mapsto 1 - x$  is continuous mapping of  $A/J$  into  $A/J$ , then the set of quasi-invertible elements is also open. ■

**2. Minimum topologies.** We say that  $(A, \|\cdot\|)$  has the property of minimality of norm topology if, whenever  $\|\cdot\|$  is an algebra norm on  $A$  with  $\|\cdot\| \leq k\|\cdot\|$  for some non negative number  $k$ , we have that  $\|\cdot\|$  and  $\|\cdot\|$  are equivalent norms.

The proof of our main result is strongly based on the following lemma proved by Rodriguez.

**LEMMA 1.** *Let  $A$  be a Jordan  $Q$ -algebra and  $B$  be a semi-simple Banach Jordan algebra with minimality of norm topology. Then every homomorphism from  $A$  onto  $B$  is continuous.*

**PROOF.** We repeat the proof of the main result of [8] for Jordan algebras and use propositions 1 and 2. ■

**PROPOSITION 3.** *Let  $(A, \|\cdot\|)$  be a  $B^*$ -algebra,  $(J, \|\cdot\|)$  a  $JC^*$ -algebra of  $A$ , and  $\|\cdot\|$  is any algebra norm on  $J$ . Then*

$$\|a\|^2 \leq \sqrt{6} \|a^*\| \|a\|,$$

for all  $a$  in  $J$ .

**PROOF.** We first prove that  $r_{\|\cdot\|}(h) = r_{\|\cdot\|}(h)$  for every self-adjoint (i.e  $h^* = h$ ) element  $h$  in  $J$ . Let  $h \in J$  such that  $h^* = h$  and let  $Q(h, 1)$  denote the closed (relative to  $\|\cdot\|$ ) subalgebra of  $J$  generated by  $h$  and 1. As every Jordan algebra is power associative (see [3]), theorem 8 p.36) and multiplication  $(a \circ b)$  is continuous,  $Q(h, 1)$  is commutative Banach algebra. Moreover, as the involution on  $A$  is an isometry and  $h$  is self-adjoint  $Q(h, 1)$  is a self-adjoint subset. Hence,  $Q(h, 1)$  is a  $B^*$ -algebra. So, by the Corollary 4.8.4 of [7] we obtain

$$r_{\|\cdot\|}(h) \leq r_{\|\cdot\|}(h).$$

Since the reverse inequality holds for any algebra norm  $(\|\cdot\|)$ , we thus have proved that

$$r_{\|\cdot\|}(h) = r_{\|\cdot\|}(h),$$

for every  $h \in J$  satisfying  $h^* = h$ .

Let, now,  $a \in J$ . Then,

$$\frac{1}{2}\|a\|^4 = \frac{1}{2}\|a^*a\|^2 = \frac{1}{2}\|(a^*a)^2\|.$$

It is known (see Theorem 7 and Lemma 6 of [11]) that if  $x$  and  $y$  are self-adjoint elements of a  $JB^*$ -algebra, then

$$\|x^2\| \leq \|x^2 + y^2\|.$$

Now we apply the above mentioned result to obtain

$$\|(a^*a)^2\| \leq \|(a^*a)^2 + (aa^*)^2\|.$$

Since  $(a^*a)^2 + (aa^*)^2$  is self-adjoint, then

$$\|(a^*a)^2 + (aa^*)^2\| = r_{\|\cdot\|}((a^*a)^2 + (aa^*)^2).$$

Combining these estimates with the first part of this proof we deduce that

$$\begin{aligned} \frac{1}{2}\|a\|^4 &\leq r_{\|\cdot\|}\left(\frac{1}{2}\{(a^*a)^2 + (aa^*)^2\}\right) \\ &= r_{\|\cdot\|}\left(\frac{1}{2}\{a^*(aa^*a) + (aa^*a)a^*\}\right) \\ &= r_{\|\cdot\|}(a^* \circ (aa^*a)) \\ &= r_{\|\cdot\|}(a^* \circ U_a(a^*)) \\ &\leq \|a^* \circ U_a(a^*)\| \\ &\leq 3 \|a^*\|^2 \|a\|^2. \end{aligned}$$

It follows that  $\|a\|^2 \leq \sqrt{6} \|a^*\| \|a\|$ . ■

**PROPOSITION 4.** *Let  $(A, \|\cdot\|)$  be a  $JB^*$ -algebra and let  $\|\cdot\|$  be any algebra norm on  $A$ . Then*

$$\|a\|^2 \leq \sqrt{6} \|a^*\| \|a\|, \forall a \in A.$$

**PROOF.** Let  $a \in A$  and  $B$  be the closure (relative to  $\|\cdot\|$ ) of the Jordan algebra generated by  $1, \frac{a+a^*}{2}$  and  $\frac{a-a^*}{2i}$ . Then, by corollary 3 of [12], we know that there exists a  $B^*$ -algebra  $(X, |\cdot|)$ , a  $JC^*$ -algebra  $(J, |\cdot|)$  of  $X$ , and a isometric linear bijection  $F$  of  $B$  onto  $J$  satisfying

- i)  $F(x \circ y) = F(x) \circ F(y)$ ,
- ii)  $F(x^*) = (F(x))^*$ , for every  $x$  and  $y$  in  $B$ .

We define a mapping  $P$  of  $J$  into  $R$  by  $P(j) = \|F^{-1}(j)\|$ . It is straightforward to check that  $P$  is an algebra norm on  $J$ . Therefore by proposition 3,

$$|j|^2 \leq \sqrt{6} P(j^*) P(j), \forall j \in J.$$

Hence,

$$\|a\|^2 = |F(a)|^2 \leq \sqrt{6} P((F(a))^*) P(F(a)) = \sqrt{6} \|a^*\| \|a\|. \blacksquare$$

**THEOREM 1.** *Every  $JB^*$ -algebra has the property of minimality of norm topology.*

**PROOF.** For any algebra norm,  $\|\cdot\|$ , on a  $JB^*$ -algebra  $(A, \|\cdot\|)$  we have

$$\|a\|^2 \leq \sqrt{6} \|a^*\| \|a\|$$

for all  $a$  in  $A$  by proposition 4.

Therefore, if  $\|\cdot\| \leq k|\cdot|$  for some non-negative number  $k$ , we have

$$\|a\|^2 \leq k\sqrt{6} \|a^*\| \|a\| = k\sqrt{6} \|a\| \|a\|,$$

(the last equality follows from [11], lemma 4), so that,  $\|\cdot\| \leq k\sqrt{6}|\cdot|$ , and so  $\|\cdot\|$  and  $|\cdot|$  are equivalent norms.  $\blacksquare$

**LEMMA 2.** *If  $\|\cdot\|$  is any algebra norm on a  $JB^*$ -algebra  $A$ , then  $(A, \|\cdot\|)$  is a Jordan  $Q$ -algebra.*

**PROOF.** By proposition 4 we have,  $\|a\|^2 \leq \sqrt{6} \|a^*\| \|a\|$  for all  $a$  in  $A$ . We deduce that for all  $n \geq 1$  and all  $a$  in  $A$

$$\|a^n\|^2 \leq \sqrt{6} \|(a^*)^n\| \|a^n\|.$$

Taking  $n$ th roots and letting  $n \rightarrow \infty$ , it follows that

$$[r_{\|\cdot\|}(a)]^2 \leq r_{\|\cdot\|}(a^*) r_{\|\cdot\|}(a).$$

Since  $r_{\|\cdot\|}(x) \leq r_{|\cdot|}(x)$  and  $r_{|\cdot|}(x^*) = r_{|\cdot|}(x)$  for all  $x$  in  $A$ , we have

$$r_{\|\cdot\|}(a) = r_{\|\cdot\|}(a)$$

for all  $a$  in  $A$ . But  $(A, \|\cdot\|)$  is a Banach Jordan algebra, so  $r_{\|\cdot\|}(a) = \sup\{|\lambda| : \lambda \in \text{Sp}(A, a)\}$ . Therefore  $r_{\|\cdot\|}(a) = \sup\{|\lambda| : \lambda \in \text{Sp}(A, a)\}$  and  $(A, \|\cdot\|)$  is a Jordan  $Q$ -algebra, as required.  $\blacksquare$

We now come to the main result.

**THEOREM 2.** *The topology of any algebra norm on a  $JB^*$ -algebra is stronger than the topology of the usual norm.*

**PROOF.** Let  $(A, \|\cdot\|)$  be a  $JB^*$ -algebra and let  $\|\cdot\|$  be any algebra norm on  $A$ . Then, by lemma 2,  $(A, \|\cdot\|)$  is a Jordan  $Q$ -algebra and, by theorem 1,  $(A, \|\cdot\|)$  is a semi-simple Banach Jordan algebra with minimality of norm topology. Therefore, by lemma 1 the mapping  $x_1 \rightarrow x$  from  $(A, \|\cdot\|)$  onto  $(A, \|\cdot\|)$  is continuous.  $\blacksquare$

**REMARK.** We recall that a normed Jordan algebra  $(A, \|\cdot\|)$  has the property of minimality of the norm if, whenever  $\|\cdot\|$  is an algebra norm on  $A$  with  $\|\cdot\| \leq |\cdot|$ , we have  $\|\cdot\| = |\cdot|$ . Lemma 1 of [8] and theorem 1 suggest the following question. Does every  $JB^*$ -algebra have the property of minimality of the norm?

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*Department of Mathematics and Statistics*  
*University of Montreal, CP. 6128 Succ. A*  
*Montreal, Quebec, CANADA, H3C 3J7.*