

## A NOTE ON ARTIN'S DIOPHANTINE CONJECTURE

BY  
GEORGE MAXWELL

A well known theorem of Hasse [1] says that every quadratic form in at least 5 variables over the field  $Q_p$  of  $p$ -adic numbers has a nontrivial zero. This fact has led Artin to make the conjecture

(C): "Every form over  $Q_p$  of degree  $d$  in  $n > d^2$  variables has a non-trivial zero." However, a counterexample has been provided by Terjanian [2] in the case  $d=4$ .

The case  $d=2$  is distinguished by the fact that every quadratic form may be "diagonalized", i.e., assumed to be of the type  $\sum a_i X_i^2$ . One is therefore led to the weaker conjecture

(C'): "Every form  $f = \sum a_i X_i^d$  over  $Q_p$  in  $n > d^2$  variables has a nontrivial zero in  $Q_p$ ,"

which still generalizes Hasse's theorem.

**THEOREM.** *Suppose  $(p, d) = 1$ . Then (C') is true.*

**Proof.** We may assume that every  $a_i \neq 0$ . By a suitable change of variable,  $f$  may be written as  $f = f_0 + pf_1 + \dots + p^{d-1}f_d$ , where each  $f_i$  is of the same type as  $f$  but its coefficients are all units. At least one of the  $f_i$  will have more than  $d$  variables; if we can find a nontrivial zero of it then by setting the other variables equal to zero we shall have a nontrivial zero of  $f$ .

So consider a form  $f = \sum a_i X_i^d$  in  $n > d$  variables such that all the  $a_i$  are units. The reduction of  $f$  to  $Z/pZ$  has a nontrivial zero  $\theta_1$  by a theorem of Chevalley [3]. Suppose by induction that we have found nontrivial zeros  $\theta_i$  of  $f$  reduced to  $Z/p^iZ$  for  $1 \leq i \leq k$ , such that the reduction of  $\theta_i$  to  $Z/p^jZ$  is  $\theta_j$  whenever  $i > j$ . Say  $\theta_k = (x_1, \dots, x_n)$ ; choose  $y_1, \dots, y_n \in Z/p^{k+1}Z$  such that  $\bar{y}_i = x_i$ . Let  $\tilde{a}_i$  (resp.  $\bar{a}_i$ ) be the reduction of  $a_i$  to  $Z/p^{k+1}Z$  (resp.  $Z/p^kZ$ ). Then  $\tilde{f}(y_1, \dots, y_n) = \sum \tilde{a}_i y_i^d = 0$  so that  $\tilde{f}(y_1, \dots, y_n) = \sum \tilde{a}_i y_i^d$  is in  $p^k Z/p^{k+1}Z$ ; say  $\tilde{f}(y_1, \dots, y_n) = p^k A$ . Instead of the  $y_i$  we could have chosen  $z_i = y_i + p^k t_i$  since  $\bar{z}_i = x_i$  also. Now

$$\begin{aligned} \tilde{f}(z_1, \dots, z_n) &= \sum \tilde{a}_i (y_i + p^k t_i)^d \\ &= \sum \tilde{a}_i y_i^d + dp^k \sum \tilde{a}_i y_i^{d-1} t_i. \end{aligned}$$

We are trying to make the R.H.S. zero by a suitable choice of  $t_i$ ; i.e., solve

$$A^* + d^* \sum a_i^* (y_i^*)^{d-1} t_i^* = 0,$$

where  $*$  denotes reduction to  $Z/pZ$ .

---

Received by the editors June 26, 1969.

Since the  $a_i$  were units,  $a_i^* \neq 0$ ; since  $\theta_1 = (y_1^*, \dots, y_n^*)$  is nontrivial, at least one of the  $(y_i^*)^{d-1} \neq 0$ ; finally,  $d^* \neq 0$  since  $(p, d) = 1$ . Therefore a solution exists. We have thus found a zero  $\theta_{k+1}$  of  $f$  reduced to  $Z/p^{k+1}Z$  which is compatible with  $\theta_1, \dots, \theta_k$  in the above sense. The sequence  $\theta_1, \theta_2, \dots$  defines a nontrivial zero of  $f$  in  $Z_p = \varprojlim Z/p^k Z$  and thus in  $Q_p$ .

It is easy to see that this proof may be generalized to yield the following

**THEOREM.** *Let  $K$  be a field with a discrete valuation  $v$  and residue class field  $\bar{K}$  such that  $(\text{char } \bar{K}, d) = 1$ . If every form  $f = \sum a_i X_i^d$  with coefficients in  $\bar{K}$  has a nontrivial zero provided  $n > d^k$ , then every such form with coefficients in  $K$  has a nontrivial zero provided  $n > d^{k+1}$ .*

#### REFERENCES

1. H. Hasse, *Darstellbarkeit von Zahlen durch Quadratische Formen*, J. f. reine u. angew. Math. **153** (1923), 113–130.
2. G. Terjanian, *Un contre-exemple à une conjecture d'Artin*, Comptes Rendus de l'Acad. Sci. Paris **262** (1966), A612.
3. C. Chevalley, *Démonstration d'une hypothèse de M. Artin*, Abh. Math. Sem. Hamburg **11** (1935), 73–75.

QUEEN'S UNIVERSITY,  
KINGSTON, ONTARIO