



Involution pipe dreams

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Abstract. Involution Schubert polynomials represent cohomology classes of K -orbit closures in the complete flag variety, where K is the orthogonal or symplectic group. We show they also represent T -equivariant cohomology classes of subvarieties defined by upper-left rank conditions in the spaces of symmetric or skew-symmetric matrices. This geometry implies that these polynomials are positive combinations of monomials in the variables $x_i + x_j$, and we give explicit formulas of this kind as sums over new objects called involution pipe dreams. Our formulas are analogues of the Billey–Jockusch–Stanley formula for Schubert polynomials. In Knutson and Miller’s approach to matrix Schubert varieties, pipe dream formulas reflect Gröbner degenerations of the ideals of those varieties, and we conjecturally identify analogous degenerations in our setting.

1 Introduction

One can identify the equivariant cohomology rings for the spaces of symmetric and skew-symmetric complex matrices with multivariate polynomial rings. Under this identification, we show that the classes of certain natural subvarieties of (skew-)symmetric matrices are given by the *involution Schubert polynomials* introduced by Wyser and Yong in [45]. These classes of varieties generalize various others studied in the settings of degeneracy loci and combinatorial commutative algebra, for instance the (skew-)symmetric determinantal varieties studied by Harris and Tu [16].

Involution Schubert polynomials have a combinatorial formula for their monomial expansion [13]. As a consequence of our geometric results, they must also expand as sums of products of binomials $x_i + x_j$. We give a combinatorial description of these expansions, which is a new analogue of the classic Billey–Jockusch–Stanley expansion for ordinary Schubert polynomials [3]. This description is far more compact than the monomial expansion. Our formulas involve novel objects that we call *involution pipe dreams*. Involution pipe dreams appear to be the fundamental objects necessary to replicate Knutson and Miller’s program [23] to understand our varieties from a commutative algebra perspective.

1.1 Three flavors of matrix Schubert varieties

Fix a positive integer n . Let GL_n denote the general linear group of complex $n \times n$ invertible matrices, and write B and B^+ for the Borel subgroups of lower- and upper-triangular matrices in GL_n . Our work aims to extend what is known about the

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geometry of the B-orbits on matrix space to symmetric and skew-symmetric matrix spaces.

We begin with some classical background. Consider the *type A flag variety* $Fl_n = B \backslash GL_n$. The subgroup B^+ acts on Fl_n with finitely many orbits, which are naturally indexed by permutations w in the symmetric group S_n of permutations of $\{1, 2, \dots, n\}$. These orbits afford a CW decomposition of Fl_n , so the cohomology classes of their closures X_w , the *Schubert varieties*, form a basis for the integral singular cohomology ring $H^*(Fl_n)$. Borel's isomorphism explicitly identifies $H^*(Fl_n)$ with a quotient of the polynomial ring $\mathbb{Z}[x_1, \dots, x_n]$, and the *Schubert polynomials* $\mathfrak{S}_w \in \mathbb{Z}[x_1, \dots, x_n]$ are (nonunique) representatives for the *Schubert classes* $[X_w] \in H^*(Fl_n)$.

The maximal torus T of diagonal matrices in GL_n also acts on Fl_n , so we can instead consider the equivariant cohomology ring $H_T^*(Fl_n)$. Via an extension of Borel's isomorphism, this ring is isomorphic to a quotient of $\mathbb{Z}[x_1, \dots, x_n, y_1, \dots, y_n]$. Lascoux and Schützenberger [27] introduced the *double Schubert polynomials* $\mathfrak{S}_w(x, y)$ to represent the equivariant classes $[X_w]_T \in H_T^*(Fl_n)$. These representatives are distinguished in the following sense.

Let Mat_n be the set of $n \times n$ complex matrices and write $\iota : GL_n \hookrightarrow Mat_n$ for the obvious inclusion. The product group $T \times T$ acts on $A \in Mat_n$ by $(t_1, t_2) \cdot A = t_1 A t_2^{-1}$. The *matrix Schubert variety* of a permutation $w \in S_n$ is $MX_w = \overline{\iota(X_w)}$. Since Mat_n is $T \times T$ -equivariantly contractible, $H_{T \times T}^*(Mat_n) \cong H_{T \times T}^*(point) \cong \mathbb{Z}[x_1, \dots, x_n, y_1, \dots, y_n]$. The launching point for Knutson and Miller's program is the following theorem:

Theorem 1.1 [23] *For all $w \in S_n$, we have $\mathfrak{S}_w(x, y) = [MX_w] \in H_{T \times T}^*(Mat_n)$.*

As mentioned in the historical notes at the end of [36, Chapter 15], Theorem 1.1 is equivalent to Fulton's characterization of each $\mathfrak{S}_w(x, y)$ as the class of a certain degeneracy locus for vector bundle morphisms [11].

Our results are related to the geometry of certain spherical varieties studied by Richardson and Springer in [40]. Specifically, define the *orthogonal group* O_n as the subgroup of GL_n preserving a fixed nondegenerate symmetric bilinear form on \mathbb{C}^n , and when n is even define the *symplectic group* Sp_n as the subgroup of GL_n preserving a fixed nondegenerate skew-symmetric bilinear form.

We consider the actions of O_n and Sp_n (when n is even) on Fl_n . The associated orbit closures \hat{X}_y and \hat{X}_z^{FPF} are indexed by arbitrary involutions y and fixed-point-free involutions z in S_n . Let $\kappa(y)$ denote the number of two-cycles in an involution $y = y^{-1} \in S_n$. Wyser and Yong [45] constructed certain polynomials $\hat{\mathfrak{S}}_y, \hat{\mathfrak{S}}_z^{FPF} \in \mathbb{Z}[x_1, \dots, x_n]$ and showed that the classes $[\hat{X}_y]$ and $[\hat{X}_z^{FPF}]$ are represented in $H^*(Fl_n)$ by $2^{\kappa(y)} \hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{FPF}$. We refer to $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{FPF}$ as *involution Schubert polynomials*; for their precise definitions, see Section 2.1.

Write $S Mat_n$ and $SS Mat_n$ for the sets of symmetric and skew-symmetric $n \times n$ complex matrices. Let $t \in T$ act on these spaces by $t \cdot A = t A t$. One can identify the T -equivariant cohomology rings of both spaces with $\mathbb{Z}[x_1, \dots, x_n]$; see the discussion in Section 2.2. For each involution $y \in S_n$, let $M \hat{X}_y = M X_y \cap S Mat_n$. Similarly, for each fixed-point-free involution $z \in S_n$, let $M \hat{X}_z^{FPF} = M X_z \cap SS Mat_n$. Our first main result is a (skew-)symmetric analogue of Theorem 1.1:

Theorem 1.2 For all involutions y and fixed-point-free involution z in S_n , we have $2^{\kappa(y)} \hat{\mathfrak{S}}_y = [M\hat{X}_y] \in H^*_T(\text{SMat}_n)$ and $\hat{\mathfrak{S}}_z^{\text{FPF}} = [M\hat{X}_z^{\text{FPF}}] \in H^*_T(\text{SSMat}_n)$. Thus, involution Schubert polynomials are also equivariant cohomology representatives for symmetric and skew-symmetric matrix varieties.

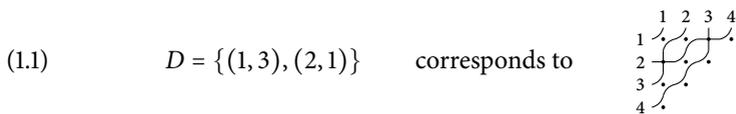
Our proof of this theorem appears in Section 2.3. An extension of Theorem 1.2 to complex K -theory appears in [31]. Theorem 1.2 was first announced in a conference proceedings before the appearance of the preprint version of [31], which precedes the preprint version of this article. The proof of Theorem 1.2 is a special case of results of [31].

Remark Another family of varieties in SMat_n indexed by permutations in S_n has been studied by Fink et al. [6]. However, their varieties are cut out by northeast rank conditions, while $M\hat{X}_y$ and $M\hat{X}_z^{\text{FPF}}$ are cut out by northwest rank conditions (see (2.3) and (2.4) in Section 2.3). The varieties in [6] are closely related to type C Schubert calculus and generally do not coincide with our $M\hat{X}_y$ varieties.

1.2 Three flavors of pipe dreams

If Z is a closed subvariety of SMat_n or SSMat_n , then its T -equivariant cohomology class is a positive integer combination of products of binomials $x_i + x_j$ (see Corollary 2.10). Our second main result gives a combinatorial description of such an expansion for $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$.

Let $[n] = \{1, 2, \dots, n\}$ and $\nabla_n = \{(i, j) \in [n] \times [n] : i + j \leq n\}$. Consider a subset $D \subseteq \nabla_n$. One associates to D a *wiring diagram* by replacing the cells $(i, j) \in \nabla_n$ by tiles of two types, given either by a crossing of two paths (drawn as a $+$ tile) if $(i, j) \in D$ or by two paths bending away from each other (drawn as a \swarrow tile) if $(i, j) \notin D$. Connecting the endpoints of adjacent tiles yields a union of n continuously differentiable paths, which we refer to as “pipes.” For example:



Definition 1.3 A subset $D \subseteq \nabla_n$ is a *reduced pipe dream* if no two pipes in the associated wiring diagram cross more than once.

This condition holds in the example (1.1). Pipe dreams as described here were introduced by Bergeron and Billey [1], inspired by related diagrams of Fomin and Kirillov [9]. Bergeron and Billey originally referred to pipe dreams as *reduced-word compatible sequence graphs* or *rc-graphs* for short.

A reduced pipe dream D determines a permutation $w \in S_n$ in the following way. Label the left endpoints of the pipes in D 's wiring diagram by $1, 2, \dots, n$ from top to bottom, and the top endpoints by $1, 2, \dots, n$ from left to right. Then the associated permutation $w \in S_n$ is the element such that the pipe with left endpoint i has top endpoint $w(i)$. For instance, the permutation of $D = \{(1, 3), (2, 1)\}$ is $w = 1423 \in S_4$. Let $\mathcal{PD}(w)$ denote the set of all reduced pipe dreams associated to $w \in S_n$.

Pipe dreams are of interest for their role in formulas for \mathfrak{S}_w and $\mathfrak{S}_w(x, y)$. Lascoux and Schützenberger’s original definition of these Schubert polynomials in [28] is recursive in terms of *divided difference operators*. However, by results of Fomin and Stanley [10, Section 4] we also have

$$(1.2) \quad \mathfrak{S}_w = \sum_{D \in \mathcal{PD}(w)} \prod_{(i,j) \in D} x_i \quad \text{and} \quad \mathfrak{S}_w(x, y) = \sum_{D \in \mathcal{PD}(w)} \prod_{(i,j) \in D} (x_i - y_j).$$

The first identity is the Billey–Jockusch–Stanley formula for Schubert polynomials [3].

There are analogues of this formula for the involution Schubert polynomials $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$, which involve the following new classes of pipe dreams. A reduced pipe dream $D \in \square_n$ is *symmetric* if $(i, j) \in D$ implies $(j, i) \in D$, and *almost-symmetric* if both of the following properties hold:

- If $(i, j) \in D$ where $i < j$ then $(j, i) \in D$.
- If $(j, i) \in D$ where $i < j$ but $(i, j) \notin D$, then the pipes crossing at (j, i) in the wiring diagram of D are also the pipes that avoid each other at (i, j) .

Equivalently, D is almost-symmetric if it is as symmetric as possible while respecting the condition that no two pipes cross twice, and any violation of symmetry forced by this condition takes the form of a crossing (j, i) below the diagonal rather than at the transposed position (i, j) .

Let $\mathcal{J}_n = \{w \in \mathcal{S}_n : w = w^{-1}\}$ and write $\mathcal{J}_n^{\text{FPF}}$ for the subset of fixed-point-free elements of \mathcal{J}_n . Note that n must be even for $\mathcal{J}_n^{\text{FPF}}$ to be nonempty. Also let

$$\triangleleft_n = \{(j, i) \in [n] \times [n] : i \leq j\} \quad \text{and} \quad \triangleleft_n^\# = \{(j, i) \in [n] \times [n] : i < j\}.$$

Definition 1.4 The set of *involution pipe dreams* for $y \in \mathcal{J}_n$ is

$$\mathcal{ID}(y) = \{D \cap \triangleleft_n : D \in \mathcal{PD}(y) \text{ is almost-symmetric}\}.$$

The set of *fpf-involution pipe dreams* for $z \in \mathcal{J}_n^{\text{FPF}}$ is

$$\mathcal{FD}(z) = \{D \cap \triangleleft_n^\# : D \in \mathcal{PD}(z) \text{ is symmetric}\}.$$

By convention, (fpf-)involution pipe dreams are always instances of reduced pipe dreams. It would be more precise to call our objects “reduced involution pipe dreams,” but since we will never consider any pipe dreams that are unreduced, we opt for more concise terminology.

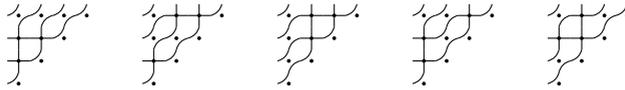
We now state our second main result, which will reappear as Theorems 4.25 and 4.36.

Theorem 1.5 If $y \in \mathcal{J}_n$ and $z \in \mathcal{J}_n^{\text{FPF}}$ then

$$\hat{\mathfrak{S}}_y = \sum_{D \in \mathcal{ID}(y)} \prod_{(i,j) \in D} 2^{-\delta_{ij}} (x_i + x_j) \quad \text{and} \quad \hat{\mathfrak{S}}_z^{\text{FPF}} = \sum_{D \in \mathcal{FD}(z)} \prod_{(i,j) \in D} (x_i + x_j),$$

where δ_{ij} denotes the usual Kronecker delta function.

Example 1.6 The involution $y = 1432 = (2, 4) \in \mathcal{J}_4$ has five reduced pipe dreams:



Only the last two of these are almost-symmetric, so $|\mathcal{JD}(y)| = 2$ and Theorem 1.5 reduces to the formula $\hat{\mathfrak{S}}_y = (x_2 + x_1)(x_3 + x_1) + (x_2 + x_1)(x_2 + x_2)/2 = (x_2 + x_1)(x_3 + x_1 + x_2)$. The monomial expansion has six terms, as opposed to two. In general, the expansion in Theorem 1.5 uses roughly a factor of $2^{\deg \hat{\mathfrak{S}}_y}$ fewer terms.

Remark There is an alternate path toward establishing the fact that the class of a matrix Schubert variety is represented by the weighted sum of reduced pipe dreams. The defining ideal of MX_w has a simple set of generators due to Fulton [11]. Knutson and Miller showed that Fulton’s generators form a Gröbner basis with respect to any anti-diagonal term order [23]. The Gröbner degeneration of this ideal decomposes into a union of coordinate subspaces indexed by reduced pipe dreams. Our hope is that a similar program can be implemented in the (skew-)symmetric setting, which would give a geometric proof of Theorem 1.5. We discuss this in greater detail in Section 6.2.

In addition to Theorem 1.5, we also prove a number of results about the properties of involution pipe dreams. An outline of the rest of this article is as follows.

Section 2 contains some preliminaries on involution Schubert polynomials along with a proof of Theorem 1.2. In Section 3, we give several equivalent characterizations of $\mathcal{JD}(y)$ and $\mathcal{FD}(z)$ in terms of reduced words for permutations. Section 4 contains our proof of Theorem 1.5, which uses ideas from recent work of Knutson [22] along with certain transition equations for $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$ given in [14]. In Section 5, we show that both families of involution pipe dreams are obtained from distinguished “bottom” elements by repeatedly applying certain simple transformations. These transformations are extensions of the *ladder moves* for pipe dreams described by Bergeron and Billey in [1]. In Section 6, finally, we describe several related open problems and conjectures.

2 Schubert polynomials and matrix varieties

Everywhere in this paper, n denotes a fixed positive integer. For convenience, we realize the symmetric group S_n as the group of permutations of $\mathbb{Z}_{>0} = \{1, 2, 3, \dots\}$ fixing all $i > n$, so that there is an automatic inclusion $S_n \subset S_{n+1}$. In this section, we present some relevant background on involution Schubert polynomials and equivariant cohomology, and then prove Theorem 1.2.

2.1 Involution Schubert polynomials

To start, we provide a succinct definition of $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$ in terms of the ordinary Schubert polynomials \mathfrak{S}_w given by (1.2). Let $s_i = (i, i + 1) \in S_n$ for each $i \in [n - 1]$. A *reduced word* for $w \in S_n$ is a minimal-length sequence $a_1 a_2 \cdots a_l$ such that $w = s_{a_1} s_{a_2} \cdots s_{a_l}$. Let $\mathcal{R}(w)$ denote the set of reduced words for w . The *length* $\ell(w)$ of $w \in S_n$

is the length of any word in $\mathcal{R}(w)$. One has $\ell(ws_i) = \ell(w) + 1 > \ell(w)$ if and only if $w(i) < w(i + 1)$.

Proposition 2.1 [20, Theorem 7.1] *There is a unique associative operation $\circ : S_n \times S_n \rightarrow S_n$, called the Demazure product, with $s_i \circ s_i = s_i$ for all $i \in [n - 1]$ and $v \circ w = vw$ for all $v, w \in S_n$ with $\ell(vw) = \ell(v) + \ell(w)$.*

An *involution word* for $y \in \mathcal{J}_n = \{w \in S_n : w = w^{-1}\}$ is a minimal-length word $a_1 a_2 \cdots a_l$ with

$$(2.1) \quad y = s_{a_1} \circ \cdots \circ s_{a_2} \circ s_{a_1} \circ 1 \circ s_{a_1} \circ s_{a_2} \circ \cdots \circ s_{a_l}.$$

Note that we could replace $s_{a_1} \circ 1 \circ s_{a_1}$ in this expression by $s_{a_1} = s_{a_1} \circ s_{a_1} = s_{a_1} \circ 1 \circ s_{a_1}$. An *atom* for $y \in \mathcal{J}_n$ is a minimal-length permutation $w \in S_n$ with $y = w^{-1} \circ w$. Let $\hat{\mathcal{R}}(y)$ be the set of involution words for $y \in \mathcal{J}_n$ and let $\mathcal{A}(y)$ be the set of atoms for y . The associativity of the Demazure product implies that $\hat{\mathcal{R}}(y) = \bigsqcup_{w \in \mathcal{A}(y)} \mathcal{R}(w)$.

Example 2.2 If $y = 1432$ then $\hat{\mathcal{R}}(y) = \{23, 32\}$ and $\mathcal{A}(y) = \{1342, 1423\}$.

One can show that $\mathcal{J}_n = \{w^{-1} \circ w : w \in S_n\}$, so $\hat{\mathcal{R}}(y)$ and $\mathcal{A}(y)$ are nonempty for all $y \in \mathcal{J}_n$. Involution words are a special case of a more general construction of Richardson and Springer [40], and have been studied by various authors [5, 15, 17, 18]. Our notation follows [12, 13].

Definition 2.3 *The involution Schubert polynomial of $y \in \mathcal{J}_n$ is $\hat{\mathfrak{S}}_y = \sum_{w \in \mathcal{A}(y)} \mathfrak{S}_w$.*

Wyser and Yong [45] originally defined these polynomials recursively using divided difference operators; work of Brion [4] implies that our definition agrees with theirs. For a detailed explanation of the equivalence among these definitions, see [13].

Example 2.4 If $z = 1432 \in \mathcal{J}_4$ then $\mathcal{A}(z) = \{1342, 1423\}$ and

$$\hat{\mathfrak{S}}_z = \mathfrak{S}_{1342} + \mathfrak{S}_{1423} = (x_2 x_3 + x_1 x_3 + x_1 x_2) + (x_2^2 + x_1 x_2 + x_1^2).$$

Assume n is even, so $\mathcal{J}_n^{\text{FPF}} = \{z \in \mathcal{J}_n : i \neq z(i) \text{ for all } i \in [n]\}$ is nonempty, and let

$$1_n^{\text{FPF}} = 2143 \dots n \ n-1 = s_1 s_3 \cdots s_{n-1} \in \mathcal{J}_n^{\text{FPF}}.$$

An *fpf-involution word* for $z \in \mathcal{J}_n^{\text{FPF}}$ is a minimal-length word $a_1 a_2 \cdots a_l$ with

$$z = s_{a_1} \cdots s_{a_2} s_{a_1} 1_n^{\text{FPF}} s_{a_1} s_{a_2} \cdots s_{a_l}.$$

This formulation avoids the Demazure product, but there is an equivalent definition that more closely parallels (2.1). Namely, by [12, Corollary 2.6], an fpf-involution word for $z \in \mathcal{J}_n^{\text{FPF}}$ is also a minimal-length word $a_1 a_2 \cdots a_l$ with

$$z = s_{a_1} \circ \cdots \circ s_{a_2} \circ s_{a_1} \circ 1_n^{\text{FPF}} \circ s_{a_1} \circ s_{a_2} \circ \cdots \circ s_{a_l}.$$

An *fpf-atom* for $z \in \mathcal{J}_n^{\text{FPF}}$ is a minimal length permutation $w \in S_n$ with $z = w^{-1} 1_n^{\text{FPF}} w$. Let $\mathcal{A}^{\text{FPF}}(z)$ be the set of fpf-atoms for z , and let $\hat{\mathcal{R}}^{\text{FPF}}(z)$ be the set of fpf-involution words for z . The basic properties of reduced words imply that

$$\hat{\mathcal{R}}^{\text{FPF}}(z) = \bigsqcup_{w \in \mathcal{A}^{\text{FPF}}(z)} \mathcal{R}(w).$$

Example 2.5 If $z = 4321$ then $\hat{\mathcal{K}}^{\text{FPF}}(z) = \{23, 21\}$ and $\mathcal{A}^{\text{FPF}}(z) = \{1342, 3124\}$.

Note that $a_1 a_2 \cdots a_l$ belongs to $\hat{\mathcal{K}}^{\text{FPF}}(z)$ if and only if $135 \cdots (n-1) a_1 a_2 \cdots a_l$ belongs to $\hat{\mathcal{K}}(z)$. If $z \in \mathcal{J}_n^{\text{FPF}}$ then $\hat{\mathcal{K}}^{\text{FPF}}(z) = \hat{\mathcal{K}}^{\text{FPF}}(z s_{n+1})$ and $\mathcal{A}^{\text{FPF}}(z) = \mathcal{A}^{\text{FPF}}(z s_{n+1})$.

Fpf-involution words are special cases of reduced words for *quasiparabolic sets* [39]. Since $\mathcal{J}_n^{\text{FPF}}$ is a single S_n -conjugacy class, each $z \in \mathcal{J}_n^{\text{FPF}}$ has at least one fpf-involution word and fpf-atom.

Definition 2.6 The *fpf-involution Schubert polynomial* of $z \in \mathcal{J}_n^{\text{FPF}}$ is

$$\hat{\mathcal{S}}_z^{\text{FPF}} = \sum_{w \in \mathcal{A}^{\text{FPF}}(z)} \mathfrak{S}_w.$$

These polynomials were also introduced in [45]. If $z \in \mathcal{J}_n^{\text{FPF}}$ then $\hat{\mathcal{S}}_z^{\text{FPF}} = \hat{\mathcal{S}}_{z s_{n+1}}^{\text{FPF}}$.

Example 2.7 If $z = 532614 \in \mathcal{J}_6^{\text{FPF}}$ then $\mathcal{A}^{\text{FPF}}(z) = \{13452, 31254\}$ and $\hat{\mathcal{S}}_z^{\text{FPF}} = \mathfrak{S}_{13452} + \mathfrak{S}_{31254} = (x_2 x_3 x_4 + x_1 x_3 x_4 + x_1 x_2 x_4 + x_1 x_2 x_3) + (x_1^2 x_4 + x_1^2 x_3 + x_1^2 x_2 + x_1^3)$.

2.2 Torus-equivariant cohomology

Suppose V is a finite-dimensional rational representation of a torus $T \simeq (\mathbb{C}^\times)^n$. A character $\lambda \in \text{Hom}(T, \mathbb{C}^\times)$ is a *weight* of V if the *weight space* $V_\lambda = \{v \in V : tv = \lambda(t)v \text{ for all } t \in T\}$ is nonzero. Any nonzero $v \in V_\lambda$ is a *weight vector*, and V has a basis of weight vectors. Let $\text{wt}(V)$ denote the set of weights of V . After fixing an isomorphism $T \simeq (\mathbb{C}^\times)^n$, we identify the character $(t_1, \dots, t_n) \mapsto t_1^{a_1} \cdots t_n^{a_n}$ with the linear polynomial $a_1 x_1 + \cdots + a_n x_n \in \mathbb{Z}[x_1, \dots, x_n]$.

The *equivariant cohomology ring* $H_T(V)$ is isomorphic to $\mathbb{Z}[x_1, \dots, x_n]$, an identification we make without comment from now on. Each T -invariant subscheme $X \subseteq V$ has an associated class $[X] \in H_T(V)$, which we describe following [36, Chapter 8].

First, if X is a linear subspace then we define $[X] = \prod_{\lambda \in \text{wt}(X)} \lambda$, where we identify each character λ with a linear polynomial as above. More generally, fix a basis of weight vectors of V , and let $z_1, \dots, z_n \in V^*$ be the dual basis; this determines an isomorphism $\mathbb{C}[V] = \text{Sym}(V^*) \simeq \mathbb{C}[z_1, \dots, z_n]$.

Choose a term order on monomials in z_1, \dots, z_n , and let $\text{init}(I)$ denote the ideal generated by the leading terms of all members of a given set $I \subseteq \mathbb{C}[V]$. Given that $\text{init}(I)$ is a monomial ideal, one can show that each of its associated primes \mathfrak{p} is also a monomial ideal, and hence of the form $\langle z_{i_1}, \dots, z_{i_r} \rangle$. The corresponding subscheme $Z(\mathfrak{p})$ is a T -invariant linear subspace of V . Now define

$$(2.2) \quad [X] = \sum_{\mathfrak{p}} \text{mult}_{\mathfrak{p}}(\text{init } I(X)) [Z(\mathfrak{p})],$$

where $I(X)$ is the ideal of X and \mathfrak{p} runs over the associated primes of $\text{init } I(X)$.

2.3 Classes of involution matrix Schubert varieties

The matrix Schubert varieties in Theorem 1.1 can be described in terms of rank conditions, namely:

$$MX_w = \{A \in \text{Mat}_n : \text{rank } A_{[i][j]} \leq \text{rank } w_{[i][j]} \text{ for } i, j \in [n]\},$$

where Mat_n is the variety of $n \times n$ matrices, $A_{[i][j]}$ denotes the upper-left $i \times j$ corner of $A \in \text{Mat}_n$, and we identify $w \in S_n$ with the $n \times n$ permutation matrix having 1's in positions $(i, w(i))$.

The varieties $M\hat{X}_y$ and $M\hat{X}_z^{\text{FPF}}$ from Theorem 1.2 can be reformulated in a similar way. Specifically, we define the *involution matrix Schubert variety* of $y \in \mathcal{J}_n$ by

$$(2.3) \quad M\hat{X}_y = MX_y \cap \text{SMat}_n = \{A \in \text{SMat}_n : \text{rank } A_{[i][j]} \leq \text{rank } y_{[i][j]} \text{ for } i, j \in [n]\},$$

where SMat_n is the subvariety of symmetric matrices in Mat_n . When n is even, we define the *fpf-involution matrix Schubert variety* of $z \in \mathcal{J}_n^{\text{FPF}}$ by

$$(2.4) \quad M\hat{X}_z^{\text{FPF}} = MX_z \cap \text{SSMat}_n = \{A \in \text{SSMat}_n : \text{rank } A_{[i][j]} \leq \text{rank } z_{[i][j]} \text{ for } i, j \in [n]\},$$

where SSMat_n is the subvariety of skew-symmetric matrices in Mat_n .

Example 2.8 Suppose $y = 132 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \in \mathcal{J}_3$. Setting $R_{ij} = \text{rank } y_{[i][j]}$, we have $R = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 2 \\ 1 & 2 & 3 \end{bmatrix}$. The conditions $\text{rank } A_{[i][j]} \leq R_{ij}$ for $i, j \in [3]$ defining $M\hat{X}_y$ are all implied by the single condition $\text{rank } A_{[2][2]} \leq R_{22} = 1$. Thus,

$$M\hat{X}_y = \left\{ \begin{bmatrix} z_{11} & z_{21} & z_{31} \\ z_{21} & z_{22} & z_{32} \\ z_{31} & z_{32} & z_{33} \end{bmatrix} : z_{11}z_{22} - z_{21}^2 = 0 \right\}.$$

Let $T \subseteq \text{GL}_n$ be the usual torus of invertible diagonal matrices. Recall that $\kappa(y) = |\{i : y(i) < i\}|$ for $y \in \mathcal{J}_n$, and that T acts on matrices in Mat_n by $t \cdot A = tA$ and on symmetric matrices in SMat_n by $t \cdot A = tAt$. We can now prove Theorem 1.2, which states that if $y \in \mathcal{J}_n$ and $z \in \mathcal{J}_n^{\text{FPF}}$ then $2^{\kappa(y)}\hat{\mathfrak{S}}_y = [M\hat{X}_y] \in H_T^*(\text{SMat}_n)$ while $\hat{\mathfrak{S}}_z^{\text{FPF}} = [M\hat{X}_z^{\text{FPF}}] \in H_T^*(\text{SSMat}_n)$.

Remark It is possible, though a little cumbersome, to derive Theorem 1.2 from [31, Theorem 2.17 and Lemma 3.1], which provide a similar statement in complex K -theory. We originally announced Theorem 1.2 in an extended abstract for this paper which preceded the appearance of [31]. However, as the argument below is similar to the proofs of the results in [31], we will be somewhat curt here in our presentation of the details.

For $w = w_1 \dots w_n \in S_n$, let $w \times 1^k = w_1 \dots w_n \ n+1 \dots n+k \in S_{n+k}$. Similarly, for n even define $w \times (21)^k = w \times 1^{2k} \cdot (1_n^{\text{FPF}} \cdot 1_{n+2k}^{\text{FPF}})$. Our proof of Theorem 1.2 relies on the following characterizations of $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$:

Theorem 2.9 [45, Theorem 2] *If $y \in \mathcal{J}_n$ and $z \in \mathcal{J}_n^{\text{FPF}}$, then $2^{\kappa(y)}\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$ are the unique representatives for $[\hat{X}_y]$ and $[\hat{X}_z^{\text{FPF}}]$ with $2^{\kappa(y)}\hat{\mathfrak{S}}_y = 2^{\kappa(y)}\hat{\mathfrak{S}}_{y \times 1^k}$ and $\hat{\mathfrak{S}}_z^{\text{FPF}} = \hat{\mathfrak{S}}_{z \times (21)^k}^{\text{FPF}}$ for all $k \geq 1$.*

Proof of Theorem 1.2 If X and Y are complex varieties with T -actions, and $f : X \rightarrow Y$ is a T -equivariant morphism, then there is a pullback homomorphism $f^* : H_T^*(Y) \rightarrow H_T^*(X)$. If f is a flat morphism (e.g., an inclusion of an open subset, a projection of a fiber bundle, or a composition of flat morphisms), then $f^*([Z]) = [f^{-1}(Z)]$ for any subscheme $Z \subseteq Y$.

Because T acts freely on GL_n and since $T \backslash GL_n \rightarrow B \backslash GL_n \simeq Fl_n$ is a homotopy equivalence (see, e.g., [35, Section 8.1]), one has $H_T^*(GL_n) \simeq H^*(T \backslash GL_n) \simeq H^*(Fl_n)$. If $Z \subseteq GL_n$ is a B -invariant subvariety, then $[Z] \in H_T^*(GL_n)$ corresponds to the class of $B \backslash Z = \{Bg : g \in Z\}$ in $H^*(Fl_n)$. Fix $y \in J_n$ and define $\sigma : GL_n \rightarrow SMat_n$ by $\sigma(g) = gg^T$. Let $\iota : GL_n \hookrightarrow M_n$ be the obvious inclusion and consider the diagram

$$(2.5) \quad \begin{array}{ccccc} H_T^*(SMat_n) & \xrightarrow{\sigma^*} & H_T^*(GL_n) & \xrightarrow{\sim} & H^*(Fl_n) \\ \uparrow \wr & & \uparrow \iota^* & & \\ \mathbb{Z}[x_1, \dots, x_n] & \xrightarrow{\sim} & H_T^*(M_n) & & \end{array}$$

Realize O_n as the group $\{g \in GL_n : gg^T = 1\}$. The map σ is flat because it is the composition $GL_n \rightarrow GL_n/O_n \hookrightarrow SMat_n$, where the second map sends $gO_n \mapsto gg^T$ and may be identified with the open inclusion $GL_n \cap SMat_n \hookrightarrow SMat_n$. For fixed $i \in [n]$, one checks using the prescription of Section 2.2 that $2x_i$ represents both the class of $Z = \{A \in SMat_n : A_{ii} = 0\}$ in $H_T^*(SMat_n)$ and the class of $Z' = \{A \in M_n : (AA^T)_{ii} = 0\}$ in $H_T^*(M_n)$. Since $\sigma^*[Z] = [\sigma^{-1}(Z)] = [\iota^{-1}(Z')] = \iota^*[Z']$, this calculation implies that (2.5) commutes.

Now set $\hat{X}_y = B \backslash \sigma^{-1}(M\hat{X}_y) = \{Bg \in Fl_n : \text{rank}(gg^T)_{[i][j]} \leq \text{rank } y_{[i][j]} \text{ for } i, j \in [n]\}$, so that the path through the upper-left corner of (2.5) sends the polynomial $[M\hat{X}_y]$ to $[\hat{X}_y]$. The variety \hat{X}_y is the closure of an O_n -orbit on Fl_n [44, Section 2.1.2]. The path through the lower-right corner of (2.5) is simply the classical Borel map $\mathbb{Z}[x_1, \dots, x_n] \rightarrow H^*(Fl_n)$. We claim $[M\hat{X}_{y \times 1^m}]$ is constant for fixed y and varying m . Since $[M\hat{X}_y]$ is a representative for $[\hat{X}_y]$, the result then follows by Theorem 2.9.

For $y \neq 1 \in S_n$, define $\text{maxdes}(y) = \max\{i \in \mathbb{Z}_{\geq 0} : y(i) > y(i+1)\}$. Replacing $[n]$ in the definition (2.3) by $[\text{maxdes}(y)]$ yields exactly the same variety $M\hat{X}_y$. Since $\text{maxdes}(y \times 1^m)$ is independent of m , as is $\text{rank}(y \times 1^m)_{[i][j]}$ for $i, j \in [\text{maxdes}(y)]$, it follows that the ideals of $M\hat{X}_{y \times 1^m}$ for fixed y and varying m have a common generating set. It is clear from §2.2 that this means that the polynomial $[M\hat{X}_{y \times 1^m}]$ is independent of m .

The proof for the skew-symmetric case is the same, replacing O_n by Sp_n and the map $\sigma : g \mapsto gg^T$ by $g \mapsto g\Omega g^T$, where $\Omega \in GL_n$ is the nondegenerate skew-symmetric form preserved by Sp_n .

Corollary 2.10 *The polynomial $2^{\kappa(y)} \hat{\mathfrak{S}}_y$ (respectively, $\hat{\mathfrak{S}}_z^{\text{FPF}}$) is a positive integer linear combination of products of terms $x_i + x_j$ for $1 \leq i \leq j \leq n$ (respectively, $1 \leq i < j \leq n$).*

Proof The weights of T acting on $SMat_n$ are $x_i + x_j$ for $1 \leq i \leq j \leq n$, while the weights of $SSMat_n$ are the same with the added restriction $i < j$. The expression (2.2) makes clear that the classes $[M\hat{X}_y]$ and $[M\hat{X}_z^{\text{FPF}}]$ are positive integer linear combinations of products of these weights. ■

Remark Let S be a maximal torus in O_n . Let $T \times S$ act on GL_n by $(t, s) \cdot g = tgs^{-1}$ and on $SMat_n$ by $(t, s) \cdot A = tAt$. The map $\sigma : GL_n \rightarrow SMat_n, g \mapsto gg^T$ considered above is then $T \times S$ -equivariant. Since the second factor of $T \times S$ acts trivially on $SMat_n$, the polynomial $2^{\kappa(y)} \hat{\mathfrak{S}}_y$ still represents the class $[M\hat{X}_y] \in H_{T \times S}(SMat_n)$. It follows as in the proof of Theorem 1.2 that $2^{\kappa(y)} \hat{\mathfrak{S}}_y$ also represents the class $[\hat{X}_y]_S \in H_S(Fl_n)$. The

latter fact was proven by Wyser and Yong [45], but our approach gives an explanation for the surprising existence of a representative for $[\hat{X}_y]_S$ not involving the S -weights. Similar remarks apply in the skew-symmetric case.

3 Characterizing pipe dreams

The rest of this article is focused on the combinatorial properties of involution pipe dreams and their role in the formulas in Theorem 1.5 that manifest Corollary 2.10. In the introduction, we defined (fpf-)involution pipe dreams via simple symmetry conditions. In this section, we give an equivalent characterization in terms of “compatible sequences” related to involution words.

3.1 Reading words

For $p \in \mathbb{Z}$, the p th antidiagonal in $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ is the set

$$\{(i, j) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} : i + j - 1 = p\}.$$

The p th diagonal in $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ is the set

$$\{(i, j) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} : j - i = p\}.$$

Labeling the elements of $\{1, 2, 3\} \times \{1, 2, 3\}$ by their antidiagonal and diagonal gives

$$\begin{array}{ccc} 1 & 2 & 3 \\ 2 & 3 & 4 \\ 3 & 4 & 5 \end{array} \quad \text{and} \quad \begin{array}{ccc} 0 & -1 & -2 \\ 1 & 0 & -1 \\ 2 & 1 & 0 \end{array}$$

respectively. Let $\text{adiag} : \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} \rightarrow \mathbb{Z}_{>0}$ be the map sending $(i, j) \mapsto i + j - 1$.

Definition 3.1 The standard reading word of $D \subseteq [n] \times [n]$ is the sequence

$$\text{word}(D) = \text{adiag}(\alpha_1)\text{adiag}(\alpha_2)\cdots\text{adiag}(\alpha_{|D|}),$$

where $\alpha_1, \alpha_2, \dots, \alpha_{|D|}$ are the positions of D read row-by-row from right to left, starting with the top row.

If one also records the row indices of the positions α_i as a second word, then the resulting words uniquely determine D and are the same data as a compatible sequence for $\text{word}(D)$ (see [3, (1)]).

Example 3.2 The subset $D = \{(1, 3), (1, 2), (2, 3), (2, 2), (3, 2)\}$ has $\text{word}(D) = 32434$.

We introduce a more general class of reading words. Suppose $\omega : [n] \times [n] \rightarrow [n^2]$ is a bijection. For a subset $D \subseteq [n] \times [n]$ with $\omega(D) = \{i_1 < i_2 < \dots < i_m\}$, let

$$\text{word}(D, \omega) = \text{adiag}(\omega^{-1}(i_1))\text{adiag}(\omega^{-1}(i_2))\cdots\text{adiag}(\omega^{-1}(i_m)).$$

The standard reading word of $D \subseteq [n] \times [n]$ corresponds to $\omega : (i, j) \mapsto ni - j + 1$.

Example 3.3 If $n = 2$ and ω is such that $\begin{bmatrix} \omega(1,1) & \omega(1,2) \\ \omega(2,1) & \omega(2,2) \end{bmatrix} = \begin{bmatrix} 3 & 1 \\ 4 & 2 \end{bmatrix}$ then we would have $\text{word}([n] \times [n], \omega) = 2312$, while if $D = \{(1, 1), (2, 2)\}$ then $\text{word}(D, \omega) = 31$.

For us, a *linear extension* of a finite poset (P, \leq) with size $m = |P|$ is a bijection $\omega : P \rightarrow [m]$ such that $\omega(s) < \omega(t)$ whenever $s < t$ in P .

Definition 3.4 A *reading order* on $[n] \times [n]$ is a linear extension of the partial order \leq_{NE} on $[n] \times [n]$ that has $(i, j) \leq_{NE} (i', j')$ if and only if both $i \leq i'$ and $j \geq j'$. If ω is a reading order, then we refer to $\text{word}(D, \omega)$ as a *reading word* of $D \subseteq [n] \times [n]$.

The *Coxeter commutation class* of a finite sequence of integers is its equivalence class under the relation that lets adjacent letters commute if their positive difference is at least two. For example, $\{1324, 3124, 1342, 3142, 3412\}$ is a single Coxeter commutation class. Fix a set $D \subseteq [n] \times [n]$.

Lemma 3.5 All reading words of D are in the same Coxeter commutation class.

This result can be derived using Viennot’s theory of heaps of pieces; see [43, Lemma 3.3].

Proof Let $s_p \in S_{n^2}$ be the simple transposition interchanging p and $p + 1$, and choose a reading order ω on $[n] \times [n]$. The sequence $\text{word}(D, s_p \omega)$ is equal to $\text{word}(D, \omega)$ when $\{p, p + 1\} \not\subseteq \omega(D)$, and otherwise is obtained by interchanging two adjacent letters in $\text{word}(D, \omega)$. In the latter case, if $\omega^{-1}(p) = (i, j)$ and $\omega^{-1}(p + 1) = (i', j')$ are not in adjacent antidiagonals, then $\text{word}(D, \omega)$ and $\text{word}(D, s_p \omega)$ are in the same Coxeter commutation class.

Now suppose v is a second reading order on $[n] \times [n]$. We claim that one can pass from ω to v by composing ω with a sequence of simple transpositions obeying the condition just described. To check this, we induct on the number of inversions in the permutation $v\omega^{-1} \in S_{n^2}$. If $v\omega^{-1}$ is not the identity, then there exists p with $v(\omega^{-1}(p)) > v(\omega^{-1}(p + 1))$. Since v and ω are both linear extensions of \leq_{NE} , we can have neither $\omega^{-1}(p) \leq_{NE} \omega^{-1}(p + 1)$ nor $\omega^{-1}(p + 1) \leq_{NE} \omega^{-1}(p)$, so the cells $\omega^{-1}(p)$ and $\omega^{-1}(p + 1)$ are not in adjacent antidiagonals. Therefore $\text{word}(D, \omega)$ and $\text{word}(D, s_p \omega)$ are in the same Coxeter commutation class, which by induction also includes $\text{word}(D, v)$. ■

Each diagonal is an antichain for \leq_{NE} , so if ω first lists the elements on diagonal $-(n - 1)$ in any order, then lists the elements on diagonal $-(n - 2)$, and so on, then ω is a reading order.

Definition 3.6 The *unimodal-diagonal reading order* on $[n] \times [n]$ is the reading order that lists the elements of the p th diagonal from bottom to top if $p < 0$, and from top to bottom if $p \geq 0$. The *unimodal-diagonal reading word* of $D \subseteq [n] \times [n]$, denoted $\text{udiag}(D)$, is the associated reading word.

The unimodal-diagonal reading order on $\{1, 2, 3, 4\} \times \{1, 2, 3, 4\}$ has values

7	6	3	1
11	8	5	2
14	12	9	4
16	15	13	10

and if $D = \{1, 2, 3, 4\} \times \{1, 2, 3, 4\}$ then $\text{udiag}(D) = 4536421357246354$.

3.2 Pipe dreams

Recall the definitions of the sets of reduced words $\mathcal{R}(w)$, involution words $\hat{\mathcal{R}}(y)$, and fpf -involution words $\hat{\mathcal{R}}^{\text{FPF}}(z)$ for $w \in S_n$, $y \in \mathcal{J}_n$, and $z \in \mathcal{J}_n^{\text{FPF}}$ from Section 2.1. For the standard reading word, the following theorem is well-known from [1]. The main new results of this section are versions of this theorem for involution pipe dreams and fpf -involution pipe dreams.

Theorem 3.7 *A subset $D \subseteq [n] \times [n]$ is a reduced pipe dream for $w \in S_n$ if and only if some (equivalently, every) reading word of D is a reduced word for w .*

Proof Fix $D \subseteq [n] \times [n]$ and $w \in S_n$. The set $\mathcal{R}(w)$ is a union of Coxeter commutation classes, so $\text{word}(D) \in \mathcal{R}(w)$ if and only every reading word of D belongs to $\mathcal{R}(w)$ by Lemma 3.5. Saying that D is a reduced pipe dream for w if and only if $\text{word}(D) \in \mathcal{R}(w)$ is Bergeron and Billey’s original definition of an *rc-graph* in [1, Section 3], and it is clear from the basic properties of permutation wiring diagrams that this is equivalent to the definition of a reduced pipe dream in the introduction. ■

Corollary 3.8 [1, Lemma 3.2] *If D is a reduced pipe dream for $w \in S_n$ then D^T is a reduced pipe dream for w^{-1} .*

Recall that the set $\mathcal{ID}(z)$ of *involution pipe dreams* for $z \in \mathcal{J}_n$ consists of all intersections $D \cap \triangleleft_n$ where D is a reduced pipe dream for z that is almost-symmetric and $\triangleleft_n = \{(j, i) \in [n] \times [n] : i \leq j\}$.

Theorem 3.9 *Suppose $z \in \mathcal{J}_n$ and $D \subseteq [n] \times [n]$. The following are equivalent:*

- (a) *Some reading word of D is an involution word for z .*
- (b) *Every reading word of D is an involution word for z .*
- (c) *The set D is a reduced pipe dream for some atom of z .*

Moreover, if $D \subseteq \triangleleft_n$ then $D \in \mathcal{ID}(z)$ if and only if these equivalent conditions hold.

Remark Although this theorem implies that $\mathcal{ID}(z) \subseteq \bigsqcup_{w \in \mathcal{A}(z)} \mathcal{PD}(w)$, it is possible for an atom $w \in \mathcal{A}(z)$ to have no reduced pipe dreams contained in \triangleleft_n , in which case $\mathcal{ID}(z)$ and $\mathcal{PD}(w)$ are disjoint. See Example 3.10 for an illustration of this.

Proof Recall that $\hat{\mathcal{R}}(z)$ is the disjoint union of the sets $\mathcal{R}(w)$, running over all atoms $w \in \mathcal{A}(z)$. The equivalences (a) \Leftrightarrow (b) \Leftrightarrow (c) are clear from Lemma 3.5 and Theorem 3.7. Assume $D \subseteq \triangleleft_n$. To prove the final assertion, it suffices to show that $D \in \mathcal{ID}(z)$ if and only if the unimodal-diagonal reading word of D from Definition 3.6 is an involution word of z .

Suppose $|D| = m$ and $\text{udiag}(D) = a_1 a_2 \cdots a_m$. We construct a sequence $w_0, w_1, w_2, \dots, w_m$ of involutions as follows: start by setting $w_0 = 1$, and for each $i \in [m]$ define $w_i = s_{a_i} w_{i-1} s_{a_i}$ if we have $w_{i-1} s_{a_i} \neq s_{a_i} w_{i-1}$, or else set $w_i = w_{i-1} s_{a_i} = s_{a_i} w_{i-1}$. For example, if $m = 5$ and $a_1 a_2 a_3 a_4 a_5 = 13235$ then this sequence has

$$\begin{aligned} w_1 &= s_1, \\ w_2 &= s_1 s_3, \\ w_3 &= s_2 s_1 s_3 s_2, \end{aligned}$$

$$w_4 = s_3s_2s_1s_3s_2s_3,$$

$$w_5 = s_3s_2s_1s_3s_2s_3s_5.$$

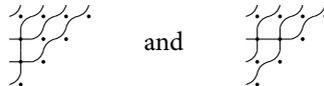
Let $b_1 \cdots b_2 b_1$ be the subword of $a_m \cdots a_2 a_1$ which contains a_i if and only if $w_i = s_{a_i} w_{i-1} s_{a_i}$. In our example with $m = 5$ and $a_1 a_2 a_3 a_4 a_5 = 13235$, we have $l = 2$ and $b_2 b_1 = a_4 a_3 = 32$. Let $(p_1, q_1), (p_2, q_2), \dots, (p_m, q_m)$ be the cells in D listed in the unimodal-diagonal reading order and define $E = D \sqcup \{(q_i, p_i) : w_i = s_{a_i} w_{i-1} s_{a_i}\}$. If $\text{udiag}(D) = 13235$ then we could have

$$D = \begin{Bmatrix} + & \cdots & \cdots \\ + & + & \cdots \\ + & \cdots & \cdots \\ \cdot & + & \cdots \end{Bmatrix} \quad \text{then} \quad E = \begin{Bmatrix} + & + & + & \cdots \\ + & + & \cdots & \cdots \\ + & \cdots & \cdots & \cdots \\ \cdot & + & \cdots & \cdots \end{Bmatrix}.$$

By construction $\text{udiag}(E) = b_1 \cdots b_2 b_1 a_1 a_2 \cdots a_m$ is a reduced word for z . It follows that E is almost-symmetric since each b_i has a corresponding a_j and the associated cells are transposes of each other.

The exchange principle (see, e.g., [18, Lemma 3.4]) implies that if $w \in \mathcal{J}_n, i \in [n - 1]$, and $w(i) < w(i + 1)$, then either $s_i w s_i = w \neq w s_i = s_i w = s_i \circ w \circ s_i$ or $s_i w s_i = s_i \circ w \circ s_i \neq w$. From this, it is straightforward to show that $\text{udiag}(D) \in \hat{\mathcal{R}}(z)$ if and only if $\text{udiag}(E) \in \mathcal{R}(z)$; this also follows from the results in [12, Section 2]. Given the previous paragraph, we conclude that $\text{udiag}(D) \in \mathcal{R}(z)$ if and only if $D = E \cap \Delta_n$ is an involution pipe dream for z . ■

Example 3.10 Let $z = 1432 \in \mathcal{J}_4$. Since $z = s_3 \circ s_2 \circ 1 \circ s_2 \circ s_3 = s_2 \circ s_3 \circ 1 \circ s_3 \circ s_2$, we have $23 \in \hat{\mathcal{R}}(z)$ and $32 \in \hat{\mathcal{R}}(z)$. These are the standard reading words of the involution pipe dreams $\{(2, 1), (3, 1)\}$ and $\{(2, 1), (2, 2)\}$, which may be drawn as



The only involution pipe dream for $y = 321 \in \mathcal{J}_3$ is $\{(1, 1), (2, 1)\}$ which has standard reading word 12. Although $\hat{\mathcal{R}}(y) = \{12, 21\}$, there is no involution pipe dream with standard reading word 21.

We turn to the fixed-point-free case.

Lemma 3.11 Assume n is even. Suppose $z \in \mathcal{J}_n$ is an involution with a symmetric reduced pipe dream $D = D^T$. Then $z \in \mathcal{J}_n^{\text{FPF}}$ if and only if $\{(i, i) : i \in [n/2]\} \subseteq D$.

Proof In fact, a stronger statement holds: for symmetric D and $i \in [n/2]$, the pipes in cell (i, i) of the wiring diagram of D are labeled by fixed points of z if and only if $(i, i) \notin D$. Let a and b be the labels for the pipes entering (i, i) from the left and below, respectively. Since D is symmetric, if $(i, i) \in D$ then $z(a) = b$ (hence $z(b) = a$), and if $(i, i) \notin D$ then $z(a) = a$ and $z(b) = b$. ■

Recall that the set $\mathcal{FD}(z)$ of *fpf-involution pipe dreams* for $z \in \mathcal{J}_n^{\text{FPF}}$ consists of all intersections $D \cap \Delta_n^\#$ where D is a reduced pipe dream for z that is symmetric and $\Delta_n^\# = \{(j, i) \in [n] \times [n] : i < j\}$.

Theorem 3.12 Suppose n is even, $z \in \mathcal{J}_n^{\text{FPF}}$, and $D \subseteq [n] \times [n]$. The following are equivalent:

- (a) Some reading word of D is an fpf-involution word for z .

- (b) Every reading word of D is an fpf-involution word for z .
- (c) The set D is a reduced pipe dream for some fpf-atom of z .

Moreover, if $D \subseteq \Delta_n^\#$ then $D \in \mathcal{FD}(z)$ if and only if these equivalent conditions hold.

Proof Recall that $\hat{\mathcal{R}}^{\text{FPF}}(z)$ is the disjoint union of the sets $\mathcal{R}(w)$, running over all fpf-atoms $w \in \mathcal{A}^{\text{FPF}}(z)$. Properties (a), (b), and (c) are again equivalent by Lemma 3.5 and Theorem 3.7. Assume $D \subseteq \Delta_n^\#$. To prove the final assertion, it suffices to check that D is an fpf-involution pipe dream for z if and only if $\text{udiag}(D) \in \hat{\mathcal{R}}^{\text{FPF}}(z)$.

To this end, first suppose $D = E \cap \Delta_n^\#$ where $E = E^T \in \mathcal{PD}(z)$. Then E is also almost-symmetric, so Theorem 3.9 implies that $E \cap \Delta_n \in \mathcal{JD}(z)$. This combined with Lemma 3.11 implies that $\text{udiag}(E \cap \Delta_n) = 135 \cdots (n-1) \text{udiag}(D) \in \hat{\mathcal{R}}(z)$, so $\text{udiag}(D) \in \hat{\mathcal{R}}^{\text{FPF}}(z)$.

Conversely, suppose every reading word of D is an fpf-involution word for z , so that $\text{udiag}(D) \in \hat{\mathcal{R}}^{\text{FPF}}(z)$. The set $D' = D \sqcup \{(i, i) : i \in [n-1]\}$ then has $\text{udiag}(D') \in \hat{\mathcal{R}}(z)$, so there exists an almost-symmetric $D'' \in \mathcal{PD}(z)$ with $D'' \cap \Delta_n = D'$ by Theorem 3.9. By construction $D = D'' \cap \Delta_n^\#$, and since $|D''| = \ell(z) = 2|D| + n/2$ it follows that D'' is actually symmetric. Therefore, $D \in \mathcal{FD}(z)$. ■

Example 3.13 Let $z = 216543 \in \mathcal{J}_6^{\text{FPF}}$. Then $\ell(z) = 7$ and

$$z = s_3 \cdot s_4 \cdot (s_1 \cdot s_3 \cdot s_5) \cdot s_4 \cdot s_3 = s_5 \cdot s_4 \cdot (s_1 \cdot s_3 \cdot s_5) \cdot s_4 \cdot s_5,$$

so 3413543 and 5413545 are reduced words for z . These words are the unimodal-diagonal reading words of the symmetric reduced pipe dreams



so $\{(3, 1), (3, 2)\}$ and $\{(4, 1), (5, 1)\}$ are fpf-involution pipe dreams for z , and their standard reading words 43 and 45 are fpf-involution words for z .

4 Pipe dreams and Schubert polynomials

In this section, we derive the pipe dream formulas for involution Schubert polynomials given in Theorem 1.5. Our arguments are inspired by a new proof due to Knutson [22] of the classical pipe dream formula (1.2). Knutson’s approach is inductive. The key step in his argument is to show that the right side of (1.2) satisfies certain recurrences that also apply to double Schubert polynomials [25, Section 4].

Similar recurrences for $\hat{\mathcal{G}}_y$ and $\hat{\mathcal{G}}_z^{\text{FPF}}$ appear in [14]. Adapting Knutson’s strategy to our setting requires us to show that the right hand expressions in Theorem 1.5 satisfy the same family of identities. This is accomplished in Theorems 4.23 and 4.34. Proving these results involves a detailed analysis of the maximal (shifted) Ferrers diagram contained in a reduced pipe dream, which we refer to as the (shifted) dominant component. We gradually develop the technical properties of these components over the course of this section.

4.1 Dominant components of permutations

The results in this subsection are all straightforward consequences of known results, with the possible exception of Lemma 4.2; see in particular [22, Section 3]. However, we are unaware of an explicit description of Definition 4.1 in the literature. Since this definition is central to our construction, we give a self-contained treatment of its properties.

A *lower set* in a poset $(P, <)$ is a subset $L \subset P$ such that if $x \in P, y \in L$, and $x < y$, then $x \in L$. Let \leq_{NW} be the partial order on $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $(i, j) \leq_{NW} (i', j')$ if $i \leq i'$ and $j \leq j'$, i.e., if (i, j) is northwest of (i', j') in matrix coordinates.

Definition 4.1 The *dominant component* $\text{dom}(D)$ of a set $D \subseteq \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ is the maximal lower set in $(\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}, \leq_{NW})$ contained in D .

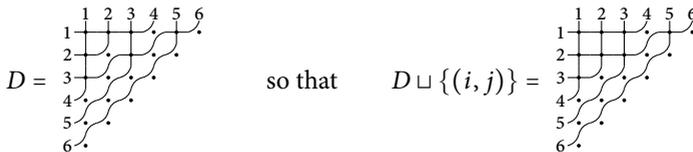
Equivalently, the set $\text{dom}(D)$ consists of all $(i, j) \in D$ such that if $(i', j') \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ and $(i', j') \leq_{NW} (i, j)$ then $(i', j') \in D$. If D is finite, then its dominant component $\text{dom}(D)$ is the Ferrers diagram $D_\lambda = \{(i, j) : 1 \leq i \leq \ell(\lambda), 1 \leq j \leq \lambda_i\}$ of some partition λ . An *outer corner* of D is a pair $(i, j) \in (\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}) \setminus D$ such that $\text{dom}(D) \sqcup \{(i, j)\}$ is again a Ferrers diagram of some partition. For example, $(1, 2)$ and $(2, 1)$ are the outer corners of $D = \{(1, 1), (1, 3)\}$, since $\text{dom}(D) = \{(1, 1)\}$.

For distinct $i, j \in [n]$, let $t_{ij} \in S_n$ be the transposition interchanging i and j .

Lemma 4.2 Suppose $w \in S_n$ and (i, j) is an outer corner of some $D \in \mathcal{PD}(w)$. Then $w(i) = j$ and $D \sqcup \{(i, j)\}$ is a reduced pipe dream (for a longer permutation).

Proof By hypothesis, D contains every cell above (i, j) in the j th column and every cell to the left of (i, j) in the i th row. This means that in the wiring diagram associated to D , the pipe leaving the top of position (i, j) must continue straight up and terminate in column j on the top side of D , and after leaving the left of position (i, j) , the same pipe must continue straight left and terminate in row i on the left side of D . Thus $w(i) = j$ as claimed. Suppose the other pipe at position (i, j) starts at p on the left and ends at $q = w(p)$ on the top. As this pipe leaves (i, j) rightwards and downwards, we have $p > i$ and $q > j$, and the pipe only intersects $[i] \times [j]$ at (i, j) , where it avoids the other pipe. Therefore, we have $D \sqcup \{(i, j)\} \in \mathcal{PD}(w')$ for $w' := wt_{ip} = t_{jq}w \in S_n$, and it holds that $\ell(w) < \ell(w')$ as $i < p$ and $w(i) < w(p)$. ■

Example 4.3 Suppose $w = 426135 \in S_6$. If $(i, j) = (2, 2)$ and



then in the notation of the proof, we have $p = 3, q = 6$, and $w' = 462135$.

The *Rothe diagram* of $w \in S_n$ is

$$D(w) = \{(i, j) \in [n] \times [n] : w(i) > j \text{ and } w^{-1}(j) > i\}.$$

It is often useful to observe that the set $D(w)$ is the complement in $[n] \times [n]$ of the union of the hooks $\{(x, w(i)) : i < x \leq n\} \sqcup \{(i, w(i))\} \sqcup \{(i, y) : w(i) < y \leq n\}$ for $i \in [n]$. It is not hard to show that one always has $|D(w)| = \ell(w)$.

Definition 4.4 The *dominant component* of a permutation $w \in S_n$ is $\text{dom}(w) = \text{dom}(D(w))$. We say that permutation $w \in S_n$ is *dominant* if $\text{dom}(w) \in \mathcal{PD}(w)$.

It is more common to define w to be dominant if $D(w)$ is the Ferrers diagram of a partition, or equivalently if w is 132-avoiding. The following lemma shows that our definition is equivalent.

Lemma 4.5 A permutation $w \in S_n$ is dominant if and only if it holds that $\mathcal{PD}(w) = \{\text{dom}(w)\}$, in which case $\text{dom}(w) = D(w)$.

Proof If $w \in S_n$ is dominant then $\mathcal{PD}(w) = \{\text{dom}(w)\} = \{D(w)\}$ since all reduced pipe dreams for w have size $\ell(w) = |D(w)|$ and contain $\text{dom}(w) \subseteq D(w)$. ■

Corollary 4.6 Let $w \in S_n$. Then $\text{dom}(w^{-1}) = \text{dom}(w)^T$. If w is dominant, then $\text{dom}(w) = \text{dom}(w)^T$ if and only if $w = w^{-1}$.

Proof The first claim holds since $D(w^{-1}) = D(w)^T$. If w is dominant and $\text{dom}(w) = \text{dom}(w)^T$, then $\text{dom}(w) = D(w)$ by Lemma 4.5 so $D(w) = D(w)^T = D(w^{-1})$ and therefore $w = w^{-1}$. ■

We write $\mu \subseteq \lambda$ for partitions μ and λ to indicate that $D_\mu \subseteq D_\lambda$.

Proposition 4.7 If λ is a partition with $\lambda \subseteq (n - 1, \dots, 3, 2, 1)$ then there exists a unique dominant permutation $w \in S_n$ with $\text{dom}(w) = D_\lambda$.

Proof This holds by induction as adding an outer corner to the reduced pipe dream of a dominant permutation yields a reduced pipe dream of a dominant permutation. ■

Write \leq for the Bruhat order on S_n . Since $v \leq w$ if and only if some (equivalently, every) reduced word for w has a subword that is a reduced word for v [20, Section 5.10], Theorem 3.7 implies:

Lemma 4.8 If $v, w \in S_n$ then $v \leq w$ if and only if some (equivalently, every) reduced pipe dream for w has a subset that is a reduced pipe dream for v .

Corollary 4.9 Let $v, w \in S_n$ with v dominant. Then $v \leq w$ if and only if $\text{dom}(v) \subseteq D$ for some (equivalently, every) $D \in \mathcal{PD}(w)$.

Proof This holds since a dominant permutation has only one reduced pipe dream. ■

For each $i \in [n]$ let $c_i(w) = |\{j : (i, j) \in D(w)\}|$. The *code* of $w \in S_n$ is the integer sequence $c(w) = (c_1(w), \dots, c_n(w))$. The *bottom pipe dream* of $w \in S_n$ is the set

$$(4.1) \quad D_{\text{bot}}(w) = \{(i, j) \in [n] \times [n] : j \leq c_i(w)\}$$

obtained by left-justifying $D(w)$. It is not obvious that $D_{\text{bot}}(w) \in \mathcal{PD}(w)$, but this holds by results in [1]; see also Theorem 5.2 below.

Example 4.10 If $w = 35142 \in S_5$, then $D(w)$ is the set of +’s below:

$$\begin{matrix} + & + & 1 & \cdot & \cdot \\ + & + & \cdot & + & 1 \\ 1 & \cdot & \cdot & \cdot & \cdot \\ \cdot & + & \cdot & 1 & \cdot \\ \cdot & 1 & \cdot & \cdot & \cdot \end{matrix}$$

so we have $c(w) = (2, 3, 0, 1, 0)$ and

$$D_{\text{bot}}(w) = \begin{matrix} & + & + & \cdot & \cdot \\ & + & + & + & \cdot \\ & \cdot & \cdot & \cdot & \cdot \\ + & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \end{matrix}$$

Proposition 4.11 If $w \in S_n$ and $D \in \mathcal{PD}(w)$ then $\text{dom}(D) = \text{dom}(w)$.

Proof For each $D \in \mathcal{PD}(w)$ there exists a dominant permutation $v \in S_n$ with $\text{dom}(v) = \text{dom}(D)$ and $v \leq w$, in which case $\text{dom}(D) \subseteq \text{dom}(E)$ for all $E \in \mathcal{PD}(w)$ by Corollary 4.9. This can only hold if $\text{dom}(D) = \text{dom}(E)$ for all $E \in \mathcal{PD}(w)$.

To finish the proof, it suffices to show that $\text{dom}(w) = \text{dom}(D_{\text{bot}}(w))$. It is clear by definition that $\text{dom}(w) \subseteq \text{dom}(D_{\text{bot}}(w))$. Conversely, each outer corner of $\text{dom}(w)$ has the form $(i, w(i))$ for some $i \in [n]$ but no such cell is in $\text{dom}(D_{\text{bot}}(w))$, so we cannot have $\text{dom}(w) \subsetneq \text{dom}(D_{\text{bot}}(w))$. ■

Below, we define an *outer corner* of $w \in S_n$ to be an outer corner of $\text{dom}(w)$.

4.2 Involution pipe dream formulas

Recall that $\mathcal{J}_n = \{w \in S_n : w = w^{-1}\}$ and $\triangleleft_n = \{(j, i) \in [n] \times [n] : i \leq j\}$.

Definition 4.12 The *shifted dominant component* of $z \in \mathcal{J}_n$ is the set $\text{shdom}(z) = \text{dom}(z) \cap \triangleleft_n$.

Fix $z \in \mathcal{J}_n$. By Proposition 4.11, $\text{shdom}(z) = \text{dom}(D) \cap \triangleleft_n$ for all $D \in \mathcal{PD}(z)$. The *shifted Ferrers diagram* of a strict partition $\lambda = (\lambda_1 > \lambda_2 > \dots > \lambda_k > 0)$ is the set

$$\text{SD}_\lambda = \{(i, i + j - 1) : 1 \leq i \leq k, 1 \leq j \leq \lambda_i\},$$

which is formed from D_λ by moving the boxes in row i to the right by $i - 1$ columns. Since $\text{dom}(z)$ is a Ferrers diagram, the set $\text{shdom}(z)$ is the transpose of the shifted Ferrers diagram of some strict partition. A pair $(j, i) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $i \leq j$ is an outer corner of z if and only if the transpose of $\text{shdom}(z) \cup \{(j, i)\}$ is a shifted Ferrers diagram, in which case $z(j) = i$.

Lemma 4.13 If $z \in \mathcal{J}_n$ then $\text{dom}(z) = \text{shdom}(z) \cup \text{shdom}(z)^T$.

Proof This holds since $z = z^{-1}$ implies that $\text{dom}(z) = \text{dom}(z)^T$. ■

Corollary 4.14 If $z \in \mathcal{J}_n$ then $\text{shdom}(z)$ is the union of all lower sets of $(\triangleleft_n, \leq_{\text{NW}})$ that are contained in some (equivalently, every) $D \in \mathcal{PD}(z)$.

Proof This is clear from Proposition 4.11 and Lemma 4.13. ■

The natural definition of “involution” dominance turns out to be equivalent to the usual notion:

Proposition 4.15 *Let $z \in \mathcal{J}_n$. The following are equivalent:*

- (a) *The permutation z is dominant.*
- (b) *It holds that $\mathcal{PD}(z) = \{\text{dom}(z)\}$.*
- (c) *It holds that $\mathcal{JD}(z) = \{\text{shdom}(z)\}$.*

Proof We have (a) \Leftrightarrow (b) by Lemma 4.5 and (b) \Leftrightarrow (c) by Lemma 4.13. ■

Proposition 4.16 *If λ is a strict partition with $\lambda \subseteq (n - 1, n - 3, n - 5, \dots)$ then there exists a unique dominant involution $z \in \mathcal{J}_n$ with $\text{shdom}(z)^T = \text{SD}_\lambda$.*

Proof We have $D_\mu = \text{SD}_\lambda \cup (\text{SD}_\lambda)^T$ for some μ . Let $z \in S_n$ be dominant with $\text{dom}(z) = D_\mu$. Then $z \in \mathcal{J}_n$ by Corollary 4.6 and $\text{shdom}(z)^T = (D_\mu \cap \triangleleft_n)^T = \text{SD}_\lambda$. Uniqueness holds by Lemma 4.13. ■

If $y, z \in \mathcal{J}_n$, then $y \leq z$ in Bruhat order if and only if some (equivalently, every) involution word for z contains a subword that is an involution word for y (see either [40, Corollary 8.10] with [41], or [19, Theorem 2.8]). The following is an immediate corollary of this property and Theorem 3.9.

Lemma 4.17 *Let $y, z \in \mathcal{J}_n$. Then $y \leq z$ if and only if some (equivalently, every) involution pipe dream for z has a subset that is an involution pipe dream for y .*

Corollary 4.18 *Let $y, z \in \mathcal{J}_n$ with y dominant. Then $y \leq z$ if and only if $\text{shdom}(y) \subseteq D$ for some (equivalently, every) $D \in \mathcal{JD}(z)$.*

Proof This is clear since if $y \in \mathcal{J}_n$ is dominant then $|\mathcal{JD}(y)| = 1$. ■

We will need the following technical property of the Demazure product from [24].

Lemma 4.19 [24, Lemma 3.4(1)] *If $b_1 b_2 \cdots b_q$ is a subword of $a_1 a_2 \cdots a_p$ where each $a_i \in [n - 1]$, then $s_{b_1} \circ s_{b_2} \circ \cdots \circ s_{b_q} \leq s_{a_1} \circ s_{a_2} \circ \cdots \circ s_{a_p} \in S_n$.*

Corollary 4.20 *If $v', w', v, w \in S_n$, and $v \leq w$, and $v' \leq w'$ then $v' \circ v \leq w' \circ w$.*

Proof This is clear from Lemma 4.19 given the subword property of \leq . ■

Corollary 4.21 *If $v, w \in S_n$ and $v \leq w$ then $v^{-1} \circ v \leq w^{-1} \circ w$.*

Proof Apply Corollary 4.20 with $v' = v^{-1}$ and $w' = w^{-1}$. ■

Recall $t_{ij} \in S_n$ is a transposition for distinct $i, j \in [n]$. It is well-known and not hard to check that if $w \in S_n$ then $\ell(wt_{ij}) = \ell(w) + 1$ if and only if $w(i) < w(j)$ and no $i < e < j$ has $w(i) < w(e) < w(j)$.

Given $y \in \mathcal{J}_n$ and $1 \leq i < j \leq n$, let $\mathcal{A}_{ij}(y) = \{wt_{ij} : w \in \mathcal{A}(y), \ell(wt_{ij}) = \ell(w) + 1\}$. Each covering relation in (S_n, \leq) arises as the image of right multiplication by some transposition t_{ij} . The following theorem characterizes certain operators τ_{ij} which play an analogous role for (\mathcal{J}_n, \leq) .

Theorem 4.22 (See [14, Section 3]) *For each pair of integers $1 \leq i < j \leq n$, there are unique maps $\tau_{ij} : \mathcal{J}_n \rightarrow \mathcal{J}_n$ with the following properties:*

- (a) *If $y \in \mathcal{J}_n$ and $\mathcal{A}_{ij}(y) \cap \mathcal{A}(z) \neq \emptyset$ for some $z \in \mathcal{J}_n$ then $\tau_{ij}(y) = z$.*

(b) If $y \in \mathcal{J}_n$ and $\mathcal{A}_{ij}(y) \cap \mathcal{A}(z) = \emptyset$ for all $z \in \mathcal{J}_n$ then $\tau_{ij}(y) = y$.

Moreover, if $y \in \mathcal{J}_n$ and $y \neq \tau_{ij}(y) = z$, then $y(i) \neq z(i)$ and $y(j) \neq z(j)$.

This result has an extension for affine symmetric groups; see [30, 34].

Remark The operators τ_{ij} , which first appeared in [21], can be given a more explicit definition; see [14, Table 1]. However, our present applications only require the properties in the theorem.

For $y \in \mathcal{J}_n$, let $\hat{\ell}(y)$ denote the common value of $\ell(w)$ for any $w \in \mathcal{A}(y)$. This is also the size of any $D \in \mathcal{JD}(y)$. By Lemma 4.17, if $y, z \in \mathcal{J}_n$ and $y < z$ then $\hat{\ell}(y) < \hat{\ell}(z)$. Let

$$\Psi(y, j) = \{z \in \mathcal{J}_{n+1} : z = \tau_{js}(y) \text{ and } \hat{\ell}(z) = \hat{\ell}(y) + 1 \text{ for some } s > j\}$$

for $y \in \mathcal{J}_n$ and $j \in [n]$. Since $S_n \subset S_{n+1}$ and $\mathcal{J}_n \subset \mathcal{J}_{n+1}$, this set is well-defined.

Theorem 4.23 Let (j, i) be an outer corner of $y \in \mathcal{J}_n$ with $i \leq j$.

- (a) The map $D \mapsto D \sqcup \{(j, i)\}$ is a bijection $\mathcal{JD}(y) \rightarrow \bigsqcup_{z \in \Psi(y, j)} \mathcal{JD}(z)$.
- (b) If $i + j \leq n$ then $\Psi(y, j) \subset \mathcal{J}_n$.

Proof We have $y(j) = i$ and $y(i) = j$ by Lemma 4.2. Suppose $v \in \mathcal{A}(y)$ and $D \in \mathcal{PD}(v) \cap \mathcal{JD}(y)$. By considering the pipes crossing at position (j, i) in the wiring diagram of D , as in the proof of Lemma 4.2, it follows that $D \sqcup \{(j, i)\}$ is a reduced pipe dream for a permutation w that belongs to $\mathcal{A}_{js}(y)$ for some $j < s \leq n$. Set $z = w^{-1} \circ w \in \mathcal{J}_n$. We wish to show that $w \in \mathcal{A}(z)$, since if this holds then $D \sqcup \{(j, i)\} \in \mathcal{JD}(z)$ and Theorem 4.22 implies that $z \in \Psi(y, j)$.

To this end, let $\tilde{y} \in \mathcal{J}_n$ be the dominant involution whose unique involution pipe dream is $\text{shdom}(y) \sqcup \{(j, i)\}$ and let $\tilde{v} \in \mathcal{A}(\tilde{y})$ be the (unique) atom with $\mathcal{JD}(\tilde{y}) \subseteq \mathcal{PD}(\tilde{v})$. Corollaries 4.9 and 4.18 imply that $\tilde{y} \not\prec y$, $\tilde{v} \leq w$, and $v < w$ since $\text{shdom}(\tilde{y}) \not\subseteq \text{shdom}(y)$ and $\text{shdom}(\tilde{y}) \subseteq D \sqcup \{(j, i)\}$. Hence, we have $\tilde{y} = \tilde{v}^{-1} \circ \tilde{v} \leq w^{-1} \circ w = z$ and $y = v^{-1} \circ v \leq w^{-1} \circ w = z$ by Corollary 4.21. Putting these relations together gives $\tilde{y} \not\prec y \leq z$ and $\tilde{y} \leq z$, so we must have $y < z$ and $\ell(w) = \hat{\ell}(y) + 1 \leq \hat{\ell}(z)$, and therefore $w \in \mathcal{A}(z)$.

Thus, the map in part (a) at least has the desired codomain and is clearly injective. To show that it is also surjective, suppose $E \in \mathcal{JD}(z)$ for some $z \in \Psi(y, j)$. Lemma 4.17 implies some $(l, k) \in E$ has $E \setminus \{(l, k)\} \in \mathcal{JD}(y)$. Let $E' \in \mathcal{PD}(z)$ be the almost-symmetric reduced pipe dream with $E = E' \cap \triangleleft_n$. If $(j, i) \neq (l, k)$ then, since $\text{dom}(y) = \text{shdom}(y) \cup \text{shdom}(y)^T \subset E'$, it would follow by considering the wiring diagram of E' that $z(j) = i = y(j)$, contradicting the last assertion in Theorem 4.22. Thus $(j, i) = (l, k)$ so the map in part (a) is surjective. Part (b) holds because an involution belongs to \mathcal{J}_n if any of its involution pipe dreams is contained in $\{(j, i) : i \leq j \text{ and } i + j \leq n\}$. ■

Example 4.24 If $y = 35142 \in \mathcal{J}_5$ then

$$\mathcal{JD}(y) = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right\},$$

so the transpose of $\text{shdom}(y)$ is the shifted Ferrers diagram of the partition $\lambda = (2, 1)$, and $(3, 1)$ is an outer corner. One can show that $\Psi(y, 3) = \{53241, 45312\}$. As predicted by Theorem 4.23 with $(j, i) = (3, 1)$, both elements of $\Psi(y, 3)$ are dominant with

$$\mathcal{JD}(53241) = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \end{array} \right\} \quad \text{and} \quad \mathcal{JD}(45312) = \left\{ \begin{array}{c} \text{Diagram 4} \\ \text{Diagram 5} \\ \text{Diagram 6} \end{array} \right\}.$$

We may finally prove the pipe dream formula in Theorem 1.5 for the polynomials $\hat{\mathfrak{S}}_y$.

Theorem 4.25 *If $z \in \mathcal{J}_n$ then $\hat{\mathfrak{S}}_z = \sum_{D \in \mathcal{JD}(z)} \prod_{(i,j) \in D} 2^{-\delta_{ij}} (x_i + x_j)$.*

Proof We abbreviate by setting $x_{(i,j)} = 2^{-\delta_{ij}}(x_i + x_j)$, so that $x_{(i,j)} = x_i$ if $i = j$ and otherwise $x_{(i,j)} = x_i + x_j$. It follows from [14, Theorem 3.30] that if $(j, i) \in \Delta_n$ is an outer corner of $z \in \mathcal{J}_n$ then

$$(4.2) \quad 2^{-\delta_{ij}}(x_i + x_j)\hat{\mathfrak{S}}_z = \sum_{u \in \Psi(z,j)} \hat{\mathfrak{S}}_u.$$

On the other hand, results of Wyser and Yong [45] (see [13, Theorem 1.3]) show that

$$(4.3) \quad \hat{\mathfrak{S}}_{n \cdots 321} = \prod_{1 \leq i \leq j \leq n-i} x_{(i,j)}.$$

Let $\mathfrak{A}_z = \sum_{D \in \mathcal{JD}(z)} \prod_{(i,j) \in D} x_{(i,j)}$. We show that $\hat{\mathfrak{S}}_z = \mathfrak{A}_z$ by downward induction on $\hat{\ell}(z)$. If $\hat{\ell}(z) = \max\{\hat{\ell}(y) : y \in \mathcal{J}_n\}$ then $z = n \cdots 321$ and the desired identity is equivalent to (4.3) since $n \cdots 321$ is dominant. Otherwise, the transpose of $\text{shdom}(z)$ is a proper subset of $\text{shdom}(n \cdots 321)^T = \text{SD}_{(n-1, n-3, n-5, \dots)}$ by Corollary 4.18, so z must have an outer corner (j, i) with $i \leq j$ and $i + j \leq n$. In this case, we have

$$x_{(i,j)}\hat{\mathfrak{S}}_z = \sum_{u \in \Psi(z,j)} \hat{\mathfrak{S}}_u = \sum_{u \in \Psi(z,j)} \mathfrak{A}_u = x_{(i,j)}\mathfrak{A}_z$$

by (4.2), induction, and Theorem 4.23. Dividing by $x_{(i,j)}$ completes the proof. ■

Example 4.26 Continuing Example 4.24, we have

$$\hat{\mathfrak{S}}_{53241} = x_1 x_2 (x_2 + x_1)(x_3 + x_1)(x_4 + x_1)$$

and

$$\hat{\mathfrak{S}}_{45312} = x_1 x_2 (x_2 + x_1)(x_3 + x_1)(x_3 + x_2),$$

so

$$\hat{\mathfrak{S}}_{35142} = \frac{1}{x_3 + x_1} (\hat{\mathfrak{S}}_{53241} + \hat{\mathfrak{S}}_{45312}) = x_1 x_2 (x_2 + x_1)(x_1 + x_2 + x_3 + x_4).$$

4.3 Fixed-point-free involution pipe dream formulas

In this section, we assume n is even. Recall that $\Delta_n^\# = \{(j, i) \in [n] \times [n] : i < j\}$.

Definition 4.27 The strictly shifted dominant component of $z \in \mathcal{J}_n^{\text{FPF}}$ is the set $\text{shdom}^\#(z) = \text{dom}(z) \cap \Delta_n^\#$, which is also equal to $\text{dom}(D) \cap \Delta_n^\#$ for all $D \in \mathcal{PD}(z)$ by Proposition 4.11.

Corollary 4.28 *If $z \in \mathcal{J}_n^{\text{FPF}}$ then $\text{shdom}^\#(z)$ is the maximal lower set of the poset $(\mathcal{L}_n^\#, \leq_{\text{NW}})$ contained in some (equivalently, every) $D \in \mathcal{FD}(z)$.*

Proof This is clear from Proposition 4.11. ■

For subsets $D \subseteq \mathbb{Z} \times \mathbb{Z}$, define $D^\uparrow = \{(i-1, j) : (i, j) \in D\}$ and $D^{\uparrow T} = (D^\uparrow)^T$. For example, if we have $z = 465132 \in \mathcal{J}_6^{\text{FPF}}$ then the Rothe diagram is

$$D(z) = \begin{pmatrix} + & + & + & 1 & \cdot & \cdot \\ + & + & + & \cdot & + & 1 \\ + & + & + & \cdot & 1 & \cdot \\ 1 & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & + & 1 & \cdot & \cdot & \cdot \\ \cdot & 1 & \cdot & \cdot & \cdot & \cdot \end{pmatrix}$$

with each one indicating a position $(i, j) \in [n] \times [n]$ with $z(i) = j$ and each + indicating a position in $D(z)$. The relevant dominant components are

$$\text{dom}(z) = \left\{ \begin{matrix} + & + & + \\ + & + & + \\ + & + & + \end{matrix} \right\}, \quad \text{shdom}^\#(z) = \left\{ \begin{matrix} \cdot & \cdot & \cdot \\ + & \cdot & \cdot \\ + & + & \cdot \end{matrix} \right\}, \quad \text{and} \quad \text{shdom}^\#(z)^{\uparrow T} = \left\{ \begin{matrix} + & + & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{matrix} \right\}.$$

As we see in this example, if $z \in \mathcal{J}_n^{\text{FPF}}$ is any fixed-point-free involution, then $(\text{shdom}^\#(z))^{\uparrow T}$ is the shifted Ferrers diagram of some strict partition. Moreover, a pair $(j, i) \in \mathcal{L}_n^\#$ is an outer corner of z if and only if $(\text{shdom}^\#(D) \sqcup \{(j, i)\})^{\uparrow T}$ is again a shifted Ferrers diagram, in which case $z(j) = i$ by Lemma 4.2. The unique outer corner of $z = 465132$ in $\mathcal{L}_6^\#$ is $(4, 1)$.

Definition 4.29 An element $z \in \mathcal{J}_n^{\text{FPF}}$ is *fpf-dominant* if $\text{shdom}^\#(z) \in \mathcal{FD}(z)$.

This condition does not imply that z is dominant in the sense of being 132-avoiding. For example, $z = s_1s_3 \cdots s_{n-1} = 2143 \cdots n(n-1) \in \mathcal{J}_n^{\text{FPF}}$ is always fpf-dominant as $\text{shdom}^\#(z) = \emptyset$.

Lemma 4.30 *If $z \in \mathcal{J}_n^{\text{FPF}}$ is fpf-dominant then $\mathcal{FD}(z) = \{\text{shdom}^\#(z)\}$.*

Proof This holds since $\text{shdom}^\#(z) \subseteq D$ for all $D \in \mathcal{FD}(z)$ by Proposition 4.11. ■

Proposition 4.31 *If λ is a strict partition with $\lambda \subseteq (n-2, n-4, \dots, 4, 2)$ then there exists a unique fpf-dominant $z \in \mathcal{J}_n^{\text{FPF}}$ with $(\text{shdom}^\#(z))^{\uparrow T} := \{(j, i-1) : (i, j) \in \text{shdom}^\#(z)\} = \text{SD}_\lambda$.*

Proof Uniqueness is clear from Lemma 4.30. If $\lambda \subseteq (n-2, n-4, \dots, 2)$ is empty then take $z = 1_n^{\text{FPF}}$. Otherwise, let $\mu \subset \lambda$ be a strict partition such that $\text{SD}_\lambda = \text{SD}_\mu \sqcup \{(i, j-1)\}$ where $i < j$. By induction, there exists an fpf-dominant $y \in \mathcal{J}_n^{\text{FPF}}$ with $(\text{shdom}^\#(y))^{\uparrow T} = \text{SD}_\mu$. Let $D \in \mathcal{PD}(y)$ be symmetric with $D \cap \mathcal{L}_n^\# = \text{shdom}^\#(y)$. Lemmas 3.11 and 4.2 imply that $D \sqcup \{(j, i), (i, j)\}$ is a symmetric reduced pipe dream for some $z \in \mathcal{J}_n^{\text{FPF}}$, which is the desired element. ■

If $y, z \in \mathcal{J}_n^{\text{FPF}}$, then $y \leq z$ in Bruhat order if and only if some (equivalently, every) fpf-involution word for z contains a subword that is an fpf-involution word for y [14, Theorem 4.6]. From this and Theorem 3.12 we deduce the following:

Lemma 4.32 *Suppose $y, z \in \mathcal{J}_n^{\text{FPF}}$. Then $y \leq z$ if and only if some (equivalently, every) fpf-involution pipe dream for z has a subset that is an fpf-involution pipe dream for y .*

Corollary 4.33 *Let $y, z \in \mathcal{J}_n^{\text{FPF}}$ where y is fpf-dominant. Then $y \leq z$ if and only if $\text{shdom}^\#(y) \subseteq D$ for some (equivalently, every) $D \in \mathcal{FD}(z)$.*

Proof This is clear since if $y \in \mathcal{J}_n^{\text{FPF}}$ is fpf-dominant then $|\mathcal{FD}(y)| = 1$. ■

For $y \in \mathcal{J}_{n+2}^{\text{FPF}}$ and $j \in [n]$, define $\Psi^{\text{FPF}}(y, j)$ to be the set of fixed-point-free involutions $z \in \mathcal{J}_{n+2}^{\text{FPF}}$ with length $\ell(z) = \ell(y) + 2$ that can be written as $z = t_{js} \cdot y s_{n+1} \cdot t_{js}$ for an integer s with $j < s \leq n + 2$. We have an analogue of Theorem 4.23:

Theorem 4.34 Let (j, i) be an outer corner of $y \in \mathcal{J}_n^{\text{FPF}}$ with $i < j$.

- (a) The map $D \mapsto D \sqcup \{(j, i)\}$ is a bijection $\mathcal{FD}(y) \rightarrow \sqcup_{z \in \Psi^{\text{FPF}}(y, j)} \mathcal{FD}(z)$.
- (b) If $i + j \leq n$ then $\Psi^{\text{FPF}}(y, j) \subset \{z s_{n+1} : z \in \mathcal{J}_n^{\text{FPF}}\}$.

Proof Our argument is similar to the proof of Theorem 4.23. Choose $D \in \mathcal{FD}(y) = \mathcal{FD}(y s_{n+1})$. Suppose $D' \in \mathcal{PD}(y s_{n+1})$ is the symmetric reduced pipe dream with $D = D' \cap \sqcup_{n+2}$ and set $E = D \sqcup \{(j, i)\}$ and $E' = D' \sqcup \{(i, j), (j, i)\}$. It follows from Lemmas 3.11 and 4.2 that E' is a reduced pipe dream for some element $z \in \mathcal{J}_{n+2}^{\text{FPF}}$. Since E' is symmetric, one has $E = E' \cap \sqcup_n^\# \in \mathcal{FD}(z)$. Finally, by considering the pipes crossing at position (j, i) in E we deduce that $z \in \Psi^{\text{FPF}}(y, j)$.

Thus $D \mapsto D \sqcup \{(j, i)\}$ is a well-defined map $\mathcal{FD}(y) \rightarrow \sqcup_{z \in \Psi^{\text{FPF}}(y, j)} \mathcal{FD}(z)$. This map is clearly injective. To show that it is also surjective, suppose $E \in \mathcal{FD}(z)$ for some $z \in \Psi^{\text{FPF}}(y, j)$. Lemma 4.32 implies that there exists a position $(l, k) \in E$ such that $E \setminus \{(l, k)\} \in \mathcal{FD}(y)$. If $(j, i) \neq (l, k)$ then it would follow as in the proof Theorem 4.23 that $z(j) = y(j) = y s_{n+1}(j) = i$, which is impossible if $z = t_{js} \cdot y s_{n+1} \cdot t_{js}$ where $i = y(j) < j < s \leq n + 2$. Thus $(j, i) = (l, k)$ so the map in part (a) is also surjective. Part (b) holds because $\{z s_{n+1} : z \in \mathcal{J}_n^{\text{FPF}}\}$ contains all involutions in $\mathcal{J}_{n+2}^{\text{FPF}}$ with fpf-involution pipe dreams that are subsets of $\{(j, i) : i \leq j, i + j \leq n\}$. ■

Example 4.35 If $y = 351624 \in \mathcal{J}_6^{\text{FPF}}$ then

$$\mathcal{FD}(y) = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \end{array} \right\}, \quad \left\{ \begin{array}{c} \text{Diagram 4} \\ \text{Diagram 5} \\ \text{Diagram 6} \end{array} \right\}$$

so $\text{shdom}(y) = \{(2, 1)\}$, and $(3, 1)$ is an outer corner. In this case, $\Psi^{\text{FPF}}(y, 3) = \{532614, 456123\}$. As predicted by the theorem with $(j, i) = (3, 1)$, both elements of $\Psi^{\text{FPF}}(y, 3)$ are fpf-dominant since

$$\mathcal{FD}(532614) = \left\{ \begin{array}{c} \text{Diagram 7} \\ \text{Diagram 8} \\ \text{Diagram 9} \end{array} \right\} \quad \text{and} \quad \mathcal{FD}(456123) = \left\{ \begin{array}{c} \text{Diagram 10} \\ \text{Diagram 11} \\ \text{Diagram 12} \end{array} \right\}.$$

We may now prove the second half of Theorem 1.5, concerning $\hat{\mathcal{G}}_z^{\text{FPF}}$.

Theorem 4.36 If $z \in \mathcal{J}_n^{\text{FPF}}$ then $\hat{\mathcal{G}}_z^{\text{FPF}} = \sum_{D \in \mathcal{FD}(z)} \prod_{(i, j) \in D} (x_i + x_j)$.

Proof [14, Theorem 4.17] implies that if $(j, i) \in \sqcup_n^\#$ is an outer corner of $z \in \mathcal{J}_n^{\text{FPF}}$ then

$$(4.4) \quad (x_i + x_j) \hat{\mathcal{G}}_z^{\text{FPF}} = \sum_{u \in \Psi^{\text{FPF}}(z, j)} \hat{\mathcal{G}}_u^{\text{FPF}}.$$

Moreover, as n is even, [13, Theorem 1.3] implies that we have

$$(4.5) \quad \hat{\mathfrak{S}}_{n \cdots 321}^{\text{FPF}} = \prod_{1 \leq i < j \leq n-i} (x_i + x_j).$$

Let $\mathfrak{B}_z = \sum_{D \in \mathcal{F}\mathcal{D}(z)} \prod_{(i,j) \in D} (x_i + x_j)$. If $\ell(z) = \max \{ \ell(y) : y \in \mathcal{J}_n^{\text{FPF}} \}$ then $z = n \cdots 321$ and the identity $\hat{\mathfrak{S}}_z^{\text{FPF}} = \mathfrak{B}_z$ is equivalent to (4.5). Otherwise, the transpose of $\text{shdom}^\#(z)$ shifted up one row is a proper subset of $\text{shdom}^\#(n \cdots 321)^{\uparrow T} = \text{SD}_{(n-2, n-4, \dots, 4, 2)}$ by Corollary 4.33, so z has an outer corner $(j, i) \in \Delta_n^\#$ with $i + j \leq n$. In this case, $(x_i + x_j) \hat{\mathfrak{S}}_z^{\text{FPF}} = \sum_{u \in \Psi^{\text{FPF}}(z, j)} \hat{\mathfrak{S}}_u^{\text{FPF}} = \sum_{u \in \Psi^{\text{FPF}}(z, j)} \mathfrak{B}_u = (x_i + x_j) \mathfrak{B}_z$ by (4.4), induction, and Theorem 4.34. Dividing by $x_i + x_j$ completes the proof. ■

Example 4.37 Continuing Example 4.35, we have

$$\hat{\mathfrak{S}}_{532614}^{\text{FPF}} = (x_2 + x_1)(x_3 + x_1)(x_4 + x_1)$$

and

$$\hat{\mathfrak{S}}_{456123}^{\text{FPF}} = (x_2 + x_1)(x_3 + x_1)(x_3 + x_2),$$

so

$$\hat{\mathfrak{S}}_{351624}^{\text{FPF}} = \frac{1}{x_3 + x_1} (\hat{\mathfrak{S}}_{532614}^{\text{FPF}} + \hat{\mathfrak{S}}_{456123}^{\text{FPF}}) = (x_2 + x_1)(x_1 + x_2 + x_3 + x_4).$$

5 Generating pipe dreams

Bergeron and Billey [1] proved that the set $\mathcal{PD}(w)$ is generated by applying simple transformations to a unique “bottom” pipe dream. Here, we derive versions of this result for the sets of involution pipe dreams $\mathcal{ID}(y)$ and $\mathcal{FD}(z)$. This leads to algorithms for computing the sets $\mathcal{ID}(y)$ and $\mathcal{FD}(z)$ that are much more efficient than the naive methods suggested by our original definitions.

5.1 Ladder moves

Let D and E be subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$, depicted as positions marked by “+” in a matrix. If E is obtained from D by replacing a subset of the form

$$\begin{array}{ccc} \cdot & \cdot & \cdot & + \\ + & + & + & + \\ \vdots & \vdots & \vdots & \vdots \\ + & + & + & + \\ + & \cdot & \cdot & \cdot \end{array} \quad \text{by}$$

then we say that E is obtained from D by a *ladder move* and write $D \prec_{\mathcal{PD}} E$. More formally:

Definition 5.1 We write $D \prec_{\mathcal{PD}} E$ if for some integers $i < j$ and k the following holds:

- One has $\{i + 1, i + 2, \dots, j - 1\} \times \{k, k + 1\} \subset D$.
- It holds that $(j, k) \in D$ but $(i, k), (i, k + 1), (j, k + 1) \notin D$.
- One has $E = D \setminus \{(j, k)\} \cup \{(i, k + 1)\}$.

One can have $i + 1 = j$ in this definition, in which case the first condition holds vacuously. Let $\prec_{\mathcal{PD}}$ be the transitive closure of $\prec_{\mathcal{PD}}$. This relation is a strict partial order. Let $\sim_{\mathcal{PD}}$ denote the symmetric closure of the partial order $\leq_{\mathcal{PD}}$.

Recall the definition of the bottom pipe dream $D_{\text{bot}}(w)$ from (4.1).

Theorem 5.2 ([1, Theorem 3.7]) *Let $w \in S_n$. Then*

$$\mathcal{PD}(w) = \{E : D_{\text{bot}}(w) \leq_{\mathcal{PD}} E\} = \{E : D_{\text{bot}}(w) \sim_{\mathcal{PD}} E\}.$$

Thus $\mathcal{PD}(w)$ is an upper and lower set of $\leq_{\mathcal{PD}}$, with unique minimum $D_{\text{bot}}(w)$.

Define $\leq_{\mathcal{PD}}^{\text{chute}}$ to be the partial order with $D \leq_{\mathcal{PD}}^{\text{chute}} E$ if and only if $E^T \leq_{\mathcal{PD}} D^T$, and let $D_{\text{top}}(w) = D_{\text{bot}}(w^{-1})^T$ for $w \in S_n$. Then $\mathcal{PD}(w) = \{E : E \leq_{\mathcal{PD}}^{\text{chute}} D_{\text{top}}(w)\}$ by Corollary 3.8 and Theorem 5.2. Bergeron and Billey [1] refer to the covering relation in $\leq_{\mathcal{PD}}^{\text{chute}}$ as a *chute move*. In the next sections, we will see that there are natural versions of $\leq_{\mathcal{PD}}$ and $D_{\text{bot}}(w)$ for (fpf-)involution pipe dreams. There do not seem to be good involution analogues of $\leq_{\mathcal{PD}}^{\text{chute}}$ or $D_{\text{top}}(w)$, however.

5.2 Involution ladder moves

To prove an analogue of Theorem 5.2 for involution pipe dreams, we need to introduce another partial order $\prec_{\mathcal{JD}}$ on subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$. Again let D and E be subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$. Informally, we define $\prec_{\mathcal{JD}}$ to be the transitive closure of $\prec_{\mathcal{JD}}$ and the relation that has $D \prec_{\mathcal{JD}} E$ whenever E is obtained from D by replacing a subset of the form

$$(5.1) \quad \begin{array}{cccc} & & \nearrow & \nearrow & \nearrow & \nearrow \\ & \cdot & \cdot & \cdot & \cdot & \\ + & \cdot & \cdot & \cdot & \cdot & \\ + & + & \cdot & \cdot & \cdot & \\ \vdots & \vdots & \cdot & \cdot & \cdot & \\ + & + & \cdot & \cdot & \cdot & \\ + & \cdot & \cdot & \cdot & \cdot & \end{array} \quad \text{by} \quad \begin{array}{cccc} & & \nearrow & \nearrow & \nearrow & \nearrow \\ & \cdot & \cdot & \cdot & \cdot & \\ + & + & \cdot & \cdot & \cdot & \\ + & + & \cdot & \cdot & \cdot & \\ \vdots & \vdots & \cdot & \cdot & \cdot & \\ + & + & \cdot & \cdot & \cdot & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \end{array}$$

where the upper parts of the antidiagonals with \nearrow must be empty. For example,

$$\begin{array}{cccc} \cdot & \cdot & \cdot & \cdot \\ + & \cdot & \cdot & + \\ + & \cdot & \cdot & \cdot \\ \cdot & + & \cdot & \cdot \end{array} \prec_{\mathcal{JD}} \begin{array}{cccc} \cdot & \cdot & \cdot & \cdot \\ + & + & \cdot & + \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & + & \cdot & \cdot \end{array} \quad \text{and} \quad \begin{array}{cccc} \cdot & \cdot & \cdot & \cdot \\ + & \cdot & \cdot & + \\ + & + & \cdot & \cdot \\ + & \cdot & \cdot & \cdot \end{array} \prec_{\mathcal{JD}} \begin{array}{cccc} \cdot & \cdot & \cdot & \cdot \\ + & + & \cdot & + \\ + & + & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{array}$$

but

$$\begin{array}{cccc} \cdot & \cdot & + & \cdot \\ + & \cdot & \cdot & \cdot \\ + & + & \cdot & \cdot \\ + & \cdot & \cdot & \cdot \end{array} \not\prec_{\mathcal{JD}} \begin{array}{cccc} \cdot & \cdot & + & \cdot \\ + & + & \cdot & \cdot \\ + & + & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{array} \quad \text{and} \quad \begin{array}{cccc} \cdot & \cdot & \cdot & + \\ + & \cdot & \cdot & \cdot \\ + & + & \cdot & \cdot \\ + & \cdot & \cdot & \cdot \end{array} \not\prec_{\mathcal{JD}} \begin{array}{cccc} \cdot & \cdot & \cdot & + \\ + & + & \cdot & \cdot \\ + & + & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{array}$$

since the relevant antidiagonals in (5.1) are not empty. The precise definition of $\prec_{\mathcal{JD}}$ is:

Definition 5.3 We write $D \prec_{\mathcal{JD}} E$ if for some integers $i < j$ and k the following holds:

- One has $\{i + 1, i + 2, \dots, j - 1\} \times \{k, k + 1\} \subset D$.
- It holds that $(i, k), (j, k) \in D$ but $(i, k + 1), (i, k + 2), (j, k + 1) \notin D$.
- One has $E = D \setminus \{(j, k)\} \cup \{(i, k + 1)\}$.
- The set D contains no positions strictly northeast of and in the same antidiagonal as $(i, k - 1), (i, k), (i, k + 1)$, or $(i, k + 2)$.

One may again have $i + 1 = j$, in which case the first condition holds vacuously. We define $<_{\mathcal{JD}}$ to be the transitive closure of $<_{\mathcal{PD}}$ and $<_{\mathcal{JD}}$, and write $\sim_{\mathcal{JD}}$ for the symmetric closure of $\leq_{\mathcal{JD}}$.

Our goal is to show that $<_{\mathcal{JD}}$ defines a partial order on $\mathcal{JD}(z)$; for an example of this poset, see Figure 1. To proceed, we must recall a few nontrivial properties of the set $\mathcal{A}(z)$ from Section 2.1.

Lemma 5.4 ([12, Lemma 6.3]) *Let $z \in \mathcal{J}_n$ and $w \in \mathcal{A}(z)$. Then no subword $w(a)w(b)w(c)$ of $w(1)w(2)\dots w(n)$ for $1 \leq a < b < c \leq n$ has the form $(i - 1)i(i + 1)$ for any integer $1 < i < n$.*

Fix $z \in \mathcal{J}_n$. The *involution code* of z is $\hat{c}(z) = (\hat{c}_1(z), \hat{c}_2(z), \dots, \hat{c}_n(z))$ with $\hat{c}_i(z)$ the number of integers $j > i$ with $z(i) > z(j)$ and $i \geq z(j)$. Note that we always have $\hat{c}_i(z) \leq i$.

Suppose $a_1 < a_2 < \dots < a_l$ are the integers $a \in [n]$ with $a \leq z(a)$ and set $b_i = z(a_i)$. Define $\alpha_{\min}(z) \in S_n$ to be the permutation whose inverse is given in one-line notation by removing all repeated letters from $b_1 a_1 b_2 a_2 \dots b_l a_l$. For example, if $z = 4231 \in \mathcal{J}_4$ then the latter word is 412233 and $\alpha_{\min}(z) = (4123)^{-1} = 2341 \in S_4$. Additionally, $\hat{c}(z) = c(\alpha_{\min}(z))$ [13, Lemma 3.8].

Finally, let $<_{\mathcal{A}}$ be the transitive closure of the relation on S_n that has $v <_{\mathcal{A}} w$ whenever the inverses of $v, w \in S_n$ have the same one-line representations outside of three consecutive positions where $v^{-1} = \dots cab \dots$ and $w^{-1} = \dots bca \dots$ for some integers $a < b < c$. The relation $<_{\mathcal{A}}$ is a strict partial order. Let $\sim_{\mathcal{A}}$ denote the symmetric closure of the partial order $\leq_{\mathcal{A}}$.

Theorem 5.5 ([12, Section 6.1]) *Let $z \in \mathcal{J}_n$. Then*

$$\mathcal{A}(z) = \{w \in S_n : \alpha_{\min}(z) \leq_{\mathcal{A}} w\} = \{w \in S_n : \alpha_{\min}(z) \sim_{\mathcal{A}} w\}.$$

Thus $\mathcal{A}(z)$ is an upper and lower set of $\leq_{\mathcal{A}}$, with unique minimum $\alpha_{\min}(z)$.

For $z \in \mathcal{J}_n$ let

$$\mathcal{JD}^+(z) = \bigsqcup_{w \in \mathcal{A}(z)} \mathcal{PD}(w),$$

so that $\mathcal{JD}(z) = \{D \in \mathcal{JD}^+(z) : D \subseteq \sqsubseteq_n\}$.

Lemma 5.6 *Let $z \in \mathcal{J}_n$. Suppose D and E are subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $D <_{\mathcal{JD}} E$. Then $D \in \mathcal{JD}^+(z)$ if and only if $E \in \mathcal{JD}^+(z)$.*

Proof If $D <_{\mathcal{PD}} E$ then we have $D \in \mathcal{JD}^+(z)$ if and only if $E \in \mathcal{JD}^+(z)$ by Theorem 5.2. Assume $D <_{\mathcal{JD}} E$ and let $i < j$ and k be as in Definition 5.3.

Consider the reading order ω that lists the positions $(i, j) \in [n] \times [n]$ such that $(-j, i)$ increases lexicographically, i.e., the order that goes down column n , then

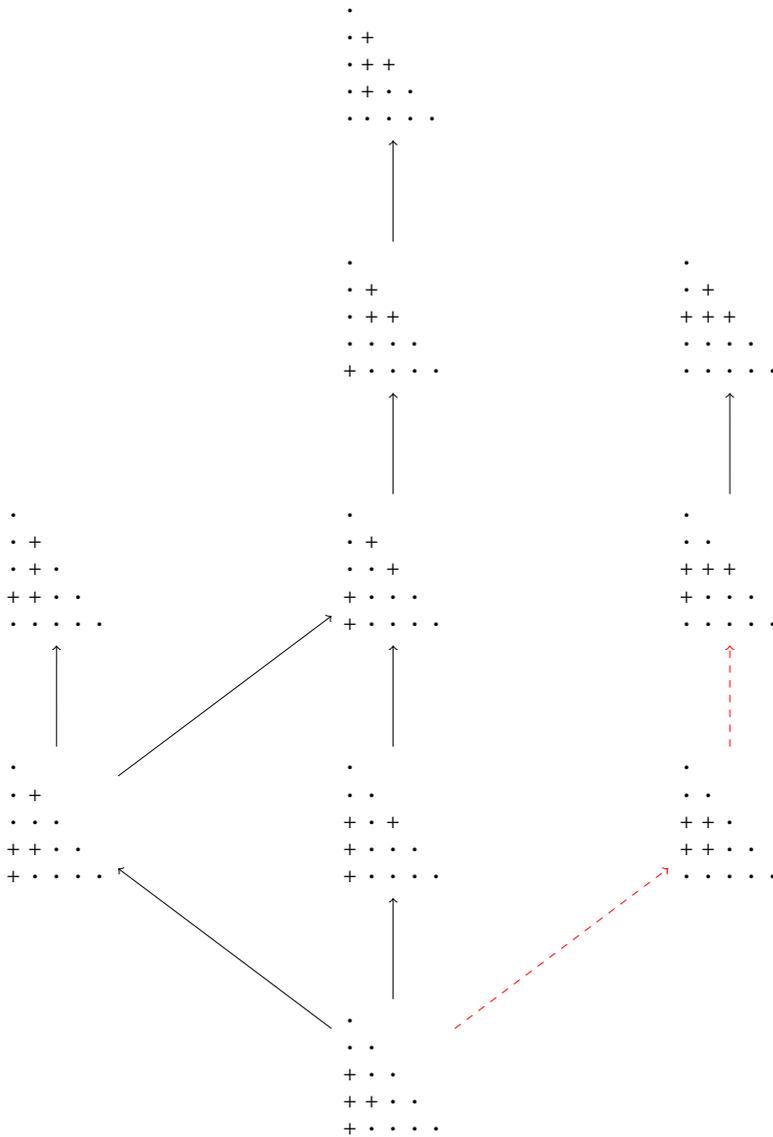


Figure 1: Hasse diagram of $(\mathcal{JD}(z), <_{\mathcal{JD}})$ for $z = (3, 6)(4, 5) \in \mathcal{J}_6$. The dashed red arrows indicate the covering relations of the form $D <_{\mathcal{JD}} E$.

down column $n - 1$, and so on. In view of Theorem 3.9, we may assume without loss of generality that columns $1, 2, \dots, k - 1$ of D and E are both empty, since omitting these positions has the effect of truncating the same final sequence of letters from $\text{word}(D, \omega)$ and $\text{word}(E, \omega)$.

Suppose $E \in \mathcal{PD}(w) \subseteq \mathcal{JD}^+(z)$ for some permutation $w \in \mathcal{A}(z)$. To show that $D \in \mathcal{JD}^+(z)$, it suffices by Theorem 5.5 to check that $D \in \mathcal{PD}(v)$ for a permutation $v <_{\mathcal{A}} w$.

Consider the wiring diagram of E and let $m, m + 1$ and $m + 2$ be the top indices of the wires in the antidiagonals containing the cells $(i, k), (i, k + 1)$, and $(i + 1, k + 1)$, respectively. Since the northeast parts of these antidiagonals are empty, it follows that as one goes from northeast to southwest, wire m of E enters the top of the $+$ in cell (i, k) , wire $m + 1$ enters the top of the $+$ in cell $(i, k + 1)$, and wire $m + 2$ enters the right of the $+$ in cell $(i, k + 1)$. Tracing these wires through the wiring diagram of E , we see that they exit column k on the left in relative order $m + 2, m, m + 1$. Since we assume columns $1, 2, \dots, k - 1$ are empty, the wires must arrive at the far left in the same relative order. This means that there are numbers $a < b < c$ such that $w^{-1}(m)w^{-1}(m + 1)w^{-1}(m + 2) = bca$.

Moving the $+$ in cell $(i, k + 1)$ of E to (j, k) gives D by assumption. This transformation only alters the trajectories of wires $m, m + 1$, and $m + 2$, and causes no pair of wires to cross more than once, so D is a reduced pipe dream for some $v \in S_n$. By examining the wiring diagram of D , we see that $v^{-1}(m)v^{-1}(m + 1)v^{-1}(m + 2) = cab$, so $v <_{\mathcal{A}} w$ and $D \in \mathcal{JD}^+(z)$ as needed. The same considerations show that if $D \in \mathcal{PD}(v)$ for some $v \in \mathcal{A}(z)$ then $E \in \mathcal{PD}(w)$ for a permutation w with $v <_{\mathcal{A}} w$. In this case, it follows that $w \in \mathcal{A}(z)$ by Theorem 5.5 so $E \in \mathcal{JD}^+(z)$. ■

We define the *bottom involution pipe dream* of $z \in \mathcal{J}_n$ to be the set

$$(5.2) \quad \hat{D}_{\text{bot}}(z) = \{(i, j) \in [n] \times [n] : j \leq \hat{c}_i(z)\} \subseteq \triangleleft_n.$$

Since $\hat{c}(z) = c(\alpha_{\min}(z))$, Theorem 3.9 implies that $\hat{D}_{\text{bot}}(z) = D_{\text{bot}}(\alpha_{\min}(z)) \in \mathcal{JD}(z)$.

Theorem 5.7 *Let $z \in \mathcal{J}_n$. Then*

$$\mathcal{JD}^+(z) = \{E : \hat{D}_{\text{bot}}(z) \leq_{\mathcal{JD}} E\} = \{E : \hat{D}_{\text{bot}}(z) \sim_{\mathcal{JD}} E\}.$$

Thus $\mathcal{JD}^+(z)$ is an upper and lower set of $\leq_{\mathcal{JD}}$, with unique minimum $\hat{D}_{\text{bot}}(z)$.

Proof Both sets are contained in $\mathcal{JD}^+(z)$ by Lemma 5.6. Note that $\mathcal{JD}^+(z)$ is finite since $\mathcal{A}(z)$ is finite and each set $\mathcal{PD}(w)$ is finite. Suppose $\hat{D}_{\text{bot}}(z) \neq E = D_{\text{bot}}(w)$ for some $w \in \mathcal{A}(z)$. In view of Theorem 5.2, we need only show that there exists a subset $D \subset \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $D \leq_{\mathcal{JD}} E$.

As we assume $w \neq \alpha_{\min}(z)$, it follows from Theorem 5.5 that there exists some $p \in [n - 2]$ with $w^{-1}(p+2) < w^{-1}(p) < w^{-1}(p+1)$. Set $i = w^{-1}(p+2)$, and choose p to minimize i . We claim that if $h < i$ then $w(h) < p$. To show this, we argue by contradiction. Suppose there exists $1 \leq h < i$ with $w(h) \geq p$. Choose h with this property so that $w(h)$ is as small as possible. Then $w(h) > p + 2 \geq 3$, and by the minimality of $w(h)$, the values $w(h) - 1$ and $w(h) - 2$ appear after position h in the word $w(1)w(2)\cdots w(n)$. Therefore, by Lemma 5.4, the one-line representation of w must have the form $\cdots w(h)\cdots w(h) - 2 \cdots w(h) - 1 \cdots$. This contradicts the minimality of i , so no such h can exist.

Let $j > i$ be minimal with $w(j) < w(i)$ and define $k = c_i(w) - 1$. It is evident from the definition of i that such an index j exists and that k is positive. Now consider Definition 5.3 applied to these values of $i < j$ and k . It follows from the claim in the

previous paragraph if $h < i$ then $c_h(w) - c_i(w) \leq i - h - 3$. Therefore, we see that the required antidiagonals are empty. The minimality of j implies that $c_m(w) \geq c_i(w)$ for all $i < m < j$, and since we must have $j \leq w^{-1}(p)$, it follows that $c_j(w) < c_i(w) - 1$. We conclude that replacing position $(i, k + 1)$ in E by (j, k) produces a subset D with $D \prec_{\mathcal{JD}} E$, as we needed to show. ■

Theorem 5.8 *If $z \in \mathcal{J}_n$ then*

$$\mathcal{JD}(z) = \{E \subseteq \triangleleft_n : \hat{D}_{bot}(z) \leq_{\mathcal{JD}} E\} = \{E \subseteq \triangleleft_n : \hat{D}_{bot}(z) \sim_{\mathcal{JD}} E\}.$$

Proof This is clear from Theorem 5.7 since \triangleleft_n is a lower set under $\leq_{\mathcal{JD}}$. ■

5.3 Fixed-point-free involution ladder moves

In this subsection, we assume n is a positive even integer. Our goal is to replicate the results in Section 5.2 for fixed-point-free involutions. To this end, we introduce a third partial order $\prec_{\mathcal{FD}}$. Again let D and E be subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$. We define $\prec_{\mathcal{FD}}$ as the transitive closure of $\prec_{\mathcal{FD}}$ and the relation that has $D \prec_{\mathcal{FD}} E$ whenever E is obtained from D by replacing a subset of the form

$$(5.3) \quad \begin{array}{cccccc} & & \nearrow & \nearrow & \nearrow & \nearrow & \nearrow \\ & \cdot & \cdot & \cdot & \cdot & \cdot & \\ \cdot & \cdot & \cdot & \cdot & \cdot & & \\ \cdot & + & \cdot & \cdot & & & \\ & + & + & & & & \\ & \vdots & \vdots & & & & \\ & + & + & & & & \\ & + & \cdot & & & & \end{array} \quad \text{by} \quad \begin{array}{cccccc} & & \nearrow & \nearrow & \nearrow & \nearrow & \nearrow \\ & \cdot & \cdot & \cdot & \cdot & \cdot & \\ \cdot & \cdot & \cdot & \cdot & \cdot & & \\ + & + & \cdot & \cdot & & & \\ & + & + & & & & \\ & \vdots & \vdots & & & & \\ & + & + & & & & \\ & \cdot & \cdot & & & & \end{array}$$

Here, all positions containing “ \cdot ” should be empty, including the five antidiagonals extending upwards beyond each \nearrow . For example,

$$\begin{array}{cccccc} \cdot & \cdot \\ \cdot & + & \cdot & \cdot & + & & + & + & \cdot & \cdot & + \\ + & + & \cdot & + & \cdot & <_{\mathcal{FD}} & + & \cdot & \cdot & + & \cdot \\ \cdot & \cdot & + & \cdot & \cdot & & \cdot & \cdot & + & \cdot & \cdot \end{array} \quad \text{and} \quad \begin{array}{cccccc} \cdot & \cdot \\ \cdot & + & \cdot & \cdot & + & <_{\mathcal{FD}} & + & + & \cdot & \cdot & + \\ \cdot & + & + & \cdot & \cdot & & \cdot & + & + & \cdot & \cdot \\ + & + & \cdot & + & \cdot & & + & \cdot & \cdot & + & \cdot \end{array}$$

but

$$\begin{array}{cccccc} \cdot & \cdot & \cdot & \cdot & + & & \cdot & \cdot & \cdot & \cdot & + \\ \cdot & + & \cdot & \cdot & \cdot & <_{\mathcal{FD}} & + & + & \cdot & \cdot & + \\ \cdot & + & \cdot & + & \cdot & & \cdot & \cdot & \cdot & + & \cdot \\ \cdot & \cdot & + & \cdot & \cdot & & \cdot & \cdot & + & \cdot & \cdot \end{array} \quad \text{and} \quad \begin{array}{cccccc} + & \cdot & \cdot & \cdot & \cdot & & + & \cdot & \cdot & \cdot & \cdot \\ \cdot & + & \cdot & \cdot & + & <_{\mathcal{FD}} & + & + & \cdot & \cdot & + \\ \cdot & + & + & \cdot & \cdot & & \cdot & + & + & \cdot & \cdot \\ \cdot & + & \cdot & + & \cdot & & \cdot & \cdot & \cdot & + & \cdot \end{array}$$

since the relevant antidiagonals in (5.3) are not empty. The precise definition of $\prec_{\mathcal{FD}}$ is:

Definition 5.9 We write $D \prec_{\mathcal{FD}} E$ if for some integers $0 < i < j$ and $k \geq 2$ the following holds:

- One has $\{i + 1, i + 2, \dots, j - 1\} \times \{k, k + 1\} \subset D$.
- It holds that $(i, k), (j, k) \in D$ but $(i, k - 1), (i, k + 1), (i, k + 2), (j, k + 1) \notin D$.

- One has $E = D \setminus \{(j, k)\} \cup \{(i, k - 1)\}$.
- The set D contains no positions strictly northeast of and in the same antidiagonal as $(i, k - 2)$, $(i, k - 1)$, (i, k) , $(i, k + 1)$, or $(i, k + 2)$.

When $i + 1 = j$, the first condition holds vacuously; see the lower dashed arrow in Figure 2. Define $<_{\mathcal{FD}}$ to be the transitive closure of $\leq_{\mathcal{PD}}$ and $\leq_{\mathcal{TD}}$. Write $\sim_{\mathcal{FD}}$ for the symmetric closure of $\leq_{\mathcal{FD}}$.

We will soon show that $<_{\mathcal{FD}}$ defines a partial order on $\mathcal{FD}(z)$, as one can see in the example in Figure 2. For this, we will need a lemma from [5] concerning $\mathcal{A}^{\text{FPF}}(z)$.

Lemma 5.10 ([5, Corollary 2.16]) *Let $w \in S_n$ and $z \in \mathcal{J}_n^{\text{FPF}}$. Then $w \in \mathcal{A}^{\text{FPF}}(z)$ if and only if for all $a, b, c, d \in [n]$ with $a < b = z(a)$ and $c < d = z(c)$, the following holds:*

- (1) *One has $w(a) = 2i - 1$ and $w(b) = 2i$ for some $i \in [n/2]$.*
- (2) *If $a < c$ and $b < d$, then $w(b) < w(c)$.*

The involution code and partial order $<_{\mathcal{A}}$ both have fixed-point-free versions. Fix $z \in \mathcal{J}_n^{\text{FPF}}$. The *fpf-involution code* of z is the integer sequence

$$\hat{c}^{\text{FPF}}(z) = (\hat{c}_1^{\text{FPF}}(z), \hat{c}_2^{\text{FPF}}(z), \dots, \hat{c}_n^{\text{FPF}}(z)),$$

where $\hat{c}_i^{\text{FPF}}(z)$ is the number of integers $j > i$ with $z(i) > z(j)$ and $i > z(j)$. It always holds that $\hat{c}_i^{\text{FPF}}(z) < i$. If $a_1 < a_2 < \dots < a_{n/2}$ are the numbers $a \in [n]$ with $a < z(a)$ and $b_i = z(a_i)$, then let

$$\alpha_{\min}^{\text{FPF}}(z) = (a_1 b_1 a_2 b_2 \dots a_{n/2} b_{n/2})^{-1} = s_1 s_3 s_5 \dots s_{n-1} \alpha_{\min}(z) \in S_n.$$

For example, if $z = 632541 \in \mathcal{J}_6^{\text{FPF}}$ then we have $\alpha_{\min}^{\text{FPF}}(z) = (162345)^{-1} = 134562 \in S_6$. One can check that $\hat{c}^{\text{FPF}}(z) = c(\alpha_{\min}^{\text{FPF}}(z))$ [13, Lemma 3.8].

Define $<_{\mathcal{A}^{\text{FPF}}}$ to be the transitive closure of the relation in S_n that has $v <_{\mathcal{A}^{\text{FPF}}} w$ whenever the inverses of $v, w \in S_n$ have the same one-line representations outside of four consecutive positions where $v^{-1} = \dots adbc \dots$ and $w^{-1} = \dots bcad \dots$ for some integers $a < b < c < d$. This is a strict partial order on S_n . Let $\sim_{\mathcal{A}^{\text{FPF}}}$ denote the symmetric closure of the partial order $\leq_{\mathcal{A}^{\text{FPF}}}$.

Theorem 5.11 ([12, Section 6.2]) *Let $z \in \mathcal{J}_n^{\text{FPF}}$. Then*

$$\mathcal{A}^{\text{FPF}}(z) = \{w \in S_n : \alpha_{\min}^{\text{FPF}}(z) \leq_{\mathcal{A}^{\text{FPF}}} w\} = \{w \in S_n : \alpha_{\min}^{\text{FPF}}(z) \sim_{\mathcal{A}^{\text{FPF}}} w\}.$$

Thus $\mathcal{A}^{\text{FPF}}(z)$ is an upper and lower set of $\leq_{\mathcal{A}^{\text{FPF}}}$, with unique minimum $\alpha_{\min}^{\text{FPF}}(z)$.

For $z \in \mathcal{J}_n^{\text{FPF}}$, let $\mathcal{FD}^+(z) = \bigsqcup_{w \in \mathcal{A}^{\text{FPF}}(z)} \mathcal{PD}(w)$, so $\mathcal{FD}(z) = \mathcal{FD}^+(z) \cap \Delta_n^\#$.

Lemma 5.12 *Let $z \in \mathcal{J}_n^{\text{FPF}}$. Suppose D and E are subsets of $\mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $D <_{\mathcal{FD}} E$. Then $D \in \mathcal{FD}^+(z)$ if and only if $E \in \mathcal{FD}^+(z)$.*

Proof If $D <_{\mathcal{PD}} E$ then the result follows by Theorem 5.2. Assume $D <_{\mathcal{TD}} E$ and let $i < j$ and k be as in Definition 5.9.

As in the proof of Theorem 5.6, consider the reading order ω that lists the positions $(i, j) \in [n] \times [n]$ such that $(-j, i)$ increases lexicographically. In view of Theorem 3.12, we may assume without loss of generality that columns $1, 2, \dots, k - 2$, as well as all positions below row i in column $k - 1$, are empty in both of D and E . This follows

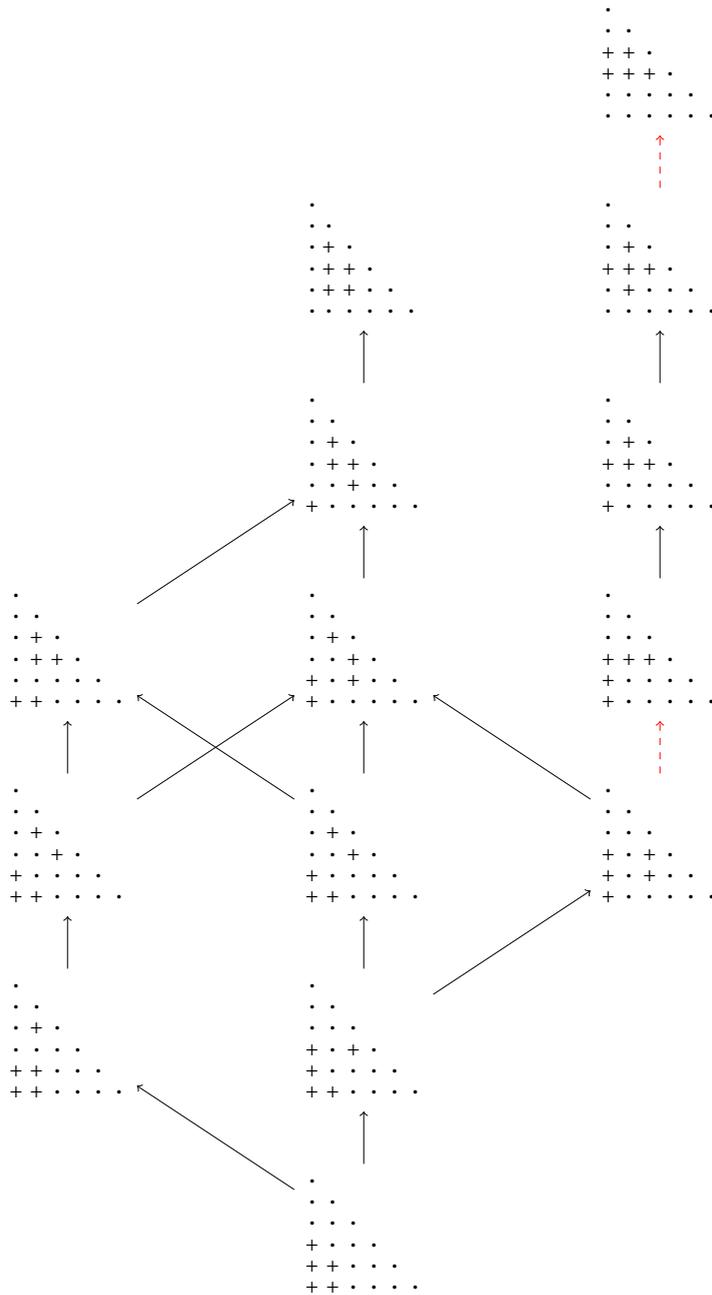


Figure 2: Hasse diagram of $(\mathcal{FD}(z), <_{\mathcal{FD}})$ for $z = (1, 2)(3, 7)(4, 8)(5, 6) \in \mathcal{J}_6^{\text{FPF}}$. The dashed red arrows indicate the covering relations of the form $D <_{\mathcal{FD}} E$.

since omitting these positions has the effect of truncating the same final sequence of letters from $\text{word}(D, \omega)$ and $\text{word}(E, \omega)$.

Assume $E \in \mathcal{PD}(\nu) \subseteq \mathcal{FD}^+(z)$ for some $w \in \mathcal{A}^{\text{FPF}}(z)$. To show that $D \in \mathcal{FD}^+(z)$, we will check that $D \in \mathcal{PD}(\nu)$ for some $\nu \in S_n$ with $\nu \prec_{\mathcal{A}^{\text{FPF}}} w$.

Consider the wiring diagram of E and let $m, m + 1, m + 2$, and $m + 3$ be the top indices of the wires in the antidiagonals containing the cells $(i, k - 1), (i, k), (i, k + 1)$, and $(i, k + 2)$, respectively. Since the northeast parts of these antidiagonals are empty, it follows that as one goes from northeast to southwest, wire m of E enters the top of the $+$ in cell $(i, k - 1)$, wire $m + 1$ enters the top of the $+$ in cell (i, k) , wire $m + 2$ enters the right of the $+$ in cell (i, k) , and wire $m + 3$ enters the top of cell $(i + 1, k + 1)$, which contains a $+$ if $i + 1 < j$. Tracing these wires through the wiring diagram of E , we see that they exit column $k - 1$ on the left in relative order $m + 2, m, m + 1, m + 3$. Since we assume that D and E contain no positions in the rectangle weakly southwest of $(i + 1, k - 1)$, the wires must arrive at the far left in the same relative order. This means that $w^{-1}(m)w^{-1}(m + 1)w^{-1}(m + 2)w^{-1}(m + 3) = bcad$ for some numbers $a < b < c < d$.

Moving the $+$ in cell $(i, k - 1)$ of E to (j, k) gives D by assumption. This transformation only alters the trajectories of wires $m, m + 1, m + 2$, and $m + 3$ and causes no pair of wires to cross more than once, so D is a reduced pipe dream for some $\nu \in S_n$. By examining the wiring diagram of D , it is easy to check that $\nu^{-1}(m)\nu^{-1}(m + 1)\nu^{-1}(m + 2)\nu^{-1}(m + 3) = adbc$ so $\nu \prec_{\mathcal{A}^{\text{FPF}}} w$ as needed.

If instead $D \in \mathcal{PD}(\nu) \subset \mathcal{FD}^+(z)$ for some $\nu \in \mathcal{A}^{\text{FPF}}(z)$, then a similar argument shows that $E \in \mathcal{PD}(w)$ for some $w \in S_n$ with $\nu \prec_{\mathcal{A}^{\text{FPF}}} w$, which implies that $E \in \mathcal{FD}^+(z)$ by Theorem 5.11. ■

We define the *bottom fpf-involution pipe dream* of $z \in \mathcal{J}_n^{\text{FPF}}$ to be the set

$$(5.4) \quad \hat{D}_{\text{bot}}^{\text{FPF}}(z) = \{(i, j) \in [n] \times [n] : j \leq \hat{c}_i^{\text{FPF}}(z)\} \subseteq \mathbb{D}_n^{\neq}.$$

As $\hat{c}^{\text{FPF}}(z) = c(\alpha_{\text{min}}^{\text{FPF}}(z))$, Theorem 3.12 implies $\hat{D}_{\text{bot}}^{\text{FPF}}(z) = D_{\text{bot}}(\alpha_{\text{min}}^{\text{FPF}}(z)) \in \mathcal{FD}(z)$.

Theorem 5.13 *Let $z \in \mathcal{J}_n^{\text{FPF}}$. Then*

$$\mathcal{FD}^+(z) = \{E : \hat{D}_{\text{bot}}^{\text{FPF}}(z) \leq_{\mathcal{FD}} E\} = \{E : \hat{D}_{\text{bot}}^{\text{FPF}}(z) \sim_{\mathcal{FD}} E\}.$$

Thus $\mathcal{FD}^+(z)$ is an upper and lower set of $\leq_{\mathcal{FD}}$, with unique minimum $\hat{D}_{\text{bot}}^{\text{FPF}}(z)$.

Proof Both sets are contained in $\mathcal{FD}^+(z)$ by Lemma 5.12, and the set $\mathcal{FD}^+(z)$ is clearly finite. Suppose $\hat{D}_{\text{bot}}^{\text{FPF}}(z) \neq E = D_{\text{bot}}(w)$ for some $w \in \mathcal{A}^{\text{FPF}}(z)$. As in the proof of Theorem 5.7, it suffices to show that there exists a subset $D \subset \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ with $D \prec_{\mathcal{FD}} E$.

Since $w \neq \alpha_{\text{min}}^{\text{FPF}}(z)$, Lemma 5.10 and Theorem 5.11 imply that there exists an odd integer $p \in [n - 3]$ such that $w^{-1}(p)w^{-1}(p + 1)w^{-1}(p + 2)w^{-1}(p + 3) = bcad$ for some numbers $a < b < c < d$. Choose p such that a is as small as possible. We claim that $a < w^{-1}(q)$ for all q with $p + 3 < q \leq n$. To show this, let $a_0 = a$ and $b_0 = d$ and suppose a_i and b_i are the integers such that

$$w^{-1}(p + 2)w^{-1}(p + 3) \cdots w^{-1}(n) = a_0 b_0 a_1 b_1 \cdots a_k b_k.$$

Part (1) of Lemma 5.10 implies that $a_i < b_i = z(a_i)$ for all i , so it suffices to show that $a_0 < a_i$ for $i \in [k]$. This holds since if $i \in [k]$ were minimal with $a_i < a_0$, then it would follow from part (2) of Lemma 5.10 that $a_i < a_{i-1} < b_{i-1} < b_i$, contradicting the minimality of a .

Now, to match Definition 5.9, let $i = a = w^{-1}(p + 2)$, define $j > i$ to be minimal with $w(j) < w(i)$, and set $k = c_i(w)$. It is clear from the definition of i that such an index j exists and that $k \geq 2$. The claim in the previous paragraph shows that if $1 \leq h < i$ then h must appear before position p in the one-line representation of w^{-1} , which means that $w(h) < p$ and therefore $c_h(w) - c_i(w) \leq i - h - 4$. The antidiagonals described in Definition 5.9 are thus empty as needed. Since $j \leq b = w^{-1}(p)$, it follows that $c_j(w) < c_i(w)$; moreover, if $i < m < j$ then $w(m) > w(d) = p + 3$ so $c_m(w) \geq c_i(w) + 1$. Collecting these observations, we conclude that replacing $(i, k - 1)$ in E with (j, k) gives a subset D with $D \prec_{\mathcal{FD}} E$, as we needed to show. ■

Theorem 5.14 If $z \in \mathcal{J}_n^{\text{FPF}}$ then

$$\mathcal{FD}(z) = \{E \subseteq \mathcal{L}_n^\# : \hat{D}_{\text{bot}}^{\text{FPF}}(z) \leq_{\mathcal{FD}} E\} = \{E \subseteq \mathcal{L}_n^\# : \hat{D}_{\text{bot}}^{\text{FPF}}(z) \sim_{\mathcal{FD}} E\}.$$

Proof This is clear from Theorem 5.13 since $\mathcal{L}_n^\#$ is a lower set under $\leq_{\mathcal{FD}}$. ■

6 Future directions

In this final section, we discuss some related identities and open problems.

6.1 Enumerating involution pipe dreams

Choose $w \in S_n$ and let $p = \ell(w)$. Macdonald [29, (6.11)] proved that the following specialization of a Schubert polynomial gives an exact formula for the number of reduced pipe dreams for w :

$$(6.1) \quad |\mathcal{PD}(w)| = \mathfrak{S}_w(1, 1, \dots, 1) = \frac{1}{p!} \sum_{(a_1, a_2, \dots, a_p) \in \mathcal{R}(w)} a_1 a_2 \cdots a_p.$$

Recall that $\kappa(y)$ is the number of two-cycles in $y \in \mathcal{J}_n$. For $D \in \mathcal{JD}(y)$, define

$$\text{wt}(D) = 2^{\kappa(y) - d_D},$$

where d_D is the number of diagonal positions in D . For $\mathcal{X} \subseteq \mathcal{JD}(y)$, define

$$\|\mathcal{X}\| = \sum_{D \in \mathcal{X}} \text{wt}(D).$$

Corollary 6.1 Suppose $y \in \mathcal{J}_n$, $z \in \mathcal{J}_{2n}^{\text{FPF}}$, and $p = \hat{\ell}(y) = \hat{\ell}^{\text{FPF}}(z)$.

$$(a) \quad \|\mathcal{JD}(y)\| = 2^{\kappa(y)} \hat{\mathfrak{S}}_y\left(\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2}\right) = \frac{1}{2^p p!} \sum_{(a_1, a_2, \dots, a_p) \in \hat{\mathcal{R}}(y)} 2^{\kappa(y)} a_1 a_2 \cdots a_p.$$

$$(b) \quad |\mathcal{FD}(z)| = \hat{\mathfrak{S}}_z^{\text{FPF}}\left(\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2}\right) = \frac{1}{2^p p!} \sum_{(a_1, a_2, \dots, a_p) \in \hat{\mathcal{R}}^{\text{FPF}}(z)} a_1 a_2 \cdots a_p.$$

Proof In both parts, the first equality is immediate from Theorem 1.5 and the second equality is a consequence of (6.1), via Definitions 2.3 and 2.6. ■

Billey et al. gave the first bijective proof of (6.1) (and of a more general q -analogue) in the recent paper [2]. This follow-up problem is natural:

Problem 6.2 Find bijective proofs of the identities in Corollary 6.1.

For some permutations, better formulas than 6.1 are available. A *reverse plane partition* of shape $D \subset \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$ is a map $T : D \rightarrow \mathbb{Z}_{\geq 0}$ such that $T(i, j) \leq T(i + 1, j)$ and $T(i, j) \leq T(i, j + 1)$ for all relevant $(i, j) \in D$. If λ is a partition, then let $\text{RPP}_\lambda(k)$ be the set of reverse plane partitions of Ferrers shape $D_\lambda = \{(i, j) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0} : j \leq \lambda_i\}$ with entries in $\{0, 1, \dots, k\}$.

Given $w \in S_n$ write $1^k \times w$ for the permutation in S_{n+k} that fixes $1, 2, \dots, k$ while mapping $i + k \mapsto w(i) + k$ for $i \in [n]$. Fomin and Kirillov [8, Theorem 2.1] showed that if $w \in S_n$ is dominant then $|\mathcal{PD}(1^k \times w)| = |\text{RPP}_\lambda(k)|$ for the partition λ with $\text{dom}(w) = D_\lambda$. In particular:

$$(6.2) \quad |\mathcal{PD}(1^k \times n \cdots 321)| = |\text{RPP}_{(n-1, \dots, 3, 2, 1)}(k)| = \prod_{1 \leq i < j \leq n} \frac{i + j + 2k - 1}{i + j - 1}.$$

Serrano and Stump gave a bijective proof of this identity in [42]. There are similar formulas counting (weighted) involution pipe dreams. For example:

Proposition 6.3 Let $g_n = n+1 \dots 2n \ 1 \dots n \in \mathcal{J}_{2n}$. Then

$$|\mathcal{JD}(1^k \times g_n)| = |\text{RPP}_{(n, \dots, 3, 2, 1)}(\lfloor k/2 \rfloor)| \quad \text{for all } k \in \mathbb{Z}_{\geq 0}.$$

Proof It follows from Theorem 5.5 that $\mathcal{A}(g_n) = \{w_n\}$ for $w_n = 246 \cdots (2n) \ 135 \cdots (2n - 1) \in S_{2n}$, and that $\mathcal{A}(1^k \times g_n) = \{1^k \times w_n\}$. Moreover, we have $\hat{D}_{\text{bot}}(1^k \times g_n) = \{(j + k, i) : 1 \leq i \leq j \leq n\}$. From these facts, we see that $\mathcal{JD}(1^k \times g_n)$ is connected by ordinary ladder moves that are *simple* in that they replace a single cell (i, j) by $(i - 1, j + 1)$.

Now consider all ways of filling the cells $(i, j) \in \hat{D}_{\text{bot}}(1^k \times g_n)$ by numbers $a \in \{0, 1, \dots, \lfloor k/2 \rfloor\}$ such that rows are weakly increasing and columns are weakly decreasing. The set of such fillings is obviously in bijection with $\text{RPP}_{(n, \dots, 3, 2, 1)}(\lfloor k/2 \rfloor)$. On the other hand, we can transform such a filling into a subset of \triangleleft_n by replacing each cell (i, j) filled with a by $(i - a, j + a)$. It is easy to see that this operation is a bijection from our set of fillings to $\mathcal{JD}(1^k \times g_n)$. ■

Computations indicate that if $k, n \in \mathbb{Z}_{\geq 0}$ and $\{p, q\} = \{\lfloor n/2 \rfloor, \lceil n/2 \rceil\}$ then

$$(6.3) \quad |\mathcal{JD}(1^k \times n \cdots 321)| = \prod_{i=1}^p \prod_{j=1}^q \frac{i + j + k - 1}{i + j - 1}$$

and

$$(6.4) \quad |\mathcal{FD}(1_{2k}^{\text{FPF}} \times 2n \cdots 321)| = \prod_{i, j \in [n], i \neq j} \frac{i + j + 2k - 1}{i + j - 1}.$$

The right-hand side of (6.3) is the number of reverse plane partitions with entries at most k of shifted shape $\text{SD}_\lambda = \{(i, i + j - 1) : (i, j) \in D_\lambda\}$ for $\lambda = (p + q - 1, p + q - 3, p + q - 5, \dots)$ [38]. Similar formulas should hold for $\|\mathcal{JD}(1^k \times y)\|$ and $|\mathcal{FD}(1_{2k}^{\text{FPF}} \times z)|$ when $y \in \mathcal{J}_n$ and $z \in \mathcal{J}_{2n}^{\text{FPF}}$ are any (fpf-)dominant involutions. We expect that one

can prove such identities algebraically using the Pfaffian formulas for $\hat{\mathfrak{S}}_y$ and $\hat{\mathfrak{S}}_z^{\text{FPF}}$ in [37, Section 5]. A more interesting open problem is the following:

Problem 6.4 Find bijective proofs of (6.3) and (6.4) and their dominant generalizations.

6.2 Ideals of matrix Schubert varieties

Another open problem is to find a geometric explanation for the formulas in Theorem 1.5. Such an explanation exists in the double Schubert case, as we briefly explain.

Recall that $A_{[i][j]}$ denotes the upper-left $i \times j$ submatrix of a matrix A . Let \mathcal{Z} be the matrix of indeterminates $(z_{ij})_{i,j \in [n]}$. For $w \in S_n$, let $I_w \subseteq \mathbb{C}[z_{ij} : i, j \in [n]] = \mathbb{C}[\text{Mat}_n]$ be the ideal generated by all $(\text{rank } w_{[i][j]} + 1) \times (\text{rank } w_{[i][j]} + 1)$ minors of $\mathcal{Z}_{[i][j]}$ for $i, j \in [n]$. The vanishing locus of I_w in the space Mat_n of $n \times n$ complex matrices is exactly the matrix Schubert variety MX_w .

Let $\text{init}(I_w)$ be the *initial ideal* of leading terms in I_w with respect to any term order on $\mathbb{C}[z_{ij}]$ with the property that the leading term of $\det(A)$ for any submatrix A of \mathcal{Z} is the product of the antidiagonal entries of A . For instance, lexicographic order with the variable ordering $z_{1n} < \dots < z_{11} < z_{2n} < \dots < z_{21} < \dots$ has this property.

Theorem 6.5 ([23]) *For each permutation $w \in S_n$, the ideal I_w is prime, and there is a prime decomposition $\text{init}(I_w) = \bigcap_{D \in \mathcal{PD}(w)} (z_{ij} : (i, j) \in D)$.*

Given the description of the class $[MX_w]$ in Section 2.2, this result implies the pipe dream formula (1.2) for $[MX_w] = \mathfrak{S}_w(x, y)$.

Now let $\hat{\mathcal{Z}}$ be the symmetric matrix of indeterminates $[z_{\max(i,j), \min(i,j)}]_{i,j \in [n]}$. Define $\hat{I}_y \subseteq \mathbb{C}[z_{ij} : 1 \leq j < i \leq n] = \mathbb{C}[\text{SMat}_n]$ for $y \in \mathcal{J}_n$ to be the ideal generated by all $(1 + \text{rank } y_{[i][j]}) \times (1 + \text{rank } y_{[i][j]})$ minors of $\hat{\mathcal{Z}}_{[i][j]}$ for $i, j \in [n]$. The vanishing locus of \hat{I}_y is $M\hat{X}_y$.

Conjecture 6.6 *For $y \in \mathcal{J}_n$, the ideal \hat{I}_y is prime, and there is a primary decomposition of $\text{init}(\hat{I}_y)$ whose top-dimensional components are $(z_{ij}^{m_{ij,D}} : (i, j) \in D)$ for $D \in \mathcal{JD}(y)$, where $m_{ij,D} = 2$ if the pipes crossing at (i, j) are labeled p and $z(p)$ for some $p \in [n]$, and otherwise $m_{ij,D} = 1$.*

As per Section 2.2, the conjecture would give a direct geometric proof of Theorem 1.5.

Example 6.7 Let $y = (3, 4) \in \mathcal{J}_4$. Then $A \in M\hat{X}_y$ if and only if $\text{rank } A_{[i][j]} \leq m_{ij}$ for

$$(m_{ij})_{1 \leq i, j \leq 4} = \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 2 & 2 \\ 1 & 2 & 2 & 3 \\ 1 & 2 & 3 & 4 \end{pmatrix}.$$

These rank conditions all follow from $\text{rank } A_{[3][3]} \leq 2$, so \hat{I}_y is generated by $\det \hat{\mathcal{Z}}_{[3][3]}$. The ideals in the primary decomposition $\text{init}(\hat{I}_y) = (z_{31}^2 z_{22}) = (z_{31}^2) \cap (z_{22})$ correspond to the two involution pipe dreams in the set $\mathcal{JD}(y) = \{(3, 1), (2, 2)\}$ for $y = (3, 4)$.

Example 6.8 Let $y = 14523 = (2, 4)(3, 5) \in \mathcal{J}_5$. One computes that

$$\text{init}(\hat{I}_y) = (z_{21}^2, z_{31}z_{21}, z_{22}z_{31}, z_{31}^2, z_{32}z_{31}, z_{32}^2) = (z_{21}^2, z_{31}, z_{32}^2) \cap (z_{21}, z_{22}, z_{31}^2, z_{32}).$$

There is a single involution pipe dream for y given by $\{(2, 1), (3, 1), (3, 2)\}$. This pipe dream corresponds to the codimension 3 component $(z_{21}^2, z_{31}, z_{32}^2)$ of $\text{init}(\hat{I}_y)$, while the codimension 4 component $(z_{21}, z_{22}, z_{31}^2, z_{32})$ does not correspond to a pipe dream of y .

For $z \in \mathcal{J}_n^{\text{FPF}}$, the ideal generated by the $(\text{rank } z_{[i][j]} + 1) \times (\text{rank } z_{[i][j]} + 1)$ of a skew-symmetric matrix of indeterminates need not be prime, and we do not have an analogue of Conjecture 6.6.

6.3 Pipe dream formulas for K -theory

A third source of open problems concerns pipe dreams for K -theory. A subset $D \subseteq [n] \times [n]$ is a K -theoretic pipe dream for $w \in S_n$ if $\text{word}(D) = (a_1, a_2, \dots, a_p)$ satisfies $s_{a_1} \circ s_{a_2} \circ \dots \circ s_{a_p} = w$ where \circ is the Demazure product. Let $\mathcal{KPD}(w)$ denote the set of K -theoretic pipe dreams of w . Fomin and Kirillov [7] introduce these objects in order to state this formula for the (generalized) Grothendieck polynomial \mathfrak{G}_w of $w \in S_n$:

$$(6.5) \quad \mathfrak{G}_w = \sum_{D \in \mathcal{KPD}(w)} \beta^{|\mathcal{D}| - \ell(w)} \prod_{(i,j) \in \mathcal{D}} x_i \in \mathbb{Z}[\beta][x_1, x_2, \dots, x_n].$$

This identity is nontrivial to derive from Lascoux and Schützenberger’s original definition of Grothendieck polynomials in terms of isobaric divided difference operators [26, 28].

Grothendieck polynomials becomes Schubert polynomials on setting $\beta = 0$. In [31, 33], continuing work of Wyser and Yong [45], the second two authors studied orthogonal and symplectic Grothendieck polynomials $\mathfrak{G}_y^{\text{O}}$ and $\mathfrak{G}_z^{\text{Sp}}$ indexed by $y \in \mathcal{J}_n$ and $z \in \mathcal{J}_n^{\text{FPF}}$. These polynomials likewise recover the involution Schubert polynomials \mathfrak{S}_y and $\mathfrak{S}_z^{\text{FPF}}$ on setting $\beta = 0$, and it would be interesting to know if they have analogous pipe dream formulas.

The symplectic case of this question is more tractable. The polynomials $\mathfrak{G}_z^{\text{Sp}}$ have a formulation in terms of isobaric divided difference operators due to Wyser and Yong [45], which suggests a natural K -theoretic variant of the set $\mathcal{FD}(z)$. A formula for $\mathfrak{G}_z^{\text{Sp}}$ involving these objects appears in [33, Section 4]. By contrast, no simple algebraic formula is known for the polynomials $\mathfrak{G}_y^{\text{O}}$. It is a nontrivial problem even to identify the correct K -theoretic generalization of $\mathcal{JD}(y)$.

Problem 6.9 Find a pipe dream formula for the polynomials $\mathfrak{G}_y^{\text{O}}$ involving an appropriate “ K -theoretic” generalization of the sets of involution pipe dreams $\mathcal{JD}(y)$.

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