

# THE DESCENDING CHAIN CONDITION IN JOIN-CONTINUOUS MODULAR LATTICES

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If  $L$  is a distributive lattice in which every element is the join of finitely many join-irreducible elements, and if the set of join-irreducible elements of  $L$  satisfies the descending chain condition, then  $L$  satisfies the descending chain condition: this follows easily from the results of Chapter VIII, Section 2, in the Third (New) Edition of Garrett Birkhoff's '*Lattice Theory*' (Amer. Math. Soc., Providence, 1967). Certain investigations (M. S. Brooks, R. A. Bryce, unpublished) on the lattice of all subvarieties of some variety of algebraic systems require a similar result without the assumption of distributivity. Such a lattice is always *join-continuous*: that is, it is complete and  $(\bigwedge X) \vee y = \bigwedge \{x \vee y : x \in X\}$  whenever  $X$  is a chain in the lattice (for, the dual of such a lattice is complete and 'algebraic', in Birkhoff's terminology). The purpose of this note is to present the result:

**THEOREM.** *Let  $L$  be a join-continuous modular lattice. The descending chain condition is satisfied by  $L$  if (and obviously only if)*

- (i) *every element of  $L$  is a join of finitely many join-irreducible elements, and*
- (ii) *the set  $M$  of join-irreducible elements of  $L$  satisfies the descending chain condition.*

It would be interesting to know whether this remains a theorem if the assumption of modularity (and/or of join-continuity) is omitted.

Use will be made of a lemma, which states what the proof of Theorem 2 of Birkhoff (loc. cit.) shows; however, it will be established here by an apparently simpler argument.

**LEMMA.** *Let  $M$  be a partially ordered set satisfying the descending chain condition, and let  $\mathcal{N}$  be the set of those finite subsets of  $M$  which consist of mutually incomparable elements. Define a partial order  $\leq$  on  $\mathcal{N}$  by putting*

$$A \leq B \quad \text{if} \quad \forall a \in A . \exists b \in B . a \leq b.$$

*Then  $\mathcal{N}$  satisfies the descending chain condition.*

PROOF. It is easy to check that the relation  $\leq$  defined on  $\mathcal{N}$  is indeed a partial order. Suppose the Lemma is false, and

$$A_1 > \cdots > A_i > \cdots$$

is an infinite properly descending chain (of type  $\omega$ ) in  $\mathcal{N}$ . Then  $\bigcup_i A_i$  is infinite. Consider the sequences

$$(a) \quad a_1 \geq \cdots \geq a_i \geq \cdots \quad a_i \in A_i$$

which are maximal: that is, either infinite, or finite with last term  $a_n$  such that  $A_{n+1}$  has no element  $a_{n+1}$  with  $a_n \geq a_{n+1}$ . As each element of the infinite set  $\bigcup_i A_i$  occurs in some such sequence while each sequence has only finitely many distinct terms, there must be infinitely many such sequences. Given a positive integer  $k$ , there are only finitely many (not necessarily maximal) sequences of length  $k$  which can occur as initial segments of the sequences (a): thus at least one sequence of length  $k$ , say

$$b_1 \geq \cdots \geq b_k \quad b_i \in A_i,$$

is the initial segment of infinitely many sequences (a). Of these, infinitely many must have the same initial segment of length  $k+1$ , say

$$b_1 \geq \cdots \geq b_k \geq b_{k+1} \quad b_i \in A_i.$$

Inductively, one obtains the existence of an infinite sequence

$$(b) \quad b_1 \geq \cdots \geq b_k \geq \cdots \quad b_i \in A_i$$

such that each initial segment of (b) is also the initial segment of infinitely many other (maximal) sequences. Now (b) must be constant from some term on: say,  $b_m = b_{m+1} = \cdots$ . Let (a) be another sequence with initial segment  $b_1 \geq \cdots \geq b_m$ ; that is, with  $a_1 = b_1, \dots, a_m = b_m$ . As (a) is maximal, it cannot be an initial segment of (b); hence there will be an integer  $n$  with  $a_{m+n} \neq b_{m+n}$ , but of course with

$$a_{m+n} \leq a_m = b_m = b_{m+n}:$$

so that  $a_{m+n} < b_{m+n}$ , contrary to the fact that  $A_{m+n}$  consists of mutually incomparable elements. This contradiction completes the proof.

PROOF OF THE THEOREM. Suppose that  $x_1 \geq \cdots \geq x_i \geq \cdots$  is a descending chain (of type  $\omega$ ) in  $L$ , and put  $x = \bigwedge_i x_i$ . The first step is to show that the dual ideal  $D$  generated by  $x$  also satisfies the hypotheses: the rest of the argument can be carried out in  $D$ , or, still more conveniently, it can be assumed without loss of generality that  $x$  is the least element of  $L$ .

Obviously,  $D$  is modular and join-continuous. It also inherits (i), for  $y \rightarrow x \vee y$  is a join-homomorphism of  $L$  onto  $D$  which maps join-

irreducibles to join-irreducibles: if  $a$  is join-irreducible in  $L$ , it is certainly join-irreducible in the interval  $[x \wedge a, a]$ , and so — by the isomorphism theorem of modular lattices —  $x \vee a$  is join-irreducible in  $[x, x \vee a]$  and hence also in  $D$ . Suppose that  $d_1 \geq \dots \geq d_i \geq \dots$  is a descending chain of join-irreducible elements of  $D$ . Write  $d_1$  as a join of join-irreducibles  $a_1, \dots, a_m$  of  $L$ ; then  $d_1$  is also the join of their images in  $D$ , and hence one of these images is  $d_1$ : say,  $d_1 = x \vee a_1$ . Put  $d'_1 = a_1$ . Next, suppose that  $d_i = x \vee d'_i$  with  $d'_i$  join-irreducible in  $L$ . Then, as  $d_i \geq d_{i+1} \geq x$  and  $L$  is modular,  $d_{i+1} = x \vee (d_{i+1} \wedge d'_i)$ . Write  $d_{i+1} \wedge d'_i$  as a join of join-irreducibles of  $L$ : say, of  $b_1, \dots, b_n$ . As  $d_{i+1}$  is the join of their images in  $D$ , one such image must be  $d_{i+1}$  itself: say,  $d_{i+1} = x \vee b_1$ . Put  $d'_{i+1} = b_1$ ; note that  $d'_i \geq d'_{i+1}$ . Inductively, it is possible to select a descending chain  $d'_1 \geq \dots \geq d'_i \geq \dots$  of join-irreducibles of  $L$  such that

$$d_1 = x \vee d'_1, \dots, d_i = x \vee d'_i, \dots$$

As  $M$  satisfies the descending chain condition, from some term on  $d'_k = d'_{k+1} = \dots$ , and hence  $d_k = d_{k+1} = \dots$ . This proves that  $D$  inherits (ii).

From now on it will be assumed that  $x$  is the least element of  $L$ .

Let  $\mathcal{F}$  be the set of all finite subsets of  $L$ , quasi-ordered by the relation

$$A \leq B \quad \text{if} \quad \forall a \in A. \exists b \in B. a \leq b.$$

Let  $\mathcal{J}$  be the set of all those finite non-empty subsets  $J$  of  $M$  which give their joins irredundantly: that is, if  $a \in J$  then either  $\bigvee J \neq \bigvee (J \setminus \{a\})$  or  $J = \{a\}$ . (The join of the empty subset of  $L$  is interpreted as the least element of  $L$ .) Note that  $\mathcal{J}$  is contained in  $\mathcal{N}$  which in turn is contained in  $\mathcal{F}$ , and the partial order of  $\mathcal{N}$  is just the restriction of the quasi-order of  $\mathcal{F}$ . By the Lemma,  $\mathcal{J}$  satisfies the descending chain condition with respect to this partial order  $\leq$ . Moreover, it is an easy consequence of (i) that

$$(*) \quad \forall A \in \mathcal{F}. \exists J \in \mathcal{J}. J \leq A \ \& \ \bigvee J = \bigvee A.$$

Let  $y$  be any element of  $L$ , and  $J$  a minimal element of the set  $\{J \in \mathcal{J} : y \leq \bigvee J\}$  (note that, on account of (i), this set cannot be empty). The next step is to show that if  $a \in J$  and  $J' = J \setminus \{a\}$  then  $\bigvee J = (\bigvee J') \vee y$ . To this end, consider  $a^* = a \wedge ((\bigvee J') \vee y)$  and  $A = J' \cup \{a^*\}$ . By construction,  $A \leq J$ . By the modular law,

$$\begin{aligned} \bigvee A &= (\bigvee J') \vee a^* = (\bigvee J') \vee (a \wedge ((\bigvee J') \vee y)) \\ &= ((\bigvee J') \vee a) \wedge ((\bigvee J') \vee y) \\ &= (\bigvee J) \wedge ((\bigvee J') \vee y) = (\bigvee J') \vee y \geq y. \end{aligned}$$

According to (\*),  $\exists J^* \in \mathcal{J}. J^* \leq A \leq J \ \& \ \bigvee J^* = \bigvee A \geq y$ . The minimal choice of  $J$  now implies that  $J^* = J$ , thus  $\bigvee J = \bigvee A$ , and it has already been shown that  $\bigvee A = (\bigvee J') \vee y$ .

For the final step, let  $J_1$  be a minimal element of the set  $\{J \in \mathcal{J} : x_1 \leq \bigvee J\}$ . If  $J_i$  has already been chosen so that  $x_i \leq \bigvee J_i$ , then the set  $\{J \in \mathcal{J} : x_{i+1} \leq \bigvee J \ \& \ J \leq J_i\}$  is non-empty; choose  $J_{i+1}$  as a minimal element from it. Inductively one obtains a descending chain  $J_1 \geq \cdots \geq J_i \geq \cdots$  in  $\mathcal{J}$  such that  $x_i \leq \bigvee J_i$  and, if  $a_i \in J_i$ ,  $J'_i = J_i \setminus \{a_i\}$ , then  $(\bigvee J'_i) \vee x_i = \bigvee J_i$ . As  $\mathcal{J}$  satisfies the descending chain condition,  $J_m = J_{m+n}$  for some  $m$  and every  $n$ ; now it is possible to choose  $a_{m+1}, \dots, a_{m+n}, \dots$  all equal to  $a_m$ , so that  $J'_m = \cdots = J'_{m+n} = \cdots$ , and then  $\bigvee J_m = (\bigvee J'_m) \vee x_{m+n}$  for every  $n$ . Put  $X = \{x_m, \dots, x_{m+n}, \dots\}$  and use that  $L$  is join-continuous:

$$(\bigvee J'_m) \vee x = (\bigvee J'_m) \vee (\bigwedge X) = \bigwedge_n ((\bigvee J'_m) \vee x_{m+n}) = \bigvee J_m.$$

Since  $x$  is the least element of  $L$ , this means that  $\bigvee J'_m = \bigvee J_m$ . As  $J_m$  gives its join irredundantly, this can only happen if  $J_m = \{x\}$ . Thus  $x = \bigvee J_m \geq x_m$  yields that  $x_m = \cdots = x_{m+n} = \cdots = x$ , and the proof is complete.

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