OPERATOR-VALUED MULTIPLIER THEOREMS CHARACTERIZING HILBERT SPACES

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Abstract

We show that the operator-valued Marcinkiewicz and Mikhlin Fourier multiplier theorem are valid if and only if the underlying Banach space is isomorphic to a Hilbert space.

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1. Introduction

Mikhlin's multiplier theorem is of great importance in analysis. It says that a bounded function $m \in C^1(\mathbb{R} \setminus \{0\})$ such that tm'(t) is bounded, defines an $L^p(\mathbb{R})$ -multiplier for $1 . In the context of partial differential equations vector-valued spaces <math>L^p(\mathbb{R}; X)$ occur in a natural way, where X is a Banach space. Thus the function m should take its values in $\mathcal{L}(X)$. Our aim is to show that Mikhlin's multiplier theorem does hold for such operator-valued functions if and only if X is isomorphic to a Hilbert space.

The phenomenon that operator-valued versions of certain classical multiplier theorems are only valid in Hilbert spaces was first observed by Pisier (unpublished) as a consequence of Kwapien's deep characterization of Hilbert spaces. More recently, new versions of operator-valued multiplier theorems turned out to be most useful in the theory of evolution equations (see the references and comments below) and it

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seems to us that it is important to elaborate in some detail why the classical result merely holds on Hilbert spaces.

In another context, it helps to impose a Mikhlin's condition of order k

(1)
$$m \in C^k(\mathbb{R} \setminus \{0\}; \mathcal{L}(X)), \quad \sup_{t \in \mathbb{R} \setminus \{0\}, 0 \le l \le k} \|t^l m^{(l)}(t)\| < \infty.$$

In fact, Amann [1] discovered that if m satisfies (1) with k = 2, then m is a multiplier for Besov spaces and in particular for the space $C^{\theta}(\mathbb{R}; X)$, $0 < \theta < 1$ (see also [2] and [11]). We show here that imposing higher order Mikhlin's conditions does not help in the context of operator-valued L^p -multipliers.

We also consider the groups \mathbb{T} and \mathbb{Z} instead of \mathbb{R} . In fact, the case \mathbb{T} corresponds to Marcinkiewicz's classical theorem and its operator-valued version is already treated in [3] for the order-1-case.

Now we would like to comment on the new vector-valued multiplier theorems which were found recently. It were Berkson-Gillespie [4] who introduced the notion of R-boundedness (after implicit use of Bourgain [6]). They use R as an abbreviation for Riesz, but in many subsequent papers people seem think rather of Rademacher or 'Randomized' because the definition involves Rademacher functions. A multiplier theorem of Marcinkiewicz type was established by Clément-de Pagter-Sukochev-Witvliet [8] for multipliers of the form m(t)I (I is the identity operator) clarifying the role of R-boundedness. Then Weis [18] established Mikhlin's theorem for operator-valued functions (without restriction) replacing boundedness by the stronger condition of R-boundedness. Then in [3] the corresponding periodic theorem (that is, Marcinkiewicz's theorem) was proved on the basis of results in [8]. Štrkalj and Weis [17] gave an R-version of the variational version of the Marcinkiewicz theorem. Further important contributions were given by Clément-Prüss [9], Denk-Hieber-Prüss [10], and Girardi-Weis [11].

2. Periodic multipliers

Let us first recall some notions. Let X be a Banach space. Denote by r_j the j-th Rademacher function on [0,1]. For $x\in X$, we denote by $r_j\otimes x$ the vector-valued function $t\mapsto r_j(t)x$. Let Y be another Banach space. We denote by $\mathcal{L}(X,Y)$ the set of all bounded linear operators from X to Y. If X=Y we will denote $\mathcal{L}(X,Y)$ simply by $\mathcal{L}(X)$. A family $\mathbf{T}\subset \mathcal{L}(X,Y)$ is called R-bounded if for some $q\in [1,\infty)$ there exists a constant $c_q\geq 0$ such that

(2)
$$\left\| \sum_{j=1}^{n} r_{j} \otimes T_{j} x_{j} \right\|_{L^{q}(0,1;Y)} \leq c_{q} \left\| \sum_{j=1}^{n} r_{j} \otimes x_{j} \right\|_{L^{q}(0,1;X)}$$

for all $T_1, \ldots, T_n \in \mathbf{T}$, $x_1, \ldots, x_n \in X$ and $n \in \mathbb{N}$. By Kahane's inequality [14, Theorem 1.e.13] if such constant c_q exists for some $q \in [1, \infty)$, then it also exists for each $q \in [1, \infty)$.

It is known that R-boundedness is strictly stronger than boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space. More precisely, each bounded subset in $\mathcal{L}(X, Y)$ is R-bounded if and only if X is of cotype 2 and Y is of type 2 (see [3, Proposition 1.13]). In particular, by a result of Kwapien [14, pages 73–74], each bounded subset in $\mathcal{L}(X)$ is R-bounded if and only if X is isomorphic to a Hilbert space.

For $1 \le p < \infty$, consider the Banach space $L^p(0, 2\pi; X)$ with norm $||f||_p := \left(\int_0^{2\pi} ||f(t)||^p dt\right)^{1/p}$. For $f \in L^p(0, 2\pi; X)$ we denote by

$$\hat{f}(k) := \frac{1}{2\pi} \int_0^{2\pi} e^{-ikt} f(t) dt,$$

the k-th Fourier coefficient of f, where $k \in \mathbb{Z}$. For $k \in \mathbb{Z}$, $x \in X$ we let $e_k(t) = e^{ikt}$ and $(e_k \otimes x)(t) = e_k(t)x$ $(t \in \mathbb{R})$. A function $f \in L^p(0, 2\pi; X)$ is called a trigonometric polynomial if f is given by $f = \sum_{k \in \mathbb{Z}} e_k \otimes x_k$, where $x_k \in X$ is 0 for all but finitely many $k \in \mathbb{Z}$.

Let $M = (M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X, Y)$ be a sequence and let $1 \leq p, q < \infty$. We say that $(M_k)_{k \in \mathbb{Z}}$ is a periodic L^p - L^q -Fourier multiplier if there exists a constant C > 0 such that

$$\left\| \sum_{k \in \mathbb{Z}} e_k \otimes M_k x_k \right\|_{L^q(0,2\pi;Y)} \leq C \left\| \sum_{k \in \mathbb{Z}} e_k \otimes x_k \right\|_{L^p(0,2\pi;X)}$$

for all X-valued trigonometric polynomials $\sum_{k\in\mathbb{Z}} e_k \otimes x_k$. In this case, there exists a unique operator $M \in \mathcal{L}(L^p(0, 2\pi; X), L^q(0, 2\pi; Y))$ such that $(Mf)\hat{}(k) = M_k \hat{f}(k)$ for $k \in \mathbb{Z}$ [3]. When p = q, we say simply that $(M_k)_{k\in\mathbb{Z}}$ is a periodic L^p -Fourier multiplier. For $k \in \mathbb{Z}$, we let $(\Delta^1 M)(k) = M_{k+1} - M_k$ and $(\Delta^m M)(k) = (\Delta^1 (\Delta^{m-1} M))(k)$ for m > 2. Notice that $\Delta^m M$ is a discrete analogue of the m-th derivative of M.

The classical Marcinkiewicz Fourier multiplier theorem has been extended to the operator-valued case in the following way: let X and Y be UMD spaces and let $(M_k)_{k\in\mathbb{Z}}\subset \mathcal{L}(X,Y)$; if both $\{M_k:k\in\mathbb{Z}\}$ and $\{k(M_{k+1}-M_k):k\in\mathbb{Z}\}$ are R-bounded, then $(M_k)_{k\in\mathbb{Z}}$ defines a periodic L^p -Fourier multiplier for each $1< p<\infty$ [3]. Indeed, $(M_k)_{k\in\mathbb{Z}}$ is a periodic L^p -L q -Fourier multiplier whenever $1\leq q\leq p<\infty$.

We will need the following inequality of Pisier [15]. Let $1 \le p < \infty$ and let $\Lambda = \{n_k : k \in N\} \subset \mathbb{Z}$ be a Sidon subset [16, page 120]. Then there exists C > 0 such that for any Banach space X and for any finite sequence $(y_k)_{1 \le k \le N}$ of X, we have

(3)
$$C^{-1} \left\| \sum_{k} r_{k} \otimes y_{k} \right\|_{2} \leq \left\| \sum_{k} e_{n_{k}} \otimes y_{k} \right\|_{p} \leq C \left\| \sum_{k} r_{k} \otimes y_{k} \right\|_{2}.$$

Note that if $\lambda > 1$, then any subset $\{n_k : k \in \mathbb{N}\}$ satisfying $n_{k+1}/n_k \ge \lambda$ $(k \in \mathbb{N})$ is a Sidon subset of \mathbb{Z} [16, page 127].

The following result shows that one cannot replace *R*-boundedness in the operatorvalued Marcinkiewicz theorem above by boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space.

THEOREM 1. Let X be a Banach space. Then the following assertions are equivalent:

- (i) X is isomorphic to a Hilbert space.
- (ii) For some $1 \le q , each sequence <math>(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$ satisfying
- (a) $\sup_{k\in\mathbb{Z}} \|M_k\| < \infty$,
- (b) $\sup_{k \in \mathbb{Z}} ||k^l(\Delta^l M)(k)|| < \infty \text{ for } l \in \mathbb{N},$
- (c) $M_k = 0 \text{ for } k \le 0$,

is a periodic L^p - L^q -Fourier multiplier.

- (iii) For all $1 , each sequence <math>(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$ satisfying
- (a) $\sup_{k\in\mathbb{Z}}\|M_k\|<\infty$,
- (b) $\sup_{k\in\mathbb{Z}} \|k(\Delta^1 M)(k)\| < \infty$,

is a periodic L^p-Fourier multiplier.

REMARK 2. For l=1, the condition formulated in (iii) is the classical condition considered by Marcinkiewicz in the scalar case. For arbitrary $l \in \mathbb{N}$ we therefore speak of the *Marcinkiewicz condition of order l*. For p=q and l=1, Theorem 1 has been proved in [3, Proposition 1.17.]. However, a more refined choice of test functions is needed here for the general case. The motivation to consider l>1 stems from the results on Fourier multipliers for spaces of Hölder continuous functions where, indeed, the Marcinkiewicz condition of order 2 suffices (see [2] and also the Concluding Remarks at the end of this article). Theorem 1 shows that this is not the case in the L^p -context even if we consider weaker multipliers by allowing q < p. This has also been done by Kalton-Lancien in the context of maximal regularity for Cauchy problems [13] (see also the Concluding Remarks 5 (b) below).

PROOF. (i) \Rightarrow (iii). Assume that X is isomorphic to a Hilbert space, then considering an orthonormal basis one easily verifies that each bounded subset in $\mathcal{L}(X)$ is actually R-bounded, so the result follows from the operator-valued Marcinkiewicz Fourier multiplier theorem in [3].

- (iii) \Rightarrow (ii) is trivial.
- (ii) \Rightarrow (i). Assume that for some $1 \le q , each sequence <math>(M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$ satisfying $\sup_{k \in \mathbb{Z}} \|M_k\| < \infty$, $\sup_{k \in \mathbb{Z}} \|k^l(\Delta^l M)(k)\| < \infty$ for $l \in \mathbb{N}$ and $M_k = 0$ for $k \le 0$, is a periodic L^p - L^q -Fourier multiplier. Let $N = (N_k)_{k \in \mathbb{N}} \subset \mathcal{L}(X)$ be a bounded sequence.

Let $\mathscr{S}(\mathbb{R})$ be the Schwartz space. Let $\phi_1 \in \mathscr{S}(\mathbb{R})$ be such that $\operatorname{supp}(\phi_1) \subset [2, 4]$ and $\phi_1(3) = 1$. For $n \geq 1$, we let $h_n = 2^{2n-2}$. Define $\phi_n = \phi_1(\cdot/h_n)$. Then $\operatorname{supp}(\phi_n) \subset [2h_n, 4h_n]$ and $\phi_n(3h_n) = 1$. Let $\phi : \mathbb{R} \to \mathscr{L}(X)$ be defined by

$$\phi(t) = \begin{cases} \phi_n(t)N_n & \text{if } 2h_n \le t \le 4h_n \text{ for some } n \ge 1; \\ 0 & \text{otherwise.} \end{cases}$$

Let $M = (\phi(k))_{k \in \mathbb{Z}}$. We claim that

$$\sup_{k\in\mathbb{Z}}\|\phi(k)\|<\infty.$$

(5)
$$\sup_{k\in\mathbb{Z}}\|k^l(\Delta^lM)(k)\|<\infty,$$

for $l \in \mathbb{N}$. Indeed (4) is clearly true. We will only give the proof for (5) when l = 2, the proof for the general case is similar.

First notice that when $4h_n \le k \le 8h_n - 2$ for some $n \in \mathbb{N}$, or $k \le 0$, then $(\Delta^2 M)(k) = 0$. While when $2h_n - 2 < k < 4h_n$ for some $n \in \mathbb{N}$

$$(\Delta^{2}M)(k) = (\phi_{n}(k+2) - 2\phi_{n}(k+1) + \phi_{n}(k))N_{n}$$

$$= \left(\phi_{1}\left(\frac{k+1}{h_{n}} + \frac{1}{h_{n}}\right) - 2\phi_{1}\left(\frac{k+1}{h_{n}}\right) + \phi_{1}\left(\frac{k+1}{h_{n}} - \frac{1}{h_{n}}\right)\right)N_{n}$$

$$= \frac{1}{2h_{n}^{2}}(\phi_{1}''(\eta_{1}) + \phi_{1}''(\eta_{2}))N_{n}$$

for some $\eta_1, \eta_2 \in \mathbb{R}$. We deduce that

$$\sup_{k \in \mathbb{Z}} \|k^2(\Delta^2 M)(k)\| \le \sup_{n \in \mathbb{N}} \frac{16h_n^2}{4h_n^2} \|N_n\| \sup_{x \in \mathbb{R}} |\phi_1''(x)| \le 4 \sup_{n \in \mathbb{N}} \|N_n\| \sup_{x \in \mathbb{R}} |\phi_1''(x)|.$$

Thus $M = (\phi(k))_{k \in \mathbb{Z}}$ is a periodic L^p - L^q -Fourier multiplier by assumption. Hence there exists C > 0 such that for $n \in \mathbb{N}$ and $x_1, x_2, \ldots, x_n \in X$, we have

$$\left\| \sum_{k} e_{k} \otimes \phi(k) x_{k} \right\|_{q} \leq C \left\| \sum_{k} e_{k} \otimes x_{k} \right\|_{p},$$

and, in particular,

$$\left\| \sum_{n\geq 1} e_{3h_n} \otimes M_k x_{3h_n} \right\|_q \leq C \left\| \sum_{n\geq 1} e_{3h_n} \otimes x_{3h_n} \right\|_p.$$

By (3), this implies that the sequence $(M_k)_{k\geq 1}$ is R-bounded. It is easy to check that if each countable subset of T is R-bounded then so is T. We deduce from this that each bounded subset in $\mathcal{L}(X)$ is actually R-bounded. By [3, Proposition 1.13], this implies that X is isomorphic to a Hilbert space.

3. Multipliers on the line

Let X be a Banach space and consider the Banach space $L^p(\mathbb{R};X)$ for $1 . We denote by <math>\mathcal{D}(\mathbb{R};X)$ the space of all X-valued C^∞ -functions with compact support. $\mathscr{S}(\mathbb{R};X)$ will be the X-valued Schwartz space and we let $\mathscr{S}'(\mathbb{R};X) := \mathscr{L}(\mathscr{S}(\mathbb{R});X)$, where $\mathscr{S}(\mathbb{R})$ denotes the \mathbb{C} -valued Schwartz space. Let Y be another Banach space. Then given $M \in L^1_{loc}(\mathbb{R};\mathscr{L}(X,Y))$, we may define an operator $T:\mathscr{F}^{-1}\mathscr{D}(\mathbb{R};X) \to \mathscr{S}'(\mathbb{R};Y)$ by means of

$$T\phi := \mathscr{F}^{-1}M\mathscr{F}\phi$$
 for all $\mathscr{F}\phi \in \mathscr{D}(\mathbb{R};X)$,

where \mathscr{F} denotes the Fourier transform. Since $\mathscr{F}^{-1}\mathscr{D}(\mathbb{R};X)$ is dense in $L^p(\mathbb{R};X)$, we see that T is well defined on a dense subset of $L^p(\mathbb{R};X)$. We say that M is an L^p -Fourier multiplier on $L^p(\mathbb{R};X)$ if T can be extended to a bounded linear operator from $L^p(\mathbb{R};X)$ to $L^p(\mathbb{R};Y)$.

The classical Mikhlin Fourier multiplier theorem has been extended to the operatorvalued case by Weis. Let X and Y be UMD spaces, $1 and let <math>M \in C^1(\mathbb{R} \setminus \{0\}, \mathcal{L}(X, Y))$. If both $\{M(x) : x \neq 0\}$ and $\{xM'(x) : x \neq 0\}$ are R-bounded, then M defines a L^p -Fourier multiplier on $L^p(\mathbb{R}; X)$ [18].

The following result shows that one cannot replace *R*-boundedness in the operator-valued Mikhlin theorem above by boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space.

THEOREM 3. Let X be a Banach space. Then the following assertions are equivalent:

- (i) X is isomorphic to a Hilbert space.
- (ii) For some $1 , each function <math>M \in C^{\infty}(\mathbb{R}; \mathcal{L}(X))$ satisfying
- (a) M(x) = 0 for $x \le 0$,
- (b) $\sup_{x\in\mathbb{R}} \|M(x)\| < \infty$,
- (c) $\sup_{x \in \mathbb{R}} (1 + |x|)^l ||M^{(l)}(x)|| < \infty \text{ for } l \in \mathbb{N},$

defines an L^p -Fourier multiplier on $L^p(\mathbb{R}; X)$.

- (iii) For all $1 , each function <math>M \in C^1(\mathbb{R} \setminus \{0\}; \mathcal{L}(X))$ satisfying the conditions
- (a) $\sup_{x\neq 0} \|M(x)\| < \infty$,
- (b) $\sup_{x \neq 0} ||xM'(x)|| < \infty$,

defines an L^p -Fourier multiplier on $L^p(\mathbb{R};X)$.

PROOF. (i) \Rightarrow (iii). Assume that X is isomorphic to a Hilbert space. Then considering an orthonormal basis one easily verifies that each bounded subset in $\mathcal{L}(X)$ is

actually R-bounded, so the result follows from the operator-valued Mikhlin Fourier multiplier theorem of Weis [18].

- $(iii) \Rightarrow (ii)$ is trivial.
- (ii) \Rightarrow (i). Assume (ii) holds. Let $(M_k)_{k\geq 0}\subset \mathscr{L}(X)$ be a bounded sequence and let $\phi\in\mathscr{D}(\mathbb{R})$ satisfying $\mathrm{supp}(\phi)\subset [1,2],\ \mathrm{sup}_{x\in\mathbb{R}}|\phi(x)|=1$ and $\phi(3/2)=1$. Define $M\in C^\infty(\mathbb{R};\mathscr{L}(X))$ by

$$M(x) = \begin{cases} 0 & \text{if } x \le 1; \\ \phi(2^{-k}x)M_k & \text{if } 2^k \le x < 2^{k+1} \text{ for some } k \ge 0. \end{cases}$$

Then $\sup_{x\in\mathbb{R}}\|M(x)\|=\sup_{k\geq 0}\|M_k\|<\infty$ and for $l\in\mathbb{N},$

$$\sup_{x \in \mathbb{R}} (1 + |x|)^{l} \|M^{(l)}(x)\| \\
\leq 2^{m} \left(\sup_{x \in \mathbb{R}} |x^{l} \phi^{(l)}(x)| \sup_{k>0} \|M_{k}\| + \sup_{x \in \mathbb{R}} |\phi^{(l)}(x)| \sup_{k>0} 2^{-lk} \|M_{k}\| \right) < \infty.$$

So M is an L^p -Fourier multiplier on $L^p(\mathbb{R}; X)$ by assumption. By [9, Proposition 1] this implies that the set $\{M(x) : x \in \mathbb{R}\}$ is R-bounded. In particular, the sequence $(M_k)_{k\geq 0}$ is R-bounded. We deduce from this that each bounded subset in $\mathcal{L}(X)$ is R-bounded, by [3, Proposition 1.13] X is isomorphic to a Hilbert space.

4. Multipliers on \mathbb{Z}

Let X, Y be Banach spaces and consider the Banach space $\ell^p(\mathbb{Z}; X)$ for $1 . Let <math>\mathbb{T} = \{e^{it} : 0 \le t < 2\pi\}$ be the torus. We consider the dense subspace P of $\ell^p(\mathbb{Z}; X)$ consisting of all elements having a finite support. Then for $f = (f_n)_{n \in \mathbb{Z}} \in P$, the Fourier transform of f is a function on $[-\pi, \pi]$ defined by $(\mathscr{F}f)(t) = \sum_{n \in \mathbb{Z}} f_n e^{int}$. Let $M \in L^\infty(-\pi, \pi; \mathcal{L}(X, Y))$. Then the function $M\mathscr{F}f$ is in $L^\infty(-\pi, \pi; Y)$, where \mathscr{F}^{-1} denotes the inverse Fourier transform. We deduce that that $Tf := \mathscr{F}^{-1}(M\mathscr{F}f) \in c_0(\mathbb{Z}; Y)$ makes sense. We say that M is an L^p -Fourier multiplier on $\ell^p(\mathbb{Z}; X)$ if the mapping T can be extended to a bounded linear operator from $\ell^p(\mathbb{Z}; X)$ to $\ell^p(\mathbb{Z}; Y)$.

The classical Mikhlin Fourier multiplier theorem on $\ell^p(\mathbb{Z})$ has been extended to the operator-valued case by Blunck. Let 1 , <math>X be a UMD space, let $M \in C^1((-\pi,0)\cup(0,\pi);\mathcal{L}(X))$ be such that both $\{M(t):t\in(-\pi,0)\cup(0,\pi)\}$ and $\{(e^{it}-1)(e^{it}+1)M'(t):t\in(-\pi,0)\cup(0,\pi)\}$ are R-bounded. Then M is an L^p -Fourier multiplier on $\ell^p(\mathbb{Z};X)$ [5]. In particular, each $M \in C^1([-\pi,0)\cup(0,\pi];\mathcal{L}(X))$ such that both $\{M(t):t\neq0\}$ and $\{tM'(t):t\neq0\}$ are R-bounded, defines an L^p -Fourier multiplier on $\ell^p(\mathbb{Z};X)$. Blunck has also established the R-boundedness of

 L^p -Fourier multipliers on $\ell^p(\mathbb{Z};X)$: when M is an L^p -Fourier multiplier on $\ell^p(\mathbb{Z};X)$, then $\{M(t): t \text{ is a Lebesgue point of } M\}$ is R-bounded.

The following result shows that one cannot replace the R-boundedness in Blunck's result by the boundedness in operator norm unless the underlying Banach space is isomorphic to a Hilbert space. As the proof is similar to that of Theorem 3, we omit it.

THEOREM 4. Let X be a Banach space. Then the following assertions are equivalent:

- (i) X is isomorphic to a Hilbert space.
- (ii) For some $1 , each function <math>M \in C^{\infty}([-\pi, \pi]; \mathcal{L}(X))$ satisfying
- (a) $\sup_{x \in [-\pi,\pi]} ||M(x)|| < \infty$,
- (b) $\sup_{x \in [-\pi,\pi]} |x|^l ||M^{(l)}(x)|| < \infty \text{ for } l \in \mathbb{N},$
- (c) M(x) = 0 for $x \le 0$,

defines an L^p -Fourier multiplier on $\ell^p(\mathbb{Z};X)$.

- (iii) For all $1 , each function <math>M \in C^1([-\pi, 0) \cup (0, \pi]; \mathcal{L}(X))$ satisfying
- (a) $\sup_{x\neq 0} \|M(x)\| < \infty$,
- (b) $\sup_{x \neq 0} ||xM'(x)|| < \infty$,

defines an L^p -Fourier multiplier on $\ell^p(\mathbb{Z};X)$.

5. Concluding remarks

- (a) One can actually show by using [3, Theorem 1.3] and the same argument as in the proof of Theorem 1, that when X and Y are UMD-spaces, then the assertions (ii) and (iii) in Theorem 1 are still equivalent for sequences in $\mathcal{L}(X, Y)$. Similarly, using [18, Theorem 3.4] (respectively, [5, Theorem 1.3]) one can show that when X and Y are UMD-spaces, the assertions (ii) and (iii) in Theorem 3 (respectively, Theorem 4) are still equivalent for functions with values in $\mathcal{L}(X, Y)$. Furthermore, these assertions are equivalent to X having cotype 2 and Y having type 2. This contains our Theorem 1, Theorem 3 and Theorem 4 by a result of Kwapien [14, pages 73–74], saying that a Banach space X is isomorphic to a Hilbert space if and only if X is of cotype 2 and of type 2.
- (b) A restricted version of our results follows from the recent work of Kalton and Lancien on the maximal regularity problem [12]. In particular, the counterexample constructed in [12] can be used to show that the equivalences in Theorem 1 and Theorem 3 are true within the class of UMD Banach spaces which have an unconditional basis.
- (c) In contrast to the L^p -spaces case, the situation for Hölder continuous function spaces is quite different. It has been shown that the operator-valued Marcinkiewicz

(respectively, Mikhlin) Fourier multiplier theorem holds true on $C_{per}^{\alpha}([0, 2\pi]; X)$ (respectively, $C^{\alpha}(\mathbb{R}; X)$) for every Banach space X and $0 < \alpha < 1$ and for each sequence $M = (M_k)_{k \in \mathbb{Z}} \subset \mathcal{L}(X)$ satisfying a second order condition:

$$\sup_{k\in\mathbb{Z}}\|M_k\|+\sup_{k\in\mathbb{Z}}\|k(\Delta^1M)(k)\|+\sup_{k\in\mathbb{Z}}\|k^2(\Delta^2M)(k)\|<\infty$$

(respectively, each function $M \in C^2(\mathbb{R} \setminus \{0\}; \mathcal{L}(X))$ satisfying a second order condition: $\sup_{x \neq 0} \|M(x)\| + \sup_{x \neq 0} \|xM'(x)\| + \sup_{x \neq 0} \|x^2M''(x)\| < \infty$) (see Amann [1] and [2]). Here $C^{\alpha}_{per}([0, 2\pi]; X)$ denotes the space of all functions in $C^{\alpha}(\mathbb{R}, X)$ which are 2π -periodic. If the Banach space has a non-trivial type, then even the Marcinkiewicz condition of order 1 suffices (see [2] and [11]).

- (d) Periodic L^p -Fourier multipliers (respectively, L^p -Fourier multipliers on $L^p(\mathbb{R}; X)$) of the form $M = (m_k I)_{k \in \mathbb{Z}}$, where $m_k \in \mathbb{C}$ for $k \in \mathbb{Z}$ (respectively, M = f I, where $f \in C^1(\mathbb{R} \setminus \{0\})$) on $L^p(0, 2\pi; X)$ (respectively, on $L^p(\mathbb{R}; X)$) have been studied by Zimmermann [19], where I denotes the identity operator on X. Actually Zimmermann's results follow from the operator-valued Marcinkiewicz (respectively, Mikhlin) Fourier multiplier theorem established in [3] (respectively, in [18]) as each subset $M \subset \mathcal{L}(X)$ of the form $M = \{\lambda I : \lambda \in \Omega\}$ is R-bounded whenever $\Omega \subset \mathbb{C}$ is bounded. Zimmermann's results together with a result of Burkholder [7] show that the scalar-valued Marcinkiewicz (respectively, Mikhlin) Fourier multiplier theorem holds true for $L^p(0, 2\pi; X)$ (respectively, $L^p(\mathbb{R}; X)$) for some 1 if and only if <math>X is a UMD space. A similar result characterizing UMD spaces via a scalar-valued Fourier multiplier theorem on $\ell^p(\mathbb{Z}; X)$ can be established based on results in [4].
- (e) It is remarkable that in all three cases we consider here (Theorem 1, Theorem 3 and Theorem 4), the sequence $(M_k)_{k\in\mathbb{Z}}$ (or the function M) satisfying the Marcinkiewicz condition (of order l) without being a Fourier multiplier consists of operators of rank 1 (see [3, Proposition 1.13.]).

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