

# ENUMERATION OF CERTAIN SUBGROUPS OF ABELIAN $p$ -GROUPS

by I. J. DAVIES  
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The number of distinct types of Abelian group of prime-power order  $p^n$  is equal to the number of partitions of  $n$ . Let  $(\rho) = (\rho_1, \rho_2, \dots, \rho_r)$  be a partition of  $n$  and let  $(\mu) = (\mu_1, \mu_2, \dots, \mu_s)$  be a partition of  $m$ , with  $\rho_1 \geq \rho_2 \geq \dots \geq \rho_r$  and  $\mu_1 \geq \mu_2 \geq \dots \geq \mu_s$ ,  $\rho_i \geq \mu_i$ ,  $r \geq s$ ,  $n > m$ . The number of subgroups of type  $(\mu)$  in an Abelian  $p$ -group of type  $(\rho)$  is a function of the two partitions  $(\rho)$ ,  $(\mu)$  and  $p$ , and has been determined as a polynomial in  $p$  with integer coefficients by Yeh (1), Delsarte (2) and Kinoshita (3). Their results differ in form but are equivalent.

P. Hall (4) suggested a refinement of this problem in which we require the number of subgroups of type  $(\mu)$  in an Abelian  $p$ -group of type  $(\rho)$  which have a quotient group of type  $(\lambda)$ . The result, which is a function  $g_{\lambda\mu}^{\rho}(p)$  of the three partitions  $(\rho)$ ,  $(\lambda)$ ,  $(\mu)$  and  $p$ , is known to be a polynomial in  $p$  of degree  $\sum_i (i-1)(\rho_i - \lambda_i - \mu_i)$ , and the coefficient of its highest power is the coefficient of the Schur function  $\{\rho\}$  in the product of the Schur functions  $\{\lambda\}\{\mu\}$ . The precise form of the polynomial is however not known in general.

In this note, the polynomial is determined when

$$\begin{aligned}(\rho) &= (m_1^{r_1}, m_2^{r_2}, \dots, m_s^{r_s}), \\(\lambda) &= (m_1^{r_1-r_1}, (m_1-k)^{r_1}, m_2^{r_2-r_2}, (m_2-k)^{r_2}, \dots, m_s^{r_s-r_s}, (m_s-k)^{r_s})\end{aligned}$$

and  $(\mu) = (k^{r_1+r_2+\dots+r_s})$ ,

where  $r_1+r_2+\dots+r_s = r$ . The result is given in the Theorem which is proved by means of the two lemmas which follow.

**Lemma 1.** *The number of subgroups  $F$  of type  $(k^r)$  in an Abelian  $p$ -group  $E$  of type  $(m^n)$  such that the quotient group  $E/F$  is of type  $(m^{n-r}, (m-k)^r)$ , where  $r \leq n$ ,  $k \leq m$ , is*

$$p^{kr(n-r)} \phi(n, r; 1/p),$$

where

$$\phi(s+t, s; u) = \frac{(1-u)(1-u^2)\dots(1-u^{s+t})}{(1-u)\dots(1-u^s)(1-u)\dots(1-u^t)}, \quad s, t > 0.$$

**Proof.** From the work of Yeh, Delsarte and Kinoshita, it can be shown that the number of subgroups  $F$  of type  $(k^r)$  in an Abelian  $p$ -group  $E$  of type  $(m^n)$  is  $p^{kr(n-r)} \phi(n, r; 1/p)$ . It remains to prove that  $E/F$ , for every such subgroup  $F$ , is of the required type.

E.M.S.—A

Let  $E = C_1C_2\dots C_n$ , where  $C_i$  is a cyclic group of order  $p^m$ , let  $F = B_1B_2\dots B_r$ , where  $B_i$  is a cyclic group of order  $p^k$ , and let  $E' = C_1C_2\dots C_r$  with the quotient group  $E'/F$  isomorphic to a group  $F'$ . We shall need two results.

- (i) The quotient group of a cyclic group with respect to a subgroup is also cyclic, and so  $C_i/B_i$  is isomorphic to a cyclic group  $D_i$  of order  $p^{m-k}$ .
- (ii) If  $X, Y$  are any two groups such that  $X \cap Y = 1$  and, for some group  $Z$ , the quotient group  $Z/X$  is isomorphic to  $Y$ , then  $Z$  is the direct product  $XY$  of  $X$  and  $Y$ .

Now  $C_i = B_iD_i$ , so that  $E' = \prod_1^r C_i \cong \prod_1^r (B_iD_i) = \prod_1^r B_i \prod_1^r D_i = F \prod_1^r D_i$ .

Since  $E'$  is also equal to  $FF'$ , we see that  $F' = \prod_1^r D_i$ , i.e.  $F'$  is isomorphic to the direct product of  $r$  cyclic groups  $D_i$  of orders  $p^{m-k}$ . Thus  $\frac{C_1C_2\dots C_r}{B_1B_2\dots B_r}$  is of type  $((m-k)^r)$ . It follows that  $E/F$ , which is  $\frac{C_1C_2\dots C_rC_{r+1}\dots C_n}{B_1\dots B_r}$ , is of type  $(m^{n-r}, (m-k)^r)$  and the result follows.

**Lemma 2.** *The number of subgroups  $F$  of type  $(k^{r_1+r_2})$ , where  $r_1+r_2 = r$ , in an Abelian  $p$ -group  $E$  of type  $(m_1^{n_1}, m_2^{n_2})$ ,  $m_1 > m_2$ , such that  $E/F$  is of type  $(m_1^{n_1-r_1}, (m_1-k)^{r_1}, m_2^{n_2-r_2}, (m_2-k)^{r_2})$ , where  $r_1 \leq n_1, r_2 \leq n_2, k \leq m_2$ , is*

$$p^{k[r_1(N_1-R_1)+r_2(N_2-R_2)]} \phi(n_1, r_1; 1/p)\phi(n_2, r_2; 1/p),$$

where  $N_i = \sum_1^i n_i, R_i = \sum_1^i r_i$ .

**Proof.** Let  $E$  be generated by  $n_1$  elements  $x_i$ , each of order  $p^{m_1}$ , and  $n_2$  elements  $y_j$ , each of order  $p^{m_2}$ . Let  $a_i = x_i^{p^{m_1-k}}, i = 1, 2, \dots, n_1$ , and  $b_j = y_j^{p^{m_2-k}}, j = 1, 2, \dots, n_2$ . Then  $a_i^{p^k} = b_j^{p^k} = 1$ . Let the cyclic groups generated by  $x_i$  and  $y_j$  be  $C_{1i}$  and  $C_{2j}$  respectively. Every  $C_{1i}$  has one and only one subgroup of order  $p^k$ , namely that generated by  $a_i$ , and every  $C_{2j}$  has one and only one subgroup of order  $p^k$ , namely that generated by  $b_j$ . We denote these by  $[a_i]$  and  $[b_j]$ .

The number of subgroups generated by  $r_1$  of the  $a_i$ 's is, as in Lemma 1,  $p^{kr_1(n_1-r_1)} \phi(n_1, r_1; 1/p)$  and the number of subgroups generated by  $r_2$  of the  $b_j$ 's is  $p^{kr_2(n_2-r_2)} \phi(n_2, r_2; 1/p)$ . Consider a particular subgroup generated by  $r_2$  of the  $b_j$ 's, say the one generated by  $b_1, b_2, \dots, b_{r_2}$ . If any of these  $b_j$ 's is replaced by  $b_j \times a_{r_1+1}^{\alpha_1} a_{r_1+2}^{\alpha_2} \dots a_{n_1-r_1}^{\alpha_{n_1-r_1}}$ , where  $\alpha_1, \dots, \alpha_{n_1-r_1}$  have any prescribed values in the range  $0, 1, 2, \dots, p^k-1$ , then the group generated by this "augmented" generator is also a cyclic group of order  $p^k$ . The number of these monomials  $a_{r_1+1}^{\alpha_1} a_{r_1+2}^{\alpha_2} \dots a_{n_1-r_1}^{\alpha_{n_1-r_1}}$  is  $p^{k(n_1-r_1)}$ , since every index  $\alpha$  can range from  $0$  to  $p^k-1$  and the number of  $a_i$ 's involved is  $n_1-r_1$ . Further, any of these monomials may be used to "augment" any of the  $r_2$   $b_j$ 's and so, in this way, we can construct  $p^{kr_2(n_2-r_2)} \phi(n_2, r_2; 1/p) \times p^{kr_2(n_1-r_1)}$  subgroups of

type  $(k^{r_2})$  and consequently

$$p^{k[r_1(N_1 - R_1) + r_2(N_2 - R_2)]} \phi(n_1, r_1; 1/p) \phi(n_2, r_2; 1/p)$$

subgroups of type  $(k^{r_1+r_2})$ .

It remains to prove that the quotient groups of these subgroups with respect to  $E$  are of the type  $(m_1^{n_1-r_1}, (m_1-k)^{r_1}, m_2^{n_2-r_2}, (m_2-k)^{r_2})$  and, further, that there are no other subgroups of  $E$  of type  $(k^{r_1+r_2})$  having this type of quotient group.

Let  $F$  be one of the subgroups of type  $(k^{r_1+r_2})$ . Without loss of generality, we may take it to be

$$[a_1][a_2] \dots [a_{r_1}][M_1 b_1][M_2 b_2] \dots [M_{r_2} b_{r_2}],$$

where  $M_j$  is any of the monomials  $a_{r_1+1}^{\alpha_1} a_{r_1+2}^{\alpha_2} \dots a_{n_1}^{\alpha_{n_1-r_1}}$ , ( $\alpha = 0, 1, \dots, p^k - 1$ ), and  $M_j$ 's in different brackets might possibly be the same. (Note, however, that  $[M_j b_j]$  and  $[M_r b_r]$ ,  $r \neq j$ , have no elements in common except the identity.)

Then the quotient groups  $\frac{C_{1i}}{[a_i]}$ ,  $i = 1, 2, \dots, r_1$ , are cyclic of order  $p^{m_1-k}$ ,

and so, as in Lemma 1,  $\frac{C_{11}C_{12} \dots C_{1r_1}}{[a_1][a_2] \dots [a_{r_1}]}$  is of type  $((m_1-k)^{r_1})$ .

Since  $M_j^{p^k} = 1$ , every  $M_j y_j$  generates a cyclic group  $C'_{2j}$  of order  $p^{m_2}$ . Thus  $\frac{C'_{2j}}{[M_j b_j]}$  is cyclic of order  $p^{m_2-k}$  and it follows that

$$\frac{C_{11}C_{12} \dots C_{1r_1} C'_{21} C'_{22} \dots C'_{2r_2}}{F}$$

is of type  $((m_1-k)^{r_1}, (m_2-k)^{r_2})$ . But since  $C_{1, r_1+1} C_{1, r_1+2} \dots C_{1n_1} C_{21} \dots C_{2r_2}$  is the same group as  $C_{1, r_1+1} \dots C_{1n_1} C'_{21} \dots C'_{2r_2}$ , we can write  $E$  in either of the forms

$$C_{11} \dots C_{1r_1} \dots C_{1n_1} C_{21} \dots C_{2r_2} C_{2, r_2+1} \dots C_{2n_2}$$

$$C_{11} \dots C_{1r_1} \dots C_{1n_1} C'_{21} \dots C'_{2r_2} C_{2, r_2+1} \dots C_{2n_2}$$

and so  $E/F$  is of type  $(\lambda) = (m_1^{n_1-r_1}, (m_1-k)^{r_1}, m_2^{n_2-r_2}, (m_2-k)^{r_2})$ .

To show that there are no other subgroups of  $E$  of type  $(k^{r_1+r_2})$  having a quotient group of type  $(\lambda)$ , we note that we are obliged to use  $r_1$  of the  $n_1$   $a_i$ 's to give the  $(m_1-k)^{r_1}$  part of  $(\lambda)$ . We must then choose  $r_2$  elements of order  $p^k$  so as to give the  $(m_2-k)^{r_2}$  part of  $(\lambda)$  without affecting the  $m_1^{n_1-r_1}$  and  $m_2^{n_2-r_2}$  parts. These  $r_2$  elements must contain a non-vanishing monomial in the  $b_j$ 's and may contain also a monomial in the  $a_i$ 's. A monomial in  $a_1, a_2, \dots, a_{r_1}$ , say  $N_j$ , will give a group  $[N_j b_j]$  of order  $p^k$ , but

$$[a_1] \dots [a_{r_1}][N_1 b_1] \dots [N_{r_2} b_{r_2}]$$

is nothing more than  $[a_1] \dots [a_{r_1}][b_1] \dots [b_{r_2}]$ . Hence the only monomials in the  $a_i$ 's which give distinct subgroups  $F$  of the required type are the  $M_j$  as defined above, which proves the lemma.

Using these lemmas, we can now prove the main result.

**Theorem.** *If  $(\rho) = (m_1^{n_1}, m_2^{n_2}, \dots, m_s^{n_s})$ ,  $m_1 > m_2 > \dots > m_s$ , and*

$$(\lambda) = (m_1^{n_1-r_1}, (m_1-k)^{r_1}, m_2^{n_2-r_2}, (m_2-k)^{r_2}, \dots, m_s^{n_s-r_s}, (m_s-k)^{r_s}),$$

where  $r_1+r_2+\dots+r_s = r$ ,  $r_i \leq n_i$  ( $i = 1, \dots, s$ ) and  $k \leq m_s$ , then the number of subgroups  $F$  of an Abelian  $p$ -group  $E$  of type  $(\rho)$  which are of type  $(k^{r_1+r_2+\dots+r_s})$  and for which  $E/F$  is of type  $(\lambda)$  is

$$g_{\lambda, k}^{\rho}(p) = p^{k \sum_{i=1}^s r_i (n_i - R_i)} \prod_{i=1}^s \phi(n_i, r_i; 1/p).$$

**Proof.** We assume the result is true for a group  $E'$  of type  $(\rho') = (m_1^{n_1}, \dots, m_t^{n_t})$ ,  $t < s$ . We now have to form the direct product of  $E'$  with  $n_{t+1}$  cyclic groups of orders  $p^{m_{t+1}}$ . Let these be generated by  $z_d$  where  $d = 1, 2, \dots, n_{t+1}$ . Let  $w_d = z_d^{p^{m_{t+1}-k}}$  so that  $w_d^k = 1$ . The number of subgroups of type  $(k^{r_{t+1}})$  generated by the  $w_d$ 's is, as in Lemma 1, equal to

$$p^{kr_{t+1}(n_{t+1}-r_{t+1})} \phi(n_{t+1}, r_{t+1}; 1/p).$$

But any  $w_d$  can be "augmented", as in Lemma 2, by a monomial

$$a_{r_1+1}^{\alpha_1} a_{r_1+2}^{\alpha_2} \dots a_{n_1-r_1}^{\alpha_{n_1-r_1}} b_{r_2+1}^{\beta_1} b_{r_2+2}^{\beta_2} \dots b_{n_2-r_2}^{\beta_{n_2-r_2}} \dots$$

containing  $(n_1-r_1) + (n_2-r_2) + \dots + (n_t-r_t)$  distinct symbols  $a_i, b_j, \dots$  with every index  $\alpha_i, \beta_j, \dots$  capable of any of the values from 0 to  $p^k-1$ . Hence the number of subgroups of type  $(k^{r_{t+1}})$  which we can construct from the "augmented"  $w_d$ 's is

$$p^{kr_{t+1}(N_{t+1}-R_{t+1})} \phi(n_{t+1}, r_{t+1}; 1/p).$$

As in Lemma 2, the quotient group is of type  $(m_1^{n_1-r_1}, (m_1-k)^{r_1}, \dots, m_{t+1}^{n_{t+1}-r_{t+1}}, (m_{t+1}-k)^{r_{t+1}})$  and there are no further subgroups possible under the conditions for  $F$  prescribed in Lemma 2.

The theorem now follows by induction.

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