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The influence of prominence cues in 7- to 10-year-olds' pronoun resolution: Disentangling order of mention, grammatical role, and semantic role

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Abstract

In two visual world experiments we disentangled the influence of order of mention (first vs. second mention), grammatical role (subject vs object), and semantic role (proto-agent vs proto-patient) on 7- to 10-year-olds' real-time interpretation of German pronouns. Children listened to *SVO* or *OVS* sentences containing active accusative verbs (*küssen* “to kiss”) in Experiment 1 (N = 72), or dative object-experiencer verbs (*gefallen* “to like”) in Experiment 2 (N = 64). This was followed by the personal pronoun *er* or the demonstrative pronoun *der*. Interpretive preferences for *er* were most robust when high prominence cues (first mention, subject, proto-agent) were aligned onto the same entity; and the same applied to *der* for low prominence cues (second mention, object, proto-patient). These preferences were reduced in conditions where cues were misaligned, and there was evidence that each cue independently influenced performance. Crucially, individual variation in age predicted adult-like weighting preferences for semantic cues (Schumacher, Roberts & Järvi­kivi, 2017).

Keywords: pronoun comprehension; eye tracking; semantic role

Introduction

Skilled comprehenders construct a coherent mental representation of the state of affairs described in the discourse (Johnson-Laird, 1983; Van Dijk & Kintsch, 1983). The formation of a coherent mental representation (or situation model) is guided by the presence and understanding of referential expressions such as pronouns, which mark whether (new) information is coherent with the current representation in terms of maintaining or shifting the focused entity (Varma & Janssen, 2019; Zwaan & Radvansky, 1998). It is well established that adults and children tend to interpret

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ambiguous personal subject pronouns (e.g., *he*, *she*) with the assumption that they refer back to the most accessible entity within their representation of the prior discourse (Gundel, Hedberg & Zacharski, 1993; Hartshorne, Nappa & Snedeker, 2015; Hughes & Allen, 2015). Specifically, the extent to which adults and children weigh the accessibility of an entity as the pronoun referent is determined by prominence cues, such as order of mention (first > second), grammatical role (subject > object), and semantic role (proto-agent > proto-patient) (see Ellert, 2011). In English, these cues typically converge onto the same entity, as in (1) where *the firefighter* is the first mention, subject, and agent.

(1) *The firefighter wants to rescue the boy but he is way too nervous.*

Multiple studies of adults have used flexible word order languages like German and Finnish to disentangle these cues, revealing that comprehenders follow a combination of these cues, and suggesting further that semantic role may play a decisive part (Järvikivi, van Gompel & Hyönä, 2017; Järvikivi, van Gompel, Hyönä & Bertram, 2005; Schumacher, Backhais & Dangl, 2015; Schumacher, Dangl & Uzun, 2016; Schumacher, Roberts & Järvikivi, 2017). Whilst child language developmental studies have used flexible word order languages to tease apart the influence of order of mention, grammatical role, and semantic role within various sentence-level test-beds (e.g., Brandt, Kidd, Lieven & Tomasello, 2009; Chan, Lieven & Tomasello, 2009; Dittmar, Abbot-Smith, Lieven & Tomasello, 2008; Grünloh, Lieven & Tomasello, 2011), these cues have not yet been fully disentangled in relation to ambiguous pronoun interpretation. In the present visual world eye tracking study, we investigated the influence of these cues on seven- to ten-year-olds' comprehension of German sentences containing the personal pronoun *er*, and d-pronoun *der*. Our observations advance understanding for how children differentially weight cues to guide their interpretation of pronouns, and indicate a developmental increase in their use of semantic role.

Over three decades of literature on adult pronoun interpretation has provided theory and evidence that first mention and subjecthood features of prior discourse are both important factors within prominence-driven resolution of subjective personal pronouns (e.g., Crawley, Stevenson & Kleinman, 1990; Diessel, 1999; Gernsbacher, 1990; Järvikivi et al., 2005; Keenan, 1976). Subjecthood is assumed to have greater accessibility than objecthood because of a privileged status within grammatical operations where the subject is higher than the object (Diessel, 1999; Keenan, 1976). Additionally, the first mentioned character of the prior discourse is theorized to gain privileged status as the foundation structure for which a mental representation is built (e.g., Gernsbacher, 1990; Gernsbacher & Hargreaves, 1988). As noted, adult studies have made use of flexible word order languages to disentangle order of mention from grammatical role. In SVO order, the prominent order of mention (first) and grammatical role (subject) cues are aligned; whereas in OVS order, the prominent order of mention cue (first), is aligned with the low prominence grammatical role cues (object) – that is, the object argument of an active accusative verb is ordered first. In a visual world paradigm (VWP), Schumacher et al. (2017: Experiment 1) operationalized this by using German active accusative verbs such as *umarmen* “to hug”, *küssen*, “to kiss”, and *schlagen* “to hit”. Personal pronouns were more robustly attached to the subject than to first mention, and this subject preference was enhanced when it converged with first mention (SVO order). Such

VWP findings have been observed across a variety of other languages including Dutch (Kaiser & Trueswell, 2004), Finnish (Järvikivi *et al.*, 2005) and Russian (Krasavina & Chiarcos, 2007).

However, the previous findings of a subject preference have also been attributed to agentivity, as the design described above does not disentangle grammatical role from semantic role. In addition to the two STRUCTURAL prominence cues of subjecthood and first mention, a prominence hierarchy within SEMANTICS is proposed to influence pronoun resolution. The subject and object arguments of a verb can be ranked in terms of the degree to which they satisfy prototype semantic roles (proto-roles). Generally, proto-roles can be labeled and ranked as proto-agent > proto-patient (Dowty, 1991). For example, Table 1 illustrates that the subject argument of accusative verbs satisfies proto-agent properties, and the object argument typically satisfies proto-patient properties. Proto-agents are characterized by the degree to which the verb argument satisfies volition (the capacity to use one's will), sentience (the capacity to feel, perceive or experience), causation and self-propelled movement. Proto-patients are characterized by change of state, causal affectedness, stationary or incremental theme properties.

Crucially, when the flexible word order of German is applied to dative object-experiencer verbs, such as *imponieren* "to impress", the proto-agent aligns to the object argument whilst proto-patient properties align to the subject argument. Specifically, linguistics literature generally classifies and ranks the semantic arguments of dative object-experiencer verbs, as experiencer > theme (rather than agent > patient) (Dowty, 1991; Primus, 1999). Table 1 illustrates this prominence hierarchy by showing that the (object) experiencer argument satisfies more proto-agent properties, and the (subject) theme argument satisfies more proto-patient properties. This affords an experimental design which can disentangle semantic from grammatical prominence cues. When these verbs are used, neither an SVO or OVS order align grammatical and semantic prominence cues, so the design can be used to inform whether one is more powerful in the overriding of order of mention effects. That is, in a dative-experiencer SVO construction, the first mention aligns with the subject, but these prominence cues converge with the low prominence theme (proto-patient); conversely, in an OVS order, the first mention aligns with the (proto-agent) experiencer argument, but these prominence cues also converge with the low prominence grammatical object. Schumacher *et al.* (2017: Experiment 2; also see Schumacher *et al.*, 2015, 2016) tracked adult gaze patterns for these sentences and revealed that order of mention preference was robust only when it aligned to semantic role (OVS order), whereas it meandered at chance when aligned with grammatical role (SVO order). Together with the findings for accusative verbs, their results were (i) in line with the well-established multiple-constraints perspective which posits that adult pronoun resolution is sensitive in varying degrees to different prominence cues (e.g., Arnold, Eisenband, Brown-Schmidt & Trueswell, 2000; Järvikivi *et al.*, 2005; Kaiser & Trueswell, 2008); and (ii) crucially provided specification, as afforded by their novel experimental design, that semantic role is the more dominant of these cues.

Whilst there is a theoretical consensus that grammatical role, order of mention, and semantic role also have a combinatorial influence on CHILDREN'S pronoun resolution, there is no empirical work that has used the aforementioned experimental design to fully disentangle them. Nevertheless, it should be noted that similar disentanglements via the German language have been successfully applied to investigate children's

Table 1. The proto-agent and proto-patient properties of the subject and object arguments for verbs used in Exp.1 and Exp.2.

	Proto-agent properties				Proto-patient properties			
	Volitional	Sentience	Causation	Movement	State of change	Incremental theme	Causally affected	Stationary
Active accusative verbs [e.g., <i>umarmen</i> (embrace/hug)]	agent/ subject	Agent/ subject	agent/ subject	agent/ subject	patient/ object		patient/ object	patient/ object
Dative object- experiencer verbs [e.g., <i>imponieren</i> (to impress)]	experiencer /object	experiencer /object				theme /subject		Theme /subject

Notes. 1. List of accusative verbs used as experimental items: *umarmen* (to embrace/hug), *bedienen* (to serve) *küssen*, (to kiss); *Sprechen* (to speak); *grüssen* (to greet); *anrufen* (to call); *treffen* (to meet); *einladen* (to invite); *verabschieden* (to say goodbye); *Fangen* (to catch); *anschreien* (to shout at); *Retten*; (to rescue); *gehen* (to walk). 2. Some accusative verbs vary in the extent to which they satisfy proto-agent or agent properties, but each meets our criteria that the subject meets more proto-agent properties than the object, e.g., the subject of low transitive verb *besuchen* (to visit) satisfies volitional and sentience properties, whilst the object satisfies no properties. 3. List of dative verbs used as experimental items: *imponieren* (to impress) *gefallen* (to be pleased to), *missfallen* (to displease), *auffallen* (to notice).

sentence-level understanding of referential expressions other than personal pronouns (see Brandt *et al.*, 2009; Chan *et al.*, 2009; Dittmar *et al.*, 2008; Grünloh *et al.*, 2011). The majority of VWP child studies with personal pronouns have used the English language, so their conclusions only cover that children as young as three years of age display interpretive preferences to an entity that converges as the first mention, subject, and agent (Hartshorne *et al.*, 2015; Pyykkönen, Matthews & Järvikivi, 2010; Song & Fisher, 2005, 2007). In fact, “first mention preference” is widely used by the child literature as an umbrella term to describe this preference for the converged prominence cues (Goodrich Smith & Hudson Kam, 2015; Hartshorne *et al.*, 2015). Importantly, time course data from these studies have shown that the time course of pronoun attachment is slower for children up to six-years-old compared to adults (>1000 ms after the pronoun onset; for review see Hartshorne *et al.*, 2015). The questions that follow become when and to what extent do children aged seven years and over (i) display adult-like magnitude and speed in their preferences when cues are aligned; and (ii) learn to distinguish these cues and develop weighting preferences?

To date, Pyykkönen *et al.* (2010) conducted the informative study on whether children distinguish these cues. Despite using the English language, with the subject converging to the proto-agent and the object with the proto-patient, they manipulated the degree of the proto-agent properties of a subject argument and the degree of proto-patient properties of an object argument. For example, with high transitive verbs like *hit*, the subject satisfies each of the proto-agent properties, and all but one of the proto-patient properties are satisfied by the object (the exception being incremental theme). Conversely, for a low transitive verb like *saw*, the subject satisfies only two of the proto-agent properties (volition, sentience) and the object satisfies zero proto-patient properties. Pyykkönen *et al.* demonstrated that three-year-olds significantly reduced their looks to the object when it was the argument of a low transitive verb relative to a high transitive verb (resulting in a stronger subject preference with low transitives). The authors attributed this to the object argument of low transitive verbs not satisfying any proto-patient properties, concluding that semantic prominence cues modulate children’s pronoun resolution (for a similar “object affectedness” explanation applied to the domain of acquiring syntactic argument structures, see Gropen, Pinker, Hollander & Goldberg, 1991).

The results of Pyykkönen *et al.* suggest that a multiple constraints framework can be extended to children (e.g., Arnold, Brown-Schmidt & Trueswell, 2007; Arnold, Castro-Schilo, Zerkle & Rao, 2019; Järvikivi, Pyykkönen-Klauck, Schimke, Colonna & Hemforth, 2014), such that subject and first mention interpretive preferences are strongest when aligned with the more prominent semantic role cue. Despite this, any experimental design that uses the English language can only modestly test the extent to which semantic prominence cues are weighted aside other cues. For example, in both the high and low transitive conditions, the first mention and subject were still both the proto-agent (i.e., a full alignment of three high prominence cues). As described above, LESS proto-agent properties were satisfied for the low transitive sentences; however, these elicited the earlier and more enhanced subject preference (this was attributed to low transitive sentences not satisfying any proto-PATIENT properties). Indeed, even though it was less pronounced and occurred later (1760 ms to 2280 ms), the high transitive condition did elicit a significantly greater than chance preference for the subject (first mention and proto-agent) over the object (second mention and proto-patient). That is, the variation in how much the subject (and first mentioned) character satisfied the four proto-AGENT properties (high

transitive = 4, low transitive = 2) only modestly tests the extent to which agentivity might drive preferences in the way it does for adults (Schumacher et al., 2017). The aforementioned German sentential contexts in which the proto-agent can align to the object argument and the proto-patient properties can align to the subject argument afford a design that can more fully disentangle semantic role from other prominence cues, which can in turn help determine the relative extent to which it is weighed – rather than merely conclude a sensitivity over and above the presence of other cues.

The present study

The present study is the first to date that directly teases apart the individual and combined effects of order of mention, grammatical role, and semantic role on children's real time processing of ambiguous pronouns. We have described some initial evidence for a multiple-constraints perspective, such that even 3-year-olds appear to combine these cues rather than use one alone (Pyykkönen et al., 2010). However, a more fine-grained multiple-constraints perspective must also specify whether children weight certain cues more than others, and whether such strategies follow a developmental pattern or are already adult like (Trueswell & Gleitman, 2004). For example, Arnold et al. (2007) reported that gender disambiguating cues (e.g., *The fireman wants to rescue the girl but she is way too nervous*) are used at an even younger age and more robustly than preferences for the converged prominence cues that we have discussed thus far (first mention, subjecthood and agentivity). This was interpreted as support to the notion that any linguistic (or non-linguistic) feature of a character in prior discourse that frequently co-refers with an unambiguous referential expression of later discourse can be learned via input as a pronominal cue: gender disambiguating cues offer a fully consistent mapping between the pronoun (e.g., *she*) and the referent (e.g., *the girl*), whereas the prominence cues emerge more gradually because their mapping is less reliable. By misaligning the three prominence cues, the present study can potentially identify unequal weightings and/or developmental patterns. If these weightings appear to be aligned to patterns of probabilistic regularities of input then, like the interpretation of data for younger children by Arnold et al. (2007), it could be indicative that these cues are NOT prominent by nature (see Song & Fisher, 2005). We return to this in the General Discussion, with consideration to abstract frequency forms (see Abbot-Smith & Behrens, 2006; Ambridge, Kidd, Rowland & Theakston, 2015; Kidd, Brandt, Lieven & Tomasello, 2007; Noble, Iqbal, Lieven & Theakston, 2015). Table 2 summarizes the delineations made for each experimental condition of the present study and the predictions for which entity a pronoun would be attached to if each cue were considered alone.

Further, we examine whether children's weighting of cues is form-specific: German allows for a comparison of different pronoun forms, so we compared performance on the unstressed personal pronoun *er* (similar to *he*), and the demonstrative (or d-) pronoun *der*. Adults typically link *er* to high prominence antecedents; whereas *der* is typically linked to low prominence antecedents (Schumacher et al., 2015, 2016, 2017). This is attributed to theory that a referring expression with stressed form (e.g., *der*) signals forward shifting of the entity in focus, whereas a reduced phonological form (e.g., *er*) typically refers backwards to a currently focused entity so makes use of high prominence cues (Gundel, 2003). These forms effectively offer two ways of investigating the role and differential weightings of prominence cues in pronoun

Table 2. By-condition gaze preference looks that would be expected if children were driven by (i) order of mention (ii) grammatical role (iii) semantic role.

	Experiment 1			Experiment 2		
	Order of Mention	Grammatical role	Semantic role	Order of Mention	Grammatical role	Semantic role
SVO-er	<u>1st</u> (Subject/Agent)	(1 st) <u>Subject</u> (Agent)	(1 st) (Subject) <u>Agent</u>	<u>1st</u> (Subject) (Proto-patient)	(1 st) <u>Subject</u> (Patient)	(2 nd) (Object) <u>Proto-agent</u>
OVS-der	<u>2nd</u> (Subject/Agent)	(1 st) <u>Object</u> (Patient)	(1 st) (Object) <u>Patient</u>	<u>2nd</u> (Subject) (Proto-patient)	(1 st) <u>Object</u> (Proto-agent)	(2 nd) (Subject) <u>Proto-patient</u>
OVS-er	<u>1st</u> (Object/Patient)	(2 nd) <u>Subject</u> (Agent)	(2 nd) (Subject) <u>Agent</u>	<u>1st</u> (Object) Proto-agent	(2 nd) <u>Subject</u> (Proto-patient)	(1 st) (Object) <u>Proto-agent</u>
SVO-der	<u>2nd</u> (Object/Patient)	(2 nd) <u>Object</u> (Patient)	(2 nd) (Object) <u>Patient</u>	<u>2nd</u> Object Proto-agent	(2 nd) <u>Object</u> Proto-agent	(1 st) (Subject) <u>Proto-patient</u>

Notes. 1. Each of the 3 prominence cue columns bold print and underline the expected entity/feature that would be fixated, by each condition. 2. The two cues that converge with that entity/feature (see Note 1) are bracketed within the same cell [and are not bold printed or underlined, regardless of whether they (mis)align in prominence]. 3. Prominent cues are italicized, low prominent cues are not-italicized: if converging cues are aligned in prominence, they share (non-)italicization.

resolution and, in turn, whether these are form-specific (Kaiser & Trueswell, 2008). For example, the finding by Schumacher et al. (2017) that adult resolution is driven by semantic cues, held across *er* (which preferred the proto-agent) and *der* (the proto-patient), suggesting that this applies broadly across pronoun interpretive preferences. However, whilst semantic role was the more powerful cue when adults resolve both *er* and *der*, this appeared less pronounced for *er* – which attended to order of mention cues more than *der* does (Schumacher et al., 2017). Therefore, the prominence hierarchy of multiple-constraints influencing *er* and *der* is not strictly complementary (Bosch, Katz & Umbach, 2007) but, rather, form-specific (Kaiser & Trueswell, 2008).

In two VWP experiments, seven- to ten-year-olds listened to German sentences with aligned or misaligned prominence cues, followed by a sentence containing the pronoun *er* or *der*. Specifically, we manipulated word order (*SVO*, *OVS*) and pronoun form (*er*, *der*), and applied this design to accusative verbs (Experiment 1) and dative object-experiencer verbs (Experiment 2). Comparison of these designs affords a disentangling of order of mention, grammatical role, and semantic role. Children's eye movements were tracked, and time locked to the onset of the ambiguous pronoun. Our use of a VWP provides a sensitive means to assess the participant's real-time preferred referent for an ambiguous pronoun, grounded in literature showing that listeners look toward an element depicted on the screen as they hear about it in the input (Altmann & Kamide, 1999; Arnold et al., 2000; Cooper, 1974; Ellert, 2011; Järviö et al., 2005).

Our first prediction was in line with a straightforward multiple constraints account that, as for adults, children use a combination of factors. For this to be realized, we expected clearer preferences when prominence features align (*SVO* accusatives: Experiment 1); whereas preferences should be reduced when features are misaligned – reflecting a trade-off rather than having a single cue fully drive preferences regardless of other cues. Our second prediction was that, as for adults, *er* should more typically attach to high prominence entities whereas *der* should attach to low prominence entities. Considering these broad predictions together, we also explored whether our multiple-constraint prediction would be form-specific (Schumacher et al., 2017) rather than equivalent across forms (Bosch et al., 2007). Our primary motivation was to explore whether seven- to ten-year-olds are already weighing semantic role as the most powerful cue in the same way adults do (Schumacher et al., 2017). The multiple-constraints prediction above assumes adult-like attachment preferences in general, but we also expected that children's fine-grained weighted preferences of cues would differ from adults. That is, whilst children might demonstrate sensitivity to each prominence cue (Pyykkönen et al., 2010), they may place greater reliance on an earlier-developed cue like order of mention, than on grammatical and semantic cues for which knowledge is likely to appear more gradually over time. Related, this may depend on the extent to which sentences follow the prototypical structural mapping of semantic roles (see General Discussion; Ambridge, Pine & Lieven, 2014; Goodrich Smith, Black & Hudson Kam, 2019; Goodrich Smith & Hudson Kam, 2015).

Experiment 1

By using German active accusative verbs and flexible word order, Experiment 1 was able to disentangle order of mention (first vs. second) effects from grammatical role (subject

vs. object) / semantic role (proto-agent vs proto-patient) effects. For an SVO order, the first mention is aligned with subject and agent features; conversely, an OVS order does not align first mention with either of these high prominence cues (see Table 2).

Method

Participants

Seventy-two children (mean age 8;9; range = 7;0–10;8, 37 boys) participated. All were monolingual speakers of German and none had reported language disabilities. Children were in three different school year groups: 29 children in second grade aged 7 to 8 (mean = 7;8; range = 7;0–8;6, 13 boys), 22 children in third grade aged 8 to 9 (mean = 9;0; range = 8;2–9;5, 13 boys), and 21 children in fourth grade aged 9 to 10 (mean = 10;0; range = 9;3–10;5, 11 boys). All children were based in the South West region of Germany. One child was excluded because they had over 50% track loss due to excessive moving during the experiment (the participant data reported above does not include that child). Written parental consent was obtained for all children, and children provided oral assent before each session.

Materials

Sixteen experimental items were selected – a subset of the materials used by Schumacher *et al.* (2017). Each item represented two animate entities with masculine gender (e.g., trainer/coach and goalkeeper), and an inanimate entity or an animate character with feminine gender (e.g., cake, actress), which appeared in a narration and as images displayed on the screen. A context sentence was narrated which contained an active accusative verb, taking a subject (nominative) agent and an object (accusative) patient. The context sentence was narrated in either an SVO or OVS order featuring the animate male characters as the subject or object arguments, followed by a phrase containing the inanimate entity or female character as a final NP (e.g., cake, actress). The final NP was included so that participants would fixate on its image prior to a critical sentence region. Following Schumacher *et al.* (2017), the critical sentence began with *aber* “but”, followed by an ambiguous pronoun *er* or *der*. Each item was counterbalanced into four lists so that it would correspond to four experimental conditions, which were created by the crossing of our two binomial predictor variables: word order (SVO vs. OVS) and pronoun (*er* vs. *der*). Examples of these four conditions are given with translations in (2) below, with the subject/agent in bold print and the object/patient in italics. In (2a), the first mention, subject and agent are aligned (the goalkeeper); whereas in example (2b) the first mention is aligned with two low prominence cues (patient/object) (the trainer). Nevertheless, both examples have the same meaning.

- (2) a. Contextual sentence in SVO order, followed by critical sentence (Aber *er/der*...)
 Der **Torwart** will den *Trainer* umarmen, weil die Torte so lecker ist. Aber **er/der** ist wieder einmal viel zu beschäftigt.
 “The-NOM **goalkeeper** (S) wants the-ACC *coach* (O) hug.”
 “The goalkeeper wants to hug the coach, because the cake is so delicious. But he is once again too busy.”

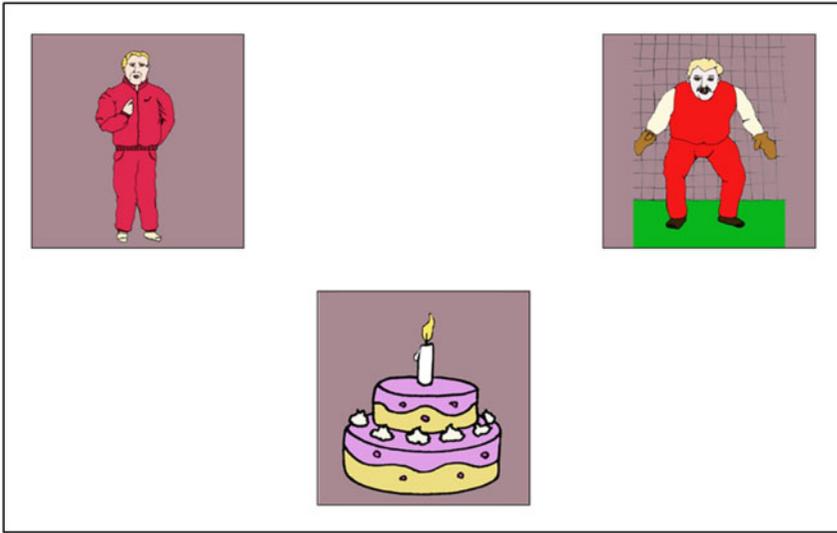


Figure 1. Display screen accompanying an example experimental item

- b. Contextual sentence in OVS order, followed by critical sentence (Aber er/der...)
 Den *Trainer* will der **Torwart** umarmen, weil die Torte so lecker ist. Aber **er/der**
 ist wieder einmal viel zu beschäftigt.
 “The-ACC *coach* (O) wants the-NOM **goalkeeper** (S) hug.”
 “The goalkeeper wants to hug the coach, because the cake is so delicious. But he
 is once
 again too busy.”

Four practice items were also created, each corresponding to an experimental condition. Sixteen filler items differed because the critical sentence did not include a pronoun reference, as in example (3). The 16 filler items were each counterbalanced so that each list included eight SVO fillers and eight OVS fillers.

- (3) Die Postboten tragen die Post mit ihren Fahrrädern aus. Für viele Leute sind wichtige Briefe dabei.
 “The mail carriers deliver the mail by bike. There are important letters for many people.”

The display screen (see Figure 1) for all items counterbalanced the presentation of the two animate characters into the top left and top right hand corner of the screen. The inanimate distractor was presented at the bottom centre of the screen. Narrations were recorded by a male German speaker. In order to guard against potential acoustic differences between conditions, the final stimuli were constructed by cross-balancing the context and pronoun sentences in such a way that the same pronoun audio (for both *er* and *der* conditions) was used with SVO and OVS sentences; and vice versa, the same production of the SVO and OVS sentences were used in both pronoun conditions (*er* and *der*). The experiment was programmed and

pseudorandomized using Experiment Builder and run using Eyelink 1000 in the remote mode, with a sampling rate of 500 Hz to monitor gaze locations every 2 ms (SR Research, 2020).

Procedure

Each child individually took part in the experiment. First, the child was asked to preview and name the characters on the computer screen, one by one. Seated around 50 cm in front of the computer screen, the child began the session with a calibration and validation procedure. The child completed four practice trials to ensure that they understood the procedure. Thirty two short stories (from one of the four lists) were listened to via headphones while we recorded eye movements towards characters on a screen. The stories featured a contextual sentence with an active accusative verb [e.g., *umarmen* “to hug”; listed in Table 1] taking a subject and object argument (e.g., *trainer*, *goalkeeper*) in either an *SVO* or *OVS* order, which was followed by a critical sentence that contained either *er* or *der*. After each story, a grey screen was shown, and the next story only began when the child looked at a sun character positioned in the centre of the screen (a drift-correct calibration check). The task lasted no longer than 30 minutes and was administered in a quiet area within a school setting.

Design

A 2 x 2 within subjects design was used, with age as a continuous predictor. The two categorical predictor variables were word order (*SVO*, *OVS*) and (pronoun (*er*, *der*)). The response variable was preference looks to the first mentioned character of the context sentence. This was measured for a period of 2000 ms from the onset of the critical sentence, and was calculated by looks to the first mentioned character subtracted by looks to the second mentioned character (for more details, see the data treatment subsection of the results).

Results

A series of Generalized Additive Mixed Models (GAMMs; see van Rij, Vaci, Wurm & Feldman, 2019b) were fitted to the data using the package *mgcv* version 1.8-31 (Wood, 2017), in the statistical environment R version 3.6.0 (R Development Core Team, 2019). GAMMs are essentially an extension to a mixed-effects regression method (GLMMs; Baayen, Davidson & Bates, 2008). The main difference is that GAMMs drop the assumption of a linear relationship between predictor and response variables, and thereby afford the modeling of nonlinear effects if required by the data. This is particularly relevant to examining the time course of when predictors have an effect on the response variable, because a linear increase or decrease in time series data is not typically followed accordingly by the response variable. Non-linear modeling of predictor terms is achieved through smooth functions, which allow the regression line (or interaction surface) to become “wiggly” if required by the data (Wood, 2017).

As noted, GAMMs afford mixed effects under a similar rationale to GLMMs – for example, to simultaneously ensure that data is not averaged over participants or over items. Such random effects are typically structured using random smooths (e.g., by-participant, by-item). For example, a by-participant random smooth to the effects of time controls for (error) variance in the effects of time that would be due to specific participants, and does so by also modeling a non-linear trend if required by the data.

Treatment

The raw data was extracted into a sample report using Dataviewer (SR Research, 2020), and then pre-processed in the VVPre package version 1.2.3 (Porretta, Kyröläinen, van Rij & Järvikivi, 2018). Time course (20 ms time bins) was defined within a 2000 ms time window following critical period onset (*aber er/der...*), with a 200 ms prior offset. The proportions of looks toward interest areas (within 20 ms time bins) were empirical logit-transformed using the function `transform_to_elogit`. Logit transformation distributes the values symmetrically around zero and provides an unbounded measure for the analysis (see Barr, 2008).

Model fitting and evaluation

The model was fitted using a backward stepwise elimination procedure (e.g., van Rij et al., 2019b). The inclusion of each term was evaluated using three criteria deemed to complement each other: (i) the estimated *p* value in the model summary; (ii) the Maximum Likelihood (ML) score comparison of model variants using the `compare ML` function in the `itsadug` package version 2.3 (van Rij, Wieling, Baayen & van Rijn, 2020); and (iii) visual inspections of the model, again using functions from the `itsadug` package. We used the `mgcv` function `gam.check` (and model comparisons) to check whether the non-linearity of the smooth (a “*k*” argument) needed to be increased from the default. The response variable was first mention preference looks (looks to first mentioned entity minus looks to second mentioned entity). Therefore, models assumed a Gaussian distribution.

The aim of the initial model was to incorporate by-Participant and by-Item random smooths of Time. Due to later inspection of autocorrelation in the residuals, we also incorporated by-Event random intercepts (i.e., unique Participant and Item combinations) and by-Event random slopes to Time (see van Rij et al., 2019b; Wieling, 2018). Autocorrelation was further accounted for by using an AR1 model (see Wood, 2017). The experimental condition was fitted as a parameter coefficient predictor (akin to linear fixed effect terms): four categorical levels with *SVO-er* as the reference level (*SVO-er*, *OVS-er*, *SVO-der*, *OVS-der* sentences). In addition, the following predictors were included as non-linear smooths, and were allowed to interact: Condition (categorical, as above), Time course (continuous), and Age (continuous). The final model did not include Age terms because it did not significantly contribute to that model, nor did it sufficiently meet inclusion criteria via the model summary or visuals. Whilst interpreting the optimum-fit model (see below), it is useful to examine the grand means plot, where a positive score indicates first mention preference and a negative score indicates second mention preference (see Figure 2).

Summary and visualizations of optimum-fit model

Table 3 provides a summary of the inferential statistics for the optimum-fit model. The parametric coefficients can be interpreted in a similar fashion to GLMMs, such that the *p* value indicates whether a sentence is significantly different from the referent level (*SVO-er*), with a positive Estimate value indicating a stronger first mention preference and a negative estimate value indicating a weakened first mention preference (relative to the reference *SVO-er*). The parametric coefficients revealed a significant intercept value, indicating that there was a significantly greater than chance subject preference for *SVO-er* sentences. Relative to the *SVO-er* sentences (reference condition), looks to the first mention were weakened in each condition,

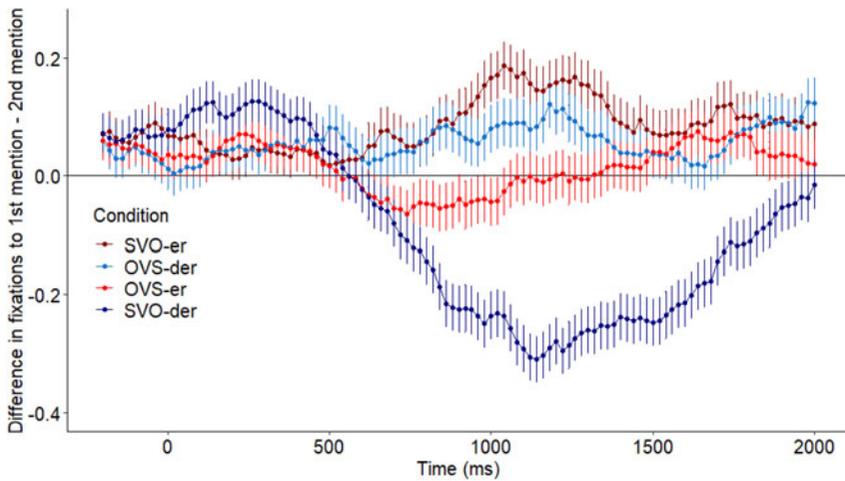


Figure 2. Experiment 1 grand means plot of by-sentence condition looks to the DV (1st mention preference looks (looks to 1st – looks to 2nd) – where a positive score indicates 1st mention preference and a negative score indicates 2nd mention preference).

Table 3. Final Generalized additive mixed model for Experiment 1. Reporting parametric coefficients (Part A) and effective degrees of freedom (edf), reference degrees of freedom (Ref.df), F and p values for the smooth and random effects (Part B)

Parametric coefficients					
	Estimate	Std.Error	t	Pr(> t)	
(Intercept)	0.57	0.23	2.49	0.01	*
OVS-der	−0.20	0.30	−0.65	0.52	
OVS-er	−0.45	0.31	−1.46	0.14	
SVO-der	−1.08	0.31	−3.46	<.001	***
Approximate significance of smooth terms					
	edf	Ref.df	F	p-value	
s(Time):SVO-er	1.02	1.03	0.28	0.60	
s(Time):OVS-der	1.01	1.01	0.01	0.95	
s(Time):OVS-er	4.87	6.13	1.78	0.10	
s(Time):SVO-der	7.43	8.45	14.15	<.001	***
s(Time,Subject)	313.95	647.00	136.17	<.001	***
s(Time,Item)	85.01	143.00	108.66	0.01	*
s(Event)	713.14	1074.00	405.15	<.001	***
s(Time,Event)	841.15	1074.00	387.52	<.001	***

Notes. R-sq.(adj) = 0.26; Deviance explained = 27%; -ML = 173910; n = 137943.

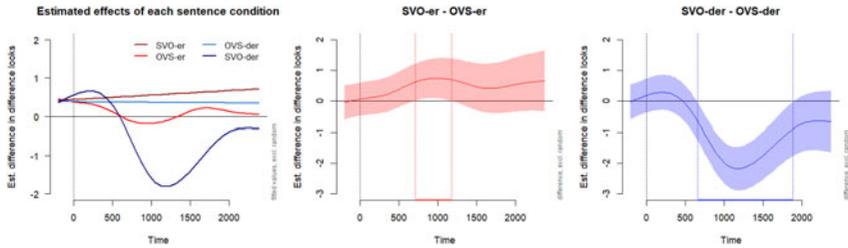


Figure 3. Visualization of the summed effects derived from the optimum-fit model of Experiment 1, with the random effects set to zero. Left panel: Smooth terms for each time by condition term. Centre and Right panels: Difference plots visualizing the effect of word order whilst holding pronoun form constant (Centre = *er*; Right = *der*). Note. For the difference plots (centre and right), the solid colored line represents the estimated difference (with color shading for pointwise 95% confidence intervals) between the *SVO* and *OVS* sentences, and the dashed vertical colored line represents any time window for which this difference is significant. Consistent with the grand means (Figure 2) and smooth terms plot (Figure 3: left), *er* sentences are colored in red to reflect their typical association with prominent cues, and *der* sentences in blue to reflect their typical association with low prominence cues.

but that this was significant only for the *SVO-der* sentences. However, these do not take the effects of time course into account.

For the smooth terms, the “edf” column stands for the number of effective degrees of freedom (an estimate of how many parameters are needed to represent the smooth); note that a value near one reflects linearity whereas, the greater a value is beyond one, the more it reflects non-linearity in the smooth (see Wieling, 2018). The smooth terms indicate that the smooth for *SVO-der* sentences is non-linear and significantly differs from zero at any point in time. The shape of this is visualized in the summed effects plot in the left panel of Figure 3, which also features the smooths for the three other sentence conditions that each were not significantly differ from chance.

Further model visualization via difference plots (from the *itsadug* package) was essential in order to examine whether smooth terms significantly DIFFER from one another. These take the response variable value for an *SVO* order sentence (difference score: first minus second) and subtract it by the corresponding value for the *OVS* sentence; therefore a positive value (above zero) indicates that first mention preference was greater in the *SVO* condition (i.e., the score for *OVS* was too small to subtract it into a negative value) whereas a negative score (below) zero indicates the first mention preference was greater in the *OVS* condition (i.e., the score for *OVS* is larger than *SVO*, resulting in a negative value). There was an effect of word order in both the *er* subset (Figure 3: centre) and the *der* subset (Figure 3: right). The centre panel shows that, whilst holding the pronoun form *er* constant, there was a significant preference for the first mentioned entity upon the *SVO* word order relative to the *OVS* word order, specifically between 712 ms to 1180 ms. Conversely, the right panel reveals that, whilst holding *der* constant, there was a significant preference for the second mentioned entity upon the *SVO* order relative to the *OVS* word order, specifically between 660 ms and 1880 ms. This suggests a cross-over interaction between word order effect and pronoun, such that word order effects occur in opposite directions within each pronoun form condition. This interaction is implemented in the four-level factor Condition, which is the conventional method to arrive at and report the optimum-fit model for a 2x2 experimental design like ours (see van Rij, Hendriks, van Rijn, Baayen & Wood, 2019a; Wieling, 2018).

Note that a complimentary ordered factor model was also required to confirm that the interaction is significant over and above two main effect smooths via SUMMARY STATISTICS. This is not possible to confirm from the Table 3 summary statistics because the order and pronoun predictors are present in every condition of the four-level condition smooth term (i.e., an identifiability problem). The complimentary modeling process first re-coded the word order effect as a binary predictor “IsOrder_SVO”, which was modeled as one smooth that is equal to zero whenever the order is SVO (reference) and as a (non)linear pattern whenever the order is OVS, thereby modeling a constant difference between the two levels. The same re-coding strategy was applied to pronoun effects (“IsPronoun_der”) and the interaction (Isder_SVO). Therefore, the four regression smooths for each of the four conditions (i.e., Table 3) were replaced by a reference smooth and three binary difference smooths implementing the effects of word order, pronoun and their interaction. That is, the term Isder_SVO implements the interaction effect that models the difference between the conditions SVO-*der* and OVS-*der* (in addition to the main effects of IsDer and IsSVOorder). This is reported in the Appendix (Table A.1). Consistent with the difference plots from the main modeling process (Figure 3, derived from the model reported in Table 3), Table A.1 offers summary statistics that indicate the effects are qualified by a significant word order by pronoun interaction. There was no main effect, confirming that the interaction was a cross-over interaction and not a “boost” interaction (the latter being an interaction where order effects would be in the same direction but more pronounced in one pronoun subset than the other).

Discussion

As with previous adult studies (e.g., Järvikivi *et al.*, 2005; Schumacher *et al.*, 2017), children had clearer attachment preferences when prominence features were aligned (SVO sentences). For *er* sentences, a preference for the prominent first mentioned entity in SVO sentences was significantly weakened when that entity was aligned with the two low prominence cues in OVS sentences (object and patient). For *der* sentences, a significant preference for the low prominence second mentioned entity in SVO sentences was significantly weakened when that was aligned with two high prominence cues in OVS sentences (subjecthood and agentivity). That is, a first mention preference for SVO-*er* and a second mention preference for SVO-*der* were each significantly weakened under conditions in which the cues were misaligned (OVS order). This indicates that children, like adults, appear to use a combination of factors to resolve pronouns: if order of mention cues were enough alone to drive pronoun resolution, then the preferences in SVO sentences would have held equally as strong under misaligned OVS conditions.

Children’s weighting of cues to guide their attachment preferences for *er* map neatly onto a previous corresponding experiment with German adults by Schumacher *et al.* (2017): the first mentioned entity was preferred for SVO-*er*, whereas performance meandered around chance level for OVS-*er*. To some extent, children’s weightings of cues for *der* differed to Schumacher *et al.*’s adults: whilst word order effects within *der* sentences were in the same direction and reached significance (described above), our children’s preferences in OVS-*der* meandered around zero which differs to adult preferences in these sentences for the object/patient (first mention). This suggests that, as for adults, the data fits a form-specific multiple-constraints framework (Kaiser & Trueswell, 2008) such that differential weightings for certain prominence cues were a more robust finding for demonstrative pronouns than for personal

pronouns. However, children appeared to give greater weighting for order of mention cues to *der*, whereas the previous adult studies have indicated greater weighting is given for grammatical and/or semantic role.

Experiment 2

In Experiment 2 the context sentence used dative object-experiencer verbs such as *imponieren* “to impress”, which take a subject (nominative) proto-patient argument and an object (dative-experiencer) proto-agent argument. This compliments the design of Experiment 1 because it affords an investigation into whether the reported influence of grammatical role and semantic role on interpretive preferences can be teased apart from each other.

Method

Participants

Sixty-four children (mean age 9;1; range = 7;5–10;8, 27 boys) participated, with the same selection and consent/assent criteria to Experiment 1. Participants were based in South West Germany. Children were in three different school year groups: 21 children in second grade aged 7 to 8 (mean = 8;1; range = 7;5–8;8, 6 boys), 22 children in third grade aged 8 to 9 (mean = 9;1; range = 8;2–9;9, 10 boys), and 21 children in fourth grade aged 9 to 10 years (mean = 10;1; range = 9;7–10;8, 11 boys).

Materials

As in Experiment 1, we manipulated word order and pronoun, shown in example (4) with an English translation to show they have the same meaning. To illustrate this, the proto-agent/object (dative-experiencer) is bold printed, and the proto-patient/subject (nominative) is italicized. In the SVO order, the proto-agent (dative-experiencer) is aligned with two low prominence cues (2nd mention, object). Conversely the OVS order aligns the subject with two low prominence cues (2nd mention, proto-patient). Note that with regards to word order for German sentences containing dative object experiencer verbs, OVS is taken to be the canonical argument order (dative-nominative) in the literature on German syntax (Haider, 1993).

- (3) a. Contextual sentence in SVO order, followed by critical sentence (*Aber er/der...*)
 Der *Kapitän* gefällt dem **Gärtner**, der ein Eis isst. Aber er (der) redet gerade mit zwei Damen.
 “The skipper-NOM is-pleasing-to the gardener-DAT who an ice cream eats. But he-NOM (DEM-NOM) talks now with two ladies.”
 “The skipper is pleasing to the gardener who eats ice cream. But he is talking to two ladies right now”
- b. Contextual sentence in OVS order, followed by critical sentence (*Aber er/der...*)
 Dem **Gärtner** gefällt der *Kapitän*, der ein Eis isst. Aber er (der) redet gerade mit zwei Damen.
 The-DAT **gardener** is-pleasing-to the-NOM *skipper* who an ice cream eats.
 “The gardener-DAT is-pleasing-to the skipper-NOM who an ice cream eats. But he-NOM (DEM-NOM) talks now with two ladies”
 “The skipper who eats ice cream is pleasing to the gardener. But he is talking to two ladies right now.”

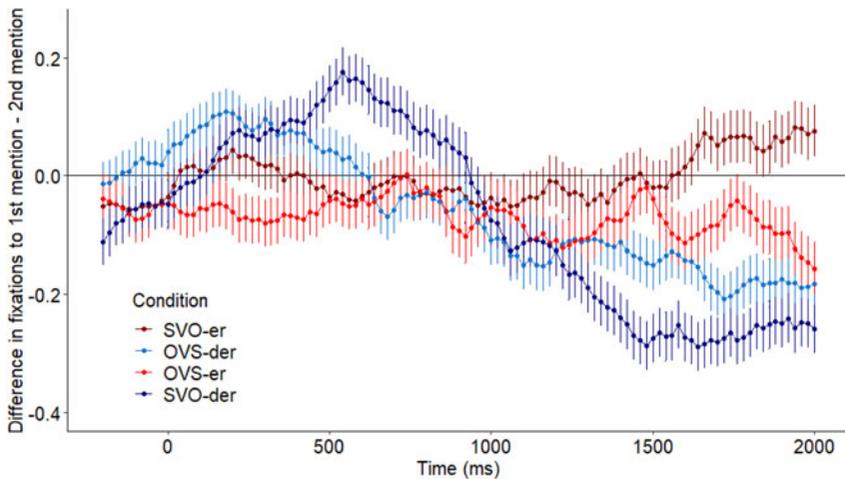


Figure 4. Experiment 2 grand means plot of by-sentence condition looks to the DV (1st mention preference looks to 1st – looks to 2nd) – where a positive score indicates 1st mention preference and a negative score indicates 2nd mention preference).

The construction of materials aligned to Experiment 1, with the difference that fewer verbs were available because there is a relatively lower frequency of suitable German dative object-experiencer verbs that take two animate arguments. Therefore, the following four verbs were used for all items: *gefallen* “to be pleasing to”, *auffallen* “to notice”, *missfallen* “to displease”, *imponieren* “to impress”.

Procedure and design

The experimental procedure and design used in Experiment 1 were applied here.

Results

The same modeling process as Experiment 1 was used. The optimum-fit model differed from that used in Experiment 1 because Age terms met inclusion criteria via the model summary, comparisons, and visuals. Figure 4 provides the grand means plot.

Summary and visualizations of optimum-fit model

Inferential statistics for the optimum-fit model are provided in Table 4. The parameter coefficients revealed a non-significant intercept, and that the *SVO-er* sentences (reference condition) did not significantly differ from other conditions. The smooth terms are more interpretable because they take account of the time course of effects. The Time by Condition smooth indicated a significant non-linear trend away from zero for *SVO-der* sentences (see left panel of Figure 5 for a visualization of time by condition smooth terms). None of the smooth terms for Time by the other three sentences differed significantly from zero, nor did the age by condition smooth terms.

Further model visualization via difference plots (from the *itsadug* package) was essential in order to examine whether smooth terms significantly differed from EACH OTHER. The centre panel of Figure 5 reveals no significant word order effects whilst holding the pronoun form *er* constant. The right panel demonstrates that, whilst

Table 4. Final Generalized additive mixed model for Experiment 2. Reporting parametric coefficients (Part A) and effective degrees of freedom (edf), reference degrees of freedom (Ref.df), F and p values for the smooth and random effects (Part B)

Parametric coefficients					
	Estimate	Std.Error	t	Pr(> t)	
(Intercept)	0.11	0.28	0.41	0.69	
OVS-der	-0.57	0.35	-1.65	0.10	.
OVS-er	-0.36	0.35	-1.01	0.31	
SVO-der	-0.65	0.35	-1.83	0.07	.
Approximate significance of smooth terms:					
	edf	Ref.df	F	p-value	
s(Time):SVO-er	1.02	1.04	2.97	0.08	.
s(Time):OVS-der	1.01	1.01	1.91	0.17	
s(Time):OVS-er	1.07	1.12	0.08	0.85	
s(Time):SVO-der	6.82	8.04	9.94	<0.01	***
s(age):SVO-er	1.01	1.01	0.60	0.44	
s(age):OVS-der	1.01	1.01	0.63	0.42	
s(age):OVS-er	1.01	1.01	0.25	0.62	
s(age):SVO-der	1.00	1.00	0.04	0.85	
ti(Time,age):SVO-er	7.10	8.92	1.50	0.16	
ti(Time,age):OVS-der	12.22	13.91	4.50	<0.01	***
ti(Time,age):OVS-er	4.99	5.97	2.31	0.03	*
ti(Time,age):SVO-der	7.24	9.19	1.34	0.25	
Random effects					
s(Time,Subject)	256.04	556.00	122.92	<0.01	***
s(Time,ltem)	88.25	143.00	211.18	<0.01	***
s(Event)	595.36	861.00	432.91	<0.01	***
s(Time,Event)	676.16	861.00	390.53	<0.01	***

Notes. R-sq.(adj) = 0.27; Deviance explained = 28%; -ML = 140890; n = 111623.

holding *der* constant, there was a short but significant preference for the second mentioned entity upon the SVO order relative to the OVS word order, specifically between 1230 ms to 1550 ms (there was also an early first mention preference prior to the pronoun onset at 270 ms to 690 ms, which timing attributes to the connective *aber*, similar to *but*). As in Experiment 1, the Appendix reports a complimentary model that replaced by-condition smooths with binary predictors for the word order, pronoun and interaction effects (see Table A.2, Appendix). This compliments our (visual) interpretation of the main modeling process (Table 4, Figure 5). A main effect of pronoun was significant, indicating that children looked more to the second

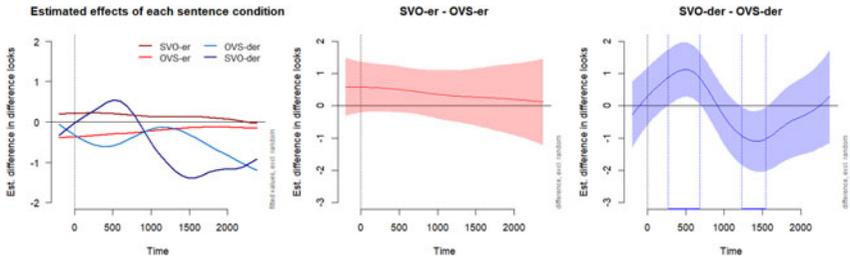


Figure 5. Visualization of the summed effects derived from the optimum-fit model of Experiment 2, with the random effects set to zero. Left panel: Smooth terms for each time by condition term. Centre and Right panels: Difference plots visualizing the effect of word order whilst holding pronoun form constant (Centre = *er*; Right = *der*).

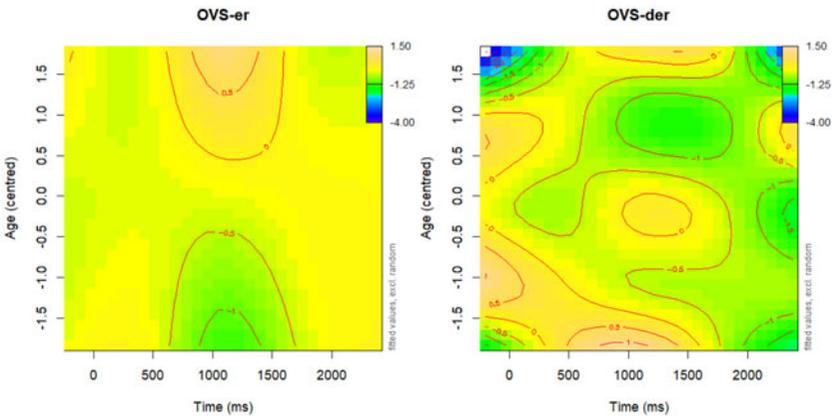


Figure 6. Contour plots of three-way interactions between Time (x-axis) Age (y-axis) and *OVS-er* (left panel) and *OVS-der* (right panel). Green indicates a second mention preference whereas yellow indicates a more neutral preference with a small tendency toward first mention preference (aligns to object/proto-agent for these *OVS* sentences).

mention for *der* than for *er* sentences. The significant interaction term confirmed that the second mention preference for *der* sentences was more pronounced in the *SVO* order relative to *OVS* order (i.e., significant order effects in *der* sentences).

We do not report difference plots for the age by condition interaction because these were non-significant. However, there were two significant three-way terms in the model summary statistics (Table 4), specifically suggesting an age modulation of time course effects in the *OVS-er* (Figure 6: Left panel) and *OVS-der* (Figure 6: Right panel) conditions. Note that *OVS-der* had a more robust *p*-value and visualization, whereas *OVS-er* is a weak (but significant) effect. Contour plots in Figure 6 visualize how Time and Age modulated gaze preferences (first vs second mention preference) for these conditions. They read like a map, and have been scaled from a tendency to meander between zero and first mention preference (darker yellow signifying stronger preference) to a strong second mention preference (green). For *OVS-er* sentences (weaker interaction), the yellow coloring begins at around 500 ms for the

older children, but does not develop in the youngest children until around 1500 ms. This suggests older children have more tendency to attach *er* using the aligned prominence cues of first mention and proto-agent, rather than the high prominence subject cue (which is aligned to the second mention and proto-patient cues). In *OVS-der* sentences, a block of green coloring (signaling looks to second mention, proto-patient, subject) appears from around 500 ms for older children, but from around 1500 ms for the younger children.

Discussion

While the findings again show that children combined all three prominence cues, there were two notable indicators that their performance differed from that of adults in previous studies.

First, children's attachment preferences for *er* sentences meandered around zero, regardless of word order. This was not surprising for *SVO-er* sentences, as adults perform similarly. Where children's performance differed from previous adult findings for *er* was by not displaying a preference to attach *OVS-er* to the (first mention) proto-agent, instead meandering around zero. Note that this nevertheless supports findings for Experiment 1, such that once cues for resolving *er* are misaligned, they trade off against each other fairly equivalently, suggesting that children have not yet developed weighted preferences for specific cues. However, the three-way interaction of Time:Age:*OVS-er* suggests that children are developing preferences in the same direction as those of adults: older children displayed an increase in use of order of mention and/or semantic cues over grammatical cues. This aligns to our prediction that the data would reveal a gradual development toward adult-like weighted preferences. Note that, as above with personal pronouns, children's preferences for *OVS-der* evidence a gradual shift toward weighting order of mention and/or semantic cues over grammatical cues. Specifically, older children had more tendency to attach *der* using the aligned low prominence cues of second mention and proto-patient, rather than the low prominence object cue (which was aligned to the first mention and proto-agent cues).

Second, whilst children appeared adult-like in attaching *OVS-der* toward the (second mention) proto-patient, it was surprising that their second mention preference for *der* was significantly greater for *SVO-der* sentences which do not align the second mention to the proto-patient. This indicated that the second mentioned entity was the most powerful low prominence cue that *der* was attracted to (as supported by the main effect of pronoun in Table A.2). Note that the earlier and more robust timing effects of *SVO-der* in Experiment 1 when the proto-patient cue was aligned with the two other low prominence cues (from 600 ms, rather than 1200 ms when misaligned in Experiment 2) are indicative that semantic role still has a strong influence (like adults). However, the small but significant pronounced preference for second mention in the *SVO-der* versus *OVS-der* conditions still needs an explanation beyond the general second mention and proto-patient preferences. Whilst this could be an influence of objecthood (grammatical role), we further interpret this in the General Discussion.

General Discussion

This study was designed to investigate German speaking 7- to 10-year-old children's use of different prominence cues in their interpretation of ambiguous personal pronouns

Table 5. Summary of results for Experiments 1 and 2. The effect of word order on looking preferences whilst holding pronoun form constant (as revealed by difference plots in Figures 3 and 5): er comparisons = SVO-er versus OVS-er, der comparisons = SVO-der versus OVS-der.

Experiment 1 comparisons	Word order effect	Experiment 2 comparisons	Word order effect
<i>SVO-er</i> [1 st (Subject/Agent)] versus <i>OVS-er</i> [1 st (Object/Patient)]	712 ms to 1180ms <i>SVO-er</i> elicited more looks to the 1 st mentioned entity	<i>SVO-er</i> [1 st (Subject/Proto-patient)] versus <i>OVS-er</i> [1 st (Object/Proto-agent)]	Not significant* *Age effects: a preference for the 1 st mentioned entity for <i>OVS-er</i> increased with age
<i>SVO-der</i> [2 nd (Object/Patient)] versus <i>OVS-der</i> [2 nd (Subject/Agent)]	660 ms to 1880ms <i>SVO-der</i> elicited more looks to the 2 nd mentioned entity	<i>SVO-der</i> [2 nd (Object/Proto-agent)] versus <i>OVS-der</i> [2 nd (Subject/Proto-patient)]	1230 ms to 1550ms <i>SVO-der</i> elicited more looks to the 2 nd mentioned entity** *Age effects: a preference for the 2 nd mentioned entity for <i>OVS-der</i> increased with age

Notes. 1. In the ‘comparison’ columns, we bold print the entity that would be expected to be fixated upon according to order of mention (1st for *er* sentences, 2nd for *der* sentences). The other two cues of interest that converge with that order of mention entity/feature are circle bracketed.

(*er*) and d-pronouns (*der*). Our findings extend the understanding of children’s pronoun interpretation strategies in several important ways. First, we show that, like adults, children use a combination of prominence cues rather than one cue alone to resolve both *er* and *der*, which supports a multiple constraints perspective (Arnold *et al.*, 2000; Kaiser & Trueswell, 2008; Järvikivi *et al.*, 2005; Schumacher *et al.*, 2017). Second, we find that children more typically attach *er* to high prominence entities and *der* to low prominence entities, further demonstrating adult-like preferences (Schumacher *et al.*, 2017). Third, performance with sentences containing misaligned cues revealed that, whilst semantic cues clearly influence performance and are weighted more heavily with increasing age, these are not yet the most powerful drivers of preferences, indicating that even 10-year-olds are still developing their weightings toward an adult-like level. Instead, children appear to rely more on order of mention cues (Goodrich Smith *et al.*, 2019; Goodrich Smith & Hudson Kam, 2012). This was particularly robust for *der* relative to *er*, which supports a form-specific multiple constraints account, such that weighted preferences for these cues do not apply equivalently across reference forms (Kaiser & Trueswell, 2008; Schumacher *et al.*, 2017). Note that Table 5 summarises our results, further illustrating the alignment of prominence cues in each condition.

Children used a combination of order of mention, grammatical role, and semantic role to resolve pronouns. One way that this was displayed was through clear preferences around the pronoun onset (*i.e.*, early) in sentence conditions that fully aligned prominence features (*SVO* accusatives): children showed a preference to attach *er* to entities that carried aligned high prominence features (first, subject, agent), and robust preferences to attach *der* to entities with aligned low prominence features (second, object, patient). Another demonstration of this was that preferences were generally weaker in sentences that misaligned prominence features. Taken

together, this is in line with a straightforward multiple-constraints framework such that no single prominence cue fully accounted for pronoun resolution, as has been reported for adults (e.g., Schumacher et al., 2017). The influence of semantics speaks against a purely structural explanation of children's performance, as has been reported in developmental literature on broader aspects of language acquisition (Brandt et al., 2009; Chan et al., 2009; Dittmar et al., 2008). Whilst this has been suggested by previous child studies (Pyykkönen et al., 2010), the present study is the first to have fully disentangled these three cues to reveal that each influences children's pronoun resolution independently.

We now turn to understanding the extent to which children distinguish these cues and whether they have developed adult-like weighting preferences. Perhaps the most important sign of adult-like differential weighting of the cues was an independent influence of semantic role (Schumacher et al., 2017). Our results indicated that children use semantic role information even when it is put into conflict with other cues, building upon previous child pronoun studies (Pyykkönen et al., 2010). First, the finding in Experiment 1 – that preferences for entities with fully aligned cues (*er*: first, subject, agent; *der*: second, object, patient) were weakened in OVS conditions – confirmed that grammatical role and/or semantic role significantly traded off against order of mention cues. Crucially, performance with dative object-experiencer verbs (Experiment 2) determined that effects of semantic role can occur independent of grammatical role. That SVO-*er* meandered at chance contrasts with the tendency reported in Experiment 1 to attach SVO-*er* to the first mention. This indicates that children used semantic role in their resolution of *er*: unlike in Experiment 1, the prominent agent was not aligned to the first mention and subject. This maps onto adult performance that has been previously reported for SVO-*er* sentences (Schumacher et al., 2017). We should also note that, whilst OVS-*er* dative object-experiencers overall meandered at chance rather than showing an adult-like preference for the proto-agent (first mention, object), older children were significantly more likely to choose the proto-agent than younger children. With regards to semantic role influencing the resolution of *der*, results mirror the previously reported adult studies on the crucial OVS-*der* dative object-experiencer sentences: children resolved *der* toward the (second mention) proto-patient despite it being misaligned with grammatical role. This indicates that order of mention preferences for *der* are present when aligned with semantic role, which is important because Experiment 1 indicated that order of mention cues are not powerful enough on their own to drive *der* preferences. Further, this OVS-*der* pattern was more likely to be displayed by older children, indicating developmental improvements toward an adult-like resolving of *der* to the proto-patient.

In the Introduction, we outlined that the most common finding in previous work with children and ambiguous (personal) pronouns is a first mention preference (Goodrich Smith & Hudson Kam, 2012; Hartshorne et al., 2015; Pyykkönen et al., 2010; Song & Fisher, 2005, 2007). However, to our knowledge, no previous study had directly disentangled order of mention from grammatical role or semantic role. In our study, order of mention cues for *er* (first) were associated with interpretive preferences, but only when aligned to semantic and/or grammatical cues (i.e., Experiment 1: SVO), and not under any misaligned conditions (Experiment 1: OVS; Experiment 2 SVO, OVS). Order of mention cues (second) for *der* were the most robust preference reported: both SVO- and OVS-*der* were resolved to the second mentioned entity. This shows that, whilst order of mention cues were not enough on

their own to drive interpretative preferences of *der* (Experiment 1: OVS), they did have a clear influence when aligned to any other prominence cue (semantic role: OVS-*der*; grammatical role: SVO-*der*). We further interpret these findings as evidence of form-specificity (Järvikivi *et al.*, 2017; Kaiser & Trueswell, 2008; Schumacher *et al.*, 2017) such that the influence of cues was differentially weighted for *er* versus *der*. Most notably, relative to *der*, interpretative processes for *er* appeared more weakened by any misalignment of cues, which indicates more reliance on the intertwining of each cue and more competition between each misaligned cue. We encourage future studies to investigate to what extent these findings apply to younger children, but re-emphasize that our age window was of most interest for tapping into the initial developmental patterns toward an adult weighting of cues.

It is important to note that children's form-specificity described above is attributed to weighted preferences in a different way from form-specificity reported in adult literature (Schumacher *et al.*, 2017). Specifically, even 10-year-olds are not yet weighting semantic role as the most powerful cue in the same way adults do. The likely reason for this is that our age group is in a developmental window where the knowledge of semantic role (and grammatical role) is becoming more sophisticated, specifically with regard to strategic use in pronoun resolution. This is demonstrated by the aforementioned age modulation of preferences in Experiment 2: older children were more likely than younger children to use semantic role in an adult-like manner for resolving OVS-*der* (to proto-patient, second mention) and OVS-*er* (to proto-agent, first mention).

The only sentence condition that children resolved in a manner that directly contrasts with the adult-like use of semantic role was dative object-experiencer SVO-*der* sentences (Experiment 2), for which they displayed strong preferences to the second mentioned entity (object), even though the low prominence proto-patient cue was the first mentioned entity (subject). We should note again that attachment to the second mention was also strong for fully aligned SVO-*der* sentences with accusative verbs (Experiment 1) and word order effects occurred earlier (600 ms, not 1200ms), suggesting that the misalignment of semantic role cues might slow down interpretative preferences. Nevertheless, SVO-*der* dative object-experiencer sentences were resolved to the second mention more than OVS-*der* sentences. One reason for this is that the former are assumed to have a non-canonical argument order (nominative-dative) by German syntax literature (Haider, 1993), so that inherent structural complexity might simply make children more likely to default to a simplistic order of mention strategy.

An important fact to consider in any explanation, however, is that dative object-experiencer verbs have relatively low frequency in speech directed to children. For example, only 208 instances of dative object-experiencer verbs are present in the nearly 2 million words of CDS spoken to children aged 2;5 to 7;0 in two large corpora in CHILDES (Leo corpus: Behrens, 2006; Rigol and Wagner corpus: Wagner, 1985). Therefore, we suggest that the above explanation needs to be extended and in turn related to a frequency-based framework of children's pronoun understanding (Arnold *et al.*, 2007). Specifically, a proposed defaulting to a simplistic order of mention strategy must to some extent apply for all word orders containing (infrequent) dative object-experiencer verbs – which fits our data that children have not yet reached a mature weighting of semantic cues. We adopt a constructivist argument that considers frequency effects not strictly in terms of construction type *per se* (see Abbot-Smith & Behrens, 2006; Ambridge *et al.*, 2015; Kidd *et al.*, 2007;

Noble et al., 2015). Specifically, the low frequency of these verbs should be an issue with regard to exposure to their unique structural mapping of semantic roles. In terms of a simple NOUN-VERB-NOUN schema the most prototypical structural mapping of semantic roles to which German children are exposed is with high frequency verbs such as active accusatives (where *SVO* order is more frequent than *OVS* order), whose proto-agent maps onto the first mention/subject. A constructivist account would argue that NOUN-VERB-NOUN representations might initially be restricted to the most prototypical structural mapping of semantic roles (agent = first mention/subject), which gradually broadens to incorporate moderately frequent mappings including those for *OVS* active accusatives (agent = second mention/subject). Later (or more gradually) the schema broadens out to incorporate the unique structural mapping of semantic role offered from lower frequency dative object-experiencer verbs [*OVS*: proto-agent = first/object; *SVO*: proto-agent = second/object]. The lack of exposure and relatively fragile representations of the semantic mappings for object-experiencer verbs would lead children to often interpret these sentences with schemas from more frequent prototypical mappings. For example, one can argue that *SVO* carries the least prototypical mapping with consideration to semantic role, as it is misaligned to BOTH prominence cues (whereas in *OVS*, semantic role is only misaligned to grammatical role). In turn, children may accordingly use their early developed and robust NOUN-VERB-NOUN schema, and it is then no surprise that *SVO-der* resolves to the second mention for sentences containing dative object-experiencer verbs in the same way it was with active-accusative verbs. This offers a more fine-grained multiple-constraints perspective of children's understanding of ambiguous pronouns, and fits the previous argument by Arnold et al. (2007, see Introduction) that children initially assign less weight to cues that are determined to be less reliable because of less consistent overall mappings in their CDS input.

Our above argument is speculative, but is built on similar proposals within the domain of language acquisition that are becoming uncontroversial, the core argument being that earlier developed schemas can impact the interpretation of sentences that can be defined as low frequency whether that frequency is assessed in terms of full constructions or more abstract forms (Ambridge et al., 2015; Abbot-Smith & Behrens, 2006; Diessel & Tomasello, 2005; MacWhinney, Bates & Kliegl, 1984; Tomasello, 2003). Further experimental work is needed with younger children to investigate whether they might be more likely to default to a simplistic order of mention cue relative to grammatical role or semantic role, and with older children to investigate the maturation of adult-like weightings of cues. For example, priming studies can explore whether greater exposure to dative object-experiencer verbs can raise the likelihood that their continuations focus on the proto-agent, which would suggest improved representations for their unique semantic mappings onto structural information, and in turn inform literature on implicit statistical learning mechanisms (Kidd, 2011).

From a broader cognitive perspective, our findings accommodate the notion that children build a situation model in the same way as adults (Pyykkönen & Järviö, 2012; Zwaan & Radvansky, 1998). Specifically, they use prominence cues to form a representation of the most accessible entity that is likely to be involved in topic continuation. In regard to incrementally updating the situation model, it is worth noting that the non-prototypical mapping of semantic role onto the structural information of referents might increase processing demands for children (Kidd et al.,

2007; Noble *et al.*, 2015; Theakston, Coates & Holler, 2014, 2014). Indeed, developmental research has attributed young children's (around 3 to 6 years) less stable and slower pronoun resolution to a less certain processing availability (Hartshorne *et al.*, 2015; Järvikivi *et al.*, 2014). Such research posits that these factors may lead to difficulty in revising initial interpretations or in suppressing recent salient information, perhaps even a combination. Since a design like ours offers the opportunity to determine children's weighting of COMPETING prominence cues, the incorporation of a comprehensive battery of individual difference measures such as working memory and language knowledge as predictors may lead to a more fine-grained understanding of how adult-like resolution preferences develop. Similarly, it is possible that age served as a proxy for developmental progression in academic ability. For example, future work could more specifically assess sentence comprehension ability to examine whether stronger skills are predictive (over and above age) of the likelihood that performance is more driven by semantic role.

Overall, the study has demonstrated that seven- to ten-year-olds attend to order of mention, grammatical role and semantic role cues in their real-time resolution of two pronoun forms *er* and *der*. The degree to which these cues were individually weighted was form-specific: for children to display robust interpretative preferences with *er*, order of mention cues needed to be aligned with BOTH grammatical role and semantic role whereas only one of these alignments was required for *der*. Results also demonstrated that children's online comprehension of *er* and *der* became more adult-like with increasing age – specifically older children increasingly weighted semantic over grammatical cues.

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Appendix

Table A.1. Experiment 1 summary statistics for the complimentary Generalized additive mixed model process, using a set of binary predictors. Reporting parametric coefficients (Part A) and effective degrees of freedom (edf), reference degrees of freedom (Ref.df), F and p values for the smooth and random effects (Part B)

Parametric coefficients					
	Estimate	Std.Error	t	Pr(> t)	
(Intercept)	0.20	0.23	0.88	0.38	
Approximate significance of smooth terms:					
	edf	Ref.df	F	p-value	
s(Time)	1.08	1.11	0.47	0.55	
s(Time):Isorder_SVO	2.01	2.02	0.94	0.39	
s(Time):Ispronoun_der	2.01	2.03	0.21	0.81	
s(Time):Isder_SVO	8.34	9.40	12.74	0.00	***
Random effects					
s(Time,Subject)	313.85	647.00	136.20	0.00	***
s(Time,Item)	85.10	143.00	108.90	0.02	*
s(Event)	713.30	1074.00	406.03	0.00	***
s(Time,Event)	841.25	1074.00	388.57	0.00	***

Notes. R-sq.(adj) = 0.25; Deviance explained = 27%; -ML = 17392; n = 137910.

Table A.2. Experiment 2 summary statistics for the complimentary Generalized additive mixed model process, using a set of binary predictors. Reporting parametric coefficients (Part A) and effective degrees of freedom (edf), reference degrees of freedom (Ref.df), F and p values for the smooth and random effects (Part B)

Parametric coefficients					
	Estimate	Std.Error	t	Pr(> t)	
(Intercept)	-0.24	0.28	-0.86	0.39	
Approximate significance of smooth terms:					
	edf	Ref.df	F	p-value	
s(Time)	1.02	1.03	0.00	0.98	
s(Time):IsorderSVOorder	1.00	1.00	2.36	0.12	
s(Time):Ispronounder	4.41	5.49	2.69	0.01	*
s(Time):Isder_SVOorder	7.20	8.45	4.49	0.00	***
s(age):IsorderSVOorder	2.00	2.00	0.81	0.45	
s(age):Ispronounder	2.00	2.00	0.63	0.53	
s(age):Isder_SVOorder	1.00	1.00	0.03	0.87	
ti(Time,age):IsorderSVOorder	1.05	1.09	0.93	0.32	
ti(Time,age):Ispronounder	12.17	13.69	4.60	0.00	***
ti(Time,age):Isder_SVOorder	1.05	1.09	0.93	0.32	
Random effects					
s(Time,Subject)	258.21	557.00	125.11	0.00	***
s(Time,Item)	86.74	143.00	212.23	0.00	***
s(Event)	597.99	862.00	440.52	0.00	***
s(Time,Event)	677.10	862.00	398.83	0.00	***

Notes. R-sq.(adj) = 0.26; Deviance explained = 28%; -ML = 140900; n = 111623.

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