

Appendix for Chapter 1

In the table below, I summarize the search results in Chinese news and academic journals for the phrase “state advancement and retreat of the private sector” (*guo jin min tui*). I summarize several forms of state advancement from these articles, including:

- Shutdown of the private sector. This means the government uses administrative means or changes laws and policies to suspend or ban only the private companies in a sector.
- Merger and acquisition. This is when a state-owned enterprise (SOE) acquires or merges with a private company, resulting in the private company becoming a branch of the SOE.
- Shareholder sale to SOEs. This is when an SOE purchases shares of a private firm.
- Shareholder sale to local government. This is when a local government purchases shares of a private firm.
- Nationalization. This is when an SOE or a local government acquires 100 percent of a private firm’s ownership and thus converts a private firm into an SOE.
- Restrictions on entry of private firms. This occurs when the government changes laws, policies, or bidding requirements in a way that effectively restricts private firms from entering a sector.

All the source articles can be accessed in this online archive: https://drive.google.com/drive/folders/11YHwSh7a_mLVR-QUnKU7tm9OI_AIrxTw?usp=drive_link

Sector	Years	Provinces	Forms of state advancement
Steel	2004–2010	Jiangsu, Xinjiang, Zhejiang, Shandong, Jilin, Hubei, Anhui	Shutdown of the private sector; Merger and acquisition
Airlines	2002, 2009–2010	Nationwide	Merger and acquisition
Real estate	2009, 2021–2022	Nationwide	Shareholder sale to SOEs, Shareholder sale to local government
Coal	2006–2009	Henan, Shanxi, Inner Mongolia	Shareholder sale to SOEs, Shutdown of the private sector
Highways and toll roads	2008–2010	Shanghai, Zhejiang	Nationalization
Publication	2003–2004	Nationwide	Shareholder sale to local government
Online streaming services	2009	Nationwide	Restrictions on entry of private firms; shutdown of the private sector
Online payment systems	2018, 2021	Nationwide	Merger and acquisition
Oil and petroleum	2003, 2005	Shannxi	Nationalization
City gas supply	2010	Gansu, Hunan	Shareholder sale to local government; restrictions on entry of private firms;
Water	2008–	Nationwide	Shareholder sale to SOEs
Wastewater treatment	2016–2020	Guangdong, Henan, Liaoning, Shaanxi, Zhejiang, Shandong, Shanxi, Guangxi	Shareholder sale to SOEs
Seed	2010–2018, 2023	Nationwide	Shareholder sale to SOEs; merger and acquisition
Tourism	2016–2022	Guangdong; Fujian; Henan; Shandong; Jiangxi; Gansu; Xinjiang; Hunan; Hubei, Hainan, Sichuan	Shareholder sale to SOEs; merger and acquisition

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Hog farms	2011– 2012; 2018– 2023	Sichuan, Hubei, Shanxi, Zhejiang	Shareholder sale to SOEs; Shareholder sale to local government
Gas stations	1998– 2012	Nationwide	Shutdown of the private sector; merger and acquisition
Waste recycling	2009, 2013	Chongqing, Guangdong	Nationalization; restrictions on entry of private firms
Rare earth minerals	2002– 2011	Inner Mongolia, Jiangxi, Sichuan, Guangdong	Shutdown of private companies; nationalization
Battery recycling	2022– 2023	Sichuan, Guangdong, Hubei	Shareholder sale to SOEs; merger and acquisition
Private schools	2006	Hubei	Shutdown of the private sector
Private tutoring	2021	Nationwide	Shutdown of the private sector
Trust funds	2004– 2005	Nationwide	Shareholder sale to SOEs
Food manufacturing	2005, 2009	Xinjiang, Inner Mongolia	Shareholder sale to SOEs
Pharmaceuticals	2010	Shanghai	Merger and acquisition