

## ***c*-SECTIONS, SOLVABILITY AND LARGE SUBGROUPS OF FINITE GROUPS**

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### **Abstract**

*c*-Sections of maximal subgroups in a finite group and their relation to solvability have been extensively researched in recent years. A fundamental result due to Wang [‘C-normality of groups and its properties’, *J. Algebra* **180** (1998), 954–965] is that a finite group is solvable if and only if the *c*-sections of all its maximal subgroups are trivial. In this paper we prove that if for each maximal subgroup of a finite group  $G$ , the corresponding *c*-section order is smaller than the index of the maximal subgroup, then each composition factor of  $G$  is either cyclic or isomorphic to the O’Nan sporadic group (the converse does not hold). Furthermore, by a certain ‘refining’ of the latter theorem we obtain an equivalent condition for solvability. Finally, we provide an existence result for large subgroups in the sense of Lev [‘On large subgroups of finite groups’ *J. Algebra* **152** (1992), 434–438].

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### **1. Introduction**

All groups in this paper are finite. Most of our notation is standard. For  $A \leq G$  we denote the class of all the subgroups conjugate to  $A$  in  $G$  by  $\text{Con}_G(A)$ . If  $A \leq G$  and  $|A| \geq |G|^{1/2}$  then  $A$  is called a *large subgroup* of  $G$ .

Let  $M$  be a maximal subgroup of a group  $G$  and  $K/L$  be a chief factor of  $G$  such that  $L \leq M$  while  $K \not\leq M$ . Following Shirong and Wang in [5], we call the group  $(M \cap K)/L$  a *c-section* of  $M$ . It was proved [5, Theorem 1.1] that for a fixed maximal subgroup  $M$  of  $G$  all the *c*-sections of  $M$  are isomorphic. We denote the abstract group isomorphic to a *c*-section (and so to all *c*-sections) of  $M$  by  $\text{Sec}(M)$ .

In [6] it was proved (although not using this terminology) that a group is solvable if and only if the *c*-sections of all its maximal subgroups are trivial. Further solvability conditions were proved in [5]. In particular, a group is solvable if and only if the *c*-sections of all its maximal subgroups are 2-closed [5, Theorem 2.1], and if and only if the *c*-sections of all its maximal subgroups are nilpotent [5, Theorem 2.2]. The case when all the *c*-sections are supersolvable was discussed in [4].

In this paper we study further the notion of  $c$ -sections and its connection to solvability. In particular, for a maximal subgroup  $M$  we consider the relation between the order of the  $c$ -section  $|\text{Sec}(M)|$  and the index  $|G : M|$ . By the above, if  $G$  is solvable then obviously  $|\text{Sec}(M)| < |G : M|$  for each maximal subgroup  $M$  of  $G$ . It turns out that the converse is not true.

**EXAMPLE 1.1.** Let  $T = O'Nan$ , the O'Nan simple sporadic group, and let  $G = \text{Aut}(T) = T : 2$ . We show that  $|\text{Sec}(M)| < |G : M|$  for all maximal subgroups  $M$  of  $G$ . If  $M = T$  then  $|\text{Sec}(M)| = 1 < |G : M| = 2$ . Let  $M$  be maximal in  $G$ ,  $M \neq T$ . Since  $T/1$  is a chief factor of  $G$  and  $M \not\leq T$ ,  $M > 1$ , we have  $S := \text{Sec}(M) = M \cap T$ . By  $G = MT$  it follows that for each  $g \in G$  there exists  $t \in T$  such that  $S^g = S^t$ . Thus  $\text{Con}_T(S) = \text{Con}_G(S)$ , and so  $\text{Con}_T(N_T(S)) = \text{Con}_G(N_T(S))$ . Assume now that  $|\text{Sec}(M)| \geq |G : M|$ . Then  $|S| \geq |G : M|$ , implying that  $|S| \geq |T : S|$  and  $|S| \geq |T|^{1/2}$ , that is,  $S$  is a large subgroup of  $T$ . By checking the list of maximal subgroups of  $T = O'Nan$  in [2], we deduce that  $S$  is contained in a maximal subgroup of  $T$  isomorphic to  $L_3(7) : 2$ . Considering the maximal subgroups of  $L_3(7) : 2$ , it follows that the only possibilities are  $S \cong L_3(7) : 2$  and  $S \cong L_3(7)$ , and in any case  $N_T(S) \cong L_3(7) : 2$ . By the information in [2] we deduce that  $\text{Con}_T(N_T(S)) \neq \text{Con}_G(N_T(S))$ , contradicting our previous observation. Thus  $|\text{Sec}(M)| < |G : M|$  for all maximal subgroups  $M$  of  $G$ .

The involvement of  $O'Nan$  in Example 1.1 is not a coincidence. We have the following result.

**THEOREM 1.2.** *Let  $G$  be a group such that  $|\text{Sec}(M)| < |G : M|$  for all maximal subgroups  $M$  of  $G$ . Then every composition factor of  $G$  is either cyclic or isomorphic to  $O'Nan$ .*

The converse of Theorem 1.2 is not true. Indeed for  $G = O'Nan$  there exists a large maximal subgroup  $M$ , so that  $|\text{Sec}(M)| = |M| \geq |G : M|$ . Actually, it was proved in [3] that each simple nonabelian group has a proper large subgroup (and hence a large maximal subgroup). A key step in proving Theorem 1.2 is the following proposition.

**PROPOSITION 1.3.** *Let  $G$  be a simple nonabelian group. Then the following are equivalent:*

- (1)  $G$  has a proper large subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ ;
- (2)  $G \neq O'Nan$ .

By a certain ‘refinement’ of Theorem 1.2, we get an equivalent condition for solvability in Theorem 1.4 below. Throughout this paper, we write

$$\beta := \log(175\,560)/\log(2\,624\,832) \approx 0.817.$$

(This number is connected to the largest proper subgroup  $H$  of  $G = O'Nan$  satisfying  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .)

**THEOREM 1.4.** *Let  $G$  be a group. Then  $G$  is solvable if and only if  $|\text{Sec}(M)| < |G : M|^\beta$  for all maximal subgroups  $M$  of  $G$ .*

We show in Proposition 2.8 that the (nonsolvable) group  $G = \text{Aut}(O'Nan)$  satisfies  $|\text{Sec}(M)| \leq |G : M|^\beta$  (with equality in some cases) for all maximal subgroups  $M$  of  $G$ . Thus  $\beta$  cannot be replaced by a larger constant in Theorem 1.4.

Next, we include the following result, which, unlike the other results in this paper, is ‘classification-free’.

**THEOREM 1.5.** *Let  $G$  be a group. Then the following are equivalent.*

- (1)  $|\text{Sec}(M)| < |G : M|$  for all maximal subgroups  $M$  of  $G$ .
- (2) For each nonabelian chief factor  $K/L$  of  $G$ , and for each  $L < B < K$  such that  $B/L$  is large in  $K/L$ ,  $\text{Con}_K(B) \neq \text{Con}_G(B)$ .

Let  $G$  be a group satisfying the conditions of Theorem 1.5. We note that, by our Theorem 1.2, it follows that each noncyclic composition factor of  $G$  (if exists) is isomorphic to  $O'Nan$ .

The main result of [3] is that each group of composite order has a proper large subgroup. By applying Proposition 1.3 we prove the following theorem.

**THEOREM 1.6.** *Let  $G$  be a group such that  $|G|$  is divisible by at least two primes. Assume that  $G$  does not have composition factors isomorphic to  $O'Nan$ . Then  $G$  has a proper large subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .*

The restriction on the composition factors of  $G$  in Theorem 1.6 cannot be removed. This is clearly demonstrated by considering  $G = O'Nan$ . Furthermore, the statement of this theorem does not hold in general for  $p$ -groups (where  $p$  is a prime), as can be shown by the example of any elementary abelian  $p$ -group.

The proof of Proposition 1.3 is given in Section 2. The proofs of Theorems 1.2, 1.4, 1.5 and 1.6 are given in Section 3.

## 2. Proof of Proposition 1.3

Notice first that in Example 1.1 we showed that if  $T = O'Nan$  and  $S$  is a proper large subgroup of  $T$ , then  $\text{Con}_T(S) \neq \text{Con}_{\text{Aut}(T)}(S)$ . Thus the implication (1)  $\Rightarrow$  (2) of Proposition 1.3 is proved. It remains to prove that each simple nonabelian group  $G$ , except  $O'Nan$ , has a proper large subgroup  $H$  satisfying  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . We prove this separately for the sporadic simple groups, the simple groups of Lie type and the alternating groups; see Proposition 2.1, Corollary 2.4 and Proposition 2.5, respectively.

**PROPOSITION 2.1.** *Let  $G$  be a sporadic simple group which is not isomorphic to  $O'Nan$ . Then  $G$  has a proper large subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .*

**PROOF.** As mentioned above, it was proved in [3] that each simple nonabelian group  $G$  has a large maximal subgroup. When  $\text{Out}(G) = 1$  this large subgroup  $H$  certainly satisfies our extra condition. In Table 1 we give for each sporadic group  $G$

TABLE 1. Large subgroups  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .

| $G$        | $H$              | $ H $                    | $ G : H $ |
|------------|------------------|--------------------------|-----------|
| $M_{12}$   | $L_2(11)$        | 660                      | 144       |
| $M_{22}$   | $L_3(4)$         | 20 160                   | 22        |
| $Suz$      | $G_2(4)$         | 251 596 800              | 1 782     |
| $HS$       | $M_{22}$         | 443 520                  | 100       |
| $M^C L$    | $U_4(3)$         | 3 265 920                | 275       |
| $He$       | $S_4(4) : 2$     | 1 958 400                | 2 058     |
| $HN$       | $A_{12}$         | 239 500 800              | 1140 000  |
| $J_2$      | $U_3(3)$         | 6 048                    | 100       |
| $J_3$      | $L_2(16) : 2$    | 8 160                    | 6 156     |
| $Fi_{22}$  | $2 \cdot U_6(2)$ | 18 393 661 440           | 3 510     |
| $Fi'_{24}$ | $Fi_{23}$        | 4089 470 473 293 004 800 | 306 936   |

with  $\text{Out}(G) > 1$ , except *O'Nan*, a corresponding large maximal subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . This information is based on [2], and completes the proof.  $\square$

Recall that a *Borel subgroup*  $B$  of a group of Lie type  $G$  in characteristic  $p$  is the normaliser of a Sylow  $p$ -subgroup of  $G$ . Since the Sylow  $p$ -subgroups of  $G$  are conjugate in  $G$ , it follows that  $\text{Con}_G(B) = \text{Con}_{\text{Aut}(G)}(B)$ . The following proposition states that in most cases  $B$  is large in  $G$ . We have not found a reference for this property, which may be of independent interest. For brevity of notation, we say that the twisted group of Lie type  ${}^\sigma \mathcal{L}_l(q^\sigma)$  is defined over the field  $\text{GF}(q)$ .

**PROPOSITION 2.2.** *Let  $G$  be a simple group of Lie type  ${}^\sigma \mathcal{L}_l(q^\sigma)$  of rank  $l$  defined over the field with  $q$  elements, where  $q > 2$ . Then a Borel subgroup  $B$  of  $G$  is a large subgroup of  $G$ .*

**PROOF.** We deal separately with the cases when  $G$  is twisted or not.

**Case 1.** Let  $G$  be a nontwisted group of Lie type. Then according to [1, 9.4.10],

$$|G| = \frac{1}{d} q^N (q^{d_1} - 1) \cdots (q^{d_l} - 1), \quad |B| = \frac{1}{d} q^N (q - 1)^l$$

and

$$|G : B| = (q^{d_1} - 1) \cdots (q^{d_l} - 1) / (q - 1)^l,$$

where  $d$  is as in [1, 9.4.10],  $N = |\Phi^+|$  is the number of positive roots of the root system related to  $G$  and  $d_1 + \cdots + d_l = N + l$  [1, 9.3.4].

By assumption  $q \geq 3$ . Assume that  $l = 1$ . Then  $q \geq 4$  is even,  $N = 1$  and  $d_1 = N + l = 2$ . Hence

$$|G : B| = (q^2 - 1) / (q - 1) = q + 1 \quad \text{and} \quad |B| = q(q - 1) / (q - 1, 2).$$

As  $q(q - 1) \geq 3q$  and  $3q > 2(q + 1)$ , the assertion follows.

Now let  $l \geq 2$ . If  $l = 2$  and  $q = 3$ , then either  $d = 1$  and  $G \cong L_3(3)$  or  $G_2(3)$ , or  $d = 2$  and  $G \cong \text{PSp}_4(3)$ . In the first case  $|B| = 2^2 \cdot 3^3$  or  $2^2 \cdot 3^6$  and  $|G : B| = 2^2 \cdot 13$  or  $2^4 \cdot 7 \cdot 13$ , respectively. Thus  $B$  is a large subgroup of  $G$ . If  $G \cong \text{PSp}_4(3)$  then  $|B| = 2 \cdot 3^4 = 162$  and  $|G : B| = 2^5 \cdot 5 = 160$  and the assertion holds again.

From now on we assume that  $l \geq 3$  if  $q = 3$  and  $l \geq 2$  otherwise. We aim to show that

$$(q^{d_1} - 1) \cdots (q^{d_l} - 1) < \frac{1}{d} q^N (q - 1)^{2l}.$$

We have  $(q^{d_1} - 1) \cdots (q^{d_l} - 1) < q^{\sum_{i=1}^l d_i} = q^{N+l}$  and claim that  $(q - 1)^{2l-1} > q^l$ , which then yields the assertion. First let  $q = 3$ . Then  $l \geq 3$ ,  $(\frac{4}{3})^l > 2$  and so  $2^{2l-1} > 3^l$  as required. Now suppose that  $q \geq 4$ . Then  $(q - 1)^{2l} > (q^2 - 2q)^l = q^l (q - 2)^l$ . Thus it remains to show that  $(q - 2)^l \geq q - 1$ . This holds, as  $(q - 2)^l \geq (q - 2)^2 = q^2 - 4q + 4$  and  $q^2 \geq 5(q - 1)$ .

**Case 2.** Now let  $G$  be a twisted group of Lie type. We choose to retain the notation of [1]. So  $G$  is isomorphic to one of the following groups:

$${}^2A_l(q^2), {}^2B_2(q^2), {}^2D_l(q^2), {}^3D_4(q^3), {}^2E_6(q^2), {}^2F_4(q^2), {}^2G_2(q^2),$$

where  $q^2 = 2^{2m+1}$  (respectively,  $q^2 = 3^{2m+1}$ ) if  $\mathcal{L}$  is of type  $B_2$  or  $F_4$  (respectively, of type  $G_2$ ).

Let  $B$  be a Borel subgroup of  $T$ . Then by [1, 14.1.2],

$$|B| = \frac{1}{d} q^N (q - \eta_1)(q - \eta_2) \cdots (q - \eta_l),$$

where  $N$  is the number of positive roots in the root system related to  $\mathcal{L}_l(q)$ ,  $d$  will be indicated in each case and  $\eta_1, \dots, \eta_l$  are the eigenvalues of the isometry  $\tau$  of the vector space spanned by the roots which is related to the symmetry of the diagram for  $\mathcal{L}_l(q)$ . By [1, 14.3.2] we know  $|G|$  and can calculate the index  $|G : B|$  in all cases. We now discuss all the possibilities.

Let  $G \cong {}^2A_l(q^2)$  be a unitary group. We distinguish between the cases  $l$  even and  $l$  odd.

If  $l$  is even, then

$$d = (q + 1, l + 1), \quad N = \frac{l(l + 1)}{2}, \quad \eta_1 = \cdots = \eta_{l/2} = 1, \quad \eta_{l/2+1} = \cdots = \eta_l = -1.$$

So

$$|B| = \frac{1}{d} q^{l(l+1)/2} (q - 1)^{l/2} (q + 1)^{l/2}$$

and

$$|G : B| = \prod_{i=1}^l \frac{q^{i+1} - (-1)^{i+1}}{(q - 1)^{l/2} (q + 1)^{l/2}}.$$

Notice that  $(q^m - 1)(q^{m+1} + 1) < q^{m+m+1}$ . Thus

$$|G : B| < \frac{q^{2+3+\dots+(l+1)}}{(q-1)^{l/2}(q+1)^{l/2}} = \frac{q^{l(l+1)/2+l}}{(q-1)^{l/2}(q+1)^{l/2}}.$$

So it is enough to show that

$$q^l \leq \frac{1}{d}(q-1)^l(q+1)^l, \quad \text{that is, } q \leq \frac{1}{d^{1/l}}(q-1)(q+1).$$

Since the ‘worst’ case is  $d = q + 1$ , it suffices to show that  $q \leq (q-1)(q+1)^{1-1/l}$ . Since in fact  $q \leq (q-1)(q+1)^{1/2}$  for  $q > 2$ , we are done.

If  $l \geq 3$  is odd, then

$$d = (q + 1, l + 1), \quad N = \frac{l(l + 1)}{2}, \quad \eta_1 = \dots = \eta_{(l+1)/2} = 1$$

and

$$\eta_{((l+1)/2)+1} = \dots = \eta_l = -1.$$

So

$$|B| = \frac{1}{d}q^{l(l+1)/2}(q-1)^{(l+1)/2}(q+1)^{(l-1)/2}$$

and

$$|G : B| = \prod_{i=1}^l \frac{q^{i+1} - (-1)^{i+1}}{(q-1)^{(l+1)/2}(q+1)^{(l-1)/2}}.$$

Similarly to the previous case, we obtain  $|G : B| < q^{l(l+1)/2+l}/(q-1)^{(l+1)/2}(q+1)^{(l-1)/2}$ . Thus it is enough to show that  $q^l \leq (1/d)(q-1)^{l+1}(q+1)^{l-1}$ . Again we take the worst case  $d = q + 1$ , so it suffices to show that  $q^l \leq (q-1)^{l+1}(q+1)^{l-2}$ , that is,  $q^l \leq (q^2 - 1)^{l-2}(q-1)^3$ . As  $q < q^2 - 1$ , it suffices to show that  $q^3 < (q^2 - 1)(q-1)^3$ . Since the latter holds for every  $q > 2$ , this case is concluded as well.

Let  $G \cong {}^2B_2(q^2)$  be a Suzuki group. Then  $d = 1, N = 4, \eta_1 = 1$  and  $\eta_2 = -1$ . Thus

$$|B| = q^4(q^2 - 1), \quad |G : B| = q^4 + 1$$

and the assertion holds for every  $q$ .

Let  $G \cong {}^2D_l(q^2)$  be an orthogonal group of minus type. Then  $d = (4, q^l + 1), N = l(l-1), \eta_1 = \dots = \eta_{l-1} = 1$  and  $\eta_l = -1$ . Thus

$$|B| = \frac{1}{d}q^{l(l-1)}(q-1)^{l-1}(q+1)$$

and

$$|G : B| = \frac{(q^l + 1) \prod_{i=1}^{l-1} (q^{2i} - 1)}{(q-1)^{l-1}(q+1)}.$$

Then

$$|G : B| < q^{l-1} 2^{l-1} \prod_{i=1}^{l-1} q^{2i-1} = 2^{l-1} \prod_{i=1}^{l-1} q^{2i} = 2^{l-1} q^{l(l-1)} \leq q^{l(l-1)} (q-1)^{l-1}$$

as  $q > 2$ . Hence  $B$  is a large subgroup in that case.

Let  $G \cong {}^3D_4(q^3)$ . Then  $d = 1, N = 12$  and  $\eta_i = \alpha^{i-1}$  with  $\alpha \neq 1$  a third root of unity, for  $1 \leq i \leq 3$ . Hence  $|B| = q^{12}(q-1)(q-\alpha)(q-\alpha^2) = q^{12}(q^3-1)$  and

$$|G : B| = (q^8 + q^4 + 1)(q^3 + 1)(q^2 - 1) < 2q^{13} < q^{12}(q^3 - 1),$$

and the assertion holds for every  $q$  (including  $q = 2$ ).

Let  $G \cong {}^2E_6(q^2)$ . Then  $d = (3, q + 1), N = 36, \eta_1 = \dots = \eta_4 = 1, \eta_5 = \eta_6 = -1,$

$$|B| = \frac{1}{d} q^{36} (q-1)^4 (q+1)^2$$

and

$$|G : B| = (q^{12} - 1)(q^9 + 1)(q^8 - 1)(q^6 - 1)(q^5 + 1)(q^2 - 1)/(q-1)^4 (q+1)^2.$$

Here

$$|G : B| < 2q^{11} q^8 2q^7 2q^5 (q^5 + 1) = 2^3 q^{31} (q^5 + 1) \quad \text{and} \quad q^5 (q-1)^4 (q+1) > 2^3 (q^5 + 1),$$

which shows the assertion.

Let  $G \cong {}^2F_4(q^2)$ . Then  $d = 1, N = 24, \eta_1 = \eta_2 = 1$  and  $\eta_3 = \eta_4 = -1$ . So

$$|B| = q^{24} (q-1)^2 (q+1)^2 = q^{24} (q^2 - 1)^2$$

and

$$|G : B| = (q^{12} + 1)(q^8 - 1)(q^6 + 1)(q^2 - 1)/(q^2 - 1)^2.$$

Now let  $r := q^2 = 2^{2m+1} > 2$ . Then

$$|G : B| = (r^6 + 1)(r^3 + r^2 + r + 1)(r^3 + 1) \leq (r^6 + 1)2r^3(r^3 + 1) < r^{12}(r-1)^2 = |B|$$

and  $B$  is a large subgroup of  $G$ .

Let  $G \cong {}^2G_2(q^2)$ . Then  $d = 1, N = 6, \eta_1 = 1$  and  $\eta_2 = -1$ . Then

$$|B| = q^6 (q^2 - 1) \quad \text{and} \quad |G : B| = (q^6 + 1)$$

and the assertion holds in all cases. □

We note that Proposition 2.2 cannot be extended to the case  $q = 2$ , but a Borel subgroup is a large subgroup of  $G$  if  $G \cong {}^3D_4(2)$  (as shown in the proof of Proposition 2.2).

Next we consider the linear groups defined over  $\text{GF}(2)$ . We have the following general result.

**PROPOSITION 2.3.** *Let  $G$  be a special linear group of rank  $l \geq 2$  defined over the field with  $q$  elements. Let  $V$  be the natural module for  $T$  and  $(V_1, V_l)$  be two subspaces of dimension one and  $l$ , respectively, such that  $V_1 \subseteq V_l$ . Let  $P_i$  be the stabiliser of  $V_i$  in  $T$ , for  $i = 1, l$ . If  $(l, q) \neq (2, 2)$ , then  $R := P_1 \cap P_l$  is a large subgroup of  $G$ , and  $\text{Con}_G(R) = \text{Con}_{\text{Aut}(G)}(R)$ .*

**PROOF.** Recall that the field and diagonal automorphisms of  $G$  act on the set of maximal parabolic subgroups of type  $i$ , for  $1 \leq i \leq l$  [1] and that the graph automorphisms interchange the sets of maximal parabolics of type 1 and  $l$ . Since  $P_l$  acts transitively on the one-dimensional subspaces of  $V_l$ , it follows that  $\text{Con}_G(R) = \text{Con}_{\text{Aut}(G)}(R)$ .

Then  $n := |G : R|$  is the number of flags  $(W_1, W_l)$ , where  $W_i$  an  $i$ -dimensional subspace of  $V$  and  $W_1 \subseteq W_l$ . We have  $n = (q^{l+1} - 1)(q^l - 1)/(q - 1)^2$ . As

$$|G| = \frac{1}{d} q^{l(l+1)/2} (q^{l+1} - 1) \cdots (q^2 - 1),$$

where  $d = (q - 1, l + 1)$ , we get  $|R| = (1/d) q^{l(l+1)/2} (q^{l-1} - 1) \cdots (q^2 - 1)(q - 1)^2$ .

We have to show that  $|G : R| \leq |R|$ . If  $l = 2$  and  $q \geq 3$  then

$$|G : R| = \frac{(q^3 - 1)(q^2 - 1)}{(q - 1)^2} = (q^2 + q + 1)(q + 1) < \frac{1}{q - 1} q^3 (q - 1)^2 \leq |R|.$$

If  $l = 3$  then

$$|G : R| = \frac{(q^4 - 1)(q^3 - 1)}{(q - 1)^2} < \frac{1}{q - 1} q^6 (q^2 - 1)(q - 1)^2 \leq |R|,$$

and if  $l \geq 4$  then

$$|G : R| = \frac{(q^{l+1} - 1)(q^l - 1)}{(q - 1)^2} < q^{2l+1} < q^{l(l+1)/2} < |R|,$$

completing the proof. □

Notice that the assertion of Proposition 2.3 is false for  $G \cong L_3(2)$ .

**COROLLARY 2.4.** *Let  $G$  be a simple group of Lie type. Then  $G$  has a proper large subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .*

**PROOF.** If  $T \cong {}^3D_4(2)$  or if  $G$  is not defined over  $\text{GF}(2)$ , then the assertion follows by Proposition 2.2 and the remark after it. Therefore we may assume that  $G$  is defined over  $\text{GF}(2)$ .

If  $G$  is of type  $A_l$ ,  $l > 2$ , then the statement is a consequence of Proposition 2.3. If

$$G \cong B_2(2)' \cong A_6 \cong L_2(9), \quad G \cong A_2(2) \cong L_3(2) \cong L_2(7) \quad \text{or} \quad G \cong G_2(2)' \cong U_3(3),$$

then we obtain the assertion by Proposition 2.2. If  $G$  is as listed in Table 2, then  $H$  is a large subgroup of  $G$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$  (the details are taken from [2]).

TABLE 2. Large subgroups  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .

| G               | H                       | H           | G : H    |
|-----------------|-------------------------|-------------|----------|
| $D_4(2)$        | $3^4 : 2^3 \cdot S_4$   | 15 552      | 11 200   |
| $F_4(2)$        | $[2^{20}]A_6 \cdot 2$   | 754 974 720 | 4385 745 |
| ${}^2F_4(2^2)'$ | $2 \cdot [2^8] : 5 : 4$ | 10 240      | 1 755    |

If  $G$  is one of the remaining groups of Lie type with  $q = 2$ , that is,  $G$  is isomorphic to one of the groups

$$B_l(2), D_l(2)(l \geq 5), E_6(2), E_7(2), E_8(2), {}^2A_l(2^2), {}^2D_l(2^2), {}^2E_6(2^2),$$

then it is easily verified that the large subgroup  $H$  of  $G$  given by [3, Table II] satisfies  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . This completes the proof.  $\square$

It remains to consider the alternating groups.

**PROPOSITION 2.5.** *Let  $G \cong A_n, n \geq 5$ . Then  $G$  has a proper large subgroup  $H$  such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .*

**PROOF.** The case  $G = A_6 \cong L_2(9)$  has already been handled in Proposition 2.2. Thus we may assume that  $n \neq 6$ , in which case  $\text{Aut}(G) = S_n$ . Let  $H$  be a point stabiliser in  $G = A_n$ ; then  $\text{Con}_{A_n}(H) = \text{Con}_{S_n}(H)$ , and clearly  $H$  is large in  $G = A_n$ . This completes the proof.  $\square$

Now Proposition 1.3 follows by Proposition 2.1, Corollary 2.4 and Proposition 2.5.

The following proposition will be used in the proof of Theorem 1.4

**PROPOSITION 2.6.** *Let  $G$  be a simple nonabelian group. Then  $G$  has a proper subgroup  $H$  such that  $|H| \geq |G : H|^\beta$  and  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ .*

**PROOF.** In view of Proposition 1.3, it remains to consider the case  $G = O'Nan$ . By [2]  $G$  has a (maximal) subgroup  $H \cong J_1, |H| = 175\,560, |G : H| = 2\,624\,832$ , such that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . Since  $|H| = |G : H|^\beta$ , the proof is complete.  $\square$

**REMARK 2.7.** The number  $\beta$  cannot be replaced by a larger constant in Proposition 2.6. Indeed, let  $T := O'Nan$  and let  $A < T$  be such that  $\text{Con}_T(A) = \text{Con}_{\text{Aut}(T)}(A)$ . We show that  $|A| \leq |T : A|^\beta$ . Set  $G = \text{Aut}(T)$ . By Frattini's argument  $G = TN_G(A)$ , and so

$$|T : A| \geq |T : T \cap N_G(A)| = |G : N_G(A)|.$$

The list of maximal subgroups of  $G = \text{Aut}(T) \cong O'Nan : 2$  is determined in [7]. By this list  $S := J_1 \times 2$  is the largest maximal subgroup of  $\text{Aut}(T)$  distinct from  $T$ . Thus  $|T : A| \geq |G : S| = 2\,624\,832$ , which implies that  $|A| \leq |T : A|^\beta$  as required.

As noted in Section 1, the following shows that Theorem 1.4 cannot be improved by replacing  $\beta$  by a larger constant.

**PROPOSITION 2.8.** *Let  $T = O'Nan$  and  $G = \text{Aut}(T)$ . Then  $|\text{Sec}(M)| \leq |G : M|^\beta$  for each maximal subgroup  $M$  of  $G$ .*

**PROOF.** Let  $M$  be a maximal subgroup of  $G$ . If  $M = T$  then  $\text{Sec}(M) = 1$ , so we may assume that  $G = MT$  and  $M \cap T < T$ . For  $g \in G$  there exist  $u \in M, t \in T$  such that  $g = ut$  and so  $(M \cap T)^g = M^g \cap T = M^t \cap T = (M \cap T)^t$ . This shows that  $\text{Con}_T(M \cap T) = \text{Con}_G(M \cap T)$ , and thus by Remark 2.7,

$$|M \cap T| \leq |T : M \cap T|^\beta = |MT : M|^\beta = |G : M|^\beta.$$

Since  $T/1$  is a chief factor of  $G$  and  $T \not\leq M, 1 < M$ , we have  $\text{Sec}(M) = M \cap T$ , so by the above  $|\text{Sec}(M)| \leq |G : M|^\beta$  as required. □

### 3. Proofs of Theorems 1.2, 1.4, 1.5 and 1.6

We start with the proof of our classification-free result.

**PROOF OF THEOREM 1.5.** Suppose that (2) does not hold. Then there exist a nonabelian chief factor  $K/L$  of  $G$ , and a large proper subgroup  $B/L$  of  $K/L$  such that  $\text{Con}_K(B) = \text{Con}_G(B)$ . We shall show that  $G/L$  has a maximal subgroup  $M/L$  such that  $|\text{Sec}(M)| \geq |G : M|$ , so (1) fails. There is no loss of generality here in assuming that  $L = 1$ . By Frattini’s argument,  $G = KN_G(B)$ . Since  $B$  is not normal in  $G$  we can choose  $M$ , a maximal subgroup of  $G$  containing  $N_G(B)$ . Then  $M \not\leq K$  and  $K$  is minimal normal, so  $\text{Sec}(M) = M \cap K$ . But  $M \cap K \geq B$  and  $B$  is a large subgroup of  $K$ . Thus  $|M \cap K| \geq |K : M \cap K| = |G : M|$ , which implies that  $|\text{Sec}(M)| \geq |G : M|$ .

Conversely, suppose that (1) does not hold and let  $M$  be a maximal subgroup of  $G$  with  $|\text{Sec}(M)| \geq |G : M|$ . Let  $K/L$  be a chief factor of  $G$  satisfying  $L \leq M$  and  $K \not\leq M$ . Then  $G = KM$ , implying that  $|G : M| = |K : M \cap K|$  and so  $|(M \cap K)/L| \geq |K : M \cap K|$ . Thus  $(M \cap K)/L$  is a large proper subgroup of  $K/L$ . (Notice that  $K/L$  is nonabelian, since otherwise  $M \cap K/L \triangleleft G/L$ , contradicting the fact that  $K/L$  is a chief factor.) In order to see that (2) fails, it is left to show that  $\text{Con}_K(M \cap K) = \text{Con}_G(M \cap K)$ . Let  $g \in G$ ; then  $g = mk$ , where  $m \in M, k \in K$ . Thus  $(M \cap K)^g = (M \cap K)^k$ , and the proof is completed. □

We proceed with a useful lemma.

**LEMMA 3.1.** *Let  $G$  be a group,  $N \trianglelefteq G, N = T^m$ , where  $T$  is a simple nonabelian group. Suppose that  $B \leq T$  and  $\text{Con}_T(B) = \text{Con}_{\text{Aut}(T)}(B)$ . Let  $A := B^m$  be a subgroup of  $N$ . Then  $\text{Con}_N(A) = \text{Con}_G(A)$ .*

**PROOF.** By construction

$$\text{Aut}(N) = \text{Aut}(T) \text{ wr } S_m = N_{\text{Aut}(N)}(A)\text{Aut}(T)^m.$$

Since each  $g \in G$  acts on  $N$  (by conjugation) like an element of  $\text{Aut}(N)$ , the assertion now follows from the assumption that  $\text{Con}_T(B) = \text{Con}_{\text{Aut}(T)}(B)$ . □

Theorems 1.2, 1.4 and 1.6 can now be proved.

**PROOF OF THEOREM 1.2.** Let  $G$  be a group such that  $|\text{Sec}(M)| < |G : M|$  for all maximal subgroups  $M$  of  $G$ . Suppose to the contrary that  $G$  has a chief factor  $K/L = T^m$ ,  $T$  is a simple nonabelian group and  $T \not\cong O'Nan$ . By Proposition 1.3 there exists a proper large subgroup  $B$  of  $T$  such that  $\text{Con}_T(B) = \text{Con}_{\text{Aut}(T)}(B)$ . Let  $A = B^m$ , a subgroup of  $K/L$ . Then it is easily verified that  $A$  is a proper large subgroup of  $K/L$ , and by Lemma 3.1,  $\text{Con}_{K/L}(A) = \text{Con}_{G/L}(A)$ . Let  $H$  be the preimage of  $A$  in  $G$ ; then clearly  $\text{Con}_K(H) = \text{Con}_G(H)$ , so condition (2) of Theorem 1.5 is not satisfied by  $G$ . Since condition (1) of the same theorem is satisfied, we reach the desired contradiction.  $\square$

**PROOF OF THEOREM 1.4.** The *only if* part is known, as mentioned in Section 1. We prove the *if* part. Let  $G$  be a minimal counterexample. Since the condition on the *c*-sections of  $G$  is inherited by quotients of  $G$ ,  $G/N$  is solvable for each  $1 < N \trianglelefteq G$ . Hence  $G$  has a unique minimal normal subgroup  $N$ , and  $N = T^m$ , where  $T$  is a simple nonabelian group. Furthermore,

$$N = T^m \leq G \leq \text{Aut}(T) \text{ wr } S_m = \text{Aut}(N).$$

By Proposition 2.6 there exists a proper subgroup  $H$  of  $T$  such that  $|H| \geq |G : H|^\beta$  and  $\text{Con}_T(H) = \text{Con}_{\text{Aut}(T)}(H)$ . Define  $A = H^m$ , a subgroup of  $N$ . Then it is easily verified that  $|A| \geq |N : A|^\beta$ , and by Lemma 3.1,  $\text{Con}_N(A) = \text{Con}_G(A)$ . Frattini's argument leads to  $G = NN_G(A)$ . Notice that  $A < N$  forces that  $A$  is not normal in  $G$ . Let  $M$  be a maximal subgroup of  $G$  containing  $N_G(A)$ . Then  $N \not\leq M$  and, since  $N$  is minimal normal,  $\text{Sec}(M) \cong M \cap N$ . Now  $M \cap N \geq A$ , implying that  $|M \cap N| \geq |N : A|^\beta \geq |N : M \cap N|^\beta$ . But since  $G = MN$  we have  $|N : M \cap N| = |G : M|$ . Hence  $|\text{Sec}(M)| \geq |G : M|^\beta$ , the desired contradiction.  $\square$

**PROOF OF THEOREM 1.6.** Assume that the theorem is false and let  $G$  be a minimal counterexample. Suppose first that  $G$  does not have proper nontrivial characteristic subgroups. Then, since  $G$  is not a  $p$ -group,  $G = T^m$ , where  $T$  is a simple nonabelian group. Moreover, by assumption  $T \not\cong O'Nan$ . By Proposition 1.3 there exists  $S < T$  such that  $\text{Con}_T(S) = \text{Con}_{\text{Aut}(T)}(S)$  and  $S$  is large in  $T$ . Set  $H = S^m$ , a subgroup of  $G = T^m$ . Then  $H$  is a proper large subgroup of  $G$ , and  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$  by Lemma 3.1. Therefore,  $G$  is not a counterexample in this case. Hence we may assume from now on that  $G$  has proper nontrivial characteristic subgroups.

Let  $K$  be a minimal characteristic subgroup of  $G$ . Then  $K = T^m$ , where  $T$  is a simple group ( $T$  may be of prime order). Suppose that  $|G/K|$  is divisible by at least two primes. Then, since  $G/K$  is not a counterexample, there exists  $K < H < G$  such that  $H/K$  is large in  $G/K$  and  $\text{Con}_{G/K}(H/K) = \text{Con}_{\text{Aut}(G/K)}(H/K)$ . Let  $\alpha \in \text{Aut}(G)$ ; then  $\alpha$  induces an automorphism  $\bar{\alpha}$  of  $G/K$  and  $(H/K)^{\bar{\alpha}} = H^g/K$  for some  $g \in G$ . Thus  $H^\alpha = H^g$ , and it follows that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . Since  $H$  is large in  $G$ , we deduce that  $G$  is not a counterexample.

It remains, therefore, to consider the case where  $G/K$  is a nontrivial  $p$ -group for a prime  $p$ . If  $K$  is elementary abelian then  $K$  is a  $q$ -group for a prime  $q$  distinct from  $p$ .

Now, either a Sylow  $p$ -subgroup or a Sylow  $q$ -subgroup of  $G$  is large in  $G$ . Since this Sylow subgroup, say  $H$ , satisfies  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$  by Sylow's theorem, we deduce again that  $G$  is not a counterexample. Finally, suppose that  $K$  is nonsolvable. Let  $R$  be a nontrivial Sylow subgroup of  $K$ . By Frattini's argument,  $G = KN_G(R)$  and so either  $K$  or  $N_G(R)$  is a proper large subgroup of  $G$ . Denote this large subgroup by  $H$ . If  $H = K$  then  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H) = \{H\}$ . If  $H = N_G(R)$ , notice that for  $\alpha \in \text{Aut}(G)$  there exists  $u \in K$  such that  $R^\alpha = R^u$ . Thus  $H^\alpha = H^u$ , and it follows that  $\text{Con}_G(H) = \text{Con}_{\text{Aut}(G)}(H)$ . This shows that  $G$  is not a counterexample in this case, as well. The proof is now completed.  $\square$

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