

# CRITERIA FOR FOURIER TRANSFORMS

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## 1. A criterion due to Salem

Salem [1] gave the following criterion for Fourier-Lebesgue sequences  $(c_n)_{n=-\infty}^{\infty}$ .

Denote by  $E$  the set of continuously differentiable periodic functions  $u$  such that  $u'$  has an absolutely convergent Fourier series, and let  $E_1$  denote the set of  $u \in E$  satisfying  $\|u\|_{\infty} \leq 1$ . In order that a sequence  $(c_n)_{n=-\infty}^{\infty}$  be the sequence of Fourier coefficients of an integrable periodic function, it is necessary and sufficient that the following two conditions be satisfied:

(S<sub>1</sub>) The formally integrated series  $\sum_{n \neq 0} c_n e^{inx}/(in)$  converges to a continuous function.

(S<sub>2</sub>) If  $(u_k)_{k=1}^{\infty}$  is a sequence extracted from  $E_1$  such that

$$\lim_{k \rightarrow \infty} \|u_k\|_2 = 0,$$

then

$$\lim_{k \rightarrow \infty} \sum_{n=-\infty}^{\infty} c_n \hat{u}_k(n) = 0.$$

In the above,  $\|\cdot\|_p$  denotes the usual norm (or quasinorm, if  $0 < p < 1$ ) in the  $L^p$ -space formed relative to Haar measure on the circle group, and

$$\hat{u}(n) = (1/2\pi) \int_{-\pi}^{\pi} u(x) e^{-inx} dx.$$

In §§ 1–5 we record an analogue of Salem's criterion, applicable to an arbitrary compact Hausdorff Abelian group  $G$ , in which no condition of the type (S<sub>1</sub>) appears; an analogue for the case in which  $G$  is locally compact but not compact; and a related comment regarding the Lebesgue-Radon-Nikodým theorem. Thereafter we discuss some other somewhat similar criteria on the basis of a general theorem about Banach spaces. Most of the results we formulate could be extended to non-Abelian compact groups, and indeed to fairly general orthogonal expansions on finite measure spaces.

NOTATION. Throughout the paper  $X$  will denote the character group of  $G$ .  $L^p(G)$  denotes the usual Lebesgue space formed relative to Haar measure on  $G$ ;  $C(G)$  the space of continuous functions on  $G$ ; and, if  $G$  is noncompact,  $C_0(G)$  denotes the space of continuous functions on  $G$  which tend to zero

at infinity. For  $1 \leq p \leq \infty$  when  $G$  is compact, and for  $p = 1$  when  $G$  is noncompact,  $\mathcal{F}L^p(G)$  will denote the set of functions on  $X$  which are Fourier transforms of elements of  $L^p(G)$ . For compact  $G$ ,  $\mathcal{F}C(G)$  is defined analogously.  $M(G)$  denotes the space of bounded Radon measures on  $G$ , identifiable with the topological dual of  $C_0(G)$  (or of  $C(G)$  when  $G$  is compact).

2

In place of the sequence  $(c_n)$ , we consider a bounded measurable function  $F$  on  $X$ . We select any exponent  $p$  satisfying  $0 < p < \infty$ . The choice of  $E$  is left free, save for the following assumptions:

- (a)  $E$  is a linear subspace of  $C_0(G) \cap L^1(G)$  such that each  $u \in E$  has a Fourier transform  $\hat{u}$  which is integrable over  $X$ ; the  $\hat{u}$  ( $u \in E$ ) form a dense subspace of  $L^1(X)$ .
- (b) There exists a number  $c \geq 0$  such that each continuous function  $v$  on  $G$  having a compact support is the pointwise limit of a sequence (or the uniform limit of a net)  $(u_k)$  of functions in  $E$  satisfying  $\|u_k\|_\infty \leq c\|v\|_\infty$  and  $\|u_k\|_p \leq c\|v\|_p$ .

One might, for example, take  $E$  to consist of all finite linear combinations of continuous positive definite functions in  $L^1(G)$ , i.e., of all continuous functions  $u \in L^1(G)$  such that  $\hat{u} \in L^1(X)$ .

As before,  $E_1$  will denote the set of  $u \in E$  satisfying  $\|u\|_\infty \leq 1$ .

We consider the following hypothesis on  $F$ :

(S)<sub>p</sub> If  $(u_k)_{k=1}^\infty$  is a sequence extracted from  $E_1$  such that

$$\lim_{k \rightarrow \infty} \|u_k\|_p = 0,$$

then

$$\lim_{k \rightarrow \infty} \int_X F \cdot \hat{u}_k d\xi = 0.$$

In what follows we shall use the fact that, whether or not  $G$  is compact, (S)<sub>p</sub> signifies exactly that to each  $\epsilon > 0$  corresponds a number  $c(\epsilon) = c(\epsilon, F, p) \geq 0$  for which

$$(1) \quad \left| \int_X F \cdot \hat{u} d\xi \right| \leq \epsilon \cdot \|u\|_\infty + c(\epsilon) \cdot \|u\|_p$$

for each  $u \in E$ . The verification is simple and is left to the reader.

3

**THEOREM 1.** *Assume that  $G$  is compact. With the notation and assumptions of § 2, in order that  $F$  shall belong to  $\mathcal{F}L^1(G)$ , it is necessary and sufficient that it satisfy condition (S)<sub>p</sub> for some (and so for all)  $p$ .*

PROOF. Suppose first that  $F = \hat{f}$  for some  $f \in L^1(G)$ . Then, thanks to (a) of § 2 and the Fubini-Tonelli theorem, we have

$$(2) \quad \int_{\mathcal{X}} F \cdot \hat{u} d\xi = \int_G f(-x)u(x)dx$$

for  $u \in E$ . That  $(S)_p$  is satisfied, follows from (2) and Lebesgue's theorem [in the form that  $\int g_k \rightarrow 0$  whenever the sequence  $(g_k)$  is dominated and converges to zero in measure]. Alternatively, it may be shown in the following manner that (1) is fulfilled. Suppose  $u \in E$  and define

$$S_\lambda = \{x \in G : |u(x)| > \lambda\} \quad \text{for } \lambda > 0,$$

noting that  $m(S_\lambda) \leq \lambda^{-p} \|u\|_p^p$ . We have from (2)

$$(3) \quad \left| \int_{\mathcal{X}} F \cdot \hat{u} d\xi \right| \leq \|u\|_\infty \cdot \int_{-S_\lambda} |f| dx + \lambda \cdot \|f\|_1.$$

Given  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $\int_S |f| dx \leq \varepsilon$  whenever  $m(S) \leq \delta$ . Taking  $\lambda = \delta^{-1/p} \|u\|_p$ , (3) accordingly gives

$$\left| \int_{\mathcal{X}} F \cdot \hat{u} d\xi \right| \leq \varepsilon \cdot \|u\|_\infty + \delta^{-1/p} \|f\|_1 \cdot \|u\|_p,$$

which is (1) with  $c(\varepsilon) = \delta^{-1/p} \|f\|_1$ . In this way we see that  $(S)_p$  is necessary.

To prove the sufficiency of  $(S)_p$ , we begin by noting that when  $G$  is compact the inequality (1) entails that

$$(4) \quad \left| \int_{\mathcal{X}} F \cdot \hat{u} d\xi \right| \leq c' \cdot \|u\|_\infty$$

for  $u \in E$ . This, combined with (a) of § 2 and the Hahn-Banach theorem, entails that there exists a bounded Radon measure  $\mu$  on  $G$  such that

$$(5) \quad \int_{\mathcal{X}} F \cdot \hat{u} d\xi = \int_G u(-x) d\mu(x)$$

for  $u \in E$ . The Fubini-Tonelli theorem and (a) of § 2 combine with (5) to show that  $F = \hat{\mu}$ . It therefore remains to show that  $\mu$  is absolutely continuous (relative to  $m$ ).

Now (b) of § 2, taken together with (1) and (5), shows that

$$(6) \quad \left| \int_G v d\mu \right| \leq \varepsilon \cdot \|v\|_\infty + c'(\varepsilon) \cdot \|v\|_p$$

for any continuous  $v$ . If  $h$  is a positive continuous function, (6) shows that

$$(7) \quad \int_G h d|\mu| = \text{Sup} \left\{ \left| \int_G h v d\mu \right| : \|v\|_\infty \leq 1 \right\} \\ \leq \varepsilon \cdot \|h\|_\infty + c'(\varepsilon) \cdot \|h\|_p.$$

By [3], pp. 184, 188, (7) yields  $|\mu|(U) \leq \varepsilon + c'(\varepsilon) \cdot m(U)^{1/p}$  for any relatively compact open subset  $U$  of  $G$ , which is enough to show that  $\mu$  is absolutely continuous.

### 4. The case in which $G$ is noncompact

Scrutiny of the preceding proof shows that in this case (4) no longer follows from (1). But in any case (4) is equivalent to the demand that  $F$  be equal l.a.e. on  $X$  to the transform of some bounded Radon measure on  $G$ .

It therefore follows that necessary and sufficient conditions in order that  $F$  be equal l.a.e. on  $X$  to the transform of a function in  $L^1(G)$  are:

(S)<sub>p</sub> As before.

(S') Any condition known to be necessary and sufficient to ensure that  $F$  be equal l.a.e. on  $X$  to a Fourier-Stieltjes transform.

A possible condition of type (S') is

(S'<sub>1</sub>)  $F$  is continuous and

$$|\sum c_r F(\xi_r)| \leq \text{const.} \|\sum c_r \xi_r\|_\infty$$

for each trigonometric polynomial  $\sum c_r \xi_r$  on  $G$ .

For this condition, due to Eberlein, see [2], p. 32. Another possibility is

$$(S'_2) \quad \limsup_\alpha \int_G \left| \int_X f_\alpha(\xi) \hat{F}(\xi) \xi(x) d\xi \right| dx < \infty,$$

where  $(r_\alpha)$  is a suitable approximate identity in  $L^1(G)$ .

### 5

It is perhaps worth pointing out that part of the arguments in § 3 suffice to establish the following variant of the Lebesgue-Radon-Nikodým theorem (cf. [3], Theorem 4.15.1).

Let  $X$  be any Hausdorff locally compact space and  $m$  a positive Radon measure on  $X$ . Let  $p$  be any exponent satisfying  $0 < p < \infty$ . A Radon measure  $\mu$  on  $X$  has the form  $\mu = f \cdot m$  for some locally  $m$ -integrable function  $f$ , if and only if to each  $\varepsilon > 0$  and each compact subset  $K$  of  $X$  corresponds a number  $c_K(\varepsilon) = c_K(\varepsilon, p, \mu) \geq 0$  such that

$$\left| \int_X u d\mu \right| \leq \varepsilon \cdot \|u\|_\infty + c_K(\varepsilon) \cdot \|u\|_p$$

for each continuous function  $u$  on  $X$  having its support contained in  $K$ . [The norm  $\|\cdot\|_p$  is constructed relative to the measure  $m$ .]

If  $X$  is a  $C^\infty$  manifold, the same system of inequalities applied to the indefinitely differentiable functions  $u$  with supports contained in  $K$ , is necessary and sufficient in order that a distribution  $\mu$  on  $X$  shall be of the form  $f \cdot m$  with  $f$  a locally  $m$ -integrable function.

In the preceding statements one may replace the set of all compact subsets  $K$  of  $X$  by any chosen open covering of  $X$ .

### 6. A result about Banach spaces

Suppose that  $B$  is a Banach space with topological dual  $B'$ . Assume also that conditions (i) and (ii) immediately below are satisfied.

- (i)  $V$  is a linear subspace of  $B'$  with the property that there exists a number  $m \geq 0$  such that each  $f' \in B'$  is the weak (i.e.,  $\sigma(B', B)$ –) limit of a net  $(f'_i)$  extracted from  $V$  and satisfying  $\|f'_i\| \leq m \cdot \|f'\|$ .
- (ii)  $A$  is a subset of  $B$ , the linear combinations of elements of which are everywhere dense in  $B$ .

We then have the following theorem, which will be seen in § 7 to have some interesting concrete applications.

**THEOREM 2.** *The notations and assumptions being as immediately above, suppose further that  $\lambda$  is a linear functional defined on  $V$ . In order that  $\lambda$  be generated by an element of  $B$ , i.e., that there should exist  $f \in B$  such that*

$$(9) \quad \lambda(f') = f'(f) \quad (f' \in V),$$

*it is necessary and sufficient that to each  $\varepsilon > 0$  shall correspond a number  $c(\varepsilon) \geq 0$  and a finite subset  $S_\varepsilon$  of  $A$  such that*

$$(10) \quad |\lambda(f')| \leq \varepsilon \cdot \|f'\| + c(\varepsilon) \cdot \text{Sup}_{g \in S_\varepsilon} |f'(g)| \quad (f' \in V).$$

**PROOF.** *Necessity.* If  $\lambda$  has the form (9), and if  $\varepsilon > 0$  is assigned, choose (as is possible on account of (ii))  $f_1, \dots, f_n \in A$  and scalars  $\alpha_1, \dots, \alpha_n$  so that

$$\|f - \sum_{i=1}^n \alpha_i f_i\| \leq \varepsilon.$$

Then one has for  $f' \in V'$

$$\begin{aligned} |\lambda(f')| &= |f'(f)| \leq |f'(f - \sum_{i=1}^n \alpha_i f_i)| + |\sum_{i=1}^n \alpha_i \cdot f'(f_i)| \\ &\leq \varepsilon \cdot \|f'\| + [\sum_{i=1}^n |\alpha_i|] \cdot \text{Sup}_{1 \leq i \leq n} |f'(f_i)|, \end{aligned}$$

which shows that (10) holds for the choice

$$c(\varepsilon) = \sum_{i=1}^n |\alpha_i|, \quad S_\varepsilon = \{f_1, \dots, f_n\} \subset A.$$

*Sufficiency.* The first step is to show that, if  $\lambda$  satisfies the stated condition, then it can be extended into a linear functional  $\bar{\lambda}$  on  $B'$  which satisfies the same type of condition with  $B'$  in place of  $V$ .

To do this, suppose  $f' \in B'$  and choose (as is possible on account of (i)) a net  $(f'_i)$  of elements of  $V$  converging weakly to  $f'$  and such that  $\|f'_i\| \leq m \cdot \|f'\|$ . Given any  $\delta > 0$ , apply (10) with  $\varepsilon = \delta/4m\|f'\|$  to derive

$$\begin{aligned} |\lambda(f'_i) - \lambda(f'_j)| &= |\lambda(f'_i - f'_j)| \\ &\leq \varepsilon \cdot \|f'_i - f'_j\| + c(\varepsilon) \cdot \text{Sup}_{g \in S_\varepsilon} |(f'_i - f'_j)(g)| \\ &\leq \frac{1}{2}\delta + c(\varepsilon) \cdot \text{Sup}_{g \in S_\varepsilon} |(f'_i - f'_j)(g)|. \end{aligned}$$

From this it appears that the net  $(\lambda(f'_i))$  is Cauchy, so that  $\lim_i \lambda(f'_i)$  exists finitely. Similar use of (10) shows also that the value of this limit is independent of the chosen net  $(f'_i)$ : this value may therefore be taken as the value assigned to  $\bar{\lambda}(f')$ . Yet a third use of (10) shows that

$$|\bar{\lambda}(f')| \leq m\varepsilon \cdot \|f'\| + c(\varepsilon) \cdot \text{Sup}_{g \in S_\varepsilon} |f'(g)|$$

for  $f' \in B'$ , thereby verifying that  $\bar{\lambda}$  satisfies the same type of condition as does  $\lambda$ . Thus we may as well assume from the outset that  $V = B'$ .

On making the assumption  $V = B'$ , it is evident from (10) that the restriction of  $\lambda$  to each ball in  $B'$  is weakly continuous. The alleged result therefore follows from the Banach-Grothendieck theorem (see, for example, [3], Theorem 8.5.1).

REMARKS. (1) If  $B$  is separable, and if one chooses a sequence  $(f_n)_{n=1}^\infty$  everywhere dense in the unit ball of  $B$ , one may replace (10) by

$$(11) \quad |\lambda(f')| \leq \varepsilon \cdot \|f'\| + c(\varepsilon) \cdot \sum_{n=1}^\infty 2^{-n} |f'(f_n)|,$$

with a possibly different value for  $c(\varepsilon)$ .

(2) There is no difficulty in principle (merely some complication in detail) in formulating Theorem 2 for the case in which  $B$  is any complete locally convex space.

Supposing  $(p_\alpha)$  to be a family of seminorms defining the topology of  $B$ , let  $p'_\alpha$  be the norm on  $B'$  dual to  $p_\alpha$ . In place of (i) one would assume that to each index  $\alpha$  corresponds a number  $m_\alpha \geq 0$  such that each  $f' \in B'$  is the weak limit of a net  $(f'_i)$  extracted from  $V$  such that  $p'_\alpha(f'_i) \leq m_\alpha \cdot p'_\alpha(f')$ . Then the place of (10) would be taken by the demand that to each index  $\alpha$  and each number  $\varepsilon > 0$  shall correspond a number  $c_\alpha(\varepsilon) \geq 0$  and a finite set  $S_{\alpha, \varepsilon} \subset A$  such that

$$(12) \quad |\lambda(f')| \leq \varepsilon \cdot p'_\alpha(f') + c_\alpha(\varepsilon) \cdot \text{Sup}_{g \in S_{\alpha, \varepsilon}} |f'(g)| \quad (f' \in V).$$

In addition, the conclusion remains valid whenever the set  $V_1$  (hitherto assumed to coincide with  $B'$ ), formed of weak limits  $f'$  in  $B'$  of nets  $(f'_i)$  extracted from  $V$  and satisfying the preceding conditions, is weakly closed in  $B'$ . For in this case  $V_1$  is identifiable with the topological dual of  $B/V_1^0 = B_1$  (see, for example, [3], Proposition 8.1.2 and Theorem 8.1.5) and we may argue with  $B_1$  and  $V_1$  in place of  $B$  and  $V$ , respectively, at the same time replacing  $A$  by its natural image in the quotient space  $B_1$ .

### 7. Applications of Theorem 2

**THEOREM 3.** *If  $G$  is compact Abelian, a function  $F$  on  $X$  belongs to  $\mathcal{FL}^1(G)$  if and only if to each  $\varepsilon > 0$  corresponds a number  $c(\varepsilon) \geq 0$  and a finite subset  $S_\varepsilon$  of  $X$  such that*

$$(13) \quad \left| \int_X F \cdot \hat{u} d\xi \right| \leq \varepsilon \cdot \|u\|_\infty + c(\varepsilon) \cdot \text{Sup}_{\xi \in S_\varepsilon} |\hat{u}(\xi)|$$

for all trigonometric polynomials  $u$  on  $G$ .

PROOF. This is a direct application of Theorem 2 if we take  $B = L^1(G)$ ,  $B' = L^\infty(G)$ ,  $A =$  the set of all character functions,  $V =$  the set of all trigonometric polynomials, and

$$(14) \quad \lambda(u) = \int_X F \cdot \hat{u} d\xi = \sum_{\xi \in X} F(\xi) \hat{u}(\xi)$$

for trigonometric polynomials  $u$ .

THEOREM 4. If  $G$  is compact Abelian, a function  $F$  on  $X$  belongs to  $\mathcal{FC}(G)$  if and only if to each  $\varepsilon > 0$  corresponds a number  $c(\varepsilon) \geq 0$  and a finite subset  $S_\varepsilon$  of  $X$  such that

$$(15) \quad \left| \int_X F \cdot \hat{u} d\xi \right| \leq \varepsilon \cdot \|u\|_1 + c(\varepsilon) \cdot \text{Sup}_{\xi \in S_\varepsilon} |\hat{u}(\xi)|$$

for all trigonometric polynomials  $u$  on  $G$ .

PROOF. This again is a direct application of Theorem 2. This time we choose  $B = C(G)$ ,  $B' = M(G)$ ,  $A$  as before,  $V =$  the set of all measures of the form  $u dx$  where  $u$  is a trigonometric polynomial, and  $\lambda(u dx) = \lambda(u)$  as in (14).

REMARKS.

(1) If it be assumed *a priori* that  $F$  is bounded, one may in (13) and (15) allow  $u$  to vary over any superspace of the trigonometric polynomials which comprises only functions with absolutely convergent Fourier series.

(2) Whilst it is immediately obvious that any  $F$ , which satisfies (13) for each  $\varepsilon > 0$  and a suitable  $c(\varepsilon)$ , also satisfies (1) for any  $p \geq 1$ , any  $\varepsilon > 0$ , and the same  $c(\varepsilon)$ , the converse implication is not obviously valid (even if different  $c(\varepsilon)$ 's are allowed). Thus neither Theorem 1 nor Theorem 3 is immediately derivable from the other.

(3) Theorem 3 may also be compared with the wellknown assertion that  $F \in \mathcal{FL}^p(G)$  ( $1 < p \leq \infty$ ) if and only if

$$\left| \int_X F \cdot \hat{u} d\xi \right| \leq \text{const.} \cdot \|u\|_{p'}$$

for each trigonometric polynomial  $u$  on  $G$ ,  $p'$  being the conjugate exponent defined by  $1/p + 1/p' = 1$ .

### 8. Applications to biorthogonal systems

For simplicity we consider only the case of Banach spaces  $B$ . Suppose that the families  $(e_k)_{k \in K}$  and  $(e'_k)_{k \in K}$  in  $B$  and  $B'$ , respectively, are biortho-

nal, so that  $e'_{k'}(e_k) = \delta_{k,k'}$ , and that conditions (i) and (ii) are satisfied when we take  $V$  [resp.  $A$ ] to be the set of finite linear combinations of the  $e'_k$  [resp. the  $e_k$ ]. This will certainly be the case if, for example, there exists a net  $(\sigma_i)$  of "summability factors" such that

$$f = \lim_i \sum_{k \in K} \sigma_i(k) \cdot e'_k(f) e_k \quad (f \in B),$$

$$\text{Sup}_i \|\sum_{k \in K} \sigma_i(k) \cdot e'_k(f) e_k\| \leq m \cdot \|f\| \quad (f \in B).$$

Then it follows from Theorem 2 that a given scalar-valued function  $F$  on  $K$  has the form  $F(k) = e'_k(f)$  for some  $f \in B$  if and only if to each  $\varepsilon > 0$  corresponds a number  $c(\varepsilon) \geq 0$  and a finite set  $S_\varepsilon \subset K$  such that

$$|\sum_{k \in K} F(k) f'(e_k)| \leq \varepsilon \cdot \|f'\| + c(\varepsilon) \cdot \text{Sup}_{k \in S_\varepsilon} |f'(e_k)|$$

for each  $f' \in V$ .

The proof is exactly like those of Theorem 2 and Theorem 3, and the result is an extension of these theorems to sufficiently regular biorthogonal systems.

### References

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