

A FIXED POINT THEOREM IN H -SPACE AND RELATED RESULTS

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The equivalence of a fixed point theorem and the Fan-Knaster-Kuratowski-Mazurkiewicz theorem in H -space has been established. The fixed point theorem is then applied to obtain a theorem on sets with H -convex sections, and also results on minimax inequalities.

INTRODUCTION

Using the results of Horvath [6] and [7], Bardaro and Ceppitelli [2] have recently proved a version of the Fan-Knaster-Kuratowski-Mazurkiewicz theorem [4] in H -spaces and also given some generalisations of Fan's well-known minimax inequalities.

In this note we have proved that their version is equivalent to a fixed point theorem of a set valued mapping. Our result extends the result of the author [8] to the H -space situation. This necessitates the introduction of the H -convex hull of a subset in an H -space. Our definition of a H -KKM map is slightly different from theirs, but more in line with the usual one in a vector space. From our fixed point theorem we have also deduced a theorem on sets with H -convex sections which generalises a theorem of Fan (Theorem 16, [4]), Browder [3] and the author [9]. Finally, we have shown that Bardaro and Ceppitelli's generalisations of Fan's minimax inequalities can also be deduced from our fixed point theorem.

Let X be a topological space and $\mathcal{F}(X)$ the family of finite nonempty subsets of X . Let $\{F_A\}$ be a given family of nonempty contractible subsets of X , indexed by $A \in \mathcal{F}(X)$ such that $F_A \subset F_{A'}$, whenever $A \subset A'$. The pair $(X, \{F_A\})$ is called an H -space. Given an H -space $(X, \{F_A\})$, a nonempty subset D of X is called

- (i) H -convex if $F_A \subset D$ for each finite subset A of D ;
- (ii) weakly H -convex if $F_A \cap D$ is nonempty and contractible for each finite subset A of D and
- (iii) compactly open (closed) if $D \cap B$ is open (closed) in B for each compact subset B of X . Also a subset K of X is called H -compact if, for every finite subset A of X , there exists a compact, weakly H -convex subset D of X such that $K \cup A \subset D$.

Received 28 September 1989

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In this paper by a finite subset we will always mean nonempty finite subset.

Let $(X, \{F_A\})$ be an H -convex space. Then given a nonempty subset K of X , we define the H -convex hull of K , denoted by $H - \text{co } K$ as

$$H - \text{co } K = \cap \{D \subset X : D \text{ is } H\text{-convex and } D \supset K\}.$$

$H - \text{co } K$ is H -convex. Indeed if A is a finite subset of $H - \text{co } K$, then for every H -convex subset D of X with $D \supset K$, we have $H - \text{co } K \subset D$ and thus $A \subset D$. Hence as D is H -convex, $F_A \subset D$ and hence $F_A \subset H - \text{co } K$. It also follows that $H - \text{co } K$ is the smallest H -convex subset containing K .

In what follows, we will need the following characterisation of the convex hull.

LEMMA 1. *Let $(X, \{F_A\})$ be an H -space and K be a nonempty subset of X . Then $H - \text{co } K = \cup \{H - \text{co } A : A \text{ is a finite subset of } K\}$.*

PROOF: Let A be a finite subset of K . Then $H - \text{co } A$ is the smallest H -convex subset containing A and $H - \text{co } K$ is the smallest H -convex subset containing K . Thus it follows that $H - \text{co } A \subset H - \text{co } K$. Hence $\cup \{H - \text{co } A : A \text{ is a finite subset of } K\} \subset H - \text{co } K$.

Next, let $\cup \{H - \text{co } A : A \text{ is a finite subset of } K\} = L$. Then L contains K as a subset and we prove that L is H -convex.

Let $B = \{x_1, x_2, \dots, x_n\}$ be a finite subset of L . Then there are finite subsets A_1, A_2, \dots, A_n of K such that $x_i \in H - \text{co } A_i, i = 1, 2, \dots, n$. Obviously $A' = \bigcup_{i=1}^n A_i$ is a finite subset of K , and $x_i \in H - \text{co } A'$ for $i = 1, 2, \dots, n$. Therefore, as $H - \text{co } A'$ is H -convex, $F_B \subset F_{A'} \subset H - \text{co } A' \subset L$. Thus L is an H -convex subset containing K . Hence $H - \text{co } K \subset \cup \{H - \text{co } A : A \text{ is a finite subset of } K\}$. □

Let $\{(X_\alpha, \{F_{A_\alpha}^\alpha\}) : \alpha \in I\}$ be a family of H -spaces where I is a finite or infinite index set. Let $X = \prod_{\alpha \in I} X_\alpha$ be the product space with product topology and for each $\alpha \in I$, let $P_\alpha : X \rightarrow X_\alpha$ be the projection of X onto X_α . For any finite subset A of X , we set $F_A = \prod_{\alpha \in I} F_{A_\alpha}$ where $A_\alpha = P_\alpha(A)$ for each $\alpha \in I$.

Since for each $\alpha \in I, F_{A_\alpha}$ is contractible, it is easy to see that F_A is contractible. [To see this, let for each $\alpha \in I, F_{A_\alpha}$ be contractible to $x_\alpha^0 \in X_\alpha$ through the homotopy $h_\alpha : A_\alpha \times [0, 1] \rightarrow A_\alpha$, that is h_α is continuous, $h_\alpha(x_\alpha, 1) = x_\alpha$ for all $x_\alpha \in A_\alpha$ and $h_\alpha(x_\alpha, 0) = x_\alpha^0$ for all $x_\alpha \in A_\alpha$. Then the mapping $h : A \times [0, 1] \rightarrow A$ defined by $h(x, t) = \prod_{\alpha \in I} h_\alpha(x_\alpha, t)$ is clearly a homotopy map and A is contractible to $\prod_{\alpha \in I} x_\alpha^0 \in X$ where $P_\alpha(x) = x_\alpha$]. Moreover if A and B are two finite subsets of X with $A \subset B$, then for each $\alpha \in I, P_\alpha(A) \subset P_\alpha(B)$, that is, $A_\alpha \subset B_\alpha$ and consequently $F_{A_\alpha} \subset F_{B_\alpha}$. Hence $F_A = \prod_{\alpha \in I} F_{A_\alpha} \subset \prod_{\alpha \in I} F_{B_\alpha} = F_B$. Thus $(X, \{F_A\})$ is an H -space.

Now let D_α be an H -convex subset of X_α for each $\alpha \in I$; then $D = \prod_{\alpha \in I} D_\alpha$ is an H -convex subset of X . To see this let A be a finite subset of D . Then for each $\alpha \in I$, $A_\alpha = P_\alpha(A)$ is a finite subset of D_α and $F_{A_\alpha} \subset D_\alpha$ as D_α is H -convex. Hence $F_A = \prod_{\alpha \in I} F_{A_\alpha} \subset \prod_{\alpha \in I} D_\alpha = D$.

Then we have proved the following:

LEMMA 2. *The product of any number of H -spaces is an H -space and the product of H -convex subsets is H -convex. \square*

A set valued mapping $T: X \rightarrow 2^X$ is said to be H -KKM if for each finite subset A of X , $H\text{-co } A \subset \bigcup_{x \in A} T(x)$.

We should point out that in [2] T is called H -KKM if for each finite subset A of X , $F_A \subset \bigcup_{x \in A} T(x)$. Thus if T is H -KKM in our sense, then T is H -KKM in the sense of [2].

The following theorem is proved by Bardaro and Ceppitelli [2].

THEOREM 1. *Let $(X, \{F_A\})$ be an H -space and $T: X \rightarrow 2^X$ an H -KKM set valued mapping such that*

- (a) *for $x \in X$, $T(x)$ is compactly closed;*
- (b) *there is a compact subset L of X and an H -compact subset K of X such that for every weakly H -convex subset D with $K \subset D \subset X$, we have*

$$\bigcap_{x \in D} (T(x) \cap D) \subset L.$$

Then

$$\bigcap_{x \in X} T(x) \neq \emptyset.$$

In what follows we prove that this theorem is equivalent to the following fixed point theorem:

THEOREM 2. *Let $(X, \{F_A\})$ be an H -space and $f: X \rightarrow 2^X$ be a set-valued mapping such that*

- (i) *for each $x \in X$, $f(x)$ is non-empty and H -convex;*
- (ii) *for each $y \in X$, $f^{-1}(y) = \{x \in X : y \in f(x)\}$ contains a compactly open subset O_y of X (O_y could be empty for some y);*
- (iii) $\bigcup_{x \in X} O_x = X$; and
- (iv) *there exists a compact subset L of X and an H -compact subset K of X such that for every weakly H -convex subset D with $K \subset D \subset X$, we*

have

$$\bigcap_{x \in D} (O_x^c \cap D) \subset L,$$

where O_x^c denotes the complement of O_x in X .

Then there is a point $x_0 \in X$ such that $x_0 \in f(x_0)$.

PROOF: We first prove that Theorem 1 implies Theorem 2. Let the conditions of Theorem 2 hold. For each $x \in X$, we set $T(x) = O_x^c$. If for each finite subset A of X , $H - \text{co } A \subset \bigcup_{x \in A} T(x)$, then for each finite subset A of X , $F_A \subset \bigcup_{x \in A} T(x)$ as $H - \text{co } A$ is an H -convex subset. Thus the set-valued mapping $T: X \rightarrow 2^X$ would satisfy all the conditions of Theorem 1 and hence $\bigcap_{x \in X} T(x) \neq \emptyset$ which would contradict the condition (iii). Hence there must exist at least one finite subset A of X such that $H - \text{co } A \not\subset \bigcup_{x \in A} T(x)$, that is, there exists a point $y \in H - \text{co } A$ such that $y \notin \bigcup_{x \in A} T(x)$, that is, $y \in [T(x)]^c$ for each $x \in A$, that is, $y \in O_x \subset f^{-1}(x)$ for each $x \in A$. Hence $x \in f(y)$ for each $x \in A$, that is, $A \subset f(y)$. But as $f(y)$ is H -convex, $H - \text{co } A \subset f(y)$ which implies that $y \in f(y)$.

Next we prove that Theorem 2 implies Theorem 1. Assume that the conditions of Theorem 1 hold. If possible, suppose that $\bigcap_{x \in X} T(x) = \emptyset$. Then we can define a set-valued mapping $g: X \rightarrow 2^X$ by $g(y) = \{x \in X : y \notin T(x)\}$. Clearly $g(y)$ is a nonempty subset of X for each $y \in Y$. Also for each $x \in X$, $g^{-1}(x) = (T(x))^c = O_x$, say which is open subset of X . Let $f: X \rightarrow 2^X$ be the set-valued mapping defined by $f(y) = H - \text{co } g(y)$ for each $y \in X$. Thus for each $y \in X$, $f(y)$ is an H -convex subset of X with $g(y) \subset f(y)$, and for each $x \in X$, $f^{-1}(x) \supset g^{-1}(x) = O_x$. Moreover, $\bigcap_{x \in X} T(x) = \emptyset$ implies $\bigcup_{x \in X} O_x = X$. Finally, $\bigcap_{x \in D} (O_x^c \cap D) = \bigcap_{x \in D} (T(x) \cap D) \subset L$. Hence the mapping f satisfies the conditions of the Theorem 2. Thus there exists a point $x_0 \in X$ such that $x_0 \in f(x_0) = H - \text{co } g(x_0)$, that is, there is by Lemma 1 a finite subset $A = \{x_1, x_2, \dots, x_n\}$ of $g(x_0)$ such that $x_0 \in H - \text{co } A \subset f(x_0)$. But $x_i \in g(x_0)$, $i = 1, 2, \dots, n \Rightarrow x_0 \notin T(x_i)$, $i = 1, 2, \dots, n$, that is, $x_0 \notin \bigcup_{i=1}^n T(x_i)$, that is, $H - \text{co } A \not\subset \bigcup_{x \in A} T(x)$ which contradicts that T is H -K.K.M. This proves our assertion. □

Our next theorem generalises a theorem of Fan (Theorem 16, [4]), Browder [3] and the author [9].

THEOREM 3. Let X_1, X_2, \dots, X_n be $n \geq 2$ H -spaces and let $X = \prod_{j=1}^n X_j$.

Let $\{A_j\}_{j=1}^n$ and $\{B_j\}_{j=1}^n$ be two families of subsets of X having the following

properties:

- (a) Let $\widehat{X}_j = \prod_{i \neq j} X_i$ and let \widehat{x}_j denote a generic element of \widehat{X}_j . For each $j = 1, 2, \dots, n$ and for each point $\widehat{x}_j \in \widehat{X}_j$, the set $B_j(\widehat{x}_j) = \{x_j \in X_j : [x_j, \widehat{x}_j] \in B_j\}$ is nonempty and the set $A_j(\widehat{x}_j) = \{x_j \in X_j : [x_j, \widehat{x}_j] \in A_j\}$ contains the H -convex hull of $B_j(\widehat{x}_j)$.
- (b) For each $j = 1, 2, \dots, n$ and for each point $x_j \in X_j$, the set $B_j(x_j) = \{\widehat{x}_j \in \widehat{X}_j : [x_j, \widehat{x}_j] \in B_j\}$ is compactly open in \widehat{X}_j .
- (c) There exists an H -compact subset X_0 of X such that $\bigcap_{x \in X_0} O_x^c$ is compact where $O_x = \bigcap_{j=1}^n \{B_j(x_j) \times X_j\}$ and x_j is the projection of x into X_j for each $j = 1, 2, \dots, n$.

Then $\bigcap_{j=1}^n A_j \neq \emptyset$.

PROOF: We define two set-valued mappings $f: X \rightarrow 2^X$ and $g: X \rightarrow 2^X$ by $f(x) = \prod_{j=1}^n H\text{-co } B_j(\widehat{x}_j)$ and $g(x) = \prod_{j=1}^n B(\widehat{x}_j)$ for each $x = [x_j, \widehat{x}_j] \in X$ where x_j and \widehat{x}_j are respectively the projections of x into X_j and \widehat{X}_j . Clearly for $x \in X$, by Lemma 2 $f(x)$ is H -convex, and by (a) $g(x) \neq \emptyset$ and $f(x) \supset g(x)$. For each $y \in X$, we consider the set $g^{-1}(y) = \{x \in X : y \in g(x)\}$. Now $x \in g^{-1}(y) \Leftrightarrow y = (y_1, y_2, \dots, y_n) \in g(x) = \prod_{j=1}^n B_j(\widehat{x}_j) \Leftrightarrow y_j \in B_j(\widehat{x}_j)$ for each $j = 1, 2, \dots, n \Leftrightarrow \widehat{x}_j \in B_j(y_j)$ for each $j = 1, 2, \dots, n$. Thus for each $y \in X$, $g^{-1}(y) = \bigcap_{j=1}^n \{B_j(y_j) \times X_j\} = O_y$, which is compactly open. To show this it would suffice that $B_j(y_j) \times X_j$ is compactly open. Let K be a compact subset of X . Let $\widehat{P}_j(K) = \widehat{K}_j$ and $P_j(K) = K_j$ where \widehat{P}_j and P_j are respectively the projections of X onto \widehat{X}_j and X_j . Then \widehat{K}_j and K_j are compact subsets of \widehat{X}_j and X_j respectively and $(B_j(y_j) \times X_j) \cap (\widehat{K}_j \times K_j) = (B_j(y_j) \cap \widehat{K}_j) \times K_j$. This shows that $(B_j(y_j) \times X_j)$ is open in $\widehat{K}_j \times K_j$ by virtue of (b). Now since $\widehat{K}_j \times K_j \subset K$, it follows that $B_j(y_j) \times X_j$ is open in K . Now since $g(x) \subset f(x)$ for each $x \in x$, it follows that for each $y \in X$, $f^{-1}(y)$ contains a compactly open subset $g^{-1}(y) = O_y$. Furthermore $\bigcup_{y \in X} O_y = X$. [For let $x \in X$. Since $g(x) \neq \emptyset$, $g(x)$ contains a point $y \in X$. Thus $x \in g^{-1}(y) = O_y$]. Finally by (e) there exists an H -compact subset X_0 of X such that $\bigcap_{x \in X_0} O_x^c = L$ is compact. Clearly with this pair (X_0, L) the condition (iv) of Theorem 2 is satisfied. Thus by Theorem 2 there

exists a point $x \in X$ such that

$$x \in f(x) = \prod_{j=1}^n H\text{-co} B(\hat{x}_j) \subset \prod_{j=1}^n A_j(\hat{x}_j)$$

by (a), that is, $x_j \in A_j(\hat{x}_j)$ for $j = 1, 2, \dots, n$, that is $[x_j, \hat{x}_j] \in A_j$ for $j = 1, 2, \dots, n$. Thus $x \in \bigcap_{j=1}^n A_j$. □

REMARK. The theorem dual, in the sense of [11], to the above theorem can similarly be stated and proved.

Bardaro and Ceppitelli [2] proved some generalisations of Fan’s minimax inequalities in Riesz space. We prove a variant of one of these (Theorem 3, [2]) by means of our Theorem 2.

Let (E, C) be a Riesz space, where C is the positive cone, provided with a linear, order compatible topology (for example, see [5]) and $\overset{\circ}{C}$, the interior of C is assumed to be nonempty.

THEOREM 4. *Let $(X, \{F_A\})$ be an H -space and $f, g: X \times X \rightarrow (E, C)$ two functions such that with a given $\lambda \in E$ the following conditions hold:*

- (a) $g(x, y) \leq f(x, y)$ for all $x, y \in X$;
- (b) $f(x, x) \notin \lambda + \overset{\circ}{C}$ for all $x \in X$;
- (c) for every $y \in X$, the set $\{x \in X : f(x, y) \in \lambda + \overset{\circ}{C}\}$ is H -convex;
- (d) for every $x \in X$, the set $\{y \in X : g(x, y) \in \lambda + \overset{\circ}{C}\}$ is compactly open;
- (e) there exists an H -compact subset X_0 of X such that $\{y \in X : g(x, y) \notin \lambda + \overset{\circ}{C}, \text{ for each } x \in X_0\}$ is a compact subset of X .

Then the set $S = \{y : g(x, y) \notin \lambda + \overset{\circ}{C} \text{ for all } x \in X\}$ is a nonempty compactly closed subset of X .

PROOF: For each $x \in X$, let $F(x) = \{y \in X : f(x, y) \notin \lambda + \overset{\circ}{C}\}$ and $G(x) = \{y \in X : g(x, y) \notin \lambda + \overset{\circ}{C}\}$. Then by (d), for each $x \in X$, $G(x)$ is compactly closed. It is clear that $S = \bigcap_{x \in X} G(x)$ and S is compactly closed. So we need to show that $S \neq \emptyset$. If possible, let $S = \emptyset$. Then for each $y \in X$, the set $h(y) = \{x \in X : y \notin G(x)\} = \{x \in X : g(x, y) \in \lambda + \overset{\circ}{C}\}$ is non-empty. Hence for each $y \in X$, the set

$$k(y) = \{x \in X : f(x, y) \in \lambda + \overset{\circ}{C}\} \supset h(y) = \{x \in X : g(x, y) \in \lambda + \overset{\circ}{C}\}.$$

The last inclusion follows from the inclusion $G(x)^c \subset F(x)^c$ which in turn follows from (b). [To see this let $y \notin G(x)$, that is, $g(x, y) \in \lambda + \overset{\circ}{C}$. Then there is a neighbourhood

V of O in E such that $g(x, y) + V \subset \lambda + \overset{\circ}{C}$. Now $g(x, y) \leq f(x, y) \Rightarrow \lambda < g(x, y) + v \leq f(x, y) + v$ for each $v \in V$. Thus $f(x, y) + V \subset \lambda + \overset{\circ}{C}$, that is $y \notin F(x)$. Now for each $x \in X$,

$$h^{-1}(x) = \{y \in X : x \in h(y)\} = \{y \in X : g(x, y) \in \lambda + \overset{\circ}{C}\} = O_x,$$

say, is compactly open by (d). Thus for the set-valued mapping $k: X \rightarrow 2^X$, $k(y)$ is nonempty and H -convex (by (c)) and for each $x \in X$, $k^{-1}(x)$ contains a compactly open subset $O_x = h^{-1}(x)$. [That $h^{-1}(x) \subset k^{-1}(x)$ follows from the fact that $h(x) \subset k(x)$]. Also $\bigcup_{x \in X} h^{-1}(x) = \bigcup_{x \in X} O_x = X$. [To see this let $y \in X$. Since $h(y) \neq \emptyset$, we can assume $x \in h(y)$. Then $y \in h^{-1}(x) = O_x$]. Finally

$$(e) \Rightarrow \bigcap_{x \in X_0} O_x^c = \bigcap_{x \in X_0} (h^{-1}(x))^c = \bigcap_{x \in X_0} \{y \in X : g(x, y) \notin \lambda + \overset{\circ}{C}\} = L,$$

say, is compact. Thus the pair (L, X_0) satisfies the condition (iv) of Theorem 2 for the mapping k . Hence this mapping $k: X \rightarrow 2^X$ fulfils all the conditions of Theorem 2 and, therefore, there is a point $x_0 \in X$ such that $x_0 \in k(x_0)$, that is, $f(x_0, x_0) \in \lambda + \overset{\circ}{C}$ which contradicts (b). Thus we have proved the theorem. \square

REMARKS. In the same way we can deduce the Theorem 4 and Corollary 1 of [2] from our Theorem 2. The Theorem 4 here includes a theorem of Allen [1] and also of Tarafdar [10].

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