

A THEOREM ON k -SATURATED GRAPHS

A. HAJNAL

1. Introduction. In this paper we consider finite graphs without loops and multiple edges. A *graph* \mathfrak{G} is considered to be an ordered pair $\langle G, \mathfrak{G}^* \rangle$ where G is a finite set the elements of which are called the *vertices* of \mathfrak{G} while \mathfrak{G}^* is a subset of $[G]^2$ (where $[G]^2$ is the set of all subsets of two elements of G). The elements of \mathfrak{G}^* are called the *edges* of \mathfrak{G} . If $\{P, Q\} \in \mathfrak{G}^*$, we say that Q is *adjacent* to P . The *degree* of a vertex is the number of vertices adjacent to it. Let k be an integer. We say that \mathfrak{G} is the complete k -*graph* if G has k elements and $\mathfrak{G}^* = [G]^2$. If $G \subseteq H$ and $\mathfrak{G}^* \subseteq \mathfrak{H}^*$ we say that \mathfrak{H} *contains* \mathfrak{G} and we write $\mathfrak{G} \subseteq \mathfrak{H}$. The number of elements of a set H will be denoted by $|H|$.

Let k be an integer. The graph \mathfrak{G} is said to be k -*saturated* if it does not contain a complete $(k + 1)$ -graph, but every graph \mathfrak{G}' obtained from it with the addition of a new edge contains a complete $(k + 1)$ -graph. (This concept was first defined by Zykov (5).) The vertex P is said to be a *conical vertex* of \mathfrak{G} if it is adjacent to all vertices of \mathfrak{G} different from P .

The aim of this paper is to prove the following conjecture of T. Gallai.

THEOREM 1. *Assume \mathfrak{G} is k -saturated. Then either \mathfrak{G} has a conical vertex or the degree of every vertex of \mathfrak{G} is at least $2(k - 1)$.*

Let n denote the number of vertices of \mathfrak{G} and assume that $2k - n > 0, k \geq 2$. Theorem 1 implies immediately that \mathfrak{G} has a conical vertex provided \mathfrak{G} is k -saturated. Instead of this we can give a short proof of the following slightly stronger result.

THEOREM 2. *Assume \mathfrak{G} is k -saturated, $|G| = n$. Then \mathfrak{G} contains at least $2k - n$ conical vertices.*

Theorem 2 is equivalent to a theorem of P. Erdős and T. Gallai (1). To state this theorem we need some definitions. A set of vertices is said to *represent* the edges of a graph if each edge contains at least one of these vertices. A graph is said to be *edge p -critical* if the minimal number of vertices necessary to represent the edges of the graph is p , but if any edge is omitted, the remaining edges can be represented by $p - 1$ vertices. The following theorem is essentially the same as Theorem 3.10 of (1):

Received May 8, 1964. This paper was prepared in part during the period when the author was at the University of California, Berkeley, working on a research project in the foundations co-directed by Alfred Tarski and Leon Henkin and supported by the U.S. National Science Foundation (Grant GP-1395).

THEOREM 3. *Assume \mathcal{G} is edge p -critical, $|G| = n$. Then \mathcal{G} has at least $n - 2p$ isolated vertices.*

Theorem 3 follows trivially from Theorem 2 when one considers that the complementary graph of an edge p -critical graph is $(n - p)$ -saturated and that the isolated vertices of a graph \mathcal{G} are just the conical vertices of the complementary graph of \mathcal{G} .

In a joint paper with P. Erdős and J. W. Moon **(2)**, we recently proved that the minimal number of edges of a k -saturated graph \mathcal{G} of n vertices is $n(k - 1) - \binom{k}{2}$. This result also follows immediately from Theorem 1 by induction on k . (Our result remains valid if we replace the assumption that \mathcal{G} is k -saturated by the weaker assumption that the addition of a new edge increases the number of $(k + 1)$ -graphs contained in the graph. It is to be remarked that Theorems 1 and 2 are no longer true under this weaker assumption.) Considering that our extreme graphs contain conical vertices, the following problem remains open.

Problem. Let $2 \leq k \leq n$ be integers. What is the minimal number of edges of the k -saturated graphs \mathcal{G} of n vertices which do not contain conical vertices?

A conical vertex has degree $n - 1$. More generally, we can ask: What is the minimal number of edges of k -saturated graphs \mathcal{G} of n vertices which do not contain vertices of degree $\geq n - t$ for $t = 1, 2, \dots$? The special case $k = 2$ of this problem is treated in a paper of P. Erdős and A. Rényi **(3)**, but the answer in the general case seems to be very complicated.

2. Proof of the theorems. We need the following lemma.

LEMMA. *Let \mathcal{G} be a graph, k an integer. Assume \mathcal{G} does not contain a complete $(k + 1)$ -graph. Let $\mathcal{A}_1, \dots, \mathcal{A}_v$ be a system of complete k -graphs contained in \mathcal{G} . Let n_v denote the number of elements of the set $\cup_{m=1}^v A_m$. Then this set has at least $2k - n_v$ elements.*

Proof (by induction on v): We can assume that $k \geq 2, n_v < 2k$. For $v = 1$ the statement is trivial. Assume that it is true for $v - 1$ ($v > 1$). Put

$$A = \bigcup_{m=1}^{v-1} A_m, \quad B = \bigcup_{m=1}^v A_m, \quad C = \bigcap_{m=1}^{v-1} A_m, \quad D = \bigcap_{m=1}^v A_m,$$

$$|A| = n_{v-1}, \quad |B| = n_v.$$

By the induction hypothesis we have

(1) $|C| \geq 2k - n_{v-1} > 0.$

Each vertex of C is adjacent to each vertex of A . Hence the vertices of the set $(A \cap A_v) \cup C$ are all adjacent to each other. Since \mathcal{G} does not contain a complete $(k + 1)$ -graph, we therefore have

(2) $|(A \cap A_v) \cup C| \leq k.$

Considering that $|A \cap A_v| = k - |B - A| = k - (n_v - n_{v-1})$, it follows from (2) that

$$(3) \quad |C - A_v| \leq n_v - n_{v-1}.$$

Comparing (1) and (3), we obtain the desired result

$$|D| = |A_v \cap C| \geq 2k - n_{v-1} - (n_v - n_{v-1}) = 2k - n_v.$$

Proof of Theorem 2. We may assume that $n < 2k, k \geq 2$. Let $\mathfrak{A}_1, \dots, \mathfrak{A}_v$ be the system of all complete k -graphs contained in \mathfrak{G} . Put

$$A = \bigcap_{m=1}^v A_m.$$

Since the union of the sets A_m has at most n elements, it follows from the lemma that $|A| \geq 2k - n$.

We prove that all the vertices in A are conical vertices of the graph \mathfrak{G} . Let $P \in A$ and $Q \in G, P \neq Q$. Suppose P is not adjacent to Q . Then, by the assumption that \mathfrak{G} is k -saturated, if we join the edge $\{P, Q\}$ to \mathfrak{G} , the new graph thus obtained contains a complete $(k + 1)$ -graph. This means that there exists a complete $(k - 1)$ -graph \mathfrak{B} contained in \mathfrak{G} all the vertices of which are adjacent to both P and Q . But then adding Q to \mathfrak{B} we obtain a complete k -graph contained in \mathfrak{G} which does not contain P . This contradicts the definition of A . Hence P must be adjacent to Q . This proves Theorem 2.

Proof of Theorem 1. Let \mathfrak{G} be a k -saturated graph which has no conical vertices. We assume that there exists a vertex P_0 of degree $\leq 2k - 3$. This will yield a contradiction.

Let H denote the set of vertices of \mathfrak{G} adjacent to P_0 and let K denote the set of the remaining vertices different from P_0 . Thus

$$(4) \quad G = \{P_0\} \cup H \cup K.$$

We can assume that $n > 1$. Then H and K are non-empty and, by our assumption,

$$(5) \quad |H| \leq 2k - 3.$$

Let $\mathfrak{A}_1, \dots, \mathfrak{A}_v$ be the system of all those complete k -graphs contained in \mathfrak{G} which contain P_0 . Put

$$A = \bigcup_{m=1}^v A_m.$$

Obviously

$$(6) \quad A \subseteq H \cup \{P_0\}.$$

Put $u = |H - A|$. Then by (5) and (6), $|A| \leq 2k - 2 - u$. It follows from the lemma that the set $\bigcap_{m=1}^v A_m$ has at least $u + 2$ elements. Since P_0 belongs to it, we can write it in the form

$$(7) \quad \bigcap_{m=1}^v A_m = \{P_0\} \cup B,$$

where $B \subseteq H$ and $|B| \geq u + 1$. For an arbitrary $X \subseteq G$ we denote by $\phi(X)$ the set of those $P \in G$ for which there exists a $Q \in X$ not adjacent to P . Now we prove that

$$(8) \quad \phi(B) \subseteq H - A.$$

We have to prove that if $P \notin H - A$, then P is adjacent to all vertices of B . If $P \notin K$, this is trivial by the definition of A and B . If $P \in K$, then by the definition of K , P_0 is not adjacent to P . Since \mathfrak{G} is k -saturated, there exists a complete $(k - 1)$ -graph \mathfrak{C} contained in \mathfrak{G} and such that all the vertices of \mathfrak{C} are adjacent to both P_0 and P . Now if we add P_0 to \mathfrak{C} , we obtain a complete k -graph contained in \mathfrak{G} which contains P_0 . Thus, by (7), C contains B and P is adjacent to all the vertices in B in this case too.

Comparing (6), (7), and (8), we obtain

$$(9) \quad \phi(B) \cap B = \emptyset, \quad |\phi(B)| < |B|.$$

On the other hand we prove that whenever $X \subseteq G$, $\phi(X) \cap X = \emptyset$, then

$$(10) \quad |\phi(X)| \geq |X|.$$

This obviously contradicts (9) and proves our theorem.

To prove (10) we put $|X| = v$ and proceed by induction on v . If $v = 1$, (10) follows directly from the assumption that \mathfrak{G} has no conical vertices. Assume that (10) is true for all sets Y with $|Y| < v + 1$. Let X be a set of $v + 1$ elements such that $X \cap \phi(X) = \emptyset$. Put

$$X = \{P_1, \dots, P_v, P_{v+1}\}, \quad X_0 = \{P_1, \dots, P_v\}.$$

We are going to prove that the assumption $|\phi(X)| < v + 1$ leads to a contradiction. By our induction hypothesis $|\phi(X_0)| \geq v$. Hence

$$(11) \quad |\phi(X_0)| = |\phi(X)| = v \quad \text{and} \quad |\phi(Y)| \geq |Y|, \phi(Y) \subseteq \phi(X_0)$$

for an arbitrary subset Y of X_0 .

Using a well-known theorem of König, or more precisely a formulation of it given by Ore (4), (11) implies that there exists an ordering

$$\phi(X_0) = \{Q_1, \dots, Q_v\}$$

of $\phi(X_0)$ such that

$$(12) \quad P_i \text{ is not adjacent to } Q_i \text{ for } i = 1, \dots, v.$$

Since P_{v+1} is not a conical vertex, there is a vertex Q not adjacent to it. Q must be one of the vertices Q_i , since $\phi(X) = \phi(X_0)$ by (11). We may assume that P_{v+1} is not adjacent to Q_1 .

Because \mathfrak{G} is k -saturated, there exists a complete $(k - 1)$ -graph $\mathfrak{D} \subseteq \mathfrak{G}$ all of whose vertices are adjacent to both P_{v+1} and Q_1 . By (12), D does not

contain P_1 and Q_1 and for each i , D contains at most one of the vertices P_i, Q_i ; $i = 2, \dots, v$. Hence

$$|D \cap (X \cup \phi(X))| \leq v - 1.$$

Put $E = D - (X \cup \phi(X))$. Then

$$|E| \geq (k - 1) - (v - 1) = k - v \quad \text{and} \quad |E \cup X| \geq k + 1.$$

Any two distinct vertices of $E \cup X$ are adjacent. If both belong to E , this follows from $E \subseteq D$; if both belong to X , it is a consequence of $X \cap \phi(X) = \emptyset$; finally if one belongs to E and the other to X , it follows from $E \cap \phi(X) = \emptyset$. This contradicts the assumption that \mathcal{G} is k -saturated and thus does not contain a complete $(k + 1)$ -graph.

REFERENCES

1. P. Erdős and T. Gallai, *On the minimal number of vertices representing the edges of a graph*, Publ. Math. Inst. Hung. Acad. Sci., *6* (1961), 181–203.
2. P. Erdős, A. Hajnal, and J. W. Moon, *A problem in graph theory*, Amer. Math. Monthly, to appear.
3. P. Erdős and A. Rényi, *Egy gráfelméleti problémáról*, Publ. Math. Inst. Hung. Acad. Sci., *7B* (1962), 623–641.
4. O. Ore, *Graphs and matching theorems*, Duke Math. J., *22* (1955), 615–639.
5. A. A. Zykov, *On some properties of linear complexes* (Mat. Sb., N.S., *24* (66) (1949), 163–188 (in Russian).

University of California, Berkeley