

# THE TERM RANK OF A MATRIX

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**1. Introduction.** This paper continues a study appearing in (5) of the combinatorial properties of a matrix  $A$  of  $m$  rows and  $n$  columns, all of whose entries are 0's and 1's. Let the sum of row  $i$  of  $A$  be denoted by  $r_i$  and let the sum of column  $i$  of  $A$  be noted by  $s_i$ . We call  $R = (r_1, \dots, r_m)$  the *row sum vector* and  $S = (s_1, \dots, s_n)$  the *column sum vector* of  $A$ . The vectors  $R$  and  $S$  determine a class  $\mathfrak{A}$  consisting of all  $(0, 1)$ -matrices of  $m$  rows and  $n$  columns, with row sum vector  $R$  and column sum vector  $S$ . Simple arithmetic properties of  $R$  and  $S$  are necessary and sufficient for the existence of a class  $\mathfrak{A}$  (1; 5).

Let  $\delta_i = (1, \dots, 1, 0, \dots, 0)$  be a vector of  $n$  components, with 1's in the first  $r_i$  positions, and 0's elsewhere. A matrix of the form

$$\bar{A} = \begin{bmatrix} \delta_1 \\ \vdots \\ \delta_m \end{bmatrix}$$

is called *maximal*, and  $\bar{A}$  is called the *maximal form* of  $A$ . Note that  $\bar{A}$  is formed from  $A$  by a rearrangement of the 1's in the rows of  $A$ . It is clear that for  $\bar{A}$  maximal, the class  $\mathfrak{A}$  contains the single entry  $\bar{A}$ .

Consider the 2 by 2 submatrices of  $A$  of the types

$$A_1 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad A_2 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}.$$

An *interchange* is a transformation of the elements of  $A$  that changes a minor of type  $A_1$  into type  $A_2$ , or vice versa, and leaves all other elements of  $A$  unaltered. The interchange theorem (5) asserts that if  $A$  and  $A^*$  are arbitrary in  $\mathfrak{A}$ , then  $A$  is transformable into  $A^*$  by a finite sequence of interchanges.

The *term rank*  $\rho$  of  $A$  is the order of the greatest minor of  $A$  with a non-zero term in its determinant expansion (4). This integer is also equal to the minimal number of rows and columns that collectively contain all the non-zero elements of  $A$  (3). Let  $\bar{\rho}$  be the minimal and  $\bar{\rho}$  the maximal term rank for the matrices in  $\mathfrak{A}$ . The interchange theorem (5) implies the existence of an  $A$  in  $\mathfrak{A}$  of term rank  $\rho$ , an arbitrary integer in the interval  $\bar{\rho} \leq \rho \leq \bar{\rho}$ . In what follows we derive a simple formula for  $\bar{\rho}$  and study further combinatorial consequences of the term rank concept.

**2. The maximal term rank.** Let  $\mathfrak{A}$  be the class of all  $(0, 1)$ -matrices  $A$  of  $m$  rows and  $n$  columns, with row sum vector  $R$  and column sum vector  $S$ .

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We suppose throughout that the components of the row sum vector  $R$  and column sum vector  $S$  of  $A$  are positive. This is no genuine restriction on  $A$  in the study of term rank. We proceed to evaluate  $\bar{\rho}$ , the maximal term rank for the matrices in  $\mathfrak{A}$ .

For this purpose, let  $R' = (r_1 - 1, \dots, r_m - 1)$ , where  $r_i - 1 \geq 0$ . Let  $\bar{A}'$  be the maximal matrix of  $m$  rows and  $n$  columns having row sum vector  $R'$ , and let the column sum vector of  $\bar{A}'$  equal

$$\bar{S}' = (\bar{s}'_1, \dots, \bar{s}'_n).$$

Note that if  $\bar{A}$  is the maximal form of  $A$  and if the column sum vector of  $\bar{A}$  is  $(\bar{s}_1, \dots, \bar{s}_n)$ , then  $\bar{s}'_i = \bar{s}_{i+1}$  ( $i = 1, \dots, n - 1$ ) and  $\bar{s}'_n = 0$ . Renumber the subscripts of the column sum vector  $S = (s_1, \dots, s_n)$  of  $A$  so that

$$s_1 \geq \dots \geq s_n,$$

and define the integers  $s'_i \geq 0$  by

$$s'_i = s_i - 1 \quad (i = 1, \dots, n).$$

Finally, let

$$\bar{s}'_o = s'_o = 0.$$

**THEOREM 2.1.** *Let  $\bar{\rho}$  equal the maximal term rank for the matrices in  $\mathfrak{A}$ . Let  $M$  equal the largest integer in the set*

$$\sum_{i=0}^k (s'_i - \bar{s}'_i) \quad (k = 0, 1, \dots, n).$$

*Then*

$$\bar{\rho} = m - M.$$

Let  $A_{\bar{\rho}}$  be the  $m$  by  $n$  matrix with maximal term rank  $\bar{\rho}$ . Without loss of generality, we may assume that the row sum vector  $R = (r_1, r_2, \dots, r_m)$  and column sum vector  $S = (s_1, s_2, \dots, s_n)$  of  $A_{\bar{\rho}}$  satisfy  $r_1 \geq \dots \geq r_m$  and  $s_1 \geq \dots \geq s_n$ . We select a specified set of  $\bar{\rho}$  1's of  $A_{\bar{\rho}}$  accounting for the maximal term rank and call them the *essential* 1's of  $A_{\bar{\rho}}$ . All other 1's of  $A_{\bar{\rho}}$  are then referred to as *unessential*.

We derive two Lemmas.

**LEMMA 1.** *For  $0 \leq k \leq n$ ,*

$$\sum_{i=0}^k (s'_i - \bar{s}'_i) \leq m - \bar{\rho}.$$

Let  $B$  be formed from  $A_{\bar{\rho}}$  by replacing the  $\bar{\rho}$  essential 1's of  $A_{\bar{\rho}}$  by 0's. We agree to write  $A_{\bar{\rho}}$  so that

$$s_1 \geq \dots \geq s_n; \quad b_1 \geq \dots \geq b_n; \quad b_i = s_i + \epsilon_i - 1.$$

Here  $s_i$  and  $b_i$  denote the sums of column  $i$  of  $A_{\bar{\rho}}$  and  $B$ , respectively, and

column  $i$  of  $A_{\bar{p}}$  contains an essential 1 if and only if  $\epsilon_i = 0$ . Note  $\epsilon_i = +1$  for exactly  $n - \bar{p}$  values of  $i$ .

Let  $\bar{B}$  be the maximal form of  $B$ , with column sums  $\bar{b}_1 \geq \dots \geq \bar{b}_n$ . Then for each  $k, 0 \leq k \leq n$ ,

$$\sum_{i=0}^k s_i' \leq \sum_{i=0}^k b_i \leq \sum_{i=0}^k \bar{b}_i.$$

From the definitions of the  $\bar{s}_i'$  and the  $\bar{b}_i$ ,

$$\sum_{i=0}^k \bar{s}_i' + (m - \bar{p}) \geq \sum_{i=0}^k \bar{b}_i,$$

whence

$$\sum_{i=0}^k s_i' \leq \sum_{i=0}^k \bar{s}_i' + (m - \bar{p}).$$

LEMMA 2. *Let  $f$  be such that  $0 < f < n$  and*

$$\sum_{i=0}^f (s_i' - \bar{s}_i') = m - \bar{p}.$$

*Then the matrix  $A_{\bar{p}}$  of maximal term rank  $\bar{p}$  may upon permutations of rows and columns be written in the form*

$$A_{\bar{p}} = \begin{bmatrix} S & E_1 & * & * \\ E_2 & 0 & 0 & 0 \\ * & 0 & I & 0 \\ * & 0 & 0 & 0 \end{bmatrix}.$$

*Here  $S$  is a matrix entirely of 1's of size  $e$  by  $f$ . The matrices  $E_1$  and  $E_2$  are square of orders  $e$  and  $f$ , respectively,  $I$  is an identity matrix of order  $g$ , with  $\bar{p} = e + f + g$ , and the 0's denote zero blocks. The  $\bar{p}$  essential 1's of  $A_{\bar{p}}$  appear on the main diagonals of  $E_1, E_2$ , and  $I$ . The degenerate cases  $e = 0$  and  $g = 0$  are not excluded.*

Reading the inequalities of Lemma 1 as equalities, we obtain

$$\sum_{i=0}^f s_i' = \sum_{i=0}^f b_i = \sum_{i=0}^f \bar{b}_i = \sum_{i=0}^f \bar{s}_i' + (m - \bar{p}).$$

This tells us that the matrix  $B$  may be written in the form

$$B = \begin{bmatrix} S & X \\ Y & 0 \end{bmatrix},$$

where  $S$  is the  $e$  by  $f$  matrix of 1's, and where the matrix  $X$  has at least one 1 in each row. Now

$$\sum_{i=0}^f s_i' = \sum_{i=0}^f s_i - f = \sum_{i=0}^f b_i$$

implies that essential 1's occur in the first  $f$  columns of  $A_{\bar{p}}$ , and they may be placed on the main diagonal of  $E_2$ .

The equation

$$\sum_{i=0}^f s_i = \sum_{i=0}^f \bar{s}_i' + m - \bar{p} + f$$

implies that there are  $m - \bar{p} + f$  rows of  $A_{\bar{p}}$  in which 0's occur in each of the columns  $f + 1, \dots, n$ . Let  $e' \leq e$  essential 1's of  $A_{\bar{p}}$  occur in rows  $1, \dots, e$  of  $A_{\bar{p}}$ , and let  $g$  essential 1's occur in rows  $e + f + 1, \dots, m$  of  $A_{\bar{p}}$ . Then  $e' + f + g = \bar{p}$  and  $m - \bar{p} + f + g = m - e$ , whence  $e' = e$ . Hence essential 1's occur in the first  $e$  rows of  $A_{\bar{p}}$ , and these may be placed on the main diagonal of  $E_1$ .

To prove Theorem 2.1 it suffices to establish the existence of a  $k = f$  for which equality holds in Lemma 1. The theorem is valid for  $m$  by 1 and 1 by  $n$  matrices. The induction hypothesis asserts the statement of the theorem for all matrices of size  $m - 1$  by  $n'$ , with  $1 \leq n' \leq n$ , and we shall prove the theorem for matrices of size  $m$  by  $n$ . Moreover, if  $\bar{p} = m$ , then

$$s_o' - \bar{s}_o' = m - \bar{p} = 0.$$

Also, if  $\bar{p} = n$ , then

$$\sum_{i=0}^n (s_i' - \bar{s}_i') = \sum_{i=0}^n s_i - n - \left( \sum_{i=0}^n s_i - m \right) = m - \bar{p}.$$

Since the theorem is valid in each of these cases, we may assume that  $\bar{p} < m$  and  $\bar{p} < n$ .

In  $A_{\bar{p}}$  suppose that  $s_i > s_j$ . Then we may normalize the first row of  $A_{\bar{p}}$  in one of two ways. Either  $a_{1i} = 1$  or, in the other case,  $a_{1i} = 0$  and  $a_{1j} = 0$  or 1, with  $a_{1j} = 1$  an essential 1 of  $A_{\bar{p}}$ . For otherwise we must have  $a_{1i} = 0$  and  $a_{1j} = 1$ , an unessential 1 of  $A_{\bar{p}}$ . But then there exists an unessential 1 of  $A_{\bar{p}}$  such that  $a_{ui} = 1$  and  $a_{uj} = 0$ . We may then perform an interchange that does not affect the term rank and obtain  $a_{1i} = 1$  and  $a_{1j} = 0$ . We agree to normalize the first row of  $A_{\bar{p}}$  to fulfill this requirement.

Now delete row 1 from the normalized  $A_{\bar{p}}$  of maximal term rank  $\bar{p}$ . Also delete any zero columns from the resulting  $(m - 1)$ -rowed matrix. We then obtain a matrix  $C$  of  $m - 1$  rows and  $n'$  columns,  $1 \leq n' \leq n$ . Let  $C$  belong to the class  $\mathfrak{C}$ . The maximal term rank for the matrices in  $\mathfrak{C}$  equals  $\bar{p}$  or  $\bar{p} - 1$ .

Suppose there exists a  $C'$  of term rank  $\bar{p}$  in  $\mathfrak{C}$ . To  $C'$  we may adjoin  $n - n'$  columns of 0's and the first row of  $A_{\bar{p}}$ , and thereby obtain a matrix  $A' = [a_{rs}']$  in the class  $\mathfrak{A}$ . Now if  $a_{1i}' = 1$ , where column  $i$  does not contain an essential 1 of  $C'$ , then this contradicts the maximality of  $\bar{p}$  in  $\mathfrak{A}$ . Suppose then that  $a_{1i}' = 0$  for each column  $i$  that does not contain an essential 1 of  $C'$ . Since  $r_1 \geq r_j$ , we may perform an interchange involving row 1 and some other row of  $A'$  to obtain  $a_{1i}' = 1$  for some column  $i$  not containing an essential 1 of  $C'$ . This again contradicts the maximality of  $\bar{p}$  in  $\mathfrak{A}$ . Hence we conclude that  $\bar{p} - 1$

is the maximal term rank for the matrices in  $\mathfrak{C}$ . This term rank is attained by  $C$ . The  $\bar{p} - 1$  essential 1's of  $C$  plus one essential 1 from the first row of  $A_{\bar{p}}$  comprise the  $\bar{p}$  essential 1's of  $A_{\bar{p}}$ .

We permute the columns of  $C$  so that  $c_1 \geq c_2 \geq \dots \geq c_{n'}$  and apply the induction hypothesis to  $C$ . Then there exists an  $f, 0 \leq f \leq n'$ , such that

$$\sum_{i=0}^f c_i' = \sum_{i=0}^f \bar{c}_i' + (m - \bar{p}).$$

We may suppose that  $0 < f < n'$ . For if  $f = 0$ , then  $\bar{p} = m$  and the theorem is valid. Also if  $f = n'$ , then  $\bar{p} = n' + 1$ . This implies that  $n' < n$ . If  $n' = n - 1$ , then  $\bar{p} = n$  and the theorem is valid. Thus if  $f = n'$ , we may suppose that  $n' \leq n - 2$ . But in this case the last  $n - n' \geq 2$  columns of  $A_{\bar{p}}$  must have 1's in the first row, and only one of them can be essential. By the normalization process applied to  $A_{\bar{p}}$ , every column of  $A_{\bar{p}}$  headed by 0's must have column sum equal to 1 and these columns occupy the last of the first  $n'$  positions in  $A_{\bar{p}}$ . If such columns exist we may take a smaller value of  $f$  in  $C$ . If all of the columns of  $A_{\bar{p}}$  are headed by 1's, the theorem is valid for  $A_{\bar{p}}$  with  $f = n'$ .

Thus we may suppose that  $0 < f < n'$ , and upon permutations of rows and columns, we may write the matrix  $C$  in the form given by Lemma 2:

$$C = \begin{bmatrix} S & D_1 & * & * \\ D_2 & 0 & 0 & 0 \\ * & 0 & I & 0 \\ * & 0 & 0 & 0 \end{bmatrix}.$$

Here  $S$  is the matrix of 1's of size  $e$  by  $f$ , and the orders of  $D_1, D_2$ , and  $I$  total  $\bar{p} - 1$ . The  $\bar{p} - 1$  essential 1's of  $C$  appear on the main diagonals of  $D_1, D_2$ , and  $I$ . The matrix  $I$  need not appear, but we may assume that  $e \neq 0$ . For if  $e = 0$ , we again obtain  $\bar{p} - 1 = n'$ .

We restore now to  $C$  the  $n - n'$  zero columns, and finally a row of  $r_1$  1's and  $n - r_1$  0's. We thereby obtain  $\bar{A}$ , where  $\bar{A} = [\bar{a}_{rs}]$  is the same as  $A_{\bar{p}}$  apart from possible row and column permutations. Suppose that  $\bar{a}_{1i} = 1$  ( $i = 1, \dots, f$ ). Then

$$\sum_{i=0}^f (s_i' - \bar{s}_i') = m - \bar{p},$$

and the theorem follows.

Suppose that on the other hand some  $\bar{a}_{1j} = 0$ , where  $1 \leq j \leq f$ . If we permute the first  $f$  columns of  $\bar{A}$ , then we may assume that  $\bar{a}_{1i} = 1$  ( $i = 1, \dots, h$ ) and that  $\bar{a}_{1j} = 0$  ( $j = h + 1, \dots, f$ ). The case  $h = 0$  is not to be excluded. If  $h = 0$ , then  $\bar{a}_{1j} = 0$  ( $j = 1, \dots, f$ ). Now there must exist an essential 1 of the form  $\bar{a}_{1u} = 1$  for some  $u$ , where  $u$  satisfies  $e + f + 1 \leq u \leq n$ . If there does not exist an unessential 1 of the form  $\bar{a}_{1v} = 1$ , where  $v$  satisfies  $f + 1 \leq v \leq n$ , then

$$\sum_{i=0}^f (s_i' - \bar{s}_i') = m - \bar{p},$$

and the theorem is valid. Suppose then that one or more unessential 1's exist of the form  $\bar{a}_{1v} = 1$ , where  $v$  satisfies  $f + 1 \leq v \leq n$ . We assert that then an unessential 1 cannot occur in the intersection of rows  $e + 2, \dots, m$  and columns  $h + 1, \dots, f$  of  $\bar{A}$ . For suppose that an unessential 1 appears in this position. Then by our normalization process, for each  $v$  associated with the unessential 1's of the form  $\bar{a}_{1v} = 1, f + 1 \leq v \leq n$ , we must have  $\bar{a}_{jv} = 1$  ( $j = 1, \dots, e + 1$ ). Furthermore, there must exist in each of these columns an essential 1 of the form  $\bar{a}_{tv} = 1$ , for some  $t$  satisfying  $e + f + 2 \leq t \leq m$ . All of the remaining entries of these columns must be 0. But consider now row 1 and row 2 of  $\bar{A}$ . A 1 in row 1 may appear directly above a 0 in row 2 only in the column of the essential 1 of the form  $\bar{a}_{1u} = 1$ . However, a 0 in row 1 must appear directly above a 1 in row 2 in at least two columns. But this contradicts the fact that the number of 1's in row 1 of  $\bar{A}$  is greater than or equal to the number of 1's in row 2 of  $\bar{A}$ . Thus an unessential 1 cannot occur in the intersection of rows  $e + 2, \dots, m$  and columns  $h + 1, \dots, f$  of  $\bar{A}$ . Hence it follows that

$$\sum_{i=0}^h s_i' - \sum_{i=0}^h \bar{s}_i' = m - \bar{p}.$$

Note that the degenerate case  $h = 0$  gives  $\bar{p} = m$ . This completes the proof.

**3. Applications.** In the following applications we continue to require positive components for the vectors  $R$  and  $S$  that determine the class  $\mathfrak{A}$ . A  $(0, 1)$ -matrix  $A = [a_{rs}]$  may be regarded as an incidence matrix distributing  $n$  elements  $x_1, \dots, x_n$  into  $m$  sets  $S_1, \dots, S_m$ . Here  $a_{ij} = 1$  or  $0$  according as  $x_j$  is or is not in  $S_i$ . From this approach the term rank of a matrix generalizes the concept of a system of distinct representatives for subsets  $S_1, \dots, S_m$  of a finite set **(2)**. The subsets  $S_1, \dots, S_m$  possess a system of distinct representatives if and only if the term rank of the associated incidence matrix satisfies  $\rho = m$ . In this case we say  $A$  possesses a system of distinct representatives.

**THEOREM 3.1.** *There exists an  $A$  in  $\mathfrak{A}$  possessing a system of distinct representatives if and only if*

$$\sum_{i=0}^k (s_i' - \bar{s}_i') \leq 0 \quad (k = 0, 1, \dots, n).$$

This is the special case of Theorem 2.1 with  $\bar{p} = m$ .

For a  $(0, 1)$ -matrix  $A$ , let  $N_0(A)$  denote the number of 0's in  $A$  and let  $N_1(A)$  denote the number of 1's in  $A$ .

**THEOREM 3.2.** *Let  $A$  be in  $\mathfrak{A}$  and let  $\bar{p} < m, n$ . Then upon permutations of rows and columns,  $A$  may be reduced to the form*

$$A = \begin{bmatrix} W & X \\ Y & Z \end{bmatrix}.$$

Here  $W$  is of size  $e$  by  $f$  ( $0 < e < m, 0 < f < n$ ) and  $N_0(W) + N_1(Z) = \bar{p} - (e + f)$ . For  $A_{\bar{p}}$ , we have  $N_0(W) = 0$  and  $N_1(Z) = \bar{p} - (e + f)$ .

In the equation

$$\sum_{i=0}^f (s_i' - \bar{s}_i') = m - \bar{p},$$

we have  $0 < f < n$ , for otherwise  $\bar{p} = m$  or  $\bar{p} = n$ . Also for the matrix  $A_{\bar{p}}$  of Lemma 2,  $0 < e < m$  and

$$\sum_{i=0}^e r_i + \sum_{i=0}^f s_i + \bar{p} - (e + f) - ef = N_1(A_{\bar{p}}).$$

But

$$\sum_{i=0}^e r_i + \sum_{i=0}^f s_i = N_1(X) + N_1(Y) + 2N_1(W)$$

and

$$N_1(W) + N_1(X) + N_1(Y) + N_1(Z) = N_1(A_{\bar{p}}).$$

Hence

$$ef - N_1(W) + N_1(Z) = \bar{p} - (e + f)$$

and

$$N_0(W) + N_1(Z) = \bar{p} - (e + f).$$

Let  $A = [a_{rs}]$  be in  $\mathfrak{A}$ . Suppose an element  $a_{uv} = 1$  of  $A$  is such that no sequence of interchanges applied to  $A$  replaces  $a_{uv} = 1$  by 0. Then  $a_{uv} = 1$  is called an *invariant 1* of  $A$ . An analogous definition holds for an invariant 0.

**THEOREM 3.3.** *Let  $a_{uv}$  be an invariant 1 of  $A$ . If  $A' = [a_{rs}']$  is in  $\mathfrak{A}$ , then  $a_{uv}'$  is an invariant 1 of  $A'$ .*

For if for some  $A^* = [a_{rs}^*]$  in  $\mathfrak{A}$ ,  $a_{uv}^* = 0$ , then transforming  $A$  into  $A^*$  by interchanges contradicts the hypothesis that  $a_{uv} = 1$  is an invariant 1 of  $A$ . Thus all or none of the matrices in  $\mathfrak{A}$  contains an invariant 1, and we refer to  $\mathfrak{A}$  as being with or without an invariant 1.

**THEOREM 3.4.** *Let  $A$  contain an invariant 1. Then by permutations of rows and columns,  $A$  may be reduced to the form*

$$\begin{bmatrix} S & X \\ Y & 0 \end{bmatrix}.$$

Here  $S$  is the matrix of 1's and contains the invariant 1 of  $A$ .

For by permutations of rows and columns we may reduce  $A$  to the following form:

$$A^* = \left[ \begin{array}{cccc|ccc} 1 & 1 & \dots & 1 & 0 & \dots & 0 \\ 1 & S & S^* & C_0 & R_1 & & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \bar{S} & * & M & & & \\ \cdot & \cdot & \cdot & \cdot & 0 & & \\ 1 & R_0 & N & 0 & & & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & C_1 & 0 & & 0 & & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & & & & & & \end{array} \right].$$

Here the 1 in the (1, 1) position of  $A^*$  is the invariant 1. The block in the lower right hand corner is then composed entirely of 0's. We permute rows so that  $R_1$  contains at least one 1 in each row, and then permute columns so that  $C_1$  contains at least one 1 in each column. The intersection of the rows of  $A^*$  containing  $R_1$  and the columns of  $A^*$  containing  $C_1$  is  $S$ , a matrix of 1's. We now permute columns so that  $S^*$  is a matrix of 1's and  $C_0$  contains at least one 0 in each column. Next we permute rows so that  $\bar{S}$  is a matrix of 1's and  $R_0$  contains at least one 0 in each row. The intersection of the columns of  $A^*$  containing  $C_0$  and the rows of  $A^*$  containing  $R_0$  is a zero matrix. If one or more of  $S^*$ ,  $C_0$ ,  $\bar{S}$ ,  $R_0$  do not appear, the theorem follows. If all appear, we replace  $M$  by a matrix of the form

$$\begin{bmatrix} R_1^* \\ 0 \end{bmatrix}$$

and  $N$  by a matrix of the form  $[C_1^* \ 0]$ , where  $R_1^*$  has at least one 1 in each row and  $C_1^*$  has at least one 1 in each column, and then continue as before. This procedure must terminate, and upon termination we obtain the matrix of the theorem.

Note that  $X$  and  $Y$  may contain further invariant 1's and the normalizing procedure may be applied to each of these blocks separately. Also, if  $A$ ,  $X$ , and  $Y$  are of term ranks  $\rho$ ,  $\rho_x$ , and  $\rho_y$ , respectively, and if  $S$  has size  $e'$  by  $f'$ , then

$$\rho = \rho_x + \rho_y + \min(e' - \rho_x, f' - \rho_y),$$

whence

$$\rho = \min(e' + \rho_y, f' + \rho_x).$$

**THEOREM 3.5.** *If  $\mathfrak{A}$  is without an invariant 1 and if  $\bar{\rho} < m, n$ , then the minimal term rank  $\bar{\rho}$  for the matrices in  $\mathfrak{A}$  must satisfy  $\bar{\rho} < \bar{\rho}$ .*

In the matrix  $A_{\bar{p}}$  of Theorem 3.2, the 1 in the  $(1, 1)$  position is not invariant. But by Theorem 3.2,  $N_0(W) + N_1(Z) = \bar{p} - (e + f)$ . This means that there are matrices in  $\mathfrak{A}$  with fewer than  $\bar{p} - (e + f)$  1's in  $Z$ . Hence  $\tilde{\rho} < \bar{\rho}$ .

Note that Theorem 3.5 is not necessarily valid for  $\bar{p} = m$ . For we may let  $m = n$ , and let  $\mathfrak{A}$  be the class of all  $(0, 1)$ -matrices with exactly  $k$  1's in each row and column,  $1 \leq k < m$ . Then  $\mathfrak{A}$  is without an invariant 1, but  $\tilde{\rho} = \bar{\rho} = m$  (3). Also Theorem 3.5 need not hold for a class  $\mathfrak{A}$  with an invariant 1. For example, let  $A$  be maximal. Then  $A$  is the only matrix in  $\mathfrak{A}$ , and we must have  $\tilde{\rho} = \bar{\rho}$ .

In conclusion, a deeper insight into the structure of  $\tilde{\rho}$  would be of considerable interest. An arithmetic formula for  $\tilde{\rho}$  analogous to the formula for  $\bar{\rho}$  given in §2 would be especially desirable.

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