

ON CONVERGENCE OF PROJECTIONS
IN LOCALLY CONVEX SPACES

J. E. Simpson¹

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This note is concerned with the extension to locally convex spaces of a theorem of J. Y. Barry [1]. The basic assumptions are as follows. E is a separated locally convex topological vector space, henceforth assumed to be barreled. E' is its strong dual. For any subset A of E , we denote by $w(A)$ the closure of A in the σ -(E, E')-topology. See [2] for further information about locally convex spaces. By a projection we shall mean a continuous linear mapping of E into itself which is idempotent. A net $\{P_\alpha : \alpha \in \Gamma\}$ of projections will be said to be increasing if $\alpha \geq \alpha'$ always implies $P_\alpha P_{\alpha'} = P_{\alpha'} P_\alpha = P_{\alpha'}$. The symbol $\bigvee_\alpha P_\alpha$ is used to denote that projection, if it exists, with the properties i) $(\bigvee_\alpha P_\alpha)(E) = \text{clm} \{P_\alpha(E)\}$, the smallest closed linear subspace of E which contains $\bigcup_\alpha P_\alpha(E)$, and ii) $(I - \bigvee_\alpha P_\alpha)(E) = \bigcap_\alpha [(I - P_\alpha)(E)]$.

Following Barry we now give the following definition.

Definition. Given an increasing net $\{P_\alpha\}$ of projections on E , we say that a point y_x of E is a weak x -cluster point of $\{P_\alpha\}$ if $y_x \in \bigcap_\alpha w(\{P_\beta x : \beta \geq \alpha\})$.

THEOREM. Let $\{P_\alpha\}$ be a bounded increasing net of projections on E . Then there is a projection P on E such

¹ This note is excerpted from the author's doctoral dissertation, Yale University.

that $P = \bigvee_{\alpha} P_{\alpha}$ and $Px = \lim_{\alpha} P_{\alpha} x$ for all x in E if and only if $\{P_{\alpha}\}$ has a weak x -cluster point for every x in E .

Proof:

I) When P exists, it is apparent that $Px = \lim_{\alpha} P_{\alpha} x$ is a weak x -cluster point of $\{P_{\alpha}\}$ for every x .

II) Conversely, letting y_x be a weak x -cluster point of $\{P_{\alpha}\}$, we first show that

$$(1) \quad P_{\alpha} x = P_{\alpha} y_x \quad \text{for every } \alpha.$$

To this end, let α be fixed. Then for every x' in E' and every $\varepsilon > 0$, let $N = N(y_x, {}^t P_{\alpha} x', \varepsilon) = \{z \in E' : |z - y_x, {}^t P_{\alpha} x'| < \varepsilon\}$. From the definition of an x -cluster point, it follows that there must be some $\beta \geq \alpha$ such that $P_{\beta} x \in N$. Hence $\varepsilon > |P_{\beta} x - y_x, {}^t P_{\alpha} x'| = |<P_{\alpha} P_{\beta} x - P_{\alpha} y_x, x'>| = |<P_{\alpha}(x - y_x), x'>|$. Since ε and x' are arbitrary, (1) follows.

Now since $y_x \in w(\{P_{\beta} x : \beta \geq \alpha\})$ for every α , it follows from a classic theorem of Banach, (see [3], p. 422, Theorem V. 3. 13 for a convenient formulation) that y_x is in the closure, in the original topology on E , of the convex hull of $\{P_{\beta} x : \beta \geq \alpha\}$ for every α . Thus for every neighborhood S of zero in E there are finite sets of scalars $\{b_{k,S} : k = 1, 2, \dots, n_S\}$ and of indices $\{\alpha_{k,S} : k = 1, 2, \dots, n_S\}$ such that

$$(2) \quad y_x - T_S x \in S,$$

where $T_S = \sum_{k=1}^{n_S} b_{k,S} P_{\alpha_{k,S}}$. It is easily verified that if

$\alpha \geq \alpha_{k,S}$ for each $k = 1, 2, \dots, n_S$, then

$$(3) \quad P_\alpha T_S = T_S.$$

Now let W be any neighborhood of zero in E , and find a neighborhood U of zero in E such that $U + U \subseteq W$. Since $\{P_\alpha\}$ is bounded and E is barreled, $\{P_\alpha\}$ is equicontinuous and there is a neighborhood V of zero such that $P_\alpha(V) \subseteq U$ for every α . Let $\alpha_0 \geq \alpha_k$, $U \cap V$ for every $k = 1, 2, \dots, n_{U \cap V}$. Then, for all $\alpha \geq \alpha_0$,

$$(4) \quad y_x - T_{U \cap V} x \in U \cap V \quad \text{from (2),}$$

$$(5) \quad T_{U \cap V} x - P_\alpha T_{U \cap V} x = 0 \quad \text{from (3),}$$

$$(6) \quad P_\alpha T_{U \cap V} x - P_\alpha y_x = P_\alpha [T_{U \cap V} x - y_x] \in U \quad \text{from (4), and}$$

$$(7) \quad P_\alpha y_x - P_\alpha x = 0 \quad \text{from (1).}$$

From these statements it follows that $y_x - P_\alpha x \in U + U \subseteq W$. Hence $\lim_\alpha P_\alpha x = y_x$. Let $Px = \lim_\alpha P_\alpha x$. Since $\{P_\alpha\}$ is equicontinuous, P is in E' . Also, from 1), $P^2 x = P y_x = \lim_\alpha P_\alpha y_x = \lim_\alpha P_\alpha x = Px$. Hence P is a projection. Also, $PP_\alpha = P_\alpha P = P_\alpha$ for all α .

Finally, if $x \in \bigcap_\alpha (I - P_\alpha)(E)$ then $(I - P_\alpha)x = 0$ for all α . Hence $(I - P)x = x - \lim_\alpha P_\alpha x = \lim_\alpha (x - P_\alpha x) = 0$. Therefore, $\bigcap_\alpha (I - P_\alpha)(E) \subseteq (I - P)(E)$. At the same time, for each α , $(I - P_\alpha)(I - P) = I - P - P_\alpha + P_\alpha P = I - P$. Consequently, $(I - P)(E) \subseteq (I - P_\alpha)(E)$ for every α so that $(I - P)(E) \subseteq \bigcap_\alpha (I - P_\alpha)(E)$. Likewise, since $Px = \lim_\alpha P_\alpha x$ by definition, we have $P(E) \subseteq \text{clm} \{P_\alpha(E)\}$, while from $PP_\alpha = P_\alpha$ we get

$P_\alpha(E) \subseteq P(E)$ for every α , so that $P(E) = \text{clm} \{P_\alpha(E)\}$.

Thus we are justified in using the notation $P = \bigvee_\alpha P_\alpha$.

REFERENCES

1. J. Y. Barry, On the convergence of ordered sets of projections, Proc. Amer. Math. Soc., 5 (1954), 313-314.
2. N. Bourbaki, Espaces Vectoriels Topologiques, Paris, 1953-1955.
3. N. Dunford and J. Schwartz, Linear Operators, New York, 1958.