

# GROUP RINGS WITH FINITE CENTRAL ENDOMORPHISM DIMENSION

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**1. Introduction.** Let  $F$  be any field. Denote by  $\mathfrak{X}_F$  the class of all groups  $G$  such that every irreducible  $FG$ -module has finite dimension over  $F$  and by  $\mathfrak{Z}_F$  the class of all groups  $G$  such that every irreducible  $FG$ -module has finite dimension over its endomorphism ring. Clearly  $\mathfrak{X}_F \subseteq \mathfrak{Z}_F$ .

The study of the classes  $\mathfrak{X}_F$  arose out of work of P. Hall and later Roseblade on residual finiteness of certain soluble groups. Recently [2, 5, 7, 8 and 9] the soluble  $\mathfrak{X}_F$ -groups have been almost completely described. The classes  $\mathfrak{Z}_F$  arise in connection with injective modules [3, Sections 3.2 and 12.4]. In some unpublished work [1] B. Hartley has effectively described all locally finite  $\mathfrak{Z}_F$ -groups and, coupled with Section 3 of [7], this also describes all locally finite  $\mathfrak{X}_F$ -groups. It seems likely that a successful assault on soluble  $\mathfrak{Z}_F$ -groups will require considerably more knowledge of soluble 'linear' groups over division algebras than the present author has. We therefore suggest the following intermediate class.

Let  $\mathfrak{Y}_F$  be the class of all groups  $G$  such that every irreducible  $FG$ -module has finite dimension over the centre of its endomorphism ring. By a theorem of Kaplansky [3, 5.3.4 and 5.1.6] this is the class of all groups  $G$  such that every primitive image of  $FG$  satisfies a polynomial identity. Much of the work on  $\mathfrak{X}_F$  goes through with suitable modifications for  $\mathfrak{Y}_F$  and the object of this note is to indicate these modifications.

It is convenient in places to use the algebra of group classes. As usual  $\mathfrak{F}$ ,  $\mathfrak{G}$ ,  $\mathfrak{A}$ ,  $\mathfrak{P}$  and  $\mathfrak{S}$  denote respectively the classes of finite, finitely generated, abelian, polycyclic and soluble groups and  $s$ ,  $o$ ,  $l$  and  $r$  the subgroup, quotient, local and residual operators. If  $p$  is a prime,  $\mathfrak{P}_p$  is the class of all groups with a series of finite length whose factors are cyclic or Prüfer  $p^\infty$ -groups. Throughout  $u$  will denote the characteristic of the field  $F$  (so that  $u \geq 0$ ). If  $G$  is a group and  $p$  a prime then  $O_0(G) = \langle 1 \rangle$  and  $O_p(G)$  is the maximal normal  $p$ -subgroup of  $G$ . Also  $\Lambda(G)$  is the subgroup of elements  $g$  of  $G$  such that for every finite subset  $X$  of  $G$ , the  $FC$ -centre of  $\langle g, X \rangle$  contains  $g$ .

**THEOREM 1.** *Let  $F$  be any field. Then*

$$\mathfrak{G} \cap \mathfrak{S} \cap \mathfrak{Y}_F = \begin{cases} \mathfrak{G} \cap \mathfrak{A} \mathfrak{F} & \text{if } F \text{ is not locally finite,} \\ \mathfrak{P} \mathfrak{F} & \text{if } F \text{ is locally finite.} \end{cases}$$

**THEOREM 2.** *If  $F$  is a field of characteristic  $u \geq 0$  that is not locally finite, then  $\mathfrak{S} \mathfrak{F} \cap \mathfrak{Y}_F$  is the class of all groups  $\mathfrak{G}$  with*

$$G/O_u(G) \in \mathfrak{A} \mathfrak{F}, O_u(G) \subseteq \Lambda(G) \quad \text{and} \quad O_u(G) \in \mathfrak{S}.$$

Our information about soluble  $\mathfrak{Y}_F$ -groups for  $\mathfrak{F}$  locally finite is even less complete than about soluble  $\mathfrak{X}_F$ -groups.

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**THEOREM 3.** *Let  $F$  be a locally finite field of characteristic  $u$  and let  $G$  be a soluble-by-finite  $\mathfrak{Y}_F$ -group. Then  $G$  has normal subgroups  $O_u(G) \subseteq B \subseteq H \subseteq N \subseteq G$  satisfying:*

- (a)  $O_u(G) \subseteq \Lambda(G)$  and is  $O_u(G)$  soluble;
- (b)  $B/O_u(G)$  is periodic abelian,  $G/C_G(B/O_u(G))$  is periodic,  $B$  is the maximal periodic normal subgroup of  $H$  and has finite index in the maximal periodic normal subgroup of  $G$ ;
- (c)  $H/B$  is a torsion-free by finite  $\mathfrak{F}_u$ -group;
- (d)  $N/H$  is abelian with no elements of order  $u$ ;
- (e)  $G/N$  is finite.

By way of comparison consider the following. Let  $F$  be a locally finite field and let  $G = A \times H$  where  $A$  is abelian and  $H$  polycyclic. By [7, 2.2 and 3.1] and [9, Theorem 3] we have that  $G \in \mathfrak{X}_F$  if and only if  $A \in \mathfrak{X}_F$ , and the latter implies that  $A$  has finite torsion-free rank.

**PROPOSITION.** *With  $F$  and  $G$  as above,  $G \in \mathfrak{Y}_F$  if and only if either  $A$  has finite torsion-free rank or  $H$  is abelian-by-finite.*

Thus although Theorems 1 and 2 above are strikingly similar to their  $\mathfrak{X}_F$  counterparts, it would seem that characterizations of  $\mathfrak{S} \cap \mathfrak{X}_F$  and  $\mathfrak{S} \cap \mathfrak{Y}_F$  for  $F$  locally finite will have to differ noticeably. A further difference is that if  $F$  and  $K$  are fields with  $F \leq K$  then  $\mathfrak{Y}_F \supseteq \mathfrak{Y}_K$  (an easy result—but see below), while this is not usually true for  $\mathfrak{X}_F$  and  $\mathfrak{X}_K$ . Indeed there is a tendency for the reverse to be true. For example  $\mathfrak{A} \cap \mathfrak{X}_F \subseteq \mathfrak{A} \cap \mathfrak{X}_K$  always, and thus  $\mathfrak{S} \cap \mathfrak{X}_F \subseteq \mathfrak{S} \cap \mathfrak{X}_K$  whenever  $F$  is not locally finite.

**2. Preliminary remarks.**

- 2.1.  $\mathfrak{X}_F \subseteq \mathfrak{Y}_F \subseteq \mathfrak{Z}_F$  for any  $F$ .
- 2.2.  $\mathfrak{A} \subseteq \mathfrak{Y}_F$  for any  $F$ .
- 2.3.  $\mathfrak{Y}_F$  is  $\langle s, \mathfrak{Q} \rangle$ -closed for any  $F$ .

The quotient closure of  $\mathfrak{Y}_F$  is trivial. Let  $H$  be a subgroup of the  $\mathfrak{Y}_F$ -group  $G$  and let  $W$  be an irreducible  $FH$ -module. By Hall’s lemma [7, 2.1] there is an irreducible  $FG$ -module  $V$  containing  $W$  as an  $FH$ -submodule. Now  $FH/\text{Ann}_{FH}W$  is an image of  $FH/\text{Ann}_{FH}V$ , which is isomorphic to a subalgebra of  $FG/\text{Ann}_{FG}V$ . By hypothesis this satisfies a standard polynomial identity; whence  $FH/\text{Ann}_{FH}W$  does too.

2.4.  $G \in \mathfrak{Y}_F$  if and only if  $G/(G \cap (1 + J(FG))) \in \mathfrak{Y}_F$ . In particular  $G \in \mathfrak{Y}_F$  if  $G/(O_u(G) \cap \Lambda(G)) \in \mathfrak{Y}_F$ .

Here  $J(FG)$  is the Jacobson radical of  $FG$ . The first part is immediate and the second follows since  $(O_u(G) \cap \Lambda(G)) - 1$  generates a nil ideal of  $FG$ . Also immediate from the definitions is the following.

2.5.  $G/(G \cap (1 + J(FG)))$  is residually an irreducible linear group (over various extension fields of  $F$ , namely the centres of the endomorphism rings of the irreducible  $FG$ -modules).

2.6.  $G \cap (1 + J(FG)) \subseteq O_u(G)$  with equality if  $G \in \mathfrak{Y}_F$ .

The containment is well known and is recorded in [7, 2.5]. Suppose  $G \in \mathfrak{Y}_F$ . Let  $\rho$  be an irreducible representation of  $G$ , finite dimensional over some extension field of  $F$ . Then  $O_u(G\rho)$ , being unipotent and completely reducible [6, 9.1v and 1.8], is trivial. Thus  $O_u(G) \subseteq \bigcap \ker \rho$ , which is  $G \cap (1 + J(FG))$  by 2.5.

2.7. *If  $H$  is a subgroup of the group  $G$  of finite index then  $H \in \mathfrak{Y}_F$  if and only if  $G \in \mathfrak{Y}_F$ .*

If  $G \in \mathfrak{Y}_F$  then  $H \in \mathfrak{Y}_F$  by 2.3. Suppose  $H \in \mathfrak{Y}_F$ . Again by 2.3 we may assume that  $H$  is normal in  $G$ . Let  $V$  be an irreducible  $FG$ -module, set  $R = FG/\text{Ann}_{FG} V$  and let  $S$  be the natural image of  $FH$  in  $R$ . By a version of Clifford's theorem [3, 7.2.16]  $V$  is a direct sum of a finite number of irreducible  $FH$ -modules. Since  $H \in \mathfrak{Y}_F$  it follows that the centre  $Z$  of  $S$  is a direct sum of a finite number of fields and that  $S$  is finitely generated as  $Z$ -module. Clearly  $G$  normalizes  $Z$  and  $H$  centralizes  $Z$ . Thus the finite group  $G/H$  acts on  $Z$ . By a result from invariant theory (in fact an easy extension of a result from Galois theory)  $Z$  can be generated as  $C_Z(G)$ -module by  $|G/H|$  elements. Since  $C_Z(G)$  is central in  $R$  the result follows.

As a companion to 2.7 we have the following.

2.8. *Let  $F, K$  be fields with  $F \leq K$ . Then  $\mathfrak{Y}_F \supseteq \mathfrak{Y}_K$  with equality if  $(K:F)$  is finite.*

Let  $G \in \mathfrak{Y}_K$  and suppose that  $V$  is an irreducible  $FG$ -module. Then  $V \cong FG/A$  for some right ideal  $A$ . Now  $AK \neq KG$  so that  $A$  lies in a maximal right ideal  $B$  of  $KG$ . Clearly  $A = B \cap FG$  and  $V$  embeds into the irreducible  $KG$ -module  $W = KG/B$ . Since  $KG/\text{Ann}_{KG} W$  satisfies a standard polynomial identity, so does  $FG/\text{Ann}_{FG} W$  and hence  $FG/\text{Ann}_{FG} V$ . Therefore  $G \in \mathfrak{Y}_F$ .

Now let  $G \in \mathfrak{Y}_F$  where  $(K:F)$  is finite. Let  $W$  be an irreducible  $KG$ -module. Then  $W$  is finitely  $FG$ -generated, so  $W$  contains a maximal  $FG$ -submodule  $V$ . Now  $W\text{Ann}_{FG}(W/V)$  is a  $KG$ -submodule of  $W$  in  $V$  and so is zero. Thus  $\text{Ann}_{FG}(W/V) = FG \cap \text{Ann}_{KG} W$ . By hypothesis  $FG/\text{Ann}_{FG}(W/V)$  satisfies a polynomial identity. Hence so does  $KG/\text{Ann}_{KG} W = K((FG + \text{Ann}_{KG} W)/\text{Ann}_{KG} W)$ , for example by [3, 5.1.3].

2.9 (B. Hartley).  $\mathfrak{L}\mathfrak{F} \cap \mathfrak{Y}_F$  is the class of locally finite groups  $G$  with  $G/O_u(G)$  abelian-by-finite.

Hartley's theorem [1] is that  $G/O_u(G)$  is abelian-by-finite if merely  $G \in \mathfrak{L}\mathfrak{F} \cap \mathfrak{Z}_F$ . The converse follows from 2.2, 2.7 and 2.4.

2.10. *The wreath product  $G = (\mathbb{Z}/n\mathbb{Z})\text{wr } \mathbb{Z}$  is not in  $\mathfrak{Z}_F$  (and hence not in  $\mathfrak{Y}_F$ ) for  $n = 0, 2, 3, \dots$*

By 2.3 we may assume that  $n$  is a prime power. Then by [3, 9.2.8] the group ring  $FG$  is primitive and not simple, but if  $G \in \mathfrak{Z}_F$  then every primitive image of  $G$  is simple by the density theorem.

2.11. *If  $G \in \mathfrak{Y}_F$  then  $G/O_u(G) \in \text{LR}\mathfrak{F} \cap \mathfrak{R}(\mathfrak{A}\mathfrak{F}) \subseteq \mathfrak{A} \cdot \mathfrak{R}\mathfrak{F}$ .*

We may assume that  $O_u(G) = \langle 1 \rangle$ . Linear groups are in  $\text{LR}\mathfrak{F}$  [6, 4.2] and  $G$  is residually linear by 2.5 and 2.6. Thus  $G \in \text{LR}\mathfrak{F}$ . Let  $\rho$  be a finite-dimensional irreducible representation of  $G$  over some extension field of  $F$ . Clearly  $G$  can contain no non-cyclic

free subgroups. If  $u = 0$  then  $G\rho$  is soluble-by-finite by Tits' theorem [6, 10.17]. If  $u \neq 0$  Tits' theorem yields only that  $G\rho \in \mathfrak{SL}\mathfrak{F}$ . But then 2.9 with 2.3 and [6, 3.8] implies that here also  $G\rho$  is soluble-by-finite. Since  $\rho$  is irreducible, in both cases  $G\rho$  is abelian-by-finite by Mal'cev's theorem [6, 3.5]. This proves that  $G \in \mathfrak{R}(\mathfrak{A}\mathfrak{F})$  and clearly  $\mathfrak{R}(\mathfrak{A}\mathfrak{F}) \subseteq \mathfrak{A}\mathfrak{R}\mathfrak{F}$ .

**3. Finitely generated groups.** Write  $\tau(G)$  for the maximal periodic normal subgroup of a group  $G$ .

3.1. *Let  $G$  be a soluble-by-finite  $\mathfrak{Y}_F$ -group, where  $F$  is not locally finite. Then  $G/\tau(G)$  is abelian-by-finite.*

If  $G$  is countable we can repeat the proof of [7, 5.1] (except that the representations are now over extension fields of  $F$ ). A standard argument reduces the general case to the countable case (cf. the proof of [8, Lemma 1]).

3.2. *Let  $G$  be a finitely generated  $\mathfrak{Y}_F$ -group. If  $A$  is a periodic abelian section of  $G$  (and  $u$ -free if  $u > 0$ ) then  $A$  has finite exponent.*

Suppose otherwise. By [8, Lemma 2] there is an infinite image of  $A$  of rank 1. Hence we may assume that  $A$  has rank 1. But then there is an irreducible  $FA$ -module that is faithful on  $A$ . Thus by Hall's lemma there is a finite-dimensional irreducible representation  $\rho$  of  $G$  over some extension field of  $F$  whose kernel avoids  $A$ . Tits's and Mal'cev's theorems yield that  $G\rho$  is abelian-by-finite. Also  $G$  is finitely generated and  $A$  is periodic. Thus  $A$ , being isomorphic to a section of  $G\rho$ , is finite. This contradiction completes the proof.

3.3. *Let  $x \in \mathbb{C}^*$  be such that, for some prime  $u$ ,  $x$  is integral over  $\mathbb{Z}[1/u]$  but not over  $\mathbb{Z}$ . Let  $A = \mathbb{Z}[x, x^{-1}] \subseteq \mathbb{C}$ . Multiplication by  $x$  is an automorphism of  $A$ ; so we may let  $G$  be the split extension  $\langle x \rangle A$  of  $A$  by  $\langle x \rangle$ . If  $F$  is any field of characteristic  $u$  then  $G$  is not a  $\mathfrak{Y}_F$  group.*

We already know [7, 4.5] that  $G$  is not an  $\mathfrak{X}_F$ -group. In the proof of that result we constructed a certain irreducible  $FG$ -module  $V$  where

$$V = \bigcup_{i \in \mathbb{Z}} U_i, \quad U_i \cong_{FA} FA/(A_i - 1)FA \cong F(A/A_i) \quad \text{and} \quad A_i = \sum_{j \leq i} \mathbb{Z}x^j \subseteq A.$$

Let  $K$  be the kernel of the representation of  $G$  on  $V$ . Then  $A \cap K \subseteq \bigcap_i A_i = \{0\}$ . Thus  $[A, K] = \langle 1 \rangle$  and yet  $\langle x \rangle$  acts faithfully on  $A$ . Therefore  $K = \langle 1 \rangle$ . If  $G \in Y_F$  then Mal'cev's theorem yields that  $G \cong G/K$  has an abelian normal subgroup  $B$  of finite index  $m$  say. Then  $x^m$  acts trivially on  $A \cap B$ , which is impossible since  $x$  has infinite order,  $A \cap B$  is nontrivial and  $A$  is a domain. Consequently  $G \notin Y_F$ .

We remark in passing that the above proof contains the following.

3.4. *If  $G$  and  $F$  are as in 3.3 then  $FG$  is primitive.*

3.5. *Proof of Theorem 1.* In view of 3.1 it suffices to prove that if  $G \in \mathfrak{U} \cap \mathfrak{C}\mathfrak{F} \cap \mathfrak{Y}_F$  then  $G$  is polycyclic-by-finite. By the usual reductions (using passage to a subgroup of

finite index, induction on derived length and the maximal condition on normal subgroups) we may assume that  $G$  has a non-trivial abelian normal subgroup  $A$  that is either of prime exponent or is torsion-free, and that every proper image of  $G$  is polycyclic.

Suppose  $A$  has prime exponent  $q$ . In view of 2.3 and 2.10 there is no subgroup of  $G$  isomorphic to  $(\mathbb{Z}/q\mathbb{Z})\text{wr}\mathbb{Z}$ . Now  $A$  is finitely  $G$ -generated,  $G/A$  is polycyclic and every proper image of  $\mathbb{F}_q[X, X^{-1}]$  is finite. It follows that  $A$  is finite, so that  $G$  is polycyclic in this case as required. (I have lifted this trick from [2].)

Now assume that  $A$  is torsion-free. Trivially  $A \cap \tau(G) = \langle 1 \rangle$ , so that if  $F$  is not locally finite then  $G$  is abelian-by-finite by 3.1 (applied twice). Suppose  $F$  is locally finite. By a lemma of P. Hall [4, 9.53 Corollary 1]  $A$  contains a free abelian subgroup  $A_0$  such that  $A/A_0$  is periodic with finite spectrum. If  $q$  is a prime not in the spectrum of  $A/A_0$  then  $A_0 \cap A^q = A_0^q$ . Now  $G/A^q$  is polycyclic. Hence  $A_0/A_0^q$  is finite and  $A$  has a finite rank. Let  $A_1/A_0 = O_u(A/A_0)$ , so  $A/A_1$  is a  $u$ -group and  $A_1/A_0$  a  $u'$ -group.  $A_1/A_0$  has finite exponent by 3.2 and  $A_1$  is torsion-free. Thus  $A_1$  is free abelian of finite rank.

$G$ , being soluble of finite rank, is nilpotent-by-abelian-by-finite [4, 3.25]. Repeating if necessary our initial reductions we may assume that  $G'$  centralizes  $A$ . Now we choose  $A$  of minimal rank. Thus  $\mathbb{Q} \otimes_{\mathbb{Z}} A$  is irreducible as  $\mathbb{Q}G$ -module and by Schur's lemma there is a finite field extension  $K$  of  $\mathbb{Q}$ , an embedding  $\lambda: A \rightarrow K$  and a homomorphism  $\mu: G \rightarrow K^*$  such that the  $G$ -module structure of  $A$  is induced by multiplication of  $A\lambda$  by  $G\mu$  in  $K$ . Since  $A/A_1$  is a  $u$ -group we have  $A\lambda \subseteq A_1\lambda\mathbb{Z}[1/u]$  and so  $G\mu$  is integral over  $\mathbb{Z}[1/u]$ .

Suppose  $G\mu$  is integral over  $\mathbb{Z}$ . Then  $\mathbb{Z}[G\mu]$  is finitely generated as  $\mathbb{Z}$ -module and consequently so is  $A_1\lambda\mathbb{Z}[G\mu]$ . Thus  $\langle A_1^G \rangle$  is a finitely generated (abelian) group. Since  $A_1 \neq \langle 1 \rangle$  the group  $G/\langle A_1^G \rangle$  is polycyclic and therefore so is  $G$ . We are left with the case where  $G$  contains an element  $g$  such that  $g\mu$  is not integral over  $\mathbb{Z}$ . Necessarily  $g\mu$  has infinite order. Let  $a \in A \setminus \langle 1 \rangle$ . Then  $\langle g, a \rangle \in \mathcal{Y}_F$  by 2.3 and yet

$$\langle g, a \rangle = \langle g \rangle \langle a^{(g)} \rangle \cong \langle g\mu \rangle [a\lambda\mathbb{Z}[g\mu, g^{-1}\mu] \cong \langle g\mu \rangle \mathbb{Z}[g\mu, g^{-1}\mu].$$

This contradicts 3.3 and completes the proof of Theorem 1.

**4. Soluble groups.**

4.1. *Let  $F$  be a field (with  $\text{char}F = u \geq 0$  as always) and  $G = \langle x \rangle A$  be the split extension of its abelian normal subgroup  $A$  of finite torsion-free rank by the infinite cyclic group  $\langle x \rangle$ . Suppose that  $A \setminus \langle 1 \rangle$  contains no elements of order  $u$ , and that if  $F$  is locally finite then  $A$  is periodic. If  $G \in \mathcal{Y}_F$  then  $C_{\langle x \rangle}(A) \neq \langle 1 \rangle$ .*

This generalizes [8, Lemma 4 and 9, Lemma 1] and the proof of 4.1 is similar to these. Consider the proof of Lemma 4 of [8]. In the second and third paragraphs of that proof we construct certain direct sums of finitely many irreducible representations of  $G$ . In the context of 4.1 they are no longer finite dimensional over  $F$  but are finite-dimensional over suitable extension fields of  $F$ . The results of [6] still apply and the construction of  $X$  goes through as in [8].

In paragraphs 4, 5 and 6 of the proof we constructed an extension field  $K$  of  $F$ , an irreducible  $KG$ -module  $V$  and a maximal  $FG$ -submodule  $W$  of  $V$ . Recall that we were

seeking a contradiction. Let  $\sigma$  be the representation of  $G$  on  $V$  and  $\tau$  of  $G$  on  $V/W$ . Since  $G \in \mathfrak{Y}_F$  the group  $G\tau$  is an irreducible linear group over some extension of  $F$ . By [8, Lemma 3] there is a normal subgroup  $L$  of  $G$  of finite index and containing  $A \cdot \ker \tau$  such that  $L\tau$  is abelian. Now  $V((\ker \tau) - 1)$  is a  $KG$ -submodule of  $V$  in  $W$  and therefore is zero. Thus  $\ker \sigma = \ker \tau$ . Let  $m = (G:L)$ . Then  $[x^m, A] \subseteq \ker \sigma$ , which implies (see the construction of  $V$ ) that  $a\phi = a^{x^m}\phi$  for every  $a \in A$ . This is false by construction.

4.2. *Let  $G$  be a  $\mathfrak{Y}_F$ -group with  $O_u(G) = \langle 1 \rangle$ . Then  $G$  has a normal subgroup  $K$  with  $G/K$   $u$ -free-abelian by finite and  $\tau(K)$  abelian and of finite index in  $\tau(G)$ .*

By Hartley's theorem (2.9) and [3, 12.1.2] there exists an abelian characteristic subgroup  $T$  of  $\tau(G)$  of finite index. Let  $Q$  be a maximal  $u$ -subgroup of  $\tau(G)$ . Since  $O_u(G) = \langle 1 \rangle$  the subgroup  $T$  is a  $u'$ -group and  $Q$  is finite. By 2.11 there exists  $K_1$  normal in  $G$  with  $G/K_1$  abelian-by-finite and  $Q \cap K_1 = \langle 1 \rangle$ . Also by 2.11 there exists  $K_2$  normal in  $G$  with  $G/K_2$  abelian-by-finite,  $T \subseteq K_2$  and  $(\tau(G) \cap K_2)/T = O_u(G/T)$ . Set  $K_0 = K_1 \cap K_2$ . Clearly  $G/K_0$  is abelian-by-finite, and  $\tau(K_0) = K_0 \cap \tau(G) = K_0 \cap T$  by elementary Sylow theory. If  $X$  is any irreducible linear group over an extension field of  $F$  then  $O_u(X) = \langle 1 \rangle$ . Thus the proof of 2.11 shows that we can choose  $K_0$  with  $O_u(G/K_0) = \langle 1 \rangle$ . Finally set  $K = TK_0$ .

4.3. *Let  $G$  be a soluble-by-finite  $\mathfrak{Y}_F$ -group where  $F$  is not locally finite. Then  $G/O_u(G)$  is abelian-by-finite.*

This can be proved along the lines of the proof of [8, Theorem 2]. However we indicate a better approach using ideas from both [5] and [8].

By [8, Lemma 1] we may assume that  $G$  is countable. Also we may assume that  $O_u(G) = \langle 1 \rangle$ . Choose  $K$  as in 4.2. Also  $G/\tau(G)$  is abelian-by-finite by 3.1. Hence  $G$  has a normal subgroup  $H$  of finite index with  $H' \subseteq K \cap \tau(G)$ . Thus  $H'$  is periodic abelian. Also  $O_u(H) = \langle 1 \rangle$ .

Let  $x_1, x_2, \dots$  be a transversal of  $H'$  to  $H$ . Suppose we have constructed  $r_1, \dots, r_{i-1} > 0$  such that  $A_i = \langle x_j^{r_i} : j < i \rangle H'$  is abelian. Since  $A_i$  is normal in  $H$  we have  $O_u(A_i) = \langle 1 \rangle$  and 4.1 yields the existence of  $r_i > 0$  such that  $[A_i, x_i^{r_i}] = \langle 1 \rangle$  (if  $|x_i| < \infty$  set  $r_i = |x_i|$ ). Then  $A_{i+1} = A_i \langle x_i^{r_i} \rangle$  is abelian. By induction we construct an abelian normal subgroup  $A = \bigcup_{i \geq 1} A_i$  containing  $H'$  with  $H/A$  periodic.

Let  $a \in A$ . We claim that  $[a, H]$  has finite exponent  $e(a)$  say. For, if not, by [8, Lemma 2] there is an infinite-rank-1 image of  $[a, H]$ ;  $[a, H]$  is contained in  $H'$  and is periodic. By Hall's lemma there is an irreducible representation  $\rho$  of  $H$  over some extension of  $F$  such that  $[a, H]\rho$  is infinite. But  $(H\rho : C_{H\rho}(A\rho))$  is finite, e.g. by [8, Lemma 3], so  $[a, H]\rho = \langle a^{-1}a^H \rangle \rho$  is finitely generated, abelian and periodic. This contradiction confirms the existence of finite  $e(a)$ .

Let  $Q$  be a maximal torsion-free subgroup of  $A$  and set  $B = \langle a^{e(a)} : a \in Q \rangle$ . Now  $a \in A$  stabilizes the series  $H \supseteq [a, H] \supseteq \langle 1 \rangle$  since  $A \supseteq [a, H]$  is abelian. Thus  $a^{e(a)}$  centralizes  $H$  and in particular  $B$  is normal in  $H$ . Also  $H/B$  is periodic, so by 2.9 again  $H/B$  has a normal subgroup  $N/B$  of finite index such that  $N'B/B$  is a  $u$ -group. But  $N' \subseteq H'$  is a  $u'$ -group, so that  $N' \subseteq B \cap H' = \langle 1 \rangle$  since  $B \subseteq Q$  is torsion-free. The proof of 4.3 is complete.

4.4. *Proof of Theorem 2.* Let  $G \in \mathfrak{E}\mathfrak{F} \cap \mathfrak{Y}_F$ . Then  $G/O_u(G) \in \mathfrak{X}\mathfrak{F}$  by 4.3,  $O_u(G) \subseteq \Lambda(G)$  by 2.6 and [7, 2.9], and  $O_u(G)$  is clearly soluble.

Conversely suppose  $G$  is a group with  $G/O_u(G) \in \mathfrak{X}\mathfrak{F}$  and  $O_u(G) \subseteq \Lambda(G)$ . Then  $G/O_u(G) \in \mathfrak{Y}_F$  by 2.2 and 2.7, so that  $G \in \mathfrak{Y}_F$  by 2.4. If also  $O_u(G)$  is soluble then  $G \in \mathfrak{E}\mathfrak{F}$ .

4.5. *Proof of Theorem 3.* By 2.6 and [7, 2.9] we have  $O_u(G) \subseteq \Lambda(G)$  and  $O_u(G)$  clearly is soluble. From now on assume that  $O_u(G) = \langle 1 \rangle$ . By 4.2 there exist normal subgroups  $B \subseteq H_1 \subseteq N_1$  of  $G$  with  $(G:N_1)$  finite,  $N_1/H_1$  abelian and  $u$ -free,  $B = \tau(H_1)$  an abelian  $u'$ -group and  $(\tau(G):B)$  finite.  $G/C_G(B)$  is periodic by 4.1. Since  $G \in \mathfrak{E}\mathfrak{F}$  we may choose  $N_1$  to be soluble.

Let  $X$  be a free abelian section of  $N_1/B$  of infinite rank. There exists a purely transcendental extension  $K$  of  $F$  for which there is a homomorphism of  $FX$  onto  $K$  that is one-to-one on  $X$ . Hence by Hall's lemma there is an irreducible representation  $\rho$  of  $G/B$  over some extension of  $F$  such that  $\ker \rho$  avoids  $X$ . Also  $G\rho$  is abelian-by-finite with  $O_u(G\rho) = \langle 1 \rangle$ . Apply this to a free abelian subgroup of maximal rank in each factor with infinite torsion-free rank of the derived series of  $N_1/B$ . It follows that  $G$  contains normal subgroups  $N_2 \subseteq N_1$  and  $H_2 \subseteq H_1 \cap N_2$  with  $(G:N_2)$  finite,  $N_2/H_2$  abelian and  $u$ -free,  $B \subseteq H_2$  and  $H_2/B$  is poly-(abelian with finite torsion-free rank). By a theorem of Mal'cev [4, 9.34 and 9.39.3] and the finiteness of  $(\tau(G):B)$ , the section  $H_2/B$  is torsion-free by finite, and soluble of finite rank.

Now let  $X$  be a periodic abelian  $u'$ -section of  $H_2/B$  of rank 1. Then  $X$  can be embedded into the multiplicative group of the algebraic closure of  $F$  so that by Hall's lemma applied to the extension of  $F$  generated by this image of  $X$  there exists an irreducible representation  $\rho$  of  $G$  over some extension of  $F$  such that  $\ker \rho$  avoids  $X$ . Again  $G\rho$  is abelian-by-finite with  $O_u(G\rho) = \langle 1 \rangle$ . Hence we can find normal subgroups  $N \subseteq N_2$  and  $H \subseteq H_2 \cap N$  with  $(G:N)$  finite,  $N/H$  abelian and  $u$ -free,  $B \subseteq H$  and  $H/B \in \mathfrak{A}_u$ . The proof is complete.

4.6. *Proof of Proposition.* Let  $G \in \mathfrak{Y}_F$  and suppose that  $A$  has a free abelian subgroup  $X$  of infinite rank. If  $x$  is an indeterminate there is a homomorphism of  $X$  onto  $F(x)^*$ , which can be extended to a homomorphism  $\phi$  of  $A$  into  $\overline{F(x)}^*$  by injectivity, the bar here denoting the algebraic closure. Then  $K = F[A\phi]$  is a field that is not locally finite. Let  $V$  be any irreducible  $KH$ -module. Then  $\phi$  extends to a homomorphism of  $FG$  onto  $KH$  and  $V$  becomes an irreducible  $FG$ -module with  $\text{End}_{FG} V = \text{End}_{KH} V$ . Since  $G \in \mathfrak{Y}_F$  these endomorphism rings are finite dimensional over their centres and we have  $H \in \mathfrak{A} \cap \mathfrak{Y}_K$ . Thus  $H$  is abelian-by-finite by Theorem 1.

Conversely if  $H$  is abelian-by-finite, so is  $G$ , and  $G \in \mathfrak{Y}_F$  by 2.2 and 2.7. Now suppose that  $A$  has finite torsion-free rank. Let  $\rho$  be an irreducible representation of  $FG$  and set  $J = (FA)\rho$ . As in the proof of [9, Theorem 3], the ring  $J$  is a field. If  $X$  is a free abelian subgroup of  $A$  of maximal rank, then  $J$  is integral over  $(FX)\rho$ , so that the latter too is a field. But  $X$  is finitely generated and thus  $(FX)\rho$  is a finite extension of  $F$  by the Nullstellensatz. Consequently  $J$  is locally finite. Now  $(FG)\rho$  is a homomorphic image of  $JH$  and by Roseblade's theorem [3, 12.3.7]  $JH \in \mathfrak{X}_F$ . Therefore  $(FG)\rho$  is finite dimensional over its central subfield  $J$  and we have  $G \in \mathfrak{Y}_F$  as required.

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