

## NON-AMPHICHEIRAL CODIMENSION 2 KNOTS

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**1. Introduction.** An  $n$ -knot  $(S^{n+2}, S^n)$  is *amphicheiral* if there is an orientation reversing autohomeomorphism of  $S^{n+2}$  leaving  $S^n$  invariant as a set. It is *invertible* if there is an orientation preserving autohomeomorphism of  $S^{n+2}$  whose restriction to  $S^n$  is an orientation reversing autohomeomorphism of  $S^n$  onto itself.

In 1961 Fox [8, Problem 35] asked if there exist non-amphicheiral locally flat 2-knots. We will prove the following

**THEOREM 1.** *For any integer  $n$  there are smooth  $n$ -knots which are neither amphicheiral nor invertible.*

**2. Preliminaries.** A knot  $(S^{n+2}, S^n)$  is *+amphicheiral* (resp. *−amphicheiral*) if there is an orientation reversing autohomeomorphism  $f$  of  $S^{n+2}$  leaving  $S^n$  invariant such that  $f|_{S^n}$  preserves (resp. reverses) orientation.

The following can be proved using Alexander duality:

**LEMMA 1.** *Let  $f: (S^{n+2}, S^n) \rightarrow (S^{n+2}, S^n)$  be a homeomorphism. Then  $f$  reverses the orientation of  $S^{n+2}$  if and only if precisely one of the automorphisms*

$$f_*: H_n(S^n) \rightarrow H_n(S^n), f_*: H_1(S^{n+2} - S^n) \rightarrow H_1(S^{n+2} - S^n)$$

*is the identity.*

Thus a knot is *−amphicheiral* if and only if there is an orientation reversing homeomorphism of  $S^{n+2}$  leaving  $S^n$  invariant such that  $f_*: H_1(S^{n+2} - S^n) \rightarrow H_1(S^{n+2} - S^n)$  is the identity.

Let  $(S^{n+2}, S^n)$  be a knot and let  $h: (S^{n+2}, S^n, S^{n+2} - S^n) \rightarrow (S^{n+2}, S^n, S^{n+2} - S^n)$  be a homotopy equivalence of triples. Denote by  $\tilde{h}$  a lifting of  $h$  to the universal abelian covering  $\tilde{X}$  of  $X = S^{n+2} - S^n$ , and call  $p: \tilde{X} \rightarrow X$  the projection. The proof of the following lemma is easy and we omit it.

**LEMMA 2.**  *$\tilde{h}t = t\tilde{h}$  with  $\delta = \pm 1$ , and  $\delta = 1$  if and only if  $h_*: H_1(S^{n+2} - S^n) \rightarrow H_1(S^{n+2} - S^n)$  is the identity, where  $t$  is a generator of the group of covering transformations of  $p: \tilde{X} \rightarrow X$ .*

*Remark.* Suppose  $(S^{n+2}, S^n)$  is a knot such that  $S^n$  has a neighborhood homeomorphic to  $S^n \times D^2$ , where  $S^n$  corresponds to  $S^n \times \{0\}$  (for  $n \neq 2$  all locally flat knots satisfy this condition [14]). Let  $h: (S^{n+2}, S^n, S^{n+2} - S^n) \rightarrow (S^{n+2}, S^n, S^{n+2} - S^n)$  be a homotopy equivalence of triples. Then there is a

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map homotopic to the identity  $k(S^{n+2}, S^n, S^{n+2} - S^n) \rightarrow (S^{n+2}, S^n, S^{n+2} - S^n)$  which is the identity on  $S^n$  and such that, for some tubular neighborhood  $T$  of  $S^n$ ,  $kh(T) = T$  and  $kh(E) = E$ , where  $E$  is the closure of  $S^{n+2} - T$ . Notice that  $h$  and  $kh$  have the same orientation features.

Let  $P$  be an  $(n + 1)$ -manifold whose boundary is homeomorphic to  $S^n$ . Let  $\alpha: P \rightarrow P$  be a homeomorphism which is the identity on a neighborhood of  $\partial P$ . In  $P \times [0, 1]$  we identify  $(x, 1)$  with  $(\alpha(x), 0)$ , for  $x \in P$ , and identify  $(x, t)$  with  $(x, 0)$ , for  $x \in \partial P, t \in [0, 1]$ . Denote the resulting space, which is an  $(n + 2)$ -manifold, by  $M(\alpha)$  and let  $\eta: P \times [0, 1] \rightarrow M(\alpha)$  be the identification map. This map sends  $P \times \{t\}$  homeomorphically onto its image. If  $M(\alpha)$  is homeomorphic to  $S^{n+2}$  we call the pair  $(M(\alpha), \eta(\partial P \times \{0\}))$  a *fibered knot* with  $\text{Int } P$  as *fiber* and *monodromy*  $\alpha$ . If  $U$  is a collar of  $\partial P$  in  $P$  such that  $\alpha|_U$  is the identity, then  $(\eta(U \times [0, 1]), \eta(\partial P \times \{0\}))$  is homeomorphic to  $(S^n \times D^2, S^n \times \{0\})$ . Thus, by the remark above, if  $(S^{n+2}, S^n)$  is a fibered knot and  $h: (S^{n+2}, S^n, S^{n+2} - S^n) \rightarrow (S^{n+2}, S^n, S^{n+2} - S^n)$  is a homotopy equivalence of triples, we may assume  $hT = T$  and  $hE = E$ , where  $T = \eta(U \times [0, 1])$ , and  $E$  is the closure of  $S^{n+2} - T$ .

Denote by  $\tilde{h}$  a lifting of  $h$  to the universal abelian covering  $\tilde{E}$  of  $E$ , and call  $p: \tilde{E} \rightarrow E$  the projection;  $\tilde{E}$  is homeomorphic to  $F \times \mathbf{R}$ , where  $F = \eta(P \times \{0\}) \cap E$ . A lifting  $q: F \rightarrow \tilde{E}$  of the inclusion  $i: F \rightarrow E$  is a homotopy equivalence. Let  $r: \tilde{E} \rightarrow F$  be a homotopy inverse of  $q$ . We have the commutative diagram of Figure 1 in which all arrows are isomorphisms,  $j_*, k_*$  are induced by inclusion and  $h' = r\tilde{h}q$ .

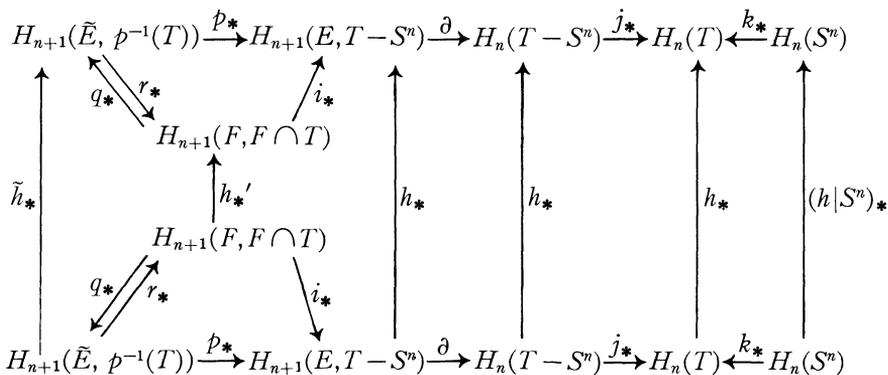


FIGURE 1

LEMMA 3. *If the closure of the fiber does not admit an orientation reversing homotopy equivalence leaving its boundary fixed as a set, then there is no homotopy equivalence of the triple  $(S^{n+2}, S^n, S^{n+2} - S^n)$  reversing the orientation of  $S^n$ .*

LEMMA 4. *If  $n = 2q$ , then  $[h_*'x, h_*'y] = \epsilon[x, y]$ , where  $x, y \in T_q = \text{torsion } H_q(F)$ ,  $\epsilon$  is the degree of  $h|_{S^n}$  and  $[\ , \ ]: T_q \times T_q \rightarrow \mathbf{Q}/\mathbf{Z}$  is the linking pairing.*

*Proof of Lemma 3.* Since  $h'$  is a self homotopy equivalence of  $(F, F \cap T)$ , from the hypothesis it follows that

$$h_{\#}' : H_{n+1}(F, F \cap T) \rightarrow H_{n+1}(F, F \cap T)$$

is the identity. Hence  $(h|S^n)_{\#} = (k_{\#}^{-1}j_{\#}\partial i_{\#})h_{\#}'(k_{\#}^{-1}j_{\#}\partial i_{\#})^{-1}$  is the identity. This proves Lemma 3.

*Proof of Lemma 4.* The linking pairing may be described as follows (see for example [22]). We denote by  $\varphi$  the composition of isomorphisms

$$\begin{aligned} T_q &\xrightarrow{\cong} \text{Torsion } H_q(F, \partial F) \xleftarrow{\cong} \text{Torsion } H^{q+1}(F) \\ &\xleftarrow{\cong} \text{coker } \theta \xrightarrow{\cong} \text{Hom } (T_q; \mathbf{Q}/\mathbf{Z}), \end{aligned}$$

where  $\mu \in H_{n+1}(F, \partial F)$  is the fundamental class, so that  $\mu_{\cap}$  is the Poincare duality isomorphism,  $\theta$  is the homomorphism from  $H^q(F; \mathbf{Q})$  to  $H^q(F; \mathbf{Q}/\mathbf{Z})$ ,  $\beta$  is induced by the Bockstein associated to the sequence  $0 \rightarrow \mathbf{Z} \rightarrow \mathbf{Q} \rightarrow \mathbf{Q}/\mathbf{Z} \rightarrow 0$  and  $\omega$  is induced by the universal coefficient theorem. Then  $[\eta, \xi] = \varphi(\xi)(\eta)$ . The lemma follows from the diagram of Figure 2

$$\begin{array}{ccccccc} T_q & \xrightarrow{\cong} & \text{Torsion } H_q(F, \partial F) & \xleftarrow{\cong} & \text{Torsion } H^{q+1}(F) & \xleftarrow{\beta} & \text{coker } \theta \xrightarrow{\omega} \text{Hom } (T_q, \mathbf{Q}/\mathbf{Z}) \\ \downarrow h_{\#}' & & \downarrow h_{\#}' & & \uparrow h'^* & & \uparrow h'^* \\ T_q & \xrightarrow{\cong} & \text{Torsion } H_q(F, \partial F) & \xleftarrow{\cong} & \text{Torsion } H^{q+1}(F) & \xleftarrow{\beta} & \text{coker } \theta \xrightarrow{\omega} \text{Hom } (T_q, \mathbf{Q}/\mathbf{Z}) \end{array}$$

FIGURE 2

in which the second square is commutative (resp. anticommutative) if  $\epsilon = 1$  (resp.  $\epsilon = -1$ ), and the remaining squares are commutative.

We now recall the definition of the lens space  $L(p; q_1, q_2, \dots, q_m)$ , where  $p, q_1, q_2, \dots, q_m$  are integers such that  $(p, q_i) = 1$  for  $1 \leq i \leq m$ . Let

$$S^{2m-1} = \{ (z_1, \dots, z_m) \in \mathbf{C}^m \mid \sum_{i=1}^m z_i \bar{z}_i = 1 \}$$

and let  $g : S^{2m-1} \rightarrow S^{2m-1}$  be the diffeomorphism defined by

$$g(z_1, \dots, z_m) = (e^{2\pi i(q_1/p)}z_1, \dots, e^{2\pi i(q_m/p)}z_m).$$

Then  $L(p; q_1, \dots, q_m)$  is the smooth  $(2m - 1)$ -manifold  $S^{2m-1}/G$ , where  $G$  is the cyclic group of diffeomorphisms generated by  $g$ . Let  $L_0(p; q_1, \dots, q_m)$  be the lens space  $L(p; q_1, \dots, q_m)$  minus the interior of a smooth  $(2m - 1)$ -ball. The proof of the following lemma can be found in [5; 29.5].

LEMMA 5.  $L_0(p; q_1, \dots, q_m)$  admits an orientation reversing homotopy equivalence leaving its boundary fixed as a set only if, for some integer  $b$ ,  $b^m \equiv -1 \pmod p$ .

*Remark.* The converse of Lemma 5 is valid.

LEMMA 6 [2; 5.3]. Let  $f$  be a homotopy equivalence from  $L = L(p; q_1, \dots, q_m)$  into itself such that  $f_*: H_1(L) \rightarrow H_1(L)$  is multiplication by an integer  $a$  satisfying  $(a^r - 1, p) = 1$ , for  $1 \leq r < m$ , and  $a^m \equiv 1 \pmod p$ . Then the degree of  $f$  is 1 and, for  $1 \leq i < 2m - 1$ ,  $f^* - I^*: H^i(L) \rightarrow H^i(L)$  is an isomorphism, where  $I$  is the identity map of  $L$ .

*Sketch of the proof.* Using the fact that  $L$  is the  $(2m - 1)$ -skeleton of an Eilenberg-MacLane space of type  $(\mathbf{Z}_p, 1)$  it is seen that  $f^*: H^{2j}(L) \rightarrow H^{2j}(L)$  is multiplication by  $a^j$  and that  $\deg f \equiv 1 \pmod p$ .

LEMMA 7. Let  $m$  be an even natural number. Then there exists a positive prime  $p$  such that:

- i)  $p \equiv 1 \pmod m$ .
- ii) there is no integer  $b$  such that  $b^m \equiv -1 \pmod p$ .

*Proof.* Since  $(2m, m + 1) = 1$  because  $m$  is even, by Dirichlet's theorem [15, p. 79] there is a prime  $p = 2mk + m + 1$  for some positive integer  $k$ . The multiplicative group  $F_p^*$  of nonzero residue classes modulo  $p$  is cyclic of order  $p - 1$  [21, p. 128]. The subgroup of  $F_p^*$  consisting of  $m$ -th powers has odd order  $(p - 1)/m$  and, therefore,  $-1$  is not such a power.

### 3. Proof of Theorem 1.

*Case I.  $n$  odd.* The result for  $n = 1$  was established by Trotter [20]. We therefore assume  $n \geq 3$ .

Let  $(S^{n+2}, S^n)$  be a smooth knot such that

- i) Every automorphism of  $G = \pi_1(S^{n+2}, S^n)$  induces the identity on  $G/G'$ ;
- ii)  $(S^{n+2}, S^n)$  represents an element of order  $> 2$  in the cobordism group  $C_n^{\text{TOP}}$  of  $n$ -knots [4], [16].

Then i) implies that the knot is neither  $+$ amphicheiral nor invertible (compare [8, problem 35]), and ii) implies that the knot is not  $-$ amphicheiral [16, p. 231].

As Kinoshita observed [8, problem 35], knots having an Alexander polynomial  $\Delta(t)$  which is not symmetric satisfy i). Also the examples of [11] satisfy i) even though their Alexander polynomials are symmetric.

One can construct knots satisfying i) and ii) as follows. Take a slice smooth  $n$ -knot  $K_1$  with a group  $G$  satisfying i); for instance, take one of the examples exhibited in [18]. Take the connected sum of  $K_1$  with a smooth knot  $K_2$  with group  $\mathbf{Z}$  and order  $> 2$  in the smooth cobordism group  $C_n$ . Such a knot  $K_2$  can

be constructed by [12]. Since the natural homomorphism  $C_n \rightarrow C_n^{\text{TOP}}$  is a monomorphism [4], then  $K_1 \# K_2$  satisfies i) and ii).

Case II.  $n \equiv 0 \pmod 4$ . Consider the finite module  $T = \Lambda/\langle p, (t + 1)^2 \rangle$ , where  $\Lambda = \mathbf{Z}[t, t^{-1}]$ ,  $p \in \mathbf{Z}$  is a prime  $\equiv 3 \pmod 4$  and  $\langle \dots \rangle$  denotes the ideal generated by  $\dots$ .

Define the skew-symmetric form  $[\cdot, \cdot]: T \times T \rightarrow \mathbf{Q}/\mathbf{Z}$  by  $[1, t] = 1/p$ . By [17, § 0.13] a fibered  $n$ -knot  $K = (S^{n+2}, S^n)$  can be constructed such that  $H_q(F) = T$ , where  $q = n/2$ ,  $F$  is the closure of a fiber of  $K$  and  $[\cdot, \cdot]$  is the linking pairing. Here the structure of  $H_q(F)$  as a  $\Lambda$ -module is defined by  $t\xi = \alpha_*\xi$  where  $\alpha: F \rightarrow F$  is the monodromy.

Let  $h: (S^{n+2}, S^n) \rightarrow (S^{n+2}, S^n)$  be a homeomorphism which reverses the orientation of  $S^{n+2}$ . Let  $e$  be a generator of  $H_q(F)$  (as a  $\Lambda$ -module) and let  $\beta = \beta(t) \in \Lambda$  be such that  $h_*'(e) = \beta e$  where  $h'$  is as in Lemma 4. Then, by this lemma,

$$(1) \quad [\lambda e, \epsilon e] = \epsilon[\lambda e, e] = [h_*'(\lambda e), h_*'(e)], \text{ for any } \lambda \in \Lambda,$$

where  $\epsilon$  is the degree of  $h|_{S^n}$ . From Lemma 2 and the diagram

$$\begin{array}{ccccccc} F & \xrightarrow{q} & \tilde{E} & \xrightarrow{\tilde{h}} & \tilde{E} & \xrightarrow{r} & F \\ \uparrow \alpha & & \uparrow t & & \uparrow t & & \uparrow \alpha \\ F & \xrightarrow{q} & \tilde{E} & \xrightarrow{\tilde{h}} & \tilde{E} & \xrightarrow{r} & F \end{array}$$

in which the first and last squares are commutative up to homotopy, it follows that

$$(2) \quad h_*'(te) = t^\delta h_*'(e), \delta = \pm 1,$$

where  $\delta = 1$  if and only if  $h_*: H_1(S^{n+2} - S^n) \rightarrow H_1(S^{n+2} - S^n)$  is the identity.

Hence, if  $(\epsilon, \delta) = (-1, +1)$ , from (1) and (2) we obtain

$$[\lambda e, \epsilon e] = [\lambda \beta e, \beta e] = [\lambda e, \bar{\beta} \beta e],$$

where  $\bar{\beta} = \beta(t^{-1})$ . Then  $\epsilon e = \bar{\beta} \beta e$ , hence  $\epsilon \equiv \beta(t^{-1})\beta(t) \pmod{\langle p, (t + 1)^2 \rangle}$ . For  $t = -1$  this yields  $-1 \equiv \beta(-1)^2 \pmod{p}$  which is impossible because  $-1$  is not a quadratic residue mod  $p$ .

If  $(\epsilon, \delta) = (+1, -1)$  then  $[\lambda e, \epsilon e] = [\bar{\lambda} \beta e, \beta e] = [\lambda e, -\bar{\beta} \beta e]$  and we obtain the same contradiction. Thus  $K$  is not amphicheiral.

To obtain a fibered knot which in addition is not invertible, it suffices to take the connected sum of  $K$  with a fibered knot  $K'$  such that  $H_q(F') = \Lambda/\langle \lambda \rangle$ , where  $F'$  is the closure of a fiber of  $K'$  and  $\lambda = \lambda(t)$  is a non-symmetric monic polynomial such that  $\lambda(0) = \pm 1$ . Such a knot  $K'$  can be constructed by [19, Corollary 3.4]. Notice that  $H_q(F')$  has no  $\mathbf{Z}$ -torsion (by [6]) so that the previous argument shows that  $K \# K'$  is still not amphicheiral (and non-invertible).

Case III.  $n \equiv 2 \pmod 4$ . We first assume  $n > 2$ . Let  $p$  be a positive integer which is the product of positive prime numbers which are congruent to 1 modulo  $m = (n + 2)/2$  and such that  $b^m \not\equiv -1 \pmod p$ , for every integer  $b$  (such a  $p$  exists by Lemma 7). Then there is a positive integer  $a$  such that  $a^m \equiv 1 \pmod p$  and  $(a^r - 1, p) = 1$ , for  $1 \leq r < m$  (see, for instance [2]). Consider the lens space  $L = L(p; 1, a, \dots, a^{m-1})$  and the diffeomorphism  $g: S^{2m-1} \rightarrow S^{2m-1}$ , defined by

$$g(z_1, \dots, z_m) = (e^{2\pi i/p}z_1, e^{2\pi ia/p}z_2, \dots, e^{2\pi iam^{-1}/p}z_m).$$

If we define  $T: S^{2m-1} \rightarrow S^{2m-1}$  by  $T(z_1, z_2, \dots, z_m) = (z_2, z_3, \dots, z_m, z_1)$ , then  $Tg = g^aT$ , so that  $T$  induces a diffeomorphism from  $L$  onto itself, which is isotopic to a diffeomorphism  $f: L \rightarrow L$  which is the identity on a neighborhood of a smooth  $(2m - 1)$ -ball  $B$ . Since the induced automorphism  $f_*: H_1(L) \rightarrow H_1(L)$  is multiplication by  $a$ , Lemma 6 can be applied; in particular  $f$  is orientation preserving. Let  $\alpha$  be the restriction of  $f$  to  $L_0 = L - \text{Int } B$ . By using the Mayer-Vietoris and Van-Kampen theorems it is readily seen that the smooth manifold  $M(\alpha)$ , defined above, is homeomorphic to  $S^{n+2}$ . We therefore have a fibered knot  $(S^{n+2}, S^n)$  with  $\text{Int } L_0$  as fiber. We may take  $S^{n+2}$  to be diffeomorphic to the standard smooth  $(n + 2)$ -sphere by changing the differentiable structure in an  $(n + 2)$ -ball in  $S^{n+2} - S^n$ . By Lemmas 5 and 3  $(S^{n+2}, S^n)$  is not  $-$ amphicheiral.

Now, using the fact that  $p$  does not divide  $a^2 - 1$ , it is readily seen that every automorphism of

$$\pi_1(S^{n+2} - S^n) = \langle t, x: x^p = 1, txt^{-1} = x^a \rangle$$

induces the identity on its abelianization. Thus Lemma 1 implies that  $(S^{n+2}, S^n)$  is neither  $+$ amphicheiral nor invertible.

It remains to establish the case  $n = 2$ .

Let  $p$  be an odd positive integer such that  $a^2 \not\equiv -1 \pmod p$ , for every integer  $a$ . If  $q$  is relatively prime to  $p$  then there is a smooth fibered 2-knot  $K_1$  with fiber  $\text{Int } L_0(p; 1, q)$ , obtained by 2-twist spinning a suitable two bridge knot [24].

Consider a second smooth 2-knot  $K_2$  such that

- i) The Alexander polynomial  $\Delta(t)$  of  $K_2$  is not symmetric.
  - ii)  $\text{Hom}(T_1, \mathbf{Z}_{p^2}) \rightarrow \text{Hom}(T_1, \mathbf{Z}_p)$  is onto, where  $T_1 = \text{Torsion } H_1(\tilde{E}_2)$ .
- Of course ii) is satisfied if  $H_1(\tilde{E}_2)$  has no  $\mathbf{Z}$ -torsion.

At the end of the proof we will give examples of knots satisfying i) and ii). Condition ii) is equivalent to the condition  $\beta = 0$ , where

$$\beta: H^1(\tilde{E}_2, \partial\tilde{E}_2; \mathbf{Z}_p) \rightarrow H^2(\tilde{E}_2, \partial\tilde{E}_2; \mathbf{Z}_p)$$

is the Bockstein associated to the sequence  $0 \rightarrow \mathbf{Z}_p \rightarrow \mathbf{Z}_{p^2} \rightarrow \mathbf{Z}_p \rightarrow 0$ . This is a consequence of the commutative diagram of Figure 3 in which the Ext terms in the third row are 0 by [9, § 63].

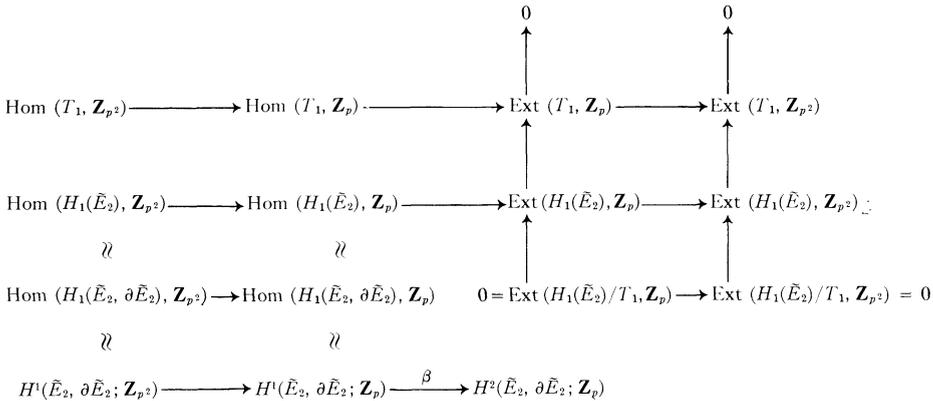


FIGURE 3

The sum  $K_1 \# K_2 = (S^4, S^2)$  has Alexander polynomial  $\Delta(t)$  so that  $(S^4, S^2)$  is neither +amphicheiral nor invertible.

Let  $h: (S^4, S^2, S^4 - S^2) \rightarrow (S^4, S^2, S^4 - S^2)$  be a homotopy equivalence of triples. We may assume the knot has a tubular neighborhood  $T$  such that  $h(T) = T$  and  $h(E) = E$ , where  $E$  is the closure of  $S^4 - T$ . Let  $S^3$  be a 3-sphere in  $S^4$  splitting  $S^4$  into two balls  $B_1, B_2$  such that, for  $i = 1, 2$   $(B_i \cap S^2) \cup D^2$  is the knot  $K_i$  where  $D^2$  is a 2-disk contained in  $S^3$ . We choose  $S^3$  so that  $S^3 \cap T$  is a tubular neighborhood of  $S^3 \cap S^2$  in  $S^3$ . Write  $E_i = B_i - \text{Int } T$  and  $\tilde{E}_i = \eta^{-1}(E_i)$ , where  $\eta: \tilde{E} \rightarrow E$  is the infinite cyclic covering of  $E$ . Let  $\tilde{h}: \tilde{E} \rightarrow \tilde{E}$  be a lifting of  $h: E \rightarrow E$ .

Since  $K_1$  is a fibered knot we can identify  $(\tilde{E}_1, \partial\tilde{E}_1)$  with  $(L_0, \partial L_0) \times \mathbf{R}$ , which is homotopy equivalent to  $(L_0, \partial L_0)$ ,  $L_0$  being  $L_0(p; 1, q)$ . We have the relation  $x \cup \beta(x) = q\mu$ , where  $x$  is a generator of  $H^1(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p)$ ,  $\beta: H^1(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p) \rightarrow H^2(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p)$  is the Bockstein homomorphism corresponding to the sequence  $0 \rightarrow \mathbf{Z}_p \rightarrow \mathbf{Z}_{p^2} \rightarrow \mathbf{Z}_p \rightarrow 0$  and  $\mu$  generates  $H^3(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p)$  (see, for example [10, p. 225]).

We have a commutative diagram (Figure 4)

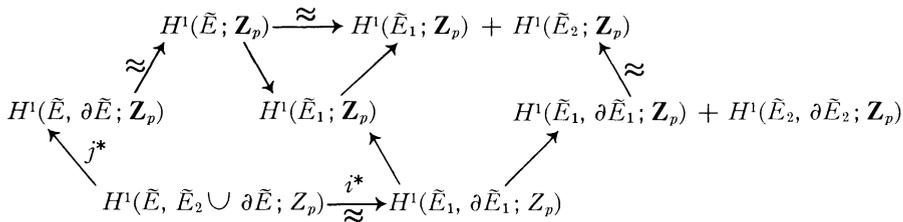


FIGURE 4

in which all arrows have the obvious meaning.

If we write  $\bar{x} = j^*(i^*)^{-1}(x)$ , then  $\tilde{h}^*(\bar{x})$  is an element of the form  $r\bar{x} + \bar{y}$  which is the image of an element

$$(rx, y) \in H^1(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p) + H^1(\tilde{E}_2, \partial\tilde{E}_2; \mathbf{Z}_p)$$

by the arrows in the upper part of the diagram. Notice that

$$\beta(\tilde{h}^*(\bar{x})) = \beta(r\bar{x} + \bar{y}) = r\beta(\bar{x}),$$

since  $\beta(y) = 0$ .

We have  $H^3(\tilde{E}_2; \mathbf{Z}_p) \approx \text{Hom}(H_3(\tilde{E}_2); \mathbf{Z}_p) + \text{Ext}(H_2(\tilde{E}_2), \mathbf{Z}_p)$ . Using [9, § 63], we obtain  $H^3(\tilde{E}_2; \mathbf{Z}_p) = 0$  because  $H_3(\tilde{E}_2) = 0$  and  $H_2(\tilde{E}_2)$  has no  $\mathbf{Z}$ -torsion [17]. Using the exact sequence of the triple  $(\tilde{E}, \tilde{E}_2 \cup \partial\tilde{E}, \partial\tilde{E})$  and the fact that

$$H^3(\tilde{E}_2 \cup \partial\tilde{E}, \partial\tilde{E}; \mathbf{Z}_p) \approx H^3(\tilde{E}_2, \partial\tilde{E}_2 \cap \partial\tilde{E}_1; \mathbf{Z}_p) \approx H^3(\tilde{E}_2; \mathbf{Z}_p) \approx 0$$

we conclude that  $j^*: H^3(\tilde{E}, \tilde{E}_2 \cup \partial\tilde{E}; \mathbf{Z}_p) \rightarrow H^3(\tilde{E}, \partial\tilde{E}; \mathbf{Z}_p)$  is an isomorphism. Therefore, if  $i^*: H^3(\tilde{E}, \tilde{E}_2 \cup \partial\tilde{E}; \mathbf{Z}_p) \rightarrow H^3(\tilde{E}_1, \partial\tilde{E}_1; \mathbf{Z}_p)$  is the inclusion induced isomorphism, then  $\bar{\mu} = j^*(i^*)^{-1}(\mu)$  is a generator of  $H^3(\tilde{E}, \partial\tilde{E}; \mathbf{Z}_p)$  and we have the relation  $\bar{x} \cup \beta(\bar{x}) = q\bar{\mu}$ . Hence

$$\begin{aligned} q\tilde{h}^*(\bar{\mu}) &= \tilde{h}^*(q\bar{\mu}) = \tilde{h}^*(\bar{x}) \cup \beta\tilde{h}^*(\bar{x}) = (r\bar{x} + \bar{y}) \cup r\beta(\bar{x}) \\ &= r^2\bar{x} \cup \beta(\bar{x}) = r^2q\bar{\mu}, \end{aligned}$$

that is,  $\tilde{h}^*(\bar{\mu}) \neq -\bar{\mu}$  because  $r^2 \not\equiv -1 \pmod p$ . Therefore  $\tilde{h}^*: H^3(\tilde{E}, \partial\tilde{E}) \rightarrow H^3(\tilde{E}, \partial\tilde{E})$  is the identity and, using the isomorphisms

$$H^3(\tilde{E}, \partial\tilde{E}) \xleftarrow{\approx \delta} H^2(\partial\tilde{E}) \xleftarrow{\approx \eta^*} H^2(\partial E) \xleftarrow{\approx} H^2(T) \xrightarrow{\approx} H^2(S^2),$$

we conclude that  $h$  preserves the orientation of  $S^2$ . This proves that  $(S^4, S^2)$  is not  $-$ amphicheiral.

Finally, to complete the proof of Theorem 1, we give examples of knots satisfying i) and ii) above. Knots whose group has a presentation of deficiency one have the property that the first homology module of the infinite cyclic cover of its complement can be presented by a square matrix [13, p. 107] and therefore this module has no torsion [6]. If  $\Delta(t)$  is a (not necessarily symmetric) polynomial satisfying  $\Delta(1) = \pm 1$ , there are smooth 2-knots whose groups can be presented with two generators and one relation, with Alexander polynomial  $\Delta(t)$  [18]. Another knot satisfying i) and ii) is the Cappell-Kirby-Akbulut knot ([3] and [1]); thus there are smooth fibered 2-knots which are neither amphicheiral nor invertible.

#### 4. Remarks.

*Remark 1.* Notice that the existence of non-amphicheiral knots is not implied, in principle, by the existence of knots which are not  $-$ amphicheiral and

knots which are not  $+$ amphicheiral. Kinoshita essentially produces knots in every dimension which are not  $+$ amphicheiral (see [8, problem 35]). Farber [7, Theorem 4] gives a necessary condition for an  $n$ -knot, with  $n \equiv 2 \pmod{4}$ , to be  $-$ amphicheiral (not “amphicheiral” as Farber erroneously states). Using this condition he shows that there are 2-knots which are non-invertible and are not  $-$ amphicheiral.

*Remark 2.* The proof of Case II can be adapted to get fibered  $2q$ -knots, that satisfy the theorem, using a generalization of the linking pairing to arbitrary smooth knots (see [17] and [7]). We note that the Blanchfield pairing (see [14]) can be used to obtain fibered knots, that satisfy the theorem for  $n$  odd.

Finally we mention some examples of amphicheiral knots.

a) 2-ribbon knots [23] are clearly  $-$ amphicheiral.

b) Let  $(S^{n+2}, S^n)$  be a fibered knot with monodromy  $\alpha: P \rightarrow P$ , where  $P$  is the closure of the fiber, such that  $\alpha$  is isotopic rel  $\partial P$  to  $h^{-1}\alpha^{-1}h$  for some orientation preserving homeomorphism  $h: P \rightarrow P$ . Then there is an orientation reversing homeomorphism of  $S^{n+2}$  which is the identity on the closure of some fiber. For example 2-twist spun knots satisfy this condition (with  $h = \text{identity}$ ). Also the  $r$ -twist spun of the torus knot  $(p, q)$  satisfies this condition,  $h$  being isotopic to an involution.

c) Let  $(S^{n+2}, S^n)$  be a knot as in b). Construct another knot  $(S^{n+2}, S_1^n)$  by performing  $r$  spherical modifications on 0-spheres and then  $r$  spherical modifications on 1-spheres, contained in the complement of a fixed fiber. Then  $(S^{n+2}, S_1^n)$  is  $+$ amphicheiral.

d) Let  $(S^{n+2}, S^n)$  be a fibered knot with monodromy  $\alpha: P \rightarrow P$  such that  $\alpha$  is isotopic rel  $\partial P$  to  $h^{-1}\alpha h$  for some orientation reversing homeomorphism  $h: P \rightarrow P$ . Then the knot is  $-$ amphicheiral. The Cappell-Akbulut-Kirby knot ([3], [1]) is an example.

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