

## MODULARITY\* IN LIE ALGEBRAS

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A subalgebra  $U$  of a Lie algebra  $L$  over a field  $F$  is called modular\* in  $L$  if  $U$  satisfies the dual of the modular identities in the lattice of subalgebras of  $L$ . Our aim is the study of the influence of the modular\* identities in the structure of the algebra. First we prove that if the modular\* conditions are imposed on an ideal of  $L$  then every element of  $L$  acts as a scalar on this ideal and if they are imposed on a non-ideal subalgebra  $U$  of  $L$  then the largest ideal of  $L$  contained in  $U$  also satisfies the modular\* identities. We determine Lie algebras having a subalgebra which satisfies both the modular and modular\* identities, provided that either  $L$  is solvable or  $\text{char}(F) \neq 2, 3$ . As immediate consequences of this result we obtain that the existence of a co-atom satisfying the modular\* identities in the lattice  $\mathcal{L}(L)$  forces that the lattice  $\mathcal{L}(L)$  is modular and that the modular\* identities on any subalgebra  $U$  forces that  $U$  is quasi-abelian. In the case when  $L$  is supersolvable we obtain that the modular\* conditions on any non-ideal of  $L$  are enough to guarantee that  $\mathcal{L}(L)$  is modular. For arbitrary fields and any Lie algebra  $L$ , we prove that the modular\* conditions on every co-atom of the lattice  $\mathcal{L}(L)$  guarantee that  $\mathcal{L}(L)$  is modular.

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### 1. Introduction

Throughout  $L$  will denote a finite dimensional Lie algebra over a field  $F$ . We say that  $L$  is *almost-abelian* if  $L$  has a basis  $a_1, \dots, a_n, x$  with product given by  $[a_i, a_j] = 0$  and  $[a_i, x] = a_i$  for every  $i, j$ . If  $L$  is either abelian or almost-abelian we say that  $L$  is quasi-abelian. The *core* of a subalgebra  $S$  of  $L$ , denoted by  $S_L$ , is the largest ideal of  $L$  contained in  $S$ . If  $S_L = 0$  we say  $S$  is core-free in  $L$ .

A subalgebra  $U$  of  $L$  is called *modular* in  $L$  if it is a modular element in the lattice of subalgebras of  $L$ ; that is

$$\langle U, B \rangle \cap C = \langle B, U \cap C \rangle \text{ for all subalgebras } B \leq C$$

and

$$\langle U, B \rangle \cap C = \langle B \cap C, U \rangle \text{ for all subalgebras } U \leq C.$$

Here  $\langle X, Y \rangle$  denotes the subalgebra of  $L$  generated by  $X$  and  $Y$ . (The easiest example of modular subalgebras are the subalgebras  $Q$  of  $L$  such that  $[Q, V] \subseteq Q + V$  for every subspace  $V$  of  $L$ , such subalgebras are called quasi-ideals). Quasi-ideals have been studied in [1], [5] and [15]. The only known examples of modular subalgebras which

are not quasi-ideals are the one dimensional subalgebras of the three dimensional non-split simple Lie algebras and the standard maximal subalgebra of the hamiltonian Lie algebra  $\mathcal{H}(2 : \underline{1} : \Phi(\gamma))^2$  (see [16]).

Although many properties of modular subalgebras have been obtained (see [2, 6, 7, 13, 14, 16]) the general problem of determining the Lie algebras with modular subalgebras is still open. One of the known results is that every modular subalgebra  $U$  of  $L$  is a quasi-ideal of  $L$ , except when  $L$  is three dimensional non-split simple, provided that either  $L$  is solvable or  $\text{char}(F) = 0$  or  $L$  is restricted and  $F$  is algebraically closed of characteristic  $p > 7$  (see [16]). So, under the cited conditions, if  $U$  is core-free and modular in  $L$  then  $L$  is either almost-abelian, three-dimensional non-split simple or a Zassenhaus algebra (see [1]). For perfect fields of characteristic different from two or three, it is also known that if a minimal subalgebra  $A$  of  $L$  is modular then either  $A \triangleleft L$  or  $L$  is either almost-abelian or three dimensional non-split simple (see [13]) and that if a rank one simple subalgebra of  $L$  is modular then it must be an ideal of  $L$  (see [15]).

The modular condition can be dualised to give, a subalgebra  $U$  of  $L$  is called *modular\** in  $L$  if

$$\langle U, B \rangle \cap C = \langle B, U \cap C \rangle \text{ for all subalgebras } B \leq C$$

and

$$\langle U \cap B, C \rangle = \langle B, C \rangle \cap U \text{ for all subalgebras } C \leq U.$$

Modular\* subalgebras have been introduced in [3]. Note that if  $U$  is a maximal subalgebra of  $L$  and modular\* in  $L$  then  $U$  is modular in  $L$ . Dually, every minimal subalgebra of  $L$  which is modular in  $L$  is modular\*.

The easiest example of subalgebras satisfying the modular\* identities are the subalgebras  $U$  such that  $\langle u, S \rangle = ((u)) + S$  for every subalgebra  $S$  of  $L$  and  $u \in U$ , where  $((u))$  denotes the subspace of  $L$  generated by  $u$ ; in other words, the subalgebras  $U$  such that  $((u))$  is a quasi-ideal of  $L$  for every  $u \in U$  (these subalgebras will be called strong quasi-ideals). We will say that an ideal  $N$  of  $L$  is a strong ideal if  $((a))$  is an ideal of  $L$  for every  $a \in N$ . We have the following chains of implications:

$$\begin{array}{ccccc} \text{strong ideal} & \implies & \text{strong quasi-ideal} & \implies & \text{modular*} \\ \Downarrow & & \Downarrow & & \\ \text{ideal} & \implies & \text{quasi-ideal} & \implies & \text{modular} \end{array}$$

In this paper, first we characterize quasi-ideals which are modular\* and cores of modular\* subalgebras. We show that if a quasi-ideal is modular\* in  $L$  then either it is a strong ideal or  $L$  is almost-abelian. We prove that the core of a modular\* subalgebra of  $L$  is also modular\* and it is a strong ideal. We are able to characterize Lie algebras having subalgebras which are both modular and modular\*, in the cases when either

$\text{char}(F) \neq 2, 3$  or  $L$  is solvable. Then, as maximal and modular\* subalgebras are modular, Lie algebras having a maximal and modular\* subalgebra will be determined. After that, we will be able to obtain that every modular\* subalgebra must be quasi-abelian, in those cases. In the general case, we obtain that the modular\* conditions on every maximal subalgebra of  $L$  force that the  $\mathcal{L}(L)$  is modular. Some similar questions have been looked at by Towers in [11], however the approach is quite different.

It is known that for fields of characteristic different from two or three, the lattice  $\mathcal{L}(L)$  is modular if and only if  $L$  is either quasi-abelian or a  $\mu$ -algebra (this means that every proper subalgebra of  $L$  is one dimensional), see [9] or [18, Corollary 5]. If  $F$  is perfect and  $\text{char}(F) \neq 2, 3$  then every  $\mu$ -algebra is three dimensional non-split simple (see [6, Proposition 1]).

Finally in this section we give two easy lemmas that will be useful throughout this paper.

**Lemma 1.1.** *If  $N$  is a proper subalgebra of  $L$  for which  $[n, x] \in ((n))$  for some  $n \in N$  and all  $x \in L - N$ , then  $((n))$  is an ideal of  $L$ .*

**Proof.** Let  $m \in N$ . Then  $m + x \notin N$ , so  $[n, m + x] \in ((n))$ , whence  $[n, m] \in ((n))$ . □

**Lemma 1.2.** *If  $Q$  is a proper subalgebra of  $L$  for which  $[q, x] \in ((q)) + ((x))$  for some  $q \in Q$  and all  $x \in L - Q$ , then  $((q))$  is a quasi-ideal of  $L$ .*

**Proof.** Let  $r \in Q$ . Then  $r + x \notin Q$ , so  $[q, r + x] \in ((q)) + ((r + x))$ , whence  $[q, r] \in (((q)) + ((r)) + ((x))) \cap Q = ((q)) + ((r))$ . □

**2. Quasi-ideals and modular\* subalgebras**

We begin studying relationships between quasi-ideals and modular\* subalgebras. Examples of modular\* which are not strong quasi-ideals are the following: (i) every proper subalgebra of a three dimensional non-split simple Lie algebra, (ii) every one dimensional subalgebra of  $L$  contained in  $A$ , being  $L = A + ((x))$  where  $A$  is an abelian minimal ideal of  $L$ . We note that the subalgebras cited in (i) and (ii) above are not quasi-ideals.

**Lemma 2.1.** *An ideal  $N$  of a Lie algebra  $L$  is a strong ideal if and only if  $N$  is abelian and each element of  $L$  acts as a scalar on  $N$ .*

**Proof.** Suppose that  $N$  is a strong ideal. We may assume  $\dim N > 1$ . Let  $x, x'$  be linearly independent elements of  $N$ . We see that  $[x, x'] \in ((x)) \cap ((x')) = 0$ . This yields that  $N$  is abelian. Moreover, for each element  $y \in L - N$ , we have  $[x, y] = \lambda x, x'y = \mu x'$  and  $[x + x', y] = \alpha(x + x')$ , where  $\lambda, \mu, \alpha \in F$ . This yields that  $\lambda = \mu$ . Therefore each element of  $L - N$  acts a scalar on  $N$ . The converse is clear. □

**Lemma 2.2.** *If  $Q$  is a strong quasi-ideal of  $L$ , then either  $Q$  is a strong ideal or  $L$  is almost-abelian.*

**Proof.** Assume  $Q$  is a strong quasi-ideal and that there exists  $q \in Q$  such that  $((q))$  is not an ideal of  $L$ . Then, from Theorem 3.6 of [1] it follows that  $L$  is almost-abelian. Then assume  $((q)) \triangleleft L$  for every  $q \in Q$ . Clearly,  $Q$  is an abelian ideal of  $L$ . Hence  $Q$  is a strong ideal of  $L$ . □

**Proposition 2.3.** *Let  $Q$  be a proper quasi-ideal of a Lie algebra  $L$  which is modular\* in  $L$ . Then,  $Q$  is a strong quasi-ideal and so either  $Q$  is a strong ideal or  $L$  is almost-abelian.*

**Proof.** Assume  $Q$  is modular\*. Let  $q \in Q$  and  $x \in L - Q$ . We have  $[q, x] \in [Q, x] = Q + ((x))$ . So,  $[q, x] - \lambda(q, x)x \in Q$  for some  $\lambda(q, x) \in F$ . Put  $C = ((q))$  and  $B = ((x))$ . We have  $(Q \cap B, C) = C = ((q))$ , and  $[q, x] - \lambda(q, x)x \in (B, C) \cap Q$ . Now, by using the second modular\* identity we obtain  $q' \in ((q))$  thus  $[q, x] \in ((q)) + ((x))$ . Thus  $((q))$  is a quasi-ideal of  $L$  for every  $q \in Q$  by Lemma 1.2. Hence,  $Q$  is a strong quasi-ideal. The last assertion in the proposition follows from Lemma 2.2. □

**Proposition 2.4.** *Let  $U$  be a proper modular\* subalgebra of  $L$ . Then the following holds:*

- (i)  $U_L$  is a strong ideal of  $L$ .
- (ii)  $U_L = \{u \in U \mid ((u)) \trianglelefteq L\}$ .

**Proof.** (i) Let  $u \in U_L$  and  $x \in L - U$ . Put  $C = ((u))$  and  $B = ((x))$ . From the second modular\* identity we have  $((u)) = C = (B, C) \cap U = (u, x) \cap U$ . As  $U_L$  is an ideal of  $L$ , we have  $[u, x] \in U_L \cap (u, x) \cap U$ . Therefore,  $[u, x] \in ((u))$ . Therefore by Lemma 1.1,  $((u)) \trianglelefteq L$  for every  $u \in U_L$ . So that  $U_L$  is a strong ideal of  $L$ .

(ii) Write  $K = \{u \in U \mid ((u)) \trianglelefteq L\}$ . From (i) it follows  $U_L \leq K$ . Let  $u, v \in K$ . Clearly,  $[u, v] = 0$  and  $\lambda u \in K$  for every  $\lambda \in F$ . Now we prove that  $u + v \in K$ . To do that take  $x \in L - K$ . By the second modular\* identity, we find  $(u + v, x) \cap U = ((u + v))$ . Since,  $[u + v, x] \in U \cap (u + v, x)$ , we get  $[u + v, x] \in ((u + v))$ . So that  $((u + v)) \trianglelefteq L$ . Therefore,  $K$  is an ideal of  $L$ . Thus  $K \leq U_L$ . This completes the proof. □

We say that an ideal  $N$  of  $L$  is *supersolvably immersed* in  $L$  if every chief factor of  $L$  below  $N$  is one dimensional.

**Corollary 2.5.** *Let  $U$  be a proper modular\* subalgebra of  $L$ . Then the following holds:*

- (i)  $U_L + ((y))$  is quasi-abelian for every  $y \in L$ .
- (ii)  $U_L$  is supersolvably immersed in  $L$ .

3. The solvable case

In this section we determine modular and modular\* subalgebras in solvable Lie algebras  $L$  over any field. First we consider the case when  $L$  is supersolvable. In this case we obtain that if a non-ideal of  $L$  is modular\* then  $L$  is almost-abelian. Then, by using results of the previous section we will be able to prove that if a solvable Lie algebra  $L$  has a non-ideal, modular and modular\* subalgebra then it is almost-abelian. From this it is easy to obtain that if a maximal subalgebra of  $L$  satisfies the modular\* identities then  $L$  is almost-abelian and that every modular\* subalgebra of any solvable Lie algebra is quasi-abelian. The results in this section will be used in the next section.

We begin with the following

**Lemma 3.1.** *Let  $L$  be any Lie algebra and let  $U$  be modular\* in  $L$ . Then for every  $x \in L - U$  and  $0 \neq u \in U$ , the subalgebra  $((x))$  is maximal in  $\langle u, x \rangle$ .*

**Proof.** Let  $M$  be a maximal subalgebra of  $\langle u, x \rangle$  containing  $((x))$ . By using the second modular\* identity, we obtain

$$((u)) = \langle U \cap ((x)), u \rangle = \langle u, x \rangle \cap U.$$

This yields,  $U \cap M \leq U \cap \langle u, x \rangle = ((x))$ . Since  $M \neq \langle u, x \rangle$ , it follows  $u \notin M$ . Hence,  $U \cap M = 0$ . Now, by using the first modular\* identity, we obtain

$$\langle U, x \rangle \cap M = M = \langle x, U \cap M \rangle = ((x)).$$

Hence,  $((x))$  is maximal in  $\langle u, x \rangle$ . □

**Theorem 3.2.** *Let  $L$  be supersolvable over any field and let  $U$  be a proper modular\* subalgebra of  $L$ . Then, either  $U$  is a strong ideal of  $L$  or  $L$  is almost-abelian.*

**Proof.** We want to prove that  $U$  is a quasi-ideal of  $L$ . First take  $u \in U$  and  $x \in L - U$ . By Lemma 3.1,  $((x))$  is maximal in  $\langle u, x \rangle$ . As  $\langle u, x \rangle$  is supersolvable, we have that  $((x))$  has codimension one in  $\langle u, x \rangle$ . Therefore  $[u, x] \in ((u)) + ((x))$ . Therefore  $U$  is a quasi-ideal of  $L$  by Lemma 1.2. Then the result follows from Proposition 2.3. □

Now we can determine the solvable Lie algebras having a modular and modular\* subalgebra.

**Theorem 3.3.** *Let  $L$  be solvable over any field  $F$ . Let  $U$  be a proper subalgebra of  $L$  which is modular and modular\* in  $L$ . Then either  $U$  is a strong ideal or  $L$  is almost-abelian.*

**Proof.** If  $U \triangleleft L$ , then  $U$  is a strong ideal by Proposition 2.4. Suppose then  $U$  is not an ideal of  $L$ . Since  $U$  is modular in  $L$ , by Corollary 1.2 of [14] it follows that

$L/U_L$  is almost-abelian. As  $U$  is modular\* in  $L$ , by Corollary 2.5(ii) it follows that  $U_L$  is supersolvably immersed in  $L$ . Then we have that every chief factor of  $L$  has dimension one. So,  $L$  is supersolvable. Therefore, by Theorem 3.2 it follows that  $L$  is almost-abelian.  $\square$

Next we determine the solvable Lie algebras having a maximal subalgebra which is modular\*.

**Corollary 3.4.** *Let  $L$  be solvable over any field. Then the following are equivalent:*

- (i)  $L$  has a maximal subalgebra which is modular\* in  $L$ .
- (ii) The lattice  $\mathcal{L}(L)$  of all subalgebras of  $L$  is modular.
- (iii)  $L$  is quasi-abelian.

**Proof.** (i) implies (iii): Suppose that  $M$  is maximal and modular\* in  $L$ . Then, we have that  $M$  is also modular in  $L$ . Therefore, by Theorem 3.3, either  $M$  is a strong ideal or  $L$  is almost-abelian. In the former case, we have  $\dim L/M = 1$  and by Corollary 2.5 it follows that  $L$  must be quasi-abelian. Clearly, (iii) implies (ii). If  $\mathcal{L}(L)$  is modular, then every subalgebra of  $L$  is modular and modular\* in  $L$ . So, (ii) implies (i). The proof is complete.  $\square$

As an immediate consequence of the above corollary we have

**Corollary 3.5.** *Let  $L$  be solvable over any field. Then every proper subalgebra of  $L$  which is modular\* in  $L$  is quasi-abelian.*

**Proof.** Suppose that  $U < L$  is modular\* in  $L$ . Take a subalgebra  $M$  of  $L$  containing  $U$  such that  $U$  is maximal in  $M$ . Then, by Corollary 3.4,  $M$  is quasi-abelian. Therefore,  $U$  is quasi-abelian too.  $\square$

#### 4. The non-solvable case

In this section we consider the case when the Lie algebra  $L$  is nonsolvable over a field  $F$  with  $\text{char}(F) \neq 2, 3$ . We obtain that if  $L$  has a non-ideal, modular and modular\* subalgebra then every proper subalgebra of  $L$  is one dimensional (such a Lie algebra is called a  $\mu$ -algebra). Then, by using results of the previous sections, it is easy to prove that if  $L$  has a maximal subalgebra satisfying the modular\* identities then  $L$  must be a  $\mu$ -algebra and that every modular\* subalgebra of any Lie algebra is quasi-abelian.

The proof of the main result depends heavily on results on supersimple Lie algebras which appear in [12] and [18]. A Lie algebra  $L$  is said to be *supersimple* if every subalgebra of  $L$  of dimension greater than one is simple. Every  $\mu$ -algebra is supersimple. More generally, a Lie algebra of dimension greater than one is supersimple if and only if it has no two dimensional subalgebras (Proposition 3.2 of [12]). If  $\text{char}(F) \neq 2, 3$  and if  $L$  is supersimple, then for each  $0 \neq x \in L$  there exists

$y \in L$  such that  $L = \langle x, y \rangle$  (Theorem 4 of [18]). We recall that for perfect fields of characteristic different from two or three, the only supersimple Lie algebras are the three dimensional non-split simple (it follows from Proposition 1 of [6]).

First we consider core-free, modular and modular\* subalgebras of a nonsolvable Lie algebra  $L$ . More generally, we give the following

**Lemma 4.1.** *Let  $L$  be a Lie algebra over an arbitrary field  $F$ . Let  $U$  be a core-free subalgebra of  $L$  such that  $\langle u, z \rangle$  is either two dimensional or a  $\mu$ -algebra for every  $0 \neq u \in U$  and  $z \in L - U$ . Then one of the following holds:*

- (i)  $L$  is almost-abelian.
- (ii)  $\langle u, z \rangle$  is a  $\mu$ -algebra for every  $0 \neq u \in U$  and  $z \in L - U$ .

**Proof.** Pick  $0 \neq u \in U$ . Suppose that  $\langle u, z \rangle$  is two dimensional for every  $z \in L - U$ . Then  $[u, z] \in ((u)) + ((z))$ . Hence  $((u))$  is a quasi-ideal of  $L$  by Lemma 1.2. As  $U$  is core-free,  $((u))$  is not an ideal of  $L$ . So, by [1] it follows that  $L$  is almost-abelian. Now suppose that there exist  $x, z \in L - U$  such that  $\langle x, u \rangle$  is two dimensional and  $\langle u, z \rangle$  is a  $\mu$ -algebra. Write  $Q = \langle x, u \rangle$  and  $T = \langle u, z \rangle$ . We have  $Q \cap T = ((u))$ . Let us first suppose  $((u)) \triangleleft Q$ . Then  $[x, u] = \alpha u$  where  $\alpha \in F$ . Since  $[x + z, u] = \alpha u + [z, u]$  and since  $[x + z, u] \in \langle x + z, u \rangle$ , we have  $[z, u] \in \langle x + z, u \rangle$ . So,  $\langle u, [z, u] \rangle = T \leq \langle x + z, u \rangle$ . This yields that  $\langle x + z, u \rangle$  has dimension greater than two. Then,  $\langle x + z, u \rangle$  must be a  $\mu$ -algebra. Therefore,  $T = \langle x + z, u \rangle$ . But then we have  $x \in T$  and so  $Q \leq T$ , which is a contradiction. Now suppose that  $((u))$  is not an ideal of  $Q$ . Let  $q \in Q$  such that  $((q)) \triangleleft Q$ . Then  $[q, u] = \beta q$  where  $\beta \in F$  and  $((u)) \neq ((q))$ . We have  $[q + z, u] = \beta q + [z, u] \in \langle q + z, u \rangle$ . This yields

$$-\beta z - [u, z] = -\beta z + [z, u] = \beta q + [z, u] - \beta(q + z) \in \langle q + z, u \rangle.$$

So that,  $0 \neq \beta z + [u, z] \in \langle q + z, u \rangle$ . Since  $\beta z + [u, z] \notin ((u))$ , we have  $T = \langle \beta z + [u, z], u \rangle \leq \langle q + z, u \rangle$ . Therefore,  $\langle q + z, u \rangle$  has dimension greater than 2. It follows that  $\langle q + z, u \rangle$  must be a  $\mu$ -algebra. Hence  $T = \langle q + z, u \rangle$ . This yields,  $q \in T \cap Q = ((u))$ , which is a contradiction. We deduce that either  $L$  is almost-abelian or  $\langle u, z \rangle$  is a  $\mu$ -algebra for every  $0 \neq u \in U$  and  $z \in L - U$ . □

**Proposition 4.2.** *Let  $F$  be any field of characteristic different from two or three and let  $L$  be a non-solvable Lie algebra. Assume that  $U$  is a proper core-free, modular and modular\* subalgebra of  $L$ . Then  $L$  is a  $\mu$ -algebra.*

**Proof.** Let us first suppose  $\dim U = 1$ . Write  $U = ((u))$ . In the case when  $F$  is perfect, then Theorem 2.2 of [13] applies and  $L$  is three dimensional non-split. In the case when  $F$  is not perfect we need to make a slight variation of the proof of that theorem by using results of [17] and [18]. In the proof of Theorem 2.2 of [13] it is proved that  $L$  has no two dimensional abelian subalgebras. Now we prove that  $L$  has no two dimensional nonabelian subalgebras either. Before that we claim that  $U$  satisfies the conditions in Lemma 4.1. Let  $0 \neq u \in U$  and  $z \in L - U$ . Since  $U$  is

modular in  $L$  we have that  $U$  is maximal and modular in  $\langle u, z \rangle$  (see [2]). Then we get that  $\langle u, z \rangle$  is either two dimensional or a  $\mu$ -subalgebra (see the proof of Theorem 2.2 of [13]). Thus Lemma 4.1 applies and  $\langle u, z \rangle$  is a  $\mu$ -algebra for every  $0 \neq u \in U$  and  $z \in L - U$ . Suppose that  $S$  is a two dimensional nonabelian subalgebra of  $L$ . Let  $x \in S$  such that  $\langle (x) \rangle \triangleleft S$ . Since  $U$  is self-normalizing,  $U \neq \langle (x) \rangle$ . Then  $\langle u, x \rangle$  is a  $\mu$ -algebra and  $U < \langle u, x \rangle < \langle u, S \rangle$ . We can suppose without loss of generality that  $\langle u, S \rangle = L$ . Let  $0 \neq N$  be an ideal of  $L$ . Pick  $0 \neq y \in N$ . We have that  $\langle U, y \rangle \cap N$  is a nonzero ideal of  $\langle U, y \rangle$ . Since  $\langle U, y \rangle$  is simple, it follows  $U \leq N$ . But since  $U$  is self-normalizing in  $L$  (see [2]), we get  $N = L$ . Therefore,  $L$  is simple. By the modularity of  $U$  it follows that  $S$  is maximal in  $L$ . Since  $\langle u, x \rangle$  is a  $\mu$ -algebra we have that  $x$  is not ad-nilpotent. This yields that  $S$  coincides with the Fitting null component of  $\text{ad } x$ , which contradicts Proposition 1.9 of [17]. Therefore  $L$  has no two dimensional subalgebras and hence  $L$  is supersimple (Proposition 3.2 of [12]). As  $\text{char}(F) \neq 2, 3$ , by Theorem 4 of [18] there exists  $z \in L$  such that  $L = \langle u, z \rangle$ . We conclude that  $L$  is a  $\mu$ -algebra.

Next we prove that  $U$  must be one dimensional. Assume  $\dim U > 1$ . Let  $L$  be a counterexample of minimal dimension. By Proposition 2.3 and Lemma 2.1,  $U$  is self-normalizing. So, by the minimality of  $L$  we have that  $U$  is a maximal subalgebra of  $L$ . Now we claim that  $U$  is supersimple. To do that we prove that  $U$  has no two dimensional subalgebras. Suppose that  $S$  is a two dimensional subalgebra of  $U$  and let  $u, u'$  be a basis for  $S$  with  $[u, u'] = \alpha u$  where  $\alpha \in F$ . Let  $z \in L - U$ . By the second modular\* identity we have  $\langle (u) \rangle = U \cap \langle u, z \rangle$ . Now from the modularity of  $U$  it follows that  $\langle (u) \rangle$  is modular in  $\langle u, z \rangle$ . So, by Theorem 2.2 of [13] it follows that  $\langle u, z \rangle$  is two dimensional or a  $\mu$ -algebra. So, by Lemma 4.1,  $\langle u, z \rangle$  is a  $\mu$ -algebra for every  $0 \neq u \in U$  and  $z \in L - U$ . On the other hand, we have

$$[u, u' + z] = [u, u'] + [u, z] = \alpha u + [u, z] \in \langle u, u' + z \rangle.$$

This yields  $\langle u, [u, z] \rangle \leq \langle u, u' + z \rangle$ . As  $\langle u, z \rangle$  and  $\langle u, u' + z \rangle$  are both  $\mu$ -algebras, we have  $\langle u, z \rangle = \langle u, [u, z] \rangle = \langle u, u' + z \rangle$ . This yields  $u' \in \langle u, z \rangle \cap U = \langle (u) \rangle$ , which is a contradiction. Therefore,  $U$  has no two dimensional subalgebras. The claim is proved.

For perfect fields of characteristic different from two or three, every supersimple subalgebra is three dimensional non-split simple. So by Theorem 1.5 of [15] we have  $U \triangleleft L$  which is a contradiction. For arbitrary fields, we need to work more.

Next we prove that  $L$  is also supersimple. Assume not. Then by [12],  $L$  has a subalgebra  $Q$  of dimension two. Since  $U$  is supersimple,  $S$  is not contained in  $U$ . Since  $U$  is maximal and modular, we have  $U \cap Q \neq 0$ . Take  $0 \neq u \in U \cap Q$  and  $x \in Q, x \notin U$ . We have that  $\langle u, x \rangle$  is two dimensional. By Lemma 1, it follows that  $L$  is almost-abelian which is a contradiction. Now take any  $0 \neq u \in U$ . As  $\text{char}(F) = 2, 3$  and  $L$  is supersimple, Theorem 4 of [18] applies and there exist an element  $v \in L - U$  such that  $L = \langle u, v \rangle$ . But then we have  $\langle U \cap \langle (v) \rangle, u \rangle = \langle (u) \rangle$  whereas  $\langle v, u \rangle \cap U = L$  and so the second modular\* identity does not hold for  $U$ . This contradiction shows that  $\dim U = 1$  and hence the result. □

**Theorem 4.3.** *Let  $F$  be any field of characteristic different from two or three. Let  $U$*

be a proper modular and modular\* subalgebra of a non-solvable Lie algebra  $L$ . Then either  $U$  is a strong ideal or  $L$  is a  $\mu$ -algebra.

**Proof.** If  $U$  is an ideal of  $L$ , then  $U$  is a strong ideal of  $L$  by Proposition 2.4. Then suppose that  $U$  is not an ideal of  $L$ . We have that  $U/U_L$  is a core-free, modular and modular\* subalgebra of  $L/U_L$ . Then, by Proposition 4.2, it follows that  $L/U_L$  is a  $\mu$ -algebra. Assume  $U_L \neq 0$ . By Corollary 2.5,  $U_L$  is abelian and it is supersolvably immersed in  $L$ . Thus we may suppose without loss of generality that  $\dim U_L = 1$ . Put  $U_L = \langle (a) \rangle$ . Let  $u \in U - \langle (a) \rangle$  and  $z \in L - U$ . By the second modular\* identity we have  $\langle (u) \rangle = U \cap \langle u, z \rangle$ . This yields  $U_L \not\leq \langle u, z \rangle$ . Since  $L/U_L$  has no proper subalgebras of dimension greater than one,  $L = U_L + \langle u, z \rangle$ . Therefore,  $\langle u, z \rangle$  is a  $\mu$ -algebra for every  $u \in U - \langle (a) \rangle$  and  $z \in L - U$ . On the other hand, we have that  $u, z$  and  $[u, z]$  act as scalars on  $U_L$  by Proposition 2.4 and Lemma 2.1. Thus  $[u, a] = \lambda a, [z, a] = \theta a$  and  $[[u, z], a] = \rho a$  where  $\lambda, \theta, \rho \in F$ . By the Jacobi identity, we have  $\rho a = [[u, z], a] = [u, [z, a]] + [[u, a], z] = \theta[u, a] + \lambda[a, z] = 0$ . Put  $y = [u, z]$ . We see that  $\langle u, z \rangle = \langle u, y \rangle$  and that  $\langle a + u, y \rangle$  is a  $\mu$ -algebra. Moreover we have  $[u, y] = [a + u, y] \in \langle y, a + u \rangle$ . This yields,  $\langle u, y \rangle = \langle y, [y, u] \rangle \leq \langle a + u, y \rangle$  and hence  $\langle a + u, y \rangle = \langle u, y \rangle$ . So,  $a \in \langle u, y \rangle = \langle u, z \rangle$ , which is a contradiction. Thus  $U_L = 0$  and  $L$  is a  $\mu$ -algebra. The proof is complete.  $\square$

**Corollary 4.4.** Let  $F$  be a perfect field of characteristic  $\neq 2, 3$ . Let  $U$  be a modular and modular\* subalgebra of a non-solvable Lie algebra  $L$ . Then either  $U$  is a strong ideal or  $L$  is three dimensional non-split simple.

Now we are able to determine the nonsolvable Lie algebras having a maximal subalgebra which is modular\*.

**Corollary 4.5.** Let  $F$  be of characteristic  $\neq 2, 3$ . For a nonsolvable Lie algebra  $L$  the following are equivalent:

- (i)  $L$  has a maximal subalgebra which is modular\* in  $L$ .
- (ii)  $L$  is a  $\mu$ -algebra.

**Proof.** (i) implies (ii): Suppose  $M$  is a maximal subalgebra of  $L$  which is modular\* in  $L$ . We have that  $M$  is also modular in  $L$ . So, by Theorem 4.3 it follows that either  $M$  is a strong ideal, or  $L$  is a  $\mu$ -algebra. In the former case, since  $M$  is maximal we have that  $L$  is quasi-abelian by Corollary 2.5, which is a contradiction.

Clearly, (ii) implies (i).  $\square$

As an immediate consequence of the above corollary and Corollary 3.5 we have

**Corollary 4.6.** Let  $F$  be of characteristic  $\neq 2, 3$ . Then every proper subalgebra of a Lie algebra  $L$  which is modular\* must be quasi-abelian.

**Proof.** Let  $U < L$  be modular\* in  $L$ . Take a subalgebra  $S$  of  $L$  containing  $U$  such that  $U$  is maximal in  $S$ . If  $S$  is solvable, then by Corollary 3.5 we have that  $U$  is

quasi-abelian. If  $S$  is nonsolvable, then by Corollary 4.5 it follows that  $S$  is a  $\mu$ -algebra and so  $\dim U = 1$ . The proof is complete.  $\square$

**5. Lie Algebras all of whose maximal subalgebras are modular\***

In this section the ground field is an arbitrary field.

**Theorem 5.1.** *Let  $L$  be a Lie algebra over any field. The following are equivalent:*

- (i) every maximal subalgebra of  $L$  is modular\* in  $L$ ,
- (ii) every subalgebra of  $L$  is modular\* in  $L$ ,
- (iii) every subalgebra of  $L$  is modular in  $L$ ,
- (iv) the lattice  $\mathcal{L}(L)$  of all subalgebras of  $L$  is modular.

**Proof.** (i) implies (ii): By hypothesis, every maximal subalgebra of  $L$  is modular\* in  $L$ . By the height  $h(S)$  of a subalgebra  $S$  of  $L$ , we mean the minimum length  $h$  of chains

$$S = M_h < M_{h-1} < \dots < M_1 < M_0 = L$$

such that  $M_i$  is maximal in  $M_{i-1}$  for every  $1 \leq i \leq h$ . If  $h(S) = 1$ , then  $U$  is maximal in  $L$ . So  $U$  is modular\* in  $L$ . We proceed by induction on  $h$ . Then suppose that every subalgebra of  $L$  having height less than or equal to  $h - 1$  is modular\* in  $L$  and let  $S$  be a subalgebra of  $L$  such that  $h(S) = h$ . Pick  $x \in M_{h-2}, x \notin M_{h-1}$ . Put  $B = \langle(x)\rangle, C = S$  and  $U = M_{h-1}$ . We have  $U \cap B = 0$  and so  $\langle U \cap B, C \rangle = C = S$ . Also, we have  $h(U) \leq h - 1$  and so  $U$  is modular\* in  $L$ , by the inductive hypothesis. By the second modular\* identity, it follows that  $\langle B, C \rangle \cap U = S$ . Now take a maximal subalgebra  $T$  of  $M_{h-2}$  containing  $\langle B, C \rangle$ . We see that  $h(T) \leq h - 1$  and so  $T$  is modular\* in  $L$ . Moreover, we have  $S \leq T \cap M_{h-1} \leq M_{h-1}$ . Since  $S$  is maximal in  $M_{h-1}$ , this yields either  $S = T \cap M_{h-1}$  or  $M_{h-1} \leq T \leq M_{h-2}$ . In the former case, we find that  $S$  is modular\* in  $L$  since so are  $T$  and  $M_{h-1}$  ([3, Lemma 2.3]). In the latter case, we have  $T = M_{h-1}$  since  $M_{h-1}$  is maximal in  $M_{h-2}$  and  $T \neq M_{h-2}$ . But then we get  $x \in T \leq M_{h-1}$ , which is a contradiction.

(ii) implies (iii): Let  $U \leq L$ . To show that  $U$  is modular in  $L$ , we only need to prove that the second modular identity for  $U$  holds. To do that, take a subalgebra  $C$  of  $L$  containing  $U$  and any subalgebra  $B$  of  $L$ . By hypothesis,  $C$  is modular\* in  $L$ . By the second modular\* identity for  $C$ , it follows

$$\langle C \cap B, U \rangle = \langle B, U \rangle \cap C.$$

This is just what we needed to prove.

(iii) implies (ii): It is the dual of (ii) implies (iii). Obviously, (iii) and (iv) are equivalent and (ii) implies (i). The proof is complete.  $\square$

### 6. Lie Algebras all of whose minimal subalgebras are modular\*

We recall that in lattice  $\mathcal{L}$  it said that  $Y$  covers  $X$  if  $X < Y$  and moreover  $X < Z < Y$  is not satisfied by any  $Z$ . A lattice  $\mathcal{L}$  is called upper semimodular if  $X$  covers  $X \cap Y$  then  $X \vee Y$  covers  $Y$ .

By Lemma 2.12 of [3], a minimal subalgebra  $A$  of  $L$  is modular\* if and only if  $S$  is maximal in  $\langle S, A \rangle$  for every subalgebra  $S$  of  $L$  such that  $A \cap S = 0$ . It follows that if every minimal subalgebra of  $L$  is modular\* the the lattice  $\mathcal{L}(L)$  is upper semimodular, by a known result of lattice theory (see [10]).

When  $\text{char}(F) \neq 2, 3$ , the subalgebra lattice of a Lie algebra is upper semimodular if and only if it is modular (see [18]). For fields of characteristic three, the above result is not true in general. Indeed, the example given by Gein in [6, Example 2] shows a Lie algebra over a certain perfect field of characteristic three whose subalgebra lattice is upper semimodular but not modular.

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