

FOUR-DIMENSION EQUIVALENCES

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The object of this paper is to establish the equivalence of four function-related dimension concepts in arbitrary topological spaces. These concepts involve stability of functions (3, p. 74), the modification of covering dimension involving basic covers (1, p. 243) (which is equivalent to Yu. M. Smirnov's definition using normal covers), the definition involving essential mappings (2, p. 496), and a modification of the closed set separation characterization of dimension in (3, p. 35).

In the following, as convenience demands, I^{n+1} will denote any of the sets $\{x \in E^{n+1}: |x| \leq 1\}$, $\{x \in E^{n+1}: -1 \leq x_i \leq 1, i = 1, \dots, n+1\}$, or the unit $(n+1)$ -simplex in E^{n+2} , and S^n will correspondingly be $\{x \in E^{n+1}: |x| = 1\}$, $\{x \in E^{n+1}: |x_i| = 1, \text{ for some } i\}$, or the union of the n -faces of the unit $(n+1)$ -simplex. The reader is referred to (1) for the terminology and the elementary properties of zero and cozero sets.

Definition 1. For the topological space X , take $d_1(X)$ to be the least integer n such that for each mapping $f: X \rightarrow I^{n+1}$ and each $y \in I^{n+1}$ y is an unstable value of f (i.e. for each $\epsilon > 0$ there is a mapping $g: X \rightarrow I^{n+1}$ such that $|f - g| < \epsilon$ and $y \notin g(X)$).

Definition 2. Let $d_2(X)$ be the least integer n such that every basic cover of X has a basic refinement of order $\leq n$.

Definition 3. Let $d_3(X)$ be the least integer n such that for each mapping $f: X \rightarrow I^{n+1}$ there is a mapping $F: X \rightarrow S^n$ such that $F(x) = f(x)$ for $x \in f^{-1}(S^n)$.

Definition 4. Let $d_4(X)$ denote the least integer n such that given $n+1$ disjoint pairs $C_i, C'_i, i = 1, \dots, n+1$, of zero sets of X there exist $n+1$ zero sets A_i such that C_i and C'_i are separated in $X - A_i$, and

$$A_1 \cap \dots \cap A_{n+1} = \emptyset.$$

The functions d_2 and d_4 are easily seen to be equivalent in normal spaces to their associated dimension functions referred to in the first paragraph. The equivalence of d_2, d_3 , and d_4 in normal Hausdorff spaces is therefore a consequence of results of Hemmingsen (2). The equivalence of d_2 and d_3 has been established by Smirnov (4, p. 19) for completely regular spaces.

THEOREM. *In a topological space X , $d_1(X) = d_2(X) = d_3(X) = d_4(X)$.*

Proof. $d_1(X) \geq d_3(X)$. Let $d_1(X) = n$, and let f be a mapping from X to I^{n+1} , and let $C = f^{-1}(S^n)$. As $d_1(X) = n$ there is a mapping $g: X \rightarrow I^{n+1}$ such that

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$|f(x) - g(x)| < \frac{1}{4}$, $x \in X$, and $g(X)$ does not contain the origin. $C_1 = \{x \in X: |g(x)| \geq \frac{3}{4}\}$ and $C_2 = \{x \in X: |g(x)| \leq \frac{1}{4}\}$ are disjoint zero sets of X and hence are completely separated. Let $k: X \rightarrow [0, 1]$ be a mapping which is 1 on C_1 and 0 on C_2 , and let $f'(x) = k(x)f(x) + (1 - k(x))g(x)$, for $x \in X$. $f'(X)$ does not contain the origin and $f' = f$ on C . If $F(x)$ is taken as the radial projection of $f'(x)$ onto S^n , then $F: X \rightarrow S^n$ is continuous and agrees with f on C .

$d_3(X) \geq d_2(X)$. The proof is identical with that in Theorem 3.1b (2, p. 499), as the refining cover obtained in that proof is basic.

$d_2(X) \geq d_1(X)$. Let $d_2(X) = n$ and f be a mapping from X into I^{n+1} (the unit $(n + 1)$ -simplex). It is sufficient to show that, for $\epsilon > 0$, there is an ϵ -approximation g to f such that $g(X)$ does not contain the barycentre q of I^{n+1} .

Let K be the complex consisting of the faces of I^{n+1} and let m be an integer sufficiently large to have the mesh of $Sd^m K < \epsilon/2$. There is a homeomorphism h defined on I^{n+1} which is composed of a radial shrinking of I^{n+1} about q followed by a translation, so that q is not in $h(s)$ for any n -simplex $s \in Sd^m K$, so that the simplex $h(I^{n+1}) \subset I^{n+1}$, and so that $|h(x) - x| < \epsilon/2$, for $x \in I^{n+1}$. Let L denote the complex composed of the faces of the simplex $h(I^{n+1})$. Then q is in no n -simplex of $Sd^m L$ and mesh $Sd^m L < \epsilon/2$. Let p_1, \dots, p_j denote the vertices of $Sd^m L$.

$\{V_1, \dots, V_j\}$, where $V_i = (hf)^{-1}(\text{star } p_i)$, is a basic cover of X and hence has a basic refinement of order $\leq n$. If W_1 is the union of the elements of this refinement contained in V_1 , and $W_i, i = 2, \dots, j$, is the union of those elements of the refinement in V_i but not in any of V_1, \dots, V_{i-1} , then $\{W_1, \dots, W_j\}$ is a basic cover of order $\leq n$ with each $W_i \subset V_i$. There exist mappings $g_i: X \rightarrow [0, 1]$ such that $g_i^{-1}(0) = X - W_i, i = 1, \dots, j$, and

$$\sum_{i=1}^j g_i(x) = 1, \quad \text{for } x \in X.$$

Let $g: X \rightarrow I^{n+1}$ be defined by

$$g(x) = \sum_{i=1}^j g_i(x)p_i, \quad x \in X.$$

Then g is continuous. For $x \in X, g_i(x) \neq 0$ for at most $n + 1$ subscripts i , and $g(x)$ is contained in some n -simplex of $Sd^m L$ having the corresponding p_i 's as vertices since $hf(x)$ is common to the stars of these vertices. Thus $g(x) \neq q$ for any $x \in X$ and $|g(x) - hf(x)| < \epsilon/2$. Therefore

$$|g(x) - f(x)| \leq |g(x) - hf(x)| + |hf(x) - f(x)| < \epsilon.$$

$d_3(X) \geq d_4(X)$. A minor modification of the proof by Hemmingsen of Theorem 6.1 (2, pp. 502f.) yields this result since disjoint zero sets are completely separated.

$d_4(X) \geq d_1(X)$. Assume that $d_4(X) = n$. Let f , a mapping from X to I^{n+1} , and $\epsilon > 0$ be given.

$$C_i = f^{-1}\{x \in I^{n+1}: x_i \geq \epsilon_0\} \quad \text{and} \quad C'_i = f^{-1}\{x \in I^{n+1}: x_i \leq -\epsilon_0\},$$

where $\epsilon_0 = \epsilon/\{2(n+1)\}$, are disjoint zero sets of X for $i = 1, \dots, n+1$. Hence there exist $n+1$ zero sets A_i , with

$$\bigcap_{i=1}^{n+1} A_i = \emptyset,$$

such that for each i there is a separation $X - A_i = U_i \cup U'_i$, where $C_i \subset U_i$ and $C'_i \subset U'_i$.

As A_k and

$$C_k \cup C'_k \cup \bigcap_{\substack{i=1 \\ i \neq k}}^{n+1} A_i$$

are disjoint zero sets, for each $k = 1, \dots, n+1$, there exists a mapping $h_k: X \rightarrow [0, \epsilon_0]$ with $A_k = h_k^{-1}(0)$ and

$$C_k \cup C'_k \cup \bigcap_{\substack{i=1 \\ i \neq k}}^{n+1} A_i = h_k^{-1}(\epsilon_0).$$

Let $g_k: X \rightarrow [-\epsilon_0, \epsilon_0]$ be defined by: $g_k|U_k = h_k|U_k, g_k|U'_k = -h_k|U'_k$, and $g_k|A_k = 0$. Since g_k is continuous on each of the closed sets $U_k \cup A_k$ and $U'_k \cup A_k$, it is continuous on X . Note that $A_k = g_k^{-1}(0)$ for each $k = 1, \dots, n+1$.

For $i = 1, \dots, n+1$, let f_i denote the i th component of f and define $g'_i: X \rightarrow [-1, 1]$ by

$$g'_i|(C_i \cup C'_i) = f_i|(C_i \cup C'_i)$$

and

$$g'_i|(X - (C_i \cup C'_i)) = g_i|(X - (C_i \cup C'_i)).$$

Since f_i and g_i have the same value on the boundary of $C_i \cup C'_i$, g'_i is continuous. Then $g: X \rightarrow I^{n+1}$, having the g'_i as components, is continuous and since

$$\bigcap_{i=1}^{n+1} A_i = \emptyset,$$

$g(X)$ does not contain the origin. Also

$$|f(x) - g(x)| \leq \sum_{i=1}^{n+1} |f_i(x) - g'_i(x)| < (n+1)(2\epsilon_0) = \epsilon, \quad \text{for all } x \in X.$$

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