

## MODULAR REPRESENTATIONS OF ABELIAN GROUPS WITH REGULAR RINGS OF INVARIANTS

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### §1. Introduction

Let  $k$  be a field of characteristic  $p$  and  $G$  a finite subgroup of  $GL(V)$  where  $V$  is a finite dimensional vector space over  $k$ . Then  $G$  acts naturally on the symmetric algebra  $k[V]$  of  $V$ . We denote by  $k[V]^G$  the subring of  $k[V]$  consisting of all invariant polynomials under this action of  $G$ . The following theorem is well known.

**THEOREM 1.1** (Chevalley-Serre, cf. [1, 2, 3]). *Assume that  $p = 0$  or  $(|G|, p) = 1$ . Then  $k[V]^G$  is a polynomial ring if and only if  $G$  is generated by pseudo-reflections in  $GL(V)$ .*

Now we suppose that  $|G|$  is divisible by the characteristic  $p (> 0)$ . Serre gave a necessary condition for  $k[V]^G$  to be a polynomial ring as follows.

**THEOREM 1.2** (Serre, cf. [1, 3]). *If  $k[V]^G$  is a polynomial ring, then  $G$  is generated by pseudo-reflections in  $GL(V)$ .*

But the ring  $k[V]^G$  of invariants is not always a polynomial ring, when  $G$  is generated by pseudo-reflections in  $GL(V)$  (cf. [1, 3]).

In this paper we shall completely determine abelian groups  $G$  such that  $F_p[V]^G$  are polynomial rings ( $F_p$  is the field of  $p$  elements). Our main result is

**THEOREM 1.3.** *Let  $V$  be a vector space over  $F_p$  and  $G$  an abelian group generated by pseudo-reflections in  $GL(V)$ . Let  $G_p$  denote the  $p$ -part of  $G$  and assume that  $G_p \neq \{1\}$ . Then the following statements on  $G$  are equivalent:*

- (1)  $F_p[V]^G$  is a polynomial ring.
- (2) The natural  $F_p G_p$ -module  $V$  defines a couple  $(V, G_p)$  which decomposes to one dimensional submodules (for definitions, see § 2).

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The computation of invariants of elementary abelian  $p$ -groups  $G$  plays an essential role in the proof of this theorem. Therefore we need to study the structure of  $F_p G$ -modules  $V$  such that  $F_p[V]^G$  are polynomial rings under some additional hypothesis (see § 3). In § 4 our main result shall be reduced to (3.2).

Hereafter  $k$  stands for the prime field of characteristic  $p > 0$  and without specifying we assume that all vector spaces are defined over  $k$ .

§ 2. Preliminaries

An element  $\sigma$  of  $GL(V)$  is said to be a *pseudo-reflection* if  $\dim(1-\sigma)V \leq 1$ . We say that a graded ring  $R = \bigoplus_{n \geq 0} R_n$  is *defined over* a field  $K$ , when  $R_0 = K$  and  $R$  is a finitely generated  $K$ -algebra. It is well known that  $R$  is a polynomial ring over  $K$  if  $R$  is regular at the homogeneous maximal ideal  $\bigoplus_{n > 0} R_n$ . For a subset  $A$  of a ring  $R$ ,  $\langle A \rangle_R$  denotes the ideal of  $R$  generated by  $A$ . To simplify our notation we put  $\langle A \rangle = \langle A \rangle_{k[V]}$  if  $A$  is a subset of the fixed  $k$ -space  $V$  (for a subset  $B$  of a group,  $\langle B \rangle$  means the subgroup generated by  $B$ ).

PROPOSITION 2.1. *Let  $G$  be an abelian group generated by pseudo-reflections in  $GL(V)$  and let  $G_p$  denote the  $p$ -part of  $G$ . Then  $k[V]^G$  is a polynomial ring if and only if  $k[V]^{G_p}$  is a polynomial ring.*

*Proof.* Let  $\bar{k}$  be the algebraic closure of  $k$  and let  $G_{p'}$  be the  $p'$ -part of  $G$ . Since  $G$  is an abelian group generated by pseudo-reflections in  $GL(\bar{k} \otimes_k V)$ , we can immediately find a  $\bar{k}G_{p'}$ -submodule  $V_p$  and a  $\bar{k}G_p$ -submodule  $V_{p'}$  such that  $V_p \subseteq (\bar{k} \otimes_k V)^{G_{p'}}$ ,  $V_{p'} \subseteq (\bar{k} \otimes_k V)^{G_p}$  and  $\bar{k} \otimes_k V = V_p \oplus V_{p'}$ . Therefore

$$\bar{k} \otimes_k k[V]^G \cong \bar{k}[\bar{k} \otimes_k V]^G \cong \bar{k}[V_p]^{G_p} \otimes_{\bar{k}} \bar{k}[V_{p'}]^{G_{p'}}$$

and  $\bar{k}[V_{p'}]^{G_{p'}}$  is a polynomial ring. The assertion follows from these facts, because  $k[V]^G$  and  $\bar{k}[V_p]^{G_p}$  are graded algebras defined over fields.

PROPOSITION 2.2. *If  $G$  is an abelian  $p$ -group generated by pseudo-reflections in  $GL(V)$ , then  $V/V^G$  is a trivial  $kG$ -module (i.e.  $G$  acts trivially on  $V/V^G$ ).*

*Proof.* Let  $\sigma \in G - \{1\}$  be a pseudo-reflection and choose  $Z \in V$  to satisfy  $(1 - \sigma)V = kZ$ . Clearly it suffices to prove that  $Z \in V^G$ . Since  $G$

is abelian,  $\tau(kZ) = (1 - \sigma)\tau(V) = kZ$  for any element  $\tau$  of  $G$ . Hence the map  $\chi: G \rightarrow k^*$  defined by

$$\tau \longmapsto \frac{\tau^{-1}(Z)}{Z}$$

is a group homomorphism, where  $k^*$  is the unit group of  $k$ . But we have  $\text{Hom}(G, k^*) = \{1\}$ , as  $G$  is a  $p$ -group. This implies that  $Z \in V^G$ .

$(V, G)$ , which is called a *couple*, stands for a pair of a group  $G$  and a  $G$ -faithful  $kG$ -module  $V$  such that  $V/V^G$  is a nonzero trivial  $kG$ -module (in this case  $G$  is an elementary abelian  $p$ -group). The *dimension* of  $(V, G)$  is defined to be  $\dim V/V^G$ . We say  $(U, H)$  is a *subcouple* of  $(V, G)$  if  $H$  is a subgroup of  $G$  and  $U$  is a  $kH$ -submodule of  $V$ . Let us associate  $(V, G)$  with the subspace

$$\mathcal{A}(V, G) = \sum_{\sigma \in G} (1 - \sigma)V$$

of  $V^G$  and the subring  $\mathcal{Q}(V, G)$  which is the image of the canonical ring homomorphism

$$k[V]^G / \langle V^G \rangle^G \longrightarrow k[V/V^G].$$

LEMMA 2.3. *For any couple  $(V, G)$  the  $k$ -algebra  $\mathcal{Q}(V, G)$  is a polynomial ring.*

*Proof.* Putting

$$R = \bar{k}[\bar{k} \otimes_k V]^G / (\langle \bar{k} \otimes_k V^G \rangle_{\bar{k}[\bar{k} \otimes_k V]^G})^G,$$

we see that

$$R \cong \bar{k} \otimes_k \mathcal{Q}(V, G)$$

as graded algebras defined over  $\bar{k}$ . Let  $\mathfrak{M}_i$  ( $i = 1, 2$ ) be maximal ideals of  $\bar{k}[\bar{k} \otimes_k V]$  which contain the ideal  $\langle \bar{k} \otimes_k V^G \rangle_{\bar{k}[\bar{k} \otimes_k V]}$ . Then, by the definition of a couple, we can select a coordinate transform

$$\rho: \bar{k}[\bar{k} \otimes_k V] \longrightarrow \bar{k}[\bar{k} \otimes_k V]$$

sending  $\mathfrak{M}_1$  to  $\mathfrak{M}_2$  which commutes with the action of  $G$ . The contractions of  $\mathfrak{M}_i$  ( $i = 1, 2$ ) to  $\bar{k}[\bar{k} \otimes_k V]^G$  define maximal ideals  $\mathfrak{N}_i$  of  $R$  respectively and the transform  $\varphi$  induces  $R_{\mathfrak{N}_1} \simeq R_{\mathfrak{N}_2}$ . Hence we conclude that  $R$  is regular, because it is an affine domain. From this  $\mathcal{Q}(V, G)$  is a polynomial ring.

We say that  $(V, G)$  decomposes to subcouples  $(V_i, G_i)$  ( $1 \leq i \leq m$ ) if  $G = \bigoplus_{1 \leq i \leq m} G_i$ ,  $V^\alpha \subseteq V_i \subseteq V^{\alpha_j}$  for all  $1 \leq i, j \leq m$  with  $i \neq j$  and

$$V/V^\alpha \left( = \sum_{1 \leq i \leq m} V_i/V^\alpha \right) = \bigoplus_{1 \leq i \leq m} V_i/V^\alpha.$$

The set consisting of these subcouples is called a *decomposition* of  $(V, G)$ . Further  $(V, G)$  is defined to be *decomposable*, when it has a decomposition  $\{(V_i, G_i): 1 \leq i \leq m\}$  with  $m \geq 2$ .

**PROPOSITION 2.4.** *Let  $(V, G)$  be a couple which decomposes to subcouples  $(V_i, G_i)$  ( $1 \leq i \leq m$ ). Then the following conditions are equivalent:*

- (1)  $k[V]^\alpha$  is a polynomial ring.
- (2)  $k[V_i]^{G_i}$  ( $1 \leq i \leq m$ ) are polynomial rings.

*Proof.* Suppose that  $k[V]^\alpha$  is a polynomial ring. Since  $k[V]^\alpha$  contains  $k[V_i]^{G_i}$ , the canonical  $kG_i$ -epimorphism  $V \rightarrow V_i$  induces a graded epimorphism

$$\psi_i: k[V]^\alpha \longrightarrow k[V_i]^{G_i}.$$

Clearly  $V^\alpha = V_i^{G_i}$  and  $\psi_i(\langle V^\alpha \rangle) = \langle V_i^{G_i} \rangle_{k[V_i]^{G_i}}$ . Hence  $\langle V^\alpha \rangle = \langle V_i^{G_i} \rangle_{k[V]^\alpha}$  implies

$$\langle V_i^{G_i} \rangle_{k[V_i]^{G_i}} = \langle V_i^{G_i} \rangle_{k[V_i]^{G_i}}.$$

By (2.3) we see that  $\mathcal{Q}(V_i, G_i)$  are polynomial rings and therefore  $k[V_i]^{G_i}$  ( $1 \leq i \leq m$ ) are also polynomial rings. Conversely we assume the condition (2). Denote by  $n_i$  the dimension of  $(V_i, G_i)$  ( $1 \leq i \leq m$ ) and let  $f_{ij}$  ( $1 \leq j \leq n_i$ ) be homogeneous polynomials in  $k[V_i]$  such that  $k[V_i]^{G_i} = k[V_i]^{G_i}[f_{i1}, \dots, f_{in_i}]$  ( $1 \leq i \leq m$ ). Then it follows easily that  $k[V]^\alpha = k[V^\alpha][f_{ij}: 1 \leq i \leq m, 1 \leq j \leq n_i]$ .

For a one dimensional couple  $(V^\alpha \oplus kX, G)$  we call

$$F(X) = \prod_{\sigma \in G} \sigma(X)$$

the *canonical  $(V^\alpha \oplus kX, G)$ -invariant on  $X$* .  $F(X)$  satisfies the identity

$$F(Y_1 + Y_2) = F(Y_1) + F(Y_2).$$

Clearly we must have  $k[V^\alpha \oplus kX]^\alpha = k[V^\alpha][F(X)]$  and hence

**COROLLARY 2.5.** *If a couple  $(V, G)$  decomposes to one dimensional subcouples, then  $k[V]^\alpha$  is a polynomial ring.*

**PROPOSITION 2.6.** *Let  $G$  be a subgroup of  $GL(V)$  and let  $H$  be the*

inertia group of a prime ideal  $\mathfrak{P}$  of  $k[V]$  under the natural action of  $G$ . If  $k[V]^G$  is a polynomial ring, then  $k[V]^H$  is also a polynomial ring.

This proposition is almost evident.

LEMMA 2.7. Let  $(V, G)$  be a couple with  $\dim V^G = 1$  and suppose that  $\{X_i : 0 \leq i \leq m\}$  is a  $k$ -basis of  $V$  with  $V^G = kX_0$ . Further, for non-negative integers  $t(i)$  ( $1 \leq i \leq m$ ), let  $R$  be the graded polynomial subalgebra  $k[X_0, X_1^{p^{t(1)}}, \dots, X_m^{p^{t(m)}}]$  of  $k[V]$ . Then  $R^G$  is a polynomial ring and we can effectively determine a regular system of homogeneous parameters of  $R^G$ .

Proof. We prove this by induction on  $|G|$  and may assume that

$$\begin{aligned} t(1) &= \dots < \dots = t(m_{i-1}) < t(m_{i-1} + 1) \\ &= t(m_{i-1} + 2) \dots = t(m_i) < \dots < \dots = t(m_n) \end{aligned}$$

where  $m_n$  is equal to  $m$ . Let us put

$$U_i = \bigoplus_{0 \leq j \leq m_i} kX_j^{p^{t(m_i)}}$$

and

$$U'_i = U_i \oplus \bigoplus_{m_{i-1} < j \leq m_i} kX_j^{p^{t(m_i)}}$$

respectively and moreover define  $G_1$  to be the stabilizer of  $G$  at  $U_1$ . Then there is a subgroup  $G_2$  such that  $G = G_1 \oplus G_2$ . Because  $U_i$  is a  $G_2$ -faithful  $kG_2$ -module with  $(G_2 - 1)U_i = kX_0^{p^{t(m_i)}}$ , we deduce that the natural short exact sequence

$$0 \longrightarrow U_i \longrightarrow U'_i \longrightarrow \bigoplus_{m_{i-1} < j \leq m_i} kX_j^{p^{t(m_i)}} \text{ mod } U_i \longrightarrow 0$$

of  $kG$ -modules is  $G_2$ -split. Therefore we may suppose that  $X_j^{p^{t(m_i)}}$  ( $2 \leq i \leq n$ ;  $m_{i-1} < j \leq m_i$ ) are invariants of  $G_2$ . On the other hand we can effectively determine homogeneous polynomials  $f_i$  ( $1 \leq i \leq m_1$ ) which satisfy  $k[U_1]^{G_2} = k[X_0^{p^{t(m_1)}}, f_1, \dots, f_{m_1}]$ . Hence it follows that  $R^G = S^{G_1}[f_1, \dots, f_{m_1}]$  where  $S = k[X_0][X_j^{p^{t(m_i)}} : 2 \leq i \leq n, m_{i-1} < j \leq m_i]$ . Then the assertion is shown from the induction hypothesis.

When  $W$  is a  $kH$ -submodule of  $U$  for a subgroup  $H$  of  $GL(U)$ , we denote by  $H(W)$  the kernel of the canonical homomorphism  $H \rightarrow GL(U/W)$ .

PROPOSITION 2.8. Let  $(V, G)$  be a couple such that  $k[V]^G$  is a polynomial ring. Then we can effectively determine a regular system of homogeneous parameters of  $\mathcal{Q}(V, G)$ .

*Proof.* Let

$$0 = W_0 \subseteq W_1 \subseteq \dots \subseteq W_d = V^G$$

be an ascending chain of subspaces with  $\dim W_i/W_{i-1} = 1$ . Put  $R_0 = k[V]$  and define

$$R_i = R_{i-1}^{G_i}/W_i R_{i-1}^{G_i} \quad (1 \leq i \leq d)$$

inductively where  $G_i$  denotes  $G(W_i)$ . Then obviously the natural map

$$\mathcal{Q}(V, G) \longrightarrow R_d$$

is an isomorphism, because, by (2.6),  $k[V]^{G_i}$  ( $1 \leq i \leq d$ ) are polynomial rings. Hence this proposition follows from (2.7).

LEMMA 2.9. *Let  $(V, G)$  be a one dimensional couple and suppose that  $\{X, T_1, \dots, T_d\}$  is a  $k$ -basis of  $V$  with  $V^G = \bigoplus_{1 \leq i \leq d} kT_i$ . Further let  $F(X)$  denote the canonical  $(V, G)$ -invariant on  $X$ . If  $\bigoplus_{i \neq 1} kT_i \not\supseteq \mathcal{A}(V, G)$  and  $\bigoplus_{i \neq 2} kT_i \supseteq \mathcal{A}(V, G)$ , then we have  $F(T_1) \in \langle T_2, T_3, \dots, T_d \rangle$  and*

$$F(X) \equiv X^{p^u} - T_1^{p^u - p^{u-1}} X^{p^{u-1}} \pmod{\langle T_3, T_4, \dots, T_d \rangle}$$

where  $p^u = |G|$ .

*Proof.* Choose a  $k$ -basis  $\{Z_j : 1 \leq j \leq u\}$  of  $\mathcal{A}(V, G)$  such that  $Z_1 \equiv T_1 \pmod{\bigoplus_{i \neq 1} kT_i}$  and  $\bigoplus_{i \neq 1} kT_i \supseteq \{Z_2, Z_3, \dots, Z_u\}$ . Putting  $F_1(X) = X^p - Z_u^{p-1} X$ , we inductively define

$$F_{i+1}(X) = F_i(X)^p - F_i(Z_{u-i})^{p-1} F_i(X) \quad (i < u).$$

Then there exist elements  $\sigma_i$  ( $1 \leq i \leq u$ ) in  $G$  which satisfy  $(\sigma_i - 1)X = Z_i$  and therefore we must have  $F(X) = F_u(X)$ . From this we deduce that

$$\begin{aligned} F(T_1) &= F_{u-1}(T_1)^p - F_{u-1}(Z_1)^{p-1} F_{u-1}(T_1) \\ &\equiv 0 \pmod{\langle T_2, T_3, \dots, T_d \rangle} \end{aligned}$$

and

$$\begin{aligned} F(X) &= F_{u-1}(X)^p - F_{u-1}(Z_1)^{p-1} F_{u-1}(X) \\ &\equiv X^{p^u} - T_1^{p^u - p^{u-1}} X^{p^{u-1}} \pmod{\langle T_3, T_4, \dots, T_d \rangle}, \end{aligned}$$

since  $Z_1 \equiv T_1 \pmod{\bigoplus_{3 \leq i \leq d} kT_i}$  and  $F_{u-1}(X) \equiv X^{p^{u-1}} \pmod{\langle T_3, T_4, \dots, T_d \rangle}$ .

Let  $\mathcal{D} = \{(V^G \oplus W_i, G_i) : 1 \leq i \leq m\}$  be a decomposition of  $(V, G)$  and put  $\text{supp}_{\mathcal{D}} L = \{i_0 : V^G \oplus \bigoplus_{i \neq i_0} W_i \not\supseteq L\}$  for a subset  $L$  of  $V$ . Let us consider an element  $\theta$  of  $GL(V)$  with the property that  $V^{(\theta)} \supseteq V^G$ . We say  $\theta$  is

$\mathcal{D}$ -admissible if  $G$  contains some subgroups  $G'_i$  ( $1 \leq i \leq m$ ) which give another decomposition  $\mathcal{D}' = \{(V^G \oplus \theta(W_i), G'_i): 1 \leq i \leq m\}$  of  $(V, G)$ . In the case of  $\dim W_i = 1$  the transform  $\theta$  is characterized by

PROPOSITION 2.10. *If  $W_i = kX_i$  ( $1 \leq i \leq m$ ) then the following conditions are equivalent:*

- (1)  $\theta$  is  $\mathcal{D}$ -admissible.
- (2) *There is a permutation  $\pi$  on  $\{1, 2, \dots, m\}$  such that  $|G_i| = |G_{\pi(i)}|$ ,  $\mathcal{A}(V^G \oplus W_{\pi(i)}, G_{\pi(i)}) \cong \mathcal{A}(V^G \oplus W_j, G_j)$  ( $j \in \text{supp}_\theta \theta(W_i)$ ) and  $\pi(i) \in \text{supp}_\theta \theta(W_i)$  for  $1 \leq i \leq m$ .*

*Proof.* Suppose that the condition (2) is satisfied and let  $G'_{i_0}$  be

$$\{\tau \in GL(V): V^{\langle \tau \rangle} \cong V^G \oplus \bigoplus_{i \neq i_0} \theta(W_i) \text{ and } \mathcal{A}(V^G \oplus W_{\pi(i_0)}, G_{\pi(i_0)}) \cong (1 - \tau)V\}$$

for  $1 \leq i_0 \leq m$ . Furthermore set

$$J = \{i: \mathcal{A}(V^G \oplus W_i, G_i) \cong \mathcal{A}(V^G \oplus W_{\pi(i_0)}, G_{\pi(i_0)})\}$$

and

$$J' = \{i: \mathcal{A}(V^G \oplus W_i, G_i) = \mathcal{A}(V^G \oplus W_{\pi(i_0)}, G_{\pi(i_0)})\} .$$

Since  $G'_{i_0} \neq \{1\}$ , we pick up any element  $\sigma$  from  $G'_{i_0} - \{1\}$ . Then, for each  $j \in J$ , we can choose  $\tau_j \in G_j$  with  $(1 - \tau_j)V = (1 - \sigma)V$ . Clearly there are integers  $0 \leq \mu(j) < p$  ( $j \in J'$ ) such that

$$\left(1 - \prod_{j \in J'} \tau_j^{\mu(j)}\right)\theta(X_i) = (1 - \sigma)\theta(X_i)$$

for  $\pi(i) \in J'$ . Further let us define integers  $0 \leq \mu(j) < p$  ( $j \in J - J'$ ) to satisfy

$$\prod_{j \in J} \tau_j^{\mu(j)}\theta(X_i) = \theta(X_i) \quad (\pi(i) \in J - J') .$$

Consequently we see that

$$\left(1 - \prod_{j \in J} \tau_j^{\mu(j)}\right)\theta(X_i) = (1 - \sigma)\theta(X_i) \quad (1 \leq i \leq m) ,$$

which yields

$$\sigma = \prod_{j \in J} \tau_j^{\mu(j)} .$$

Thus the couple  $(V, G)$  decomposes to  $(V^G \oplus \theta(W_i), G'_i)$  ( $1 \leq i \leq m$ ) since  $G \cong G'_i$  and  $|G_i| = |G'_i|$  ( $1 \leq i \leq m$ ).

Conversely assume that  $(V, G)$  has another decomposition  $\mathcal{D}' = \{(V^g \oplus \theta(W_i), G'_i) : 1 \leq i \leq m\}$  and let  $f_i(\theta(X_i))$  be the canonical  $(V^g \oplus \theta(W_i), G'_i)$ -invariant on  $\theta(X_i)$ . If

$$\theta(X_i) = \sum_{1 \leq j \leq m} a_{ij} X_j$$

for some  $a_{ij} \in k$ , we have

$$f_i(\theta(X_i)) = \sum_{1 \leq j \leq m} a_{ij} f_i(X_j).$$

Select a subgroup  $H_{ij}$  of  $GL(V^g \oplus W_j)$  such that  $k[V^g \oplus W_j]^{H_{ij}} = k[V^g][f_i(X_j)]$ . Then the natural  $kH_{ij}$ -module  $V^g \oplus W_j$  defines a couple which satisfies that  $\mathcal{A}(V^g \oplus W_j, H_{ij}) = \mathcal{A}(V^g \oplus \theta(X_i), G'_i)$ . On the other hand  $f_i(\theta(X_i))$  can be expressed as

$$f_i(\theta(X_i)) = \sum_{1 \leq j \leq m} a_{ij} h_{ij} + g_i$$

for  $g_i \in \langle V^g \rangle_{k[V^g]}$  and  $h_{ij} \in k[V^g \oplus W_j]^{G_j}$  where each  $h_{ij}$  is monic as a polynomial of  $X_j$ . Therefore the canonical  $(V^g \oplus W_j, G_j)$ -invariant  $F_j(X_j)$  on  $X_j$  divides  $f_i(X_j)$  in  $k[V^g \oplus W_j]$  ( $j \in \text{supp}_\theta \theta(X_i)$ ). From this we must have  $\mathcal{A}(V^g \oplus \theta(W_i), G'_i) \cong \mathcal{A}(V^g \oplus W_j, G_j)$  ( $j \in \text{supp}_\theta \theta(X_i)$ ) for  $1 \leq i \leq m$ . The remainder of (2) follows directly from the equality

$$k[V^g][F_1(X_1), \dots, F_m(X_m)] = k[V^g][f_1(\theta(X_1)), \dots, f_m(\theta(X_m))].$$

We say that  $(V, G)$  is *homogeneous* when  $\mathcal{Q}(V, G)$  is homogeneous concerning the natural graduation induced from that of  $k[V]$  (i.e.  $\mathcal{Q}(V, G)$  is generated by some homogeneous part as a  $k$ -algebra). A couple  $(V, G)$  is defined to be *quasi-homogeneous* if there is a subspace  $W$  of  $V^g$  with  $\text{codim}_{V^g} W = 1$  such that  $G(W) = \{1\}$  or  $(V, G(W))$  is a homogeneous subcouple which satisfies  $\dim(V, G) = \dim(V, G(W))$ .

### § 3. Computation of invariants

Let  $(V^g \oplus kX_i, H_i)$  ( $1 \leq i \leq m$ ) be subcouples of  $(V, G)$  with

$$\dim(V^g + \sum_{1 \leq i \leq m} kX_i) = m + \dim V^g$$

such that  $V^{H_j} \ni X_i$  ( $i \neq j$ ) and  $G(W) = \bigoplus_{1 \leq i \leq m} H_i$  for a subspace  $W$  of  $V^g$  with  $\text{codim}_{V^g} W = 1$ . We define  $Z, T_i$  and  $W_j$  to satisfy  $V^g = W \oplus kZ, W = \bigoplus_{1 \leq i \leq m} kT_i$  and  $kX_j = W_j$  ( $1 \leq j \leq m$ ) respectively.  $F_i = F_i(X_i)$  denotes the canonical  $(V^g \oplus W_i, H_i)$ -invariant on  $X_i$ . For any  $n$  and  $c = (c_1, \dots, c_n) \in \mathbf{Z}^n$ , let  $\|c\|$  denote the sum  $\sum_{1 \leq i \leq n} c_i$  and  $\{e_i : 1 \leq i \leq n\}$  be the standard

basis of  $\mathbf{Z}^n$  ( $\mathbf{Z}$  is the set of all integers). Further we suppose that there are pseudo-reflections  $\sigma_j \in G - G(W)$  ( $1 \leq j \leq m$ ) with  $[\lambda_{ij}] \in GL_m(k)$  where

$$\lambda_{ij} = \frac{(\sigma_j - 1)X_i \text{ mod } W}{Z \text{ mod } W}.$$

LEMMA 3.1. *Let  $R$  be a subalgebra of  $k[V]^G$  which contains  $k[V^G]$ . Assume that  $F_1^{c_1}F_2^{c_2} \dots F_m^{c_m}$  ( $0 \leq c_i < p$ ) are linearly independent over  $R$  and let  $g_1$  be an element of the  $R$ -module*

$$\bigoplus_{c \in \Gamma} RF_1^{c_1}F_2^{c_2} \dots F_m^{c_m}$$

where  $\Gamma = \{c = (c_1, \dots, c_m) \in \mathbf{Z}^m : 0 \leq c_i < p \text{ and } \|c\| > 1\}$ . Then  $g_1 = 0$  if  $g_1 + g_2 \in k[V]^G$  for a polynomial  $g_2 \in k[V]$  with  $(\sigma_j - 1)g_2 \in R$  ( $1 \leq j \leq m$ ).

*Proof.* For  $\gamma = (\gamma_1, \dots, \gamma_m) \in \mathbf{Z}^m$  with  $0 \leq \gamma_i < p$  let

$$\Psi_\gamma : \bigoplus_{0 \leq c_i < p} RF_1^{c_1}F_2^{c_2} \dots F_m^{c_m} \longrightarrow RF_1^{\gamma_1}F_2^{\gamma_2} \dots F_m^{\gamma_m}$$

denote the canonical projection. Choose an element  $\xi = (\xi_1, \dots, \xi_m) \in \Gamma$  such that  $\Psi_\gamma(g_1) = 0$  at each  $\gamma \in \Gamma$  with  $\|\gamma\| > \|\xi\|$ . We may assume that  $\xi_1 > 0$ . Besides we define  $\eta = (\eta_1, \dots, \eta_m)$  as  $\xi - e_1$  and put  $\partial_i \eta = \eta + e_i$  ( $1 \leq i \leq m$ ). Then clearly

$$\Psi_\gamma((\sigma_j - 1)g_1) = \Psi_\gamma((1 - \sigma_j)g_2) = 0,$$

because  $(\sigma_j - 1)g_2 \in R$  and  $\eta \neq 0$ . Further, as

$$(\sigma_j - 1)F_i(X_i) = F_i((\sigma_j - 1)X_i) \in k[V^G]$$

and  $k[V]^G \supseteq R$ , we have

$$\begin{aligned} (0 =) \Psi_\gamma((\sigma_j - 1)g_1) &= \sum_{\substack{\gamma \in \Gamma \\ \|\gamma\| \leq \|\eta\| + 1}} \Psi_\gamma((\sigma_j - 1)\Psi_\gamma(g_1)) \\ &= \sum_{1 \leq i \leq m} \Psi_\gamma((\sigma_j - 1)\Psi_{\partial_i \eta}(g_1)) \\ &= \sum_{\gamma_i < p-1} (\gamma_i + 1)F_i((\sigma_j - 1)X_i)\Psi_{\partial_i \eta}(g_1)F_i(X_i)^{-1} \end{aligned}$$

for all  $1 \leq j \leq m$ . On the other hand the polynomials

$$F_i((\sigma_j - 1)X_i) - \lambda_{ij}F_i(Z) \quad (1 \leq i, j \leq m)$$

are contained in  $k[W]$  and hence the terms of  $\Psi_\gamma((\sigma_j - 1)g_1)$  with variables  $Z, T_i, X_j$  whose degrees are maximal on  $Z$  are also terms of

$$\sum_{\gamma_i < p-1} \lambda_{ij}(\gamma_i + 1)F_i(Z)\Psi_{\partial_i \eta}(g_1)F_i(X_i)^{-1},$$

where  $X_j$  ( $j > m$ ) are defined such that  $\{Z, T_i, X_j\}$  is a  $k$ -basis of  $V$ . This implies that

$$\Psi_{\sigma_{1\nu}}(g_i) (= \Psi_i(g_i)) = 0.$$

Now let us study a decomposition of  $(V, G)$  in the case where  $m \geq 2$ ,  $V = V^G \oplus \bigoplus_{1 \leq i \leq m} W_i$ ,  $G(W) = \bigoplus_{1 \leq i \leq m} H_i$  and  $|H_i| = p^t$  ( $1 \leq i \leq m$ ) (observe that  $(V, G)$  is quasi-homogeneous). The rest of this section is devoted to the proof of the following proposition.

**PROPOSITION 3.2.** *If  $k[V]^G$  is a polynomial ring, then  $(V, G)$  is decomposable.*

$I_s$  ( $1 \leq s \leq \nu$ ) stand for equivalence classes of  $I = \{1, 2, \dots, m\}$  with respect to the relation  $\sim$  induced by  $i \sim j$  when  $\mathcal{A}(V^G \oplus W_i, H_i) = \mathcal{A}(V^G \oplus W_j, H_j)$ . For each  $I_s$  there is a subset  $J_s$  of  $I$  with  $|I_s| = |J_s|$  such that the submatrix  $[\lambda_{ij}]_{(i,j) \in I_s \times J_s}$  ( $1 \leq s \leq \nu$ ) is non-singular ( $J_s$  ( $1 \leq s \leq \nu$ ) are not always disjoint). We may assume that  $[\lambda_{ij}]_{(i,j) \in I_s \times J_s}$  ( $1 \leq s \leq \nu$ ) are monomial matrices, replacing a decomposition of  $(V, H)$  consisting of one dimensional subcouples by the use of an admissible transform.

Moreover suppose that  $k[V]^G$  is a polynomial ring over  $k$ . Since

$$\mathcal{D}(V, G) \underset{\text{can}}{\cong} (k[V]^{G(W)} / \langle W \rangle^{G(W)})^{G(W)} / \mathcal{Z}(k[V]^{G(W)} / \langle W \rangle^{G(W)})^{G(W)}$$

we have  $k[V]^G = k[V^G][f_1, \dots, f_m]$  for homogeneous polynomials  $f_i \in k[V]$  with  $f_i \equiv F_i^p \pmod{\langle V^G \rangle^{G(W)}}$ . Then it follows from (3.1) that

$$f_i = F_i^p + \sum_{1 \leq j \leq m} F_j h_{ij} \quad (1 \leq i \leq m)$$

where  $h_{ij}$  are homogeneous in  $k[V^G]$ .

We wish to claim  $h_{ij} = 0$  ( $i \neq j$ ) and show this only for the case of  $i = 1$ . Suppose that  $T_i$  ( $1 \leq i \leq t$ ) span the subspace  $\mathcal{A}(V^G \oplus W_1, H_1)$  of  $V^G$  and set

$$Z_j = Z + \sum_{1 \leq u \leq d} b_{ju} T_u \in (\sigma_j - 1)V$$

where  $b_{ju} \in k$ . For  $c = (c_1, \dots, c_d) \in \mathbb{N}^d$  and  $g \in k[V^G]_{(p^{t+1})}$ ,  $\Phi_c(g) \in k$  is defined to be the coefficient of

$$T_1^{c_1} T_2^{c_2} \dots T_d^{c_d} Z^{p^{t+1} - \|c\|}$$

in  $g$  which is regarded as a polynomial of  $T_i$  ( $1 \leq i \leq d$ ) and  $Z$  ( $N$  is the set of all non-negative integers). Especially we denote by  $a_i(c)$  the value  $\Phi_c(Z^{p^t} h_{1i})$ .

LEMMA 3.3. *Let  $c$  be an element of  $N^d$  such that  $\|c\| < p^t$ . Then we have*

$$a_i(c) = \begin{cases} -1 & \text{if } i = 1 \text{ and } c = 0 \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Suppose that an element  $c \in N^d$  satisfies  $\|c\| < p^t$ . Then

$$\Phi_c(F_1(Z)^p) = \begin{cases} 1 & (c = 0) \\ 0 & (c \neq 0), \end{cases}$$

since  $p^{t+1} - \|c\| > p^t$  and

$$F_1(Z) = Z^{p^t} + \sum_{1 \leq i \leq t} F_{1i} Z^{p^{t-i}}$$

for  $F_{1i} \in k[W]$ . On the other hand we have

$$\begin{aligned} (0 =) \Phi_c((\sigma_j - 1)f_i) &= \Phi_c(F_1((\sigma_j - 1)X_i)^p) + \sum_{1 \leq i \leq m} \Phi_c(F_i((\sigma_j - 1)X_i)h_{1i}) \\ &= \lambda_{1j} \Phi_c(F_1(Z)^p) + \sum_{1 \leq u \leq d} b_{ju} F_1(T_u)^p \\ &\quad + \sum_{1 \leq i \leq m} \lambda_{ij} \{ \Phi_c(F_i(Z)h_{1i}) + \sum_{1 \leq u \leq d} b_{ju} \Phi_c(F_i(T_u)h_{1u}) \} \\ &= \lambda_{1j} \Phi_c(F_1(Z)^p) + \sum_{1 \leq i \leq m} \lambda_{ij} \Phi_c(F_i(Z)h_{1i}). \end{aligned}$$

Therefore this system is reduced to

$$\sum_{1 \leq i \leq m} \lambda_{ij} \left\{ a_i(c) + \sum_{\substack{c' \in N^d \\ 0 < \|c'\| < \|c\|}} \alpha(c') a_i(c') \right\} = \begin{cases} -\lambda_{1j} & (c = 0) \\ 0 & (c \neq 0) \end{cases}$$

where  $\alpha(c') \in k$ . The assertion follows from the last equations, because the matrix  $[\lambda_{ij}]$  is non-singular.

LEMMA 3.4. *Let  $L$  be the subset of*

$$\underbrace{\{0\} \times \dots \times \{0\}}_{t \text{ times}} \times N^{d-t}$$

*consisting of all non-zero elements  $c$  such that*

$$\|c\| = \omega_0 p^t + \sum_{1 \leq i \leq t} \omega_i (p^t - p^{t-i})$$

*for  $\omega_i \in \mathbf{Z}$  with  $\omega_i \leq 0$  ( $0 \leq i \leq t - 1$ ) and  $0 < \omega_i < p$ . If  $c \in L$  then  $a_j(c) = 0$  ( $1 \leq j \leq m$ ).*

*Proof.* Let  $c = (c_1, \dots, c_d)$  be an element of  $L$  such that  $a_j(c) = 0$

( $1 \leq j \leq m$ ) for all  $c' \in L$  with  $\|c\| > \|c'\|$ . Obviously the equalities  $\Phi_c(F_1((1 - \sigma_j)X_1)^p) = 0$  and  $\Phi_c(F_1(Z)h_{1i}) = a_i(c)$  follow from  $p^{t+1} > \|c\|$  and  $(c_1, \dots, c_t) = 0$ . Further we can show that

$$\Phi_c(F_i(Z)h_{1i}) - a_i(c) = \beta_i(0)a_i(0) + \sum_{\substack{c' \in L \\ \|c\| > \|c'\|}} \beta_i(c')a_i(c') \quad (1 < i \leq m)$$

for some  $\beta_i(0), \beta_i(c') \in k$ , because

$$F_i(Z) = Z^{p^t} + \sum_{1 \leq j \leq t} F_{ij}Z^{p^t-j}$$

where  $F_{ij}$  are homogeneous polynomials in  $k[W]$ . According to (3.3)  $a_i(0) = 0$  ( $1 < i \leq m$ ) and therefore we must have

$$\Phi_c\left(\left(F_i(Z) + \sum_{1 \leq u \leq d} b_{ju}F_i(T_u)\right)h_{1i}\right) = a_i(c)$$

because  $\|c\| \neq p^t$ . Now the system

$$\Phi_c(F_i((1 - \sigma_j)X_1)^p) = \sum_{1 \leq i \leq m} \Phi_c(F_i((\sigma_j - 1)X_i)h_{1i})$$

can be expressed as

$$\sum_{1 \leq i \leq m} \lambda_{ij}a_i(c) = 0 \quad (1 \leq j \leq m),$$

which imply that  $a_i(c) = 0$  ( $1 \leq i \leq m$ ).

LEMMA 3.5. *If  $d > t, I_{s_0} \ni 1$  and  $I \not\ni I_{s_0}$ , then  $a_i(p^t e_j) = 0$  ( $t + 1 \leq j \leq d$ ) for each  $i \in I - I_{s_0}$ .*

*Proof.* Put  $\zeta_v = \{vp^t - (v - 1)p^{t-1}\}e_{t+1} \in \mathbf{Z}^d$  ( $1 \leq v \leq p$ ) and let  $a_i(\zeta_v) = 0$  ( $1 \leq i \leq m$ ). Since  $\Phi_{c_v}(F_i(T_u)h_{1i}) = 0$  for  $u \neq t + 1$ , by (2.9) we obtain

$$\begin{aligned} \Phi_{c_v}\left(\sum_{1 \leq i \leq m} F_i((\sigma_j - 1)X_i)h_{1i}\right) &= \sum_{1 \leq i \leq m} \lambda_{ij}\Phi_{c_v}(F_i(Z)h_{1i}) \\ &\quad + \sum_{i \in \tilde{I}} \lambda_{ij}b_{jt+1}\Phi_{c_v}(F_i(T_{t+1})h_{1i}) \\ &= \sum_{i \in \tilde{I}} \lambda_{ij}\{a_i(\zeta_v) + b_{jt+1}a_i((v - 1)(p^t - p^{t-1})e_{t+1})\} \\ &\quad + \sum_{i \in I - \tilde{I}} \lambda_{ij}\{a_i(\zeta_v) - a_i(\zeta_{v-1})\} \end{aligned}$$

where  $\tilde{I} = \{i: \bigoplus_{u \neq t+1} kT_u \cong \mathcal{A}(V^a \oplus W_i, H_i)\}$ . But it follows from (3.4) that

$$a_i((v - 1)(p^t - p^{t-1})e_{t+1}) = 0 \quad (2 \leq v \leq p).$$

Thus for  $2 \leq v \leq p$  and  $1 \leq j \leq m$  we must have

$$\begin{aligned} (0 =) \Phi_{\zeta_v}(F_1((1 - \sigma_j)X_1)^p) &= \Phi_{\zeta_v}\left(\sum_{1 \leq i \leq m} F_i((\sigma_j - 1)X_i)h_{1i}\right) \\ &= \sum_{i \in \tilde{I}} \lambda_{ij}a_i(\zeta_v) + \sum_{i \in I - \tilde{I}} \lambda_{ij}\{a_i(\zeta_v) - a_i(\zeta_{v-1})\}, \end{aligned}$$

which shows  $a_i(p'e_{t+1}) = 0$  for  $i \in I - \tilde{I}$ . Further let  $i_0$  be an element of  $(I - I_{s_0}) \cap \tilde{I}$  if it is non-empty. We may suppose  $\bigoplus_{u=t+2}^p kT_u \not\cong \mathcal{A}(V^a \oplus W_{i_0}, H_{i_0})$  and set  $\zeta'_v = p'e_{t+1} + (v - 1)(p^t - p^{t-1})e_{t+2}$  ( $1 \leq v \leq p$ ). Clearly

$$\Phi_{\zeta'_v}\left(\sum_{1 \leq i \leq m} F_i((\sigma_j - 1)X_i)h_{1i}\right) = \sum_{1 \leq i \leq m} \lambda_{ij}\left\{\Phi_{\zeta'_v}(F_i(Z)h_{1i}) + \sum_{u=t+1, t+2} b_{ju}\Phi_{\zeta'_v}(F_i(T_u)h_{1i})\right\}$$

for  $2 \leq v \leq p$ . On the other hand (2.9) implies

$$\Phi_{\zeta'_v}(F_{i_0}(Z)h_{1i_0}) = a_{i_0}(\zeta'_v) - a_{i_0}(\zeta'_{v-1}) \quad (2 \leq v \leq p)$$

because  $\Phi_{\zeta'_v}(F_i(T_u)h_{1i})$  ( $u = t + 1, t + 2$ ) are linear combinations of  $a_i(c)$  such that  $c = (0, \dots, 0, c_{t+1}, \dots, c_d)$  and  $\|c\| = (v - 1)(p^t - p^{t-1})$ . But we see

$$\begin{aligned} \Phi_{\zeta'_v}\left(\sum_{1 \leq i \leq m} F_i((\sigma_j - 1)X_i)h_{1i}\right) &= \Phi_{\zeta'_v}(F_1((1 - \sigma_j)X_1)^p) = 0 \\ &(2 \leq v \leq p; 1 \leq j \leq m), \end{aligned}$$

and hence this system requires

$$a_{i_0}(p'e_{t+1}) = a_{i_0}(\zeta'_1) = \dots = a_{i_0}(\zeta'_p) = 0.$$

The remainder can be proved in the same way.

Now let  $s_0$  be an integer such that  $I_{s_0} \ni 1$  and put  $\tau_j = \sigma_j \sigma_{j_0}^{n_j}$  ( $1 \leq j \leq m$ ) where  $j_0 \in J_{s_0}$  and  $n_j \in \mathbb{N}$  satisfy  $\lambda_{1j_0} \neq 0$  and  $n_j \lambda_{1j_0} = -\lambda_{1j}$  respectively. According to (3.3)

$$\Phi_{p^t e_i}(F_u((\sigma_j - 1)X_u)h_{1u}) = \lambda_{uj} \Phi_{p^t e_i}\left(F_u\left(Z + \sum_{1 \leq v \leq d} b_{jv} T_v\right)h_{1u}\right) = \lambda_{uj} a_u(p^t e_i)$$

for  $2 \leq u \leq m$ , and therefore if  $t + 1 \leq i \leq d$  we deduce from (3.5) that

$$\begin{aligned} (0 =) \Phi_{p^t e_i}(F_1((1 - \sigma_j)X_1)^p) &= \sum_{1 \leq u \leq m} \Phi_{p^t e_i}(F_u((\sigma_j - 1)X_u)h_{1u}) \\ &= \lambda_{1j}\{a_1(p^t e_i) + b_{j1}a_1(0)\} + \sum_{u \in I_{s_0} - \{1\}} \lambda_{uj} a_u(p^t e_i). \end{aligned}$$

Since  $[\lambda_{uv}]_{(u,v) \in I_{s_0} \times J_{s_0}}$  is a monomial matrix, these equations imply

$$a_j(p^t e_i) = 0 \quad (t + 1 \leq i \leq d; 2 \leq j \leq m).$$

So we have

$$a_1(p^t e_i) = -b_{j1} a_1(0) = b_{ji} \quad (t + 1 \leq i \leq d)$$

for  $1 \leq j \leq m$  with  $\lambda_{1j} \neq 0$ , and then it follows from the definition of  $\tau_j$  that  $(\tau_j - 1)X_1 \in \bigoplus_{1 \leq i \leq t} kT_i$  ( $1 \leq j \leq m$ ). By the identities  $F_1(T_i) = 0$  ( $1 \leq i \leq t$ ) we can see

$$\begin{aligned} \tau_j(f_1) &= \tau_j(F_1)^p + \sum_{1 \leq i \leq m} \tau_j(F_i)h_{1i} \\ &= F_1^p + F_1h_{11} + \sum_{2 \leq i \leq m} \tau_j(F_i)h_{1i}. \end{aligned}$$

Consequently we obtain

$$(0) = (\tau_j - 1)f_1 = \sum_{2 \leq i \leq m} (c_{ij}F_i(Z) + g_{ij})h_{1i}$$

for some homogeneous polynomials  $g_{ij}$  in  $k[W]$  where

$$c_{ij} = \frac{(\tau_j - 1)X_i \bmod W}{Z \bmod W}.$$

Then, because  $F_i(Z) \equiv Z^{p^t} \bmod \langle W \rangle$ , this system requires  $h_{1i} = 0$  ( $2 \leq i \leq m$ ).

For  $i \neq j$  we conclude that  $h_{ij} = 0$ . Hence  $G$  contains subgroups  $G_i$  ( $i = 1, 2$ ) which satisfy  $k[V]^{G_1} = k[V^G][f_1, X_2, X_3, \dots, X_m]$  and  $k[V]^{G_2} = k[V^G][X_1, f_2, f_3, \dots, f_m]$ . The couple  $(V, G)$  has a decomposition  $\{(V^G \oplus kX_1, G_1), (V^G \oplus \bigoplus_{2 \leq i \leq m} kX_i, G_2)\}$ . We have just completed the proof of (3.2).

### § 4. Proof of Theorem 1.3

We begin with

**PROPOSITION 4.1.** *Let  $(V, G)$  be a quasi-homogeneous couple with  $\dim(V, G) \geq 2$ . Suppose that  $(V, G(W))$  decomposes to one dimensional subcouples for any proper subspace  $W$  of  $V^G$  with  $G(W) \neq \{1\}$ . If  $k[V]^G$  is a polynomial ring, then  $(V, G)$  is decomposable.*

*Proof.* Since  $(V, G)$  is quasi-homogeneous, there is a subspace  $W$  of  $V^G$  with  $\text{codim}_{V^G} W = 1$  such that  $G(W) = \{1\}$  or  $(V, G(W))$  is a homogeneous subcouple which satisfies  $\dim(V, G(W)) = \dim(V, G) = m$ . Clearly  $(V, G)$  is decomposable if  $G(W)$  is trivial. Hence we suppose that  $(V, G(W))$  decomposes to one dimensional subcouples  $(V^G \oplus W_i, H_i)$  ( $1 \leq i \leq m$ ) with  $|H_i| = p^t$ . Denote by  $X_i$  a generator of  $W_i$  and let  $r$  be the rank of the matrix  $[(\sigma_j - 1)X_i \bmod W]_{(i,j)}$  where  $\sigma_j$  runs through all pseudo-reflections in  $G - G(W)$ . In the case of  $r = m$  we have already shown that  $(V, G)$  is decomposable. We may assume that  $r < m$  and that the submatrix  $[(\sigma_j - 1)X_i \bmod W]_{1 \leq i, j \leq r}$  is non-singular.

Let  $F_i(X_i)$  be the canonical  $(V^G \oplus W_i, H_i)$ -invariant on  $X_i$ . Further

choose  $Z_j$  from  $V$  with  $(1 - \sigma_j)V = kZ_j$  and put  $b_{ij} = Z_j^{-1}(\sigma_j - 1)X_i$ . Since  $\mathcal{Q}(V, G(W))$  is homogeneous, by (2.8) we see  $\mathcal{Q}(V, G) = k[\bar{X}_1^{p^t+1}, \dots, \bar{X}_r^{p^t+1}, g_{r+1}, \dots, g_m]$  where  $\bar{X}_i = X_i \text{ mod } V^G$  and  $g_j$  ( $r + 1 \leq j \leq m$ ) are expressed as

$$g_j = \bar{X}_j^{p^t} + \sum_{1 \leq i \leq r} a_{ij} \bar{X}_i^{p^t}$$

for some  $a_{ij} \in k$ . From this the polynomials

$$F_j(X_j) + \sum_{1 \leq i \leq r} a_{ij} F_i(X_i) \quad (r + 1 \leq j \leq m)$$

belong to a regular system of homogeneous parameters of  $k[V]^G$ . Thus, for  $r + 1 \leq j \leq m$  and  $1 \leq u \leq r$ , we have

$$\begin{aligned} -b_{ju} F_j(Z_u) &= (1 - \sigma_u) F_j(X_j) \\ &= \sum_{1 \leq i \leq r} a_{ij} (\sigma_u - 1) F_i(X_i) \\ &= \sum_{1 \leq i \leq r} b_{iu} a_{ij} F_i(Z_u), \end{aligned}$$

which implies that if  $a_{ij} \neq 0$

$$F_i(Z) = F_j(Z) \quad (1 \leq i \leq r; r + 1 \leq j \leq m)$$

where  $Z$  denotes a variable. Obviously this requires  $\mathcal{A}(V^H \oplus W_i, H_i) = \mathcal{A}(V^H \oplus W_j, H_j)$ . Define  $\theta \in GL(V)$  to satisfy that

$$\theta(X_j) = X_j + \sum_{1 \leq i \leq r} a_{ij} X_i \quad (r + 1 \leq j \leq m)$$

and  $V^{(G)} \cong \{X_i : 1 \leq i \leq r\} \cup V^G$ . According to (2.10)  $\theta$  is a  $\{(V^G \oplus W_i, H_i) : 1 \leq i \leq m\}$ -admissible transform and  $(V, H)$  decomposes to subcouplets  $(V^G \oplus \theta(W_i), H'_i)$  ( $1 \leq i \leq m$ ) for some subgroups  $H'_i$  of  $H$ . Then  $(V, G)$  decomposes to  $(V^G \oplus \bigoplus_{r+1 \leq j \leq m} \theta(W_j), \bigoplus_{r+1 \leq j \leq m} H'_j)$  and  $(V^G \oplus \bigoplus_{1 \leq j \leq r} \theta(W_j), L)$  where  $L$  is the stabilizer of  $G$  at  $\bigoplus_{r+1 \leq j \leq m} \theta(W_j)$ .

(4.2) Let  $A_i = K[f_{i1}, f_{i2}, \dots, f_{in}]$  ( $i = 1, 2$ ) be graded polynomial algebras with  $\dim A_i = n$  over a field  $K$  where  $f_{ij}$  are homogeneous in  $A_i$ . Suppose that  $A_1$  is contained in  $A_2$  as a graded subalgebra. Then  $A_1 = A_2$  if and only if

$$\prod_{1 \leq j \leq n} \deg f_{1j} = \prod_{1 \leq j \leq n} \deg f_{2j}.$$

$q(R)$  denotes the quotient field of an integral domain  $R$ .

LEMMA 4.3. For any couple  $(V, G)$  we have the following inequality;

$$[q(k[V/V^G]): q(\mathcal{Q}(V, G))] \geq |G|$$

and if the equality holds then  $k[V]^G$  is a polynomial ring.

*Proof.* We prove this by induction on  $|G|$ . Let  $W$  be a subspace of  $V^G$  such that  $\text{codim}_{V^G} W = 1$  and  $W \not\supseteq \mathcal{A}(V, G)$ . Then  $H = G(W)$  is a proper subgroup of  $G$ . By the induction hypothesis we have

$$[q(k[V]/\langle W \rangle): q(k[V]^H/\langle W \rangle^H)] \geq |H|$$

and if the equality holds  $k[V]^H$  is a polynomial ring. Putting

$$S = (\bar{k}[\bar{k} \otimes_k V]^H / (\langle \bar{k} \otimes_k W \rangle_{\bar{k}[\bar{k} \otimes_k V]}^H)^{G/H}),$$

as in the proof of (2.3), we can show that  $S_{\mathfrak{m}_1} \cong S_{\mathfrak{m}_2}$  for any maximal ideals  $\mathfrak{m}_i$  ( $i = 1, 2$ ) of  $S$  which contain the minimal prime ideal  $(\langle \bar{k} \otimes_k V^G \rangle_{\bar{k}[\bar{k} \otimes_k V]}^H / (\langle \bar{k} \otimes_k W \rangle_{\bar{k}[\bar{k} \otimes_k V]}^H)^{G/H})$ . On the other hand it follows easily from (2.3) that  $S$  is normal and hence  $S$  is a polynomial ring over  $\bar{k}$ . Since

$$\bar{k} \otimes_k (k[V]^H / \langle W \rangle^H)^{G/H} \cong S$$

as graded algebras defined over  $\bar{k}$ ,  $(k[V]^H / \langle W \rangle^H)^{G/H}$  is also a polynomial ring. Clearly  $\mathcal{Q}(V, G)$  can be embedded in  $(k[V]^H / \langle W \rangle^H)^{G/H} / (\langle V^G \rangle^H / \langle W \rangle^H)^{G/H}$  and so we have

$$[q(k[V/V^G]): q(\mathcal{Q}(V, G))] \geq |G|.$$

Now suppose that the equality of (4.3) holds and then we deduce from this

$$[q(k[V]/\langle W \rangle): q(k[V]^H/\langle W \rangle^H)] = |H|.$$

Therefore  $k[V]^H$  is a polynomial ring. Moreover by the equality of (4.3) and (2.3) we see that the canonical map

$$\mathcal{Q}(V, G) \longrightarrow (k[V]^H/\langle W \rangle^H)^{G/H} / (\langle V^G \rangle^H / \langle W \rangle^H)^{G/H}$$

is an isomorphism and that there is an  $(n + 1)$ -dimensional graded polynomial subalgebra  $k[f_1, f_2, \dots, f_{n+1}]$  of  $k[V]^G/\langle W \rangle^G$  with

$$\prod_{1 \leq i \leq n+1} \deg f_i = |G|.$$

Here  $n$  denotes the dimension of  $(V, G)$  and  $f_i$  ( $1 \leq i \leq n + 1$ ) are homogeneous elements in  $k[V]/\langle W \rangle$ . Then, by (4.2), we must have  $(k[V]^H/\langle W \rangle^H)^{G/H} = k[V]^G/\langle W \rangle^G$ , because  $(k[V]^H/\langle W \rangle^H)^{G/H}$  is a polynomial ring which contains  $k[V]^G/\langle W \rangle^G$  as a graded subalgebra.

Further if  $\dim W \geq 2$  let  $W'$  be a subspace of  $W$  with  $\text{codim}_W W' = 1$  and put  $H' = G(W') (= H(W'))$ . Since  $k[V]^H$  is a polynomial ring, by (2.6)  $k[V]^{H'}$  is also a polynomial ring. Therefore we get the commutative diagram

$$\begin{array}{ccccc} k[V]^H / \langle W' \rangle^H & \longrightarrow & k[V]^H / \langle W \rangle^H & \longrightarrow & 0 \\ \parallel & & \downarrow & & \\ (k[V]^{H'} / \langle W' \rangle^{H'})^{H/H'} & \longrightarrow & (k[V]^{H'} / \langle W' \rangle^{H'})^{H/H'} / (\langle W \rangle^{H'} / \langle W' \rangle^{H'})^{H/H'} & \longrightarrow & 0 \end{array}$$

of  $kG/H$ -modules with exact rows. From  $(k[V]^H / \langle W \rangle^H)^{G/H} = k[V]^G / \langle W \rangle^G$  the sequence

$$(k[V]^H / \langle W' \rangle^H)^{G/H} \longrightarrow (k[V]^H / \langle W \rangle^H)^{G/H} \longrightarrow 0$$

is exact. Then  $(k[V]^{H'} / \langle W' \rangle^{H'})^{G/H'}$  is a polynomial ring which contains  $k[V]^G / \langle W \rangle^G$ , because  $(\langle W \rangle^{H'} / \langle W' \rangle^{H'})^{G/H'}$  is principal. Hence we deduce similarly from the equality of (4.3) and (2.3) that  $k[V]^G / \langle W \rangle^G = (k[V]^{H'} / \langle W' \rangle^{H'})^{G/H'}$ .

If necessary we can continue this procedure. Consequently  $k[V]^G / \langle \tilde{W} \rangle^G$  is a polynomial ring for a one dimensional subspace  $\tilde{W}$  of  $V^G$ . The assertion follows immediately from this.

By the use of (4.1) we establish

**THEOREM 4.4.** *Let  $(V, G)$  be an indecomposable couple. Then  $k[V]^G$  is a polynomial ring if and only if  $\dim(V, G) = 1$ .*

*Proof.* It suffices to prove the “only if” part. Let  $\mathcal{C}$  denote the set of all indecomposable couples  $(V_0, G_0)$  with  $\dim(V_0, G_0) \geq 2$  such that  $k[V_0]^{G_0}$  are polynomial rings. Assume that  $\mathcal{C}$  is non-empty and choose an element  $(V, G)$  from  $\mathcal{C}$  which is minimal with respect to the lexicographical preorder of  $\mathcal{C}$  defined by the value  $(\dim(V_0, G_0), \dim V_0)$  for  $(V_0, G_0) \in \mathcal{C}$ . From (4.1) the couple  $(V, G)$  is not quasi-homogeneous. Let  $W$  be a subspace of  $V^G$  with  $\text{codim}_{V^G} W = 1$  and put  $H = G(W)$  and  $u = \dim V^H / V^G$  respectively. Then the  $kH$ -module  $V$  defines a couple  $(V, H)$  and by (2.6)  $k[V]^H$  is a polynomial ring. Obviously  $V$  is decomposable as a  $kH$ -module, and hence  $(V, H)$  decomposes to one dimensional subcouples  $(V^H \oplus W_i, H_i)$  ( $u + 1 \leq i \leq m$ ) where  $m = \dim(V, G)$ , since  $(V, G)$  is minimal in  $\mathcal{C}$ . If  $(V, H)$  is not homogeneous, we may suppose that

$$|H_{u+1}| \leq \dots \leq |H_u| < |H_{v+1}| = \dots = |H_m|$$

for some  $v < m$ . Otherwise set  $v = u$  (it should be noted that  $u > 0$  in this case).

Let  $U = V^H \oplus \bigoplus_{u+1 \leq i \leq v} W_i$  (the empty direct sum is regarded as  $\{0\}$ ) and denote by  $G'$  the stabilizer of  $G$  at  $U$ . We can choose homogeneous polynomials  $f_i \in k[V]$  ( $1 \leq i \leq m$ ) such that  $f_i \in k[U]$  ( $1 \leq i \leq v$ ) and  $k[V]^G = k[V^G][f_1, \dots, f_m]$ , calculating a regular system of parameters of  $\mathcal{Q}(V, G)$  through  $k[V]^H / \langle W \rangle^H$  as in the proof of (2.7). Because  $k[V]^G$  is contained in  $k[U][f_{v+1}, \dots, f_m]$ , there is a subgroup  $\tilde{G}$  of  $G$  with  $k[V]^{\tilde{G}} = k[U][f_{v+1}, \dots, f_m]$ . Clearly  $\tilde{G} = G'$  and the  $kG'$ -module  $V$  is decomposable. Therefore, from the minimality of  $(V, G)$ , the couple  $(V, G')$  decomposes to one dimensional subcouples  $(V^{G'} \oplus W'_i, G'_i)$  ( $v + 1 \leq i \leq m$ ).

We have

$$[q(k[U/V^G]): q(\mathcal{Q}(U, G/G'))] = |G/G'|$$

since  $f_i \in k[U]^{G/G'}$  ( $1 \leq i \leq v$ ) and  $G/G'$  acts faithfully on  $U$ . By (4.3)  $k[U]^{G/G'}$  is a polynomial ring and so  $(U, G/G')$  decomposes to one dimensional subcouples  $(U^{G/G'} \oplus W'_i, G'_i)$  ( $1 \leq i \leq v$ ). It should be noted that  $V^{G'} = U$  and  $U^{G/G'} = V^G$ .

Let  $X_i$  ( $1 \leq i \leq m$ ) denote a generator of  $W'_i$  and put  $\bar{G} = G/G'$  and  $p^r = [\bar{G}: \bigoplus_{u+1 \leq i \leq v} H_i]$  respectively. Because  $k[U]^{\bar{G}} = k[V^G][f_1, \dots, f_v]$  by (4.2), we deduce from the computation of  $\mathcal{Q}(V, G)$  (cf. (2.7)) that there exist pseudo-reflections  $\sigma_i$  ( $1 \leq i \leq r$ ) in  $G - H$  such that the column vectors  $[(\sigma_j - 1)X_i \bmod W]_{1 \leq i \leq v}$  ( $1 \leq j \leq r$ ) are linearly independent. Then  $\bar{G}(W) \cap \bigoplus_{1 \leq i \leq r} \langle \sigma_i \bmod G' \rangle = \{1\}$  and hence we see that  $\bar{G}(W) = \bigoplus_{u+1 \leq i \leq v} H_i$ . Putting

$$H'_i = \begin{cases} G'_i \cap \bigoplus_{u+1 \leq j \leq v} H_j & (1 \leq i \leq v) \\ G'_i \cap H & (v + 1 \leq i \leq m), \end{cases}$$

we obtain another decomposition

$$\{(V^H \oplus W'_i, H'_i): 1 \leq i \leq m \text{ with } H'_i \neq \{1\}\}$$

of  $(V, H)$ . Since  $\{i: H'_i = \{1\}\} \subseteq \{1, 2, \dots, v\}$ , it may be assumed that  $H'_i = \{1\}$  ( $1 \leq i \leq u$ ).

Let  $F_i(X_i) = X_i$  ( $1 \leq i \leq u$ ) and for  $u + 1 \leq i \leq m$  (resp.  $1 \leq i \leq m$ ) let  $F_i(X_i)$  (resp.  $g_i(X_i)$ ) be the canonical  $(V^H \oplus W'_i, H'_i)$ -invariant (resp.  $(V^G \oplus W'_i, G'_i)$ -invariant) on  $X$ . Assume that  $G'_{i_0} = H'_{i_0}$  for some  $u + 1 \leq i_0 \leq v$ . Then  $(V, G)$  decomposes to  $(V^G \oplus W'_{i_0}, H'_{i_0})$  and  $(V^G \oplus \bigoplus_{i \neq i_0} W'_i, L)$  where  $L$  is the stabilizer of  $G$  at  $W'_{i_0}$ , and hence we must have  $|G'_i/H'_i|$

=  $p$  for all  $u + 1 \leq i \leq v$ . Because  $k[V]^G$  is contained in

$$k[V^G \oplus \bigoplus_{\substack{i \neq j \\ 1 \leq i \leq v}} W'_i][g_j, f_{v+1}, \dots, f_m],$$

there are pseudo-reflections  $\tau_j$  ( $1 \leq j \leq v$ ) in  $G - H$  which satisfy the following condition; for  $1 \leq i \leq v$   $V^{(i,j)} \cong W'_i$  if and only if  $i \neq j$ . We may suppose that  $V^{(i,j)} \cong W'_j$  ( $1 \leq i \leq u; v + 1 \leq j \leq m$ ) and  $\mathcal{A}(V^H \oplus W'_j, H'_j) \not\cong \mathcal{A}(V^H \oplus W'_i, H'_i)$  ( $u + 1 \leq i \leq v; v + 1 \leq j \leq m$ ), applying a  $\{(V^H \oplus W'_i, H'_i): u + 1 \leq i \leq m\}$ -admissible transform on  $V$ .

Clearly we may assume that  $\deg f_i = \deg g_i$  ( $v + 1 \leq i \leq m$ ) and

$$\deg f_{v+1} = \deg f_{v+2} = \dots = \deg f_y < \deg f_{y+1} = \dots = \deg f_m$$

for some  $y$  with  $v + 1 \leq y \leq m$ . Further  $f_i - g_i$  ( $v + 1 \leq i \leq y$ ) can be regarded as a polynomial  $h_i$  in  $k[U]$ , replacing  $f_i$  with linear combinations of them. We deduce from (3.1) that

$$h_i = \sum_{1 \leq j \leq v} F_j h_{ij} \quad (v + 1 \leq i \leq y)$$

for some homogeneous polynomials  $h_{ij}$  in  $k[V^G]$ , since  $(\tau_j - 1)g_i \in k[V^G]$  ( $v + 1 \leq i \leq y; 1 \leq j \leq v$ ) and

$$k[U]^{1 \leq i \leq v} \oplus^{H'_i} = \bigoplus_{\substack{0 \leq i, j < p \\ 1 \leq j \leq v}} k[V^G][g_1, g_2, \dots, g_v] F_1^{i_1} F_2^{i_2} \dots F_v^{i_v}.$$

Assume that  $h_{i_0 j_0} \neq 0$  and let  $Z_{j_0}$  be an element of  $V$  with  $(1 - \tau_{j_0})V = kZ_{j_0}$ . Then it follows from  $\tau_{j_0}(f_{i_0}) = f_{i_0}$  that

$$k^* h_{i_0 j_0} F_{j_0}(Z_{j_0}) \ni \frac{(1 - \tau_{j_0})X_{i_0}}{Z_{i_0}} g_{i_0}(Z_{j_0}).$$

So we have  $u + 1 \leq j_0 \leq v$  and  $\mathcal{A}(V^H \oplus W'_{i_0}, H'_{i_0}) \not\cong \mathcal{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ . Moreover we find a pseudo-reflection  $\sigma$  in  $G'_{i_0} - H'_{i_0}$  because  $F_{i_0} = g_{i_0}$  requires  $\mathcal{A}(V^H \oplus W'_{i_0}, H'_{i_0}) \cong \mathcal{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ , and choose  $Z_\sigma \in V$  such that  $(1 - \sigma)V = kZ_\sigma$  and  $Z_{j_0} \equiv Z_\sigma \pmod{W}$ . Let  $\{T_i: 1 \leq i \leq t\}$  be a  $k$ -basis of  $\mathcal{A}(V^H \oplus W'_{i_0}, H'_{i_0})$  and select  $T_j \in V$  ( $t + 1 \leq j \leq d$ ) to satisfy  $W = \bigoplus_{1 \leq i \leq d} kT_i$  and  $\bigoplus_{1 \leq i \leq d-1} kT_i \not\cong \mathcal{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ . Express  $Z_{j_0}$  as

$$Z_{j_0} = Z + \sum_{1 \leq i \leq d} \alpha_i T_i$$

for  $\alpha_i \in k$  ( $1 \leq i \leq d$ ) and set  $R = k[T_1, \dots, T_{d-1}, Z]$ . If  $\alpha_d = 0$ , by (2.9) we have  $(1 - \tau_{j_0})F_{j_0} \in R$  and  $g_{i_0}(Z_{j_0}) \in R$ . This implies that  $\alpha_d \neq 0$ . Since  $g_{i_0}(Z_\sigma) = g_{i_0}(Z_j) = 0$  ( $1 \leq j \leq t$ ), we see

$$g_{i_0}(Z_{j_0}) = \sum_{i+1 \leq j \leq d} \alpha_j g_{i_0}(T_j).$$

Then  $g_{i_0}(Z_{j_0})$  is a monic polynomial of  $T_d$  in  $R[T_d]$ , but from (2.9) the leading coefficient of  $F_{j_0}(Z_{j_0})$  as a polynomial of  $T_d$  is a non-unit in  $R$ , which is a contradiction. Therefore we must have  $f_i = g_i$  ( $v+1 \leq i \leq y$ ).

In the case of  $y = m$  it follows that  $k[V]^G = k[V^G][g_1, \dots, g_m]$  and this requires that  $(V, G)$  is decomposable. Hence we obtain  $y < m$ . Because  $G'_i = H'_i$  ( $v+1 \leq i \leq y$ ), the couple  $(V, G)$  decomposes to  $(V^G \oplus \bigoplus_{v+1 \leq i \leq y} W'_i, \bigoplus_{v+1 \leq i \leq y} H'_i)$  and  $(V^G \oplus \bigoplus_{1 \leq i \leq v} W'_i \oplus \bigoplus_{v+1 \leq i \leq m} W'_i, K)$  where  $K$  denotes the stabilizer of  $G$  at the set  $\bigoplus_{v+1 \leq i \leq y} W'_i$ . This conflicts with the selection of  $(V, G)$ . Thus the proof is completed.

Now (1.3) can be reduced to (4.4) by (2.1), (2.2) and (2.4).

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