

Uniform Linear Bound in Chevalley’s Lemma

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Abstract. We obtain a uniform linear bound for the Chevalley function at a point in the source of an analytic mapping that is regular in the sense of Gabrielov. There is a version of Chevalley’s lemma also along a fibre, or at a point of the image of a proper analytic mapping. We get a uniform linear bound for the Chevalley function of a closed Nash (or formally Nash) subanalytic set.

1 Introduction

Chevalley’s Lemma [4] plays an important role in the solution of equations $f(x) = g(\varphi(x))$, where $y = \varphi(x)$ is an analytic mapping in several variables. Given $f(x)$ analytic (or, for example, C^∞ in the real case), the problem is to find conditions under which we can solve for $g(y)$ in the same class. Chevalley’s Lemma asserts that given $x = a$ and $k \in \mathbb{N}$, there is a corresponding $l = l(k) < \infty$ such that the l -jet of a composite $g \circ \varphi$ at a determines the k -jet of g at $\varphi(a)$, modulo a formal relation among the components of φ at a . The “Chevalley function” of φ at a is the smallest such $l(k)$.

In this article, we answer questions raised by works of Gabrielov, Izumi and Bierstone–Milman on finding bounds for the Chevalley function that are linear with respect to k or uniform with respect to a . Such bounds characterize important regularity or “tameness” properties of analytic mappings and their images [2, 3, 10] and measure loss of differentiability in classical problems on composite differentiable functions [3].

Such bounds are important also in commutative algebra. By way of comparison, the analogue of the Chevalley function for a linear analytic equation $f(x) = A(x) \cdot g(x)$ (where $A(x)$ is a matrix-valued analytic function and $f(x), g(x)$ are vector-valued) always has a linear bound, given by the exponent in the Artin–Rees lemma. Uniformity of the Artin–Rees exponent has been studied in [2, 5, 8].

Let us now be more precise. Let $\varphi: M \rightarrow N$ denote an analytic mapping of analytic manifolds (over $\mathbb{K} = \mathbb{R}$ or \mathbb{C}). Let $a \in M$, and let $\varphi_a^*: \mathcal{O}_{\varphi(a)} \rightarrow \mathcal{O}_a$ or $\widehat{\varphi}_a^*: \widehat{\mathcal{O}}_{\varphi(a)} \rightarrow \widehat{\mathcal{O}}_a$ denote the induced homomorphisms of analytic local rings or their completions, respectively. (We write \mathcal{O}_a for $\mathcal{O}_{M,a}$ and \mathfrak{m}_a (or $\widehat{\mathfrak{m}}_a$) for the maximal ideal of \mathcal{O}_a (or $\widehat{\mathcal{O}}_a$)). According to Chevalley’s Lemma, there is an increasing function

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$l: \mathbb{N} \rightarrow \mathbb{N}$ (where \mathbb{N} denotes the nonnegative integers) such that

$$\widehat{\varphi}_a^*(\widehat{\mathcal{O}}_{\varphi(a)}) \cap \widehat{\mathfrak{m}}_a^{l(k)+1} \subset \widehat{\varphi}_a^*(\widehat{\mathfrak{m}}_{\varphi(a)}^{k+1}),$$

i.e., if $F \in \widehat{\mathcal{O}}_{\varphi(a)}$ and $\widehat{\varphi}_a^*(F)$ vanishes to order $l(k)$, then F vanishes to order k , modulo an element of $\text{Ker } \widehat{\varphi}_a^*$ ([4]; cf. Lemma 3.2 below). Let $l_{\varphi^*}(a, k)$ denote the least $l(k)$ satisfying Chevalley’s Lemma. We call $l_{\varphi^*}(a, k)$ the *Chevalley function* of $\widehat{\varphi}_a^*$.

Let $x = (x_1, \dots, x_m)$ and $y = (y_1, \dots, y_n)$ denote local coordinate systems for M and N at a and $\varphi(a)$, respectively. The local rings \mathcal{O}_a or $\widehat{\mathcal{O}}_a$ can be identified with the rings of convergent or formal power series $\mathbb{K}\{x\} = \mathbb{K}\{x_1, \dots, x_m\}$ or $\mathbb{K}[[x]] = \mathbb{K}[[x_1, \dots, x_m]]$, respectively. In the local coordinates, write $\varphi(x) = (\varphi_1(x), \dots, \varphi_n(x))$. Then $\text{Ker } \widehat{\varphi}_a^*$ is the *ideal of formal relations*

$$\{F(y) \in \mathbb{K}[[y]] : F(\varphi_1(x), \dots, \varphi_n(x)) = 0\}$$

(and $\text{Ker } \varphi_a^*$ is the analogous *ideal of analytic relations*). Chevalley’s Lemma is an analogue for such nonlinear relations of the Artin-Rees lemma. (See Remark 1.4.)

Let $r_a^1(\varphi)$ denote the generic rank of φ near a , and set

$$r_a^2(\varphi) := \dim \frac{\widehat{\mathcal{O}}_{\varphi(a)}}{\text{Ker } \widehat{\varphi}_a^*}, \quad r_a^3(\varphi) := \dim \frac{\mathcal{O}_{\varphi(a)}}{\text{Ker } \varphi_a^*}$$

(where \dim denotes the Krull dimension). Then $r_a^1(\varphi) \leq r_a^2(\varphi) \leq r_a^3(\varphi)$. Gabriellov [6] proved that if $r_a^1(\varphi) = r_a^2(\varphi)$, then $r_a^2(\varphi) = r_a^3(\varphi)$, i.e., if there are enough formal relations, then the ideal of formal relations is generated by convergent relations. The mapping φ is called *regular at a* if $r_a^1(\varphi) = r_a^3(\varphi)$. We say that φ is *regular* if it is regular at every point of M . Izumi [10] proved that φ is regular at a if and only if the Chevalley function of $\widehat{\varphi}_a^*$ has a *linear (upper) bound*, i.e., there exist $\alpha, \beta \in \mathbb{N}$ such that $l_{\varphi^*}(a, k) \leq \alpha k + \beta$, for all $k \in \mathbb{N}$. On the other hand, Bierstone and Milman [2] proved that if φ is regular, then $l_{\varphi^*}(a, k)$ has a *uniform bound*, i.e., for every compact $L \subset M$, there exists $l_L: \mathbb{N} \rightarrow \mathbb{N}$ such that $l_{\varphi^*}(a, k) \leq l_L(k)$, for all $a \in L$ and $k \in \mathbb{N}$. In this article, we prove that the Chevalley function associated with a regular mapping has a *uniform linear bound*.

Theorem 1.1 *Suppose that φ is regular. Then for every compact $L \subset M$, there exist $\alpha_L, \beta_L \in \mathbb{N}$ such that $l_{\varphi^*}(a, k) \leq \alpha_L k + \beta_L$, for all $a \in L$ and $k \in \mathbb{N}$.*

Chevalley’s Lemma can be used also to compare two notions of order of vanishing of a real-analytic function at a point of a subanalytic set. Let X denote a closed subanalytic subset of \mathbb{R}^n . Let $b \in X$ and let $\mathcal{F}_b(X) \subset \mathbb{R}[[y - b]]$ denote the formal local ideal of X at b . (See Lemma 3.6.) For all $F \in \widehat{\mathcal{O}}_b = \mathbb{R}[[y - b]]$, we define

$$(1.1) \quad \begin{aligned} \mu_{X,b}(F) &:= \max\{l \in \mathbb{N} : |T_b^l F(y)| \leq \text{const } |y - b|^l, y \in X\}, \\ \nu_{X,b}(F) &:= \max\{l \in \mathbb{N} : F \in \widehat{\mathfrak{m}}_b^l + \mathcal{F}_b(X)\}, \end{aligned}$$

where $T_b^l F(y)$ denotes the Taylor polynomial of order l of F at b . Then there exists $l: \mathbb{N} \rightarrow \mathbb{N}$ such that for all $k \in \mathbb{N}$, if $F \in \widehat{\mathcal{O}}_b$ and $\mu_{X,b}(F) > l(k)$, then $\nu_{X,b}(F) > k$. (See Section 3.) For each k , let $l_X(b, k)$ denote the least such $l(k)$. We call $l_X(b, k)$ the *Chevalley function of X at b* .

Theorem 1.2 Suppose that X is a Nash (or formally Nash) subanalytic subset of \mathbb{R}^n . Then the Chevalley function of X has a uniform linear bound, i.e., for every compact $K \subset X$, there exist $\alpha_K, \beta_K \in \mathbb{N}$ such that $l_X(b, k) \leq \alpha_K k + \beta_K$, for all $b \in K$ and $k \in \mathbb{N}$.

Theorems 1.1 and 1.2 are the main new results in this article. They answer questions raised in [3, 1.28].

The closed Nash subanalytic subsets X of \mathbb{R}^n are the images of regular proper real-analytic mappings $\varphi: M \rightarrow \mathbb{R}^n$. In particular, a closed semianalytic set is Nash. A closed subanalytic subset X of \mathbb{R}^n is formally Nash if for every $b \in X$, there is a closed Nash subanalytic subset Y of X such that $\mathcal{F}_b(X) = \mathcal{F}_b(Y)$ [3]. Unlike the situation of Theorem 1.1, the converse of Theorem 1.2 is false [3, Example 12.8].

The main theorem of [3] (Theorem 1.13) asserts that if X is a closed subanalytic subset of \mathbb{R}^n , then the existence of a uniform bound for $l_X(b, k)$ is equivalent to several other natural analytic and algebro-geometric conditions: for example, semicoherence [3, Definition 1.2], stratification by the diagram of initial exponents of the ideal $\mathcal{F}_b(X)$, $b \in X$ [3, Theorem 8.1], and a \mathcal{C}^∞ composite function property [3, §1.5]. A uniform bound for the Chevalley function measures loss of differentiability in a \mathcal{C}^r version of the composite function theorem. We use the techniques of [3] to prove Theorems 1.1 and 1.2 here.

Wang [12, Theorem 1.1] used [9, Theorem 1.2] to prove that the Chevalley function associated with a regular proper real-analytic mapping $\varphi: M \rightarrow \mathbb{R}^n$ has a uniform linear bound if and only if $X = \varphi(M)$ has a uniform linear product estimate, i.e., for every compact $K \subset X$, there exist $\alpha_K, \beta_K \in \mathbb{N}$ such that for all $b \in K$ and $F, G \in \widehat{\mathcal{O}}_b$,

$$\nu_{X_i, b}(F \cdot G) \leq \alpha_K(\nu_{X_i, b}(F) + \nu_{X_i, b}(G)) + \beta_K,$$

where $X_b = \bigcup_i X_i$ is a decomposition of the germ X_b into finitely many irreducible subanalytic components. We therefore obtain the following from Theorem 1.1.

Theorem 1.3 A closed Nash subanalytic subset of \mathbb{R}^n admits a uniform linear product estimate.

Remark 1.4 The Artin–Rees lemma can be viewed as a version of Chevalley’s Lemma for linear relations over a Noetherian ring R . Suppose that $\Psi: E \rightarrow G$ is a homomorphism of finitely-generated modules over R , and let $F \subset G$ denote the image of Ψ . Let \mathfrak{m} be the maximal ideal of R . Then $F \cap \mathfrak{m}^l G \subset \mathfrak{m}^k F$ if and only if $\Psi^{-1}(\mathfrak{m}^l G) \subset \text{Ker } \Psi + \mathfrak{m}^k E$. The Artin–Rees lemma says that there exists $\beta \in \mathbb{N}$ such that $F \cap \mathfrak{m}^{k+\beta} G = \mathfrak{m}^k(F \cap \mathfrak{m}^\beta G)$, for all k . In particular, there is always a linear Artin–Rees exponent $l(k) = k + \beta$. Uniform versions of the Artin–Rees lemma were proved in [2, Theorem 7.4], [5, 8]. A uniform Artin–Rees exponent for a homomorphism of \mathcal{O}_M -modules, where M is a real-analytic manifold, measures loss of differentiability in Malgrange division, in the same way that a uniform bound for the Chevalley function relates to composite differentiable functions. (See [2].)

2 Techniques

2.1 Linear Algebra Lemma

Let R denote a commutative ring with identity, and let E and F be R -modules. If $B \in \text{Hom}_R(E, F)$ and $r \in \mathbb{N}$, $r \geq 1$, we define

$$\text{ad}^r B \in \text{Hom}_R\left(F, \text{Hom}_R\left(\bigwedge^r E, \bigwedge^{r+1} F\right)\right)$$

by the formula $(\text{ad}^r B)(\omega)(\eta_1 \wedge \cdots \wedge \eta_r) = \omega \wedge B\eta_1 \wedge \cdots \wedge B\eta_r$, where $\omega \in F$ and $\eta_1, \dots, \eta_r \in E$, and $\text{ad}^0 B := \text{id}_F$, the identity mapping of F . Clearly, if $r > \text{rk } B$, then $\text{ad}^r B = 0$, and if $r = \text{rk } B$, then $\text{ad}^r B \cdot B = 0$. (Here $\text{rk } B$ means the smallest r such that $\bigwedge^s B = 0$ for all $s > r$.) If R is a field, then $\text{rk } B = \dim \text{Im } B$, so we get the following.

Lemma 2.1 ([1, §6]) *Let E and F be finite-dimensional vector spaces over a field \mathbb{K} . If $B: E \rightarrow F$ is a linear transformation and $r = \text{rk } B$, then $\text{Im } B = \text{Ker } \text{ad}^r B$. In particular, if A is another linear transformation with target F , then $A\xi + B\eta = 0$ (for some η) if and only if $\xi \in \text{Ker } \text{ad}^r B \cdot A$.*

2.2 The Diagram of Initial Exponents

Let A be a commutative ring with identity. Consider the total ordering of \mathbb{N}^n given by the lexicographic ordering of $(n + 1)$ -tuples $(|\beta|, \beta_1, \dots, \beta_n)$, where $\beta = (\beta_1, \dots, \beta_n) \in \mathbb{N}^n$ and $|\beta| = \beta_1 + \cdots + \beta_n$. For any formal power series $F(Y) = \sum_{\beta \in \mathbb{N}^n} F_\beta Y^\beta \in A[[Y]] = A[[Y_1, \dots, Y_n]]$, we define the *support* $\text{supp } F := \{\beta \in \mathbb{N}^n : F_\beta \neq 0\}$ and the *initial exponent* $\text{exp } F := \min \text{supp } F$, (where $\text{exp } F := \infty$ if $F = 0$.)

Let I be an ideal in $A[[Y]]$. The *diagram of initial exponents* of I is defined as $\mathfrak{R}(I) := \{\text{exp } F : F \in I \setminus \{0\}\}$. Clearly, $\mathfrak{R}(I) + \mathbb{N}^n = \mathfrak{R}(I)$.

Suppose that A is a field \mathbb{K} . Then by the formal division theorem of Hironaka [7] (see [2, Theorem 6.2]),

$$(2.1) \quad \mathbb{K}[[Y]] = I \oplus \mathbb{K}[[Y]]^{\mathfrak{R}(I)},$$

where $\mathbb{K}[[Y]]^{\mathfrak{R}}$ is defined as $\{F \in \mathbb{K}[[Y]] : \text{supp } F \subset \mathbb{N}^n \setminus \mathfrak{R}\}$, for any $\mathfrak{R} \in \mathbb{N}^n$ such that $\mathfrak{R} + \mathbb{N}^n = \mathfrak{R}$.

2.3 Fibred Product

Let M denote an analytic manifold over \mathbb{K} , and let $s \in \mathbb{N}$, $s \geq 1$. Let $\varphi: M \rightarrow N$ be an analytic mapping. We denote by M_φ^s the s -fold *fibred product* of M with itself over N , i.e.,

$$M_\varphi^s := \{\underline{a} = (a^1, \dots, a^s) \in M^s : \varphi(a^1) = \cdots = \varphi(a^s)\};$$

M_φ^s is a closed analytic subset of M^s . There is a natural mapping $\underline{\varphi} = \underline{\varphi}^s: M_\varphi^s \rightarrow N$ given by $\underline{\varphi}(\underline{a}) = \varphi(a^1)$, i.e., for each $i = 1, \dots, s$, $\underline{\varphi} = \varphi \circ \rho^i$, where $\rho^i: M_\varphi^s \ni (x^1, \dots, x^s) \mapsto x^i \in M$.

Suppose that $\mathbb{K} = \mathbb{R}$. Let E be a closed subanalytic subset of M , and let $\varphi: E \rightarrow \mathbb{R}^n$ be a continuous subanalytic mapping. Then the fibred product E_φ^s is a closed subanalytic subset of M^s , and the canonical mapping $\underline{\varphi} = \underline{\varphi}^s: E_\varphi^s \rightarrow \mathbb{R}^n$ is subanalytic.

Let \hat{E}_φ^s denote the subset of E_φ^s consisting of points $\underline{x} = (x^1, \dots, x^s) \in E_\varphi^s$ such that each x^j lies in a distinct connected component of the fibre $\varphi^{-1}(\underline{\varphi}(\underline{x}))$. If φ is proper, then \hat{E}_φ^s is a subanalytic subset of M^s [3, §7].

2.4 Jets

Let N denote an analytic manifold (over $\mathbb{K} = \mathbb{R}$ or \mathbb{C}), and let $b \in N$. Let $l \in \mathbb{N}$ and let $J^l(b)$ denote $\hat{\mathcal{O}}_b/\hat{m}_b^{l+1}$. If $F \in \hat{\mathcal{O}}_b$, then $J^l F(b)$ denotes the image of F in $J^l(b)$. Let M be an analytic manifold, and let $\varphi: M \rightarrow N$ be an analytic mapping. If $a \in \varphi^{-1}(b)$, then the homomorphism $\hat{\varphi}_a^*: \hat{\mathcal{O}}_b \rightarrow \hat{\mathcal{O}}_a$ induces a linear transformation $J^l \varphi(a): J^l(b) \rightarrow J^l(a)$.

Suppose that $N = \mathbb{K}^n$. Let $y = (y_1, \dots, y_n)$ denote the affine coordinates of \mathbb{K}^n . Taylor series expansion induces an identification of $\hat{\mathcal{O}}_b$ with the ring of formal power series $\mathbb{K}[[y-b]] = \mathbb{K}[[y_1-b_1, \dots, y_n-b_n]]$ (we write $F(y) = \sum_{\beta \in \mathbb{N}^n} F_\beta(y-b)^\beta$), and hence an identification of $J^l(b)$ with \mathbb{K}^q , $q = \binom{n+l}{l}$, with respect to which $J^l F(b) = (D^\beta F(b))_{|\beta| \leq l}$, where D^β denotes $1/\beta!$ times the formal derivative of order $\beta \in \mathbb{N}$.

Using a system of coordinates $x = (x_1, \dots, x_m)$ for M in a neighbourhood of a , we can identify $J^l(a)$ with \mathbb{K}^p , $p = \binom{m+l}{l}$. Then

$$J^l \varphi(a): (F_\beta)_{|\beta| \leq l} \mapsto ((\hat{\varphi}_a^*(F))_\alpha)_{|\alpha| \leq l} = \left(\sum_{|\beta| \leq l} F_\beta L_\alpha^\beta(a) \right)_{|\alpha| \leq l},$$

where $L_\alpha^\beta(a) = (\partial^{|\alpha|} \varphi^\beta / \partial x^\alpha)(a) / \alpha!$ and $\varphi^\beta = \varphi^{\beta_1} \cdots \varphi^{\beta_n}$ ($\varphi = (\varphi_1, \dots, \varphi_n)$).

Set $J_b^l := J^l(b) \otimes_{\mathbb{K}} \hat{\mathcal{O}}_b = \bigoplus_{|\beta| \leq l} \mathbb{K}[[y-b]]$. We put $J_b^l F(y) := (D^\beta F(y))_{|\beta| \leq l} \in J_b^l$. (Evaluating at b transforms $J_b^l F$ to $J^l F(b)$.) The ring homomorphism $\hat{\varphi}_a^*: \hat{\mathcal{O}}_b \rightarrow \hat{\mathcal{O}}_a$ induces a homomorphism of $\mathbb{K}[[x-a]]$ -modules,

$$\begin{array}{ccc} J_a^l \varphi: J^l(b) \otimes_{\mathbb{K}} \hat{\mathcal{O}}_a & \longrightarrow & J^l(a) \otimes_{\mathbb{K}} \hat{\mathcal{O}}_a \\ \parallel & & \parallel \\ \bigoplus_{|\beta| \leq l} \mathbb{K}[[x-a]] & & \bigoplus_{|\alpha| \leq l} \mathbb{K}[[x-a]] \end{array}$$

such that if $F \in \hat{\mathcal{O}}_b$, then

$$J_a^l \varphi((\hat{\varphi}_a^*(D^\beta F))_{|\beta| \leq l}) = (D^\alpha (\hat{\varphi}_a^*(F)))_{|\alpha| \leq l}.$$

By evaluation at a , $J_a^l \varphi$ induces $J^l \varphi(a): J^l(b) \rightarrow J^l(a)$. We can identify $J_a^l \varphi$ with the matrix (with rows indexed by $\alpha \in \mathbb{N}^m$, $|\alpha| \leq l$ and columns indexed by $\beta \in \mathbb{N}^n$, $|\beta| \leq l$) whose entries are the Taylor expansions at a of $D^\alpha \varphi^\beta = (\partial^{|\alpha|} \varphi^\beta / \partial x^\alpha) / \alpha!$ for $|\alpha| \leq l$, $|\beta| \leq l$.

Let $\underline{a} = (a^1, \dots, a^s) \in M_\varphi^s$ and $b = \varphi(\underline{a})$. For each $i = 1, \dots, s$, the homomorphism $J_b^l = J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_b \rightarrow J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{a^i} = J_{a^i}^l$ over $\widehat{\varphi}_{a^i}^*$, as defined above (using a coordinate system $x^i = (x_1^i, \dots, x_m^i)$ for M in a neighbourhood of a^i), followed by the canonical homomorphism $J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{a^i} \rightarrow J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}$ over $(\widehat{\rho}^l)_{\underline{a}}^* : \widehat{\mathcal{O}}_{a^i} \rightarrow \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}$, induces an $\widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}$ -homomorphism $J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}} \rightarrow J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}$. We thus obtain an $\widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}$ -homomorphism

$$\begin{array}{ccc} J_{\underline{a}}^l \varphi : J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}} & \longrightarrow & \bigoplus_{i=1}^s J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}} \\ \parallel & & \parallel \\ \bigoplus_{|\beta| \leq l} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}} & & \bigoplus_{i=1}^s \bigoplus_{|\alpha| \leq l} \widehat{\mathcal{O}}_{M_\varphi^s, \underline{a}}. \end{array}$$

For any (germ at \underline{a} of an) analytic subspace L of M_φ^s , we also write

$$(2.2) \quad J_{\underline{a}}^l \varphi : J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L, \underline{a}} \rightarrow \bigoplus_{i=1}^s J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L, \underline{a}},$$

for the induced $\widehat{\mathcal{O}}_{L, \underline{a}}$ -homomorphism. Evaluation at \underline{a} transforms $J_{\underline{a}}^l \varphi$ to

$$(2.3) \quad J^l \varphi(\underline{a}) = (J^l \varphi(a^1), \dots, J^l \varphi(a^s)) : J^l(b) \rightarrow \bigoplus_{i=1}^s J^l(a^i).$$

3 Ideals of Relations and Chevalley Functions

Let M be an analytic manifold (over $\mathbb{K} = \mathbb{R}$ or \mathbb{C}), and let $\varphi = (\varphi_1, \dots, \varphi_n) : M \rightarrow \mathbb{K}^n$ be an analytic mapping. If $a \in M$, let \mathcal{R}_a denote the ideal of formal relations $\text{Ker } \widehat{\varphi}_a^*$.

Remark 3.1 \mathcal{R}_a is constant on connected components of the fibres of φ [3, Lemma 5.1].

Let s be a positive integer, and let $\underline{a} = (a^1, \dots, a^s) \in M_\varphi^s$. Put

$$(3.1) \quad \mathcal{R}_{\underline{a}} := \bigcap_{i=1}^s \mathcal{R}_{a^i} = \bigcap_{i=1}^s \text{Ker } \widehat{\varphi}_{a^i}^* \subset \widehat{\mathcal{O}}_{\varphi(\underline{a})}.$$

If $k \in \mathbb{N}$, we also write

$$\mathcal{R}^k(\underline{a}) := \frac{\mathcal{R}_{\underline{a}} + \widehat{\mathfrak{m}}_{\varphi(\underline{a})}^{k+1}}{\widehat{\mathfrak{m}}_{\varphi(\underline{a})}^{k+1}} \subset J^k(\varphi(\underline{a})).$$

If $b \in \mathbb{K}^n$, let $\pi^k(b) : \widehat{\mathcal{O}}_b \rightarrow J^k(b)$ denote the canonical projection. For $l \geq k$, let $\pi^{lk}(b) : J^l(b) \rightarrow J^k(b)$ be the projection. Set

$$E^l(\underline{a}) := \text{Ker } J^l \varphi(\underline{a}), \quad \text{and} \quad E^{lk}(\underline{a}) := \pi^{lk}(\varphi(\underline{a})) \cdot E^l(\underline{a}).$$

3.1 Chevalley's Lemma

Lemma 3.2 ([2, Lemma 8.2.2]; cf. [4, §II, Lemma 7]) *Let $\underline{a} \in M_\varphi^s$, $\underline{a} = (a^1, \dots, a^s)$. For every $k \in \mathbb{N}$, there exists $l \in \mathbb{N}$ such that $\mathcal{R}^k(\underline{a}) = E^{lk}(\underline{a})$, i.e., such that if $F \in \widehat{\mathcal{O}}_{\underline{a}}(\underline{a})$ and $\widehat{\varphi}_{a^i}^*(F) \in \widehat{m}_{a^i}^{l+1}$, $i = 1, \dots, s$, then $F \in \mathcal{R}_\underline{a} + \widehat{m}_{\underline{a}}^{k+1}$.*

We write $l(\underline{a}, k) = l_{\varphi^*}(\underline{a}, k)$ for the least l satisfying the conclusion of the lemma.

Proof of Lemma 3.2 If $k \leq l_1 \leq l_2$, then $\mathcal{R}^k(\underline{a}) \subset E^{l_2, k}(\underline{a}) \subset E^{l_1, k}(\underline{a})$, and the projection $\pi^{l_2, l_1}(\varphi(\underline{a}))$ maps $\bigcap_{l \geq l_2} E^{ll_2}(\underline{a})$ onto $\bigcap_{l \geq l_1} E^{ll_1}(\underline{a})$. It follows that $\mathcal{R}^k(\underline{a}) = \bigcap_{l \geq k} E^{lk}(\underline{a})$. Since $\dim J^k(\varphi(\underline{a})) < \infty$, there exists $l \in \mathbb{N}$ such that $\mathcal{R}^k(\underline{a}) = E^{lk}(\underline{a})$. ■

3.2 Generic Chevalley Function

Let $\underline{a} \in M_\varphi^s$ and $k \in \mathbb{N}$. Set

$$H_\underline{a}(k) := \dim_{\mathbb{K}} \frac{J^k(\varphi(\underline{a}))}{\mathcal{R}^k(\underline{a})}, \quad d^{lk}(\underline{a}) := \dim_{\mathbb{K}} \frac{J^k(\varphi(\underline{a}))}{E^{lk}(\underline{a})}, \quad \text{if } l \geq k$$

($H_\underline{a}$ is the Hilbert-Samuel function of $\widehat{\mathcal{O}}_{\underline{a}}(\underline{a})/\mathcal{R}_\underline{a}$).

Remark 3.3 We have $d^{lk}(\underline{a}) \leq H_\underline{a}(k)$ since $\mathcal{R}^k(\underline{a}) \subset E^{lk}(\underline{a})$. Also $\mathcal{R}^k(\underline{a}) = E^{lk}(\underline{a})$ (and $d^{lk}(\underline{a}) = H_\underline{a}(k)$) if and only if $l \geq l(\underline{a}, k)$.

Lemma 3.4 ([2, Lemma 8.3.3]) *Let L be a subanalytic leaf in M_φ^s , i.e., a connected subanalytic subset of M_φ^s which is an analytic submanifold of M^s . (See Remark 4.4). Then there is a residual subset D of L such that if $\underline{a}, \underline{a}' \in D$, then $H_\underline{a}(k) = H_{\underline{a}'}(k)$ and $l(\underline{a}, k) = l(\underline{a}', k)$, for all $k \in \mathbb{N}$.*

Definition 3.5 We define the generic Chevalley function of L as $l(L, k) := l(\underline{a}, k)$ ($k \in \mathbb{N}$), where $\underline{a} \in D$.

Proof of Lemma 3.4 For $\underline{a} \in M_\varphi^s$ and $l \geq k$, write $J^l \varphi(\underline{a})$ (2.3) (using local coordinates for M^s as in §2.4, in a neighbourhood of a point of \bar{L}) as a block matrix

$$J^l \varphi(\underline{a}) = (S^{lk}(\underline{a}), T^{lk}(\underline{a})) = \begin{pmatrix} J^k \varphi(\underline{a}) & 0 \\ * & * \end{pmatrix}$$

corresponding to the decomposition of vectors $\xi = (\xi_\beta)_{\beta \in \mathbb{N}^s, |\beta| \leq l}$ in the source as $\xi = (\xi^k, \zeta^{lk})$, where $\xi^k = (\xi_\beta)_{|\beta| \leq k}$ and $\zeta^{lk} = (\xi_\beta)_{k < |\beta| \leq l}$. Then

$$E^{lk}(\underline{a}) = \{\eta = (\eta_\beta)_{|\beta| \leq k} : S^{lk}(\underline{a}) \cdot \eta \in \text{Im } T^{lk}(\underline{a})\}.$$

Thus, by Lemma 2.1, $E^{lk}(\underline{a}) = \text{Ker } \Theta^{lk}(\underline{a})$ and $d^{lk}(\underline{a}) = \text{rk } \Theta^{lk}(\underline{a})$, where

$$\Theta^{lk}(\underline{a}) := \text{ad}^{J^k \varphi(\underline{a})} T^{lk}(\underline{a}) \cdot S^{lk}(\underline{a}), \quad r^{lk}(\underline{a}) := \text{rk } T^{lk}(\underline{a}).$$

Set $r^{lk}(L) := \max_{\underline{a} \in L} r^{lk}(\underline{a})$ and $d_L^{lk}(\underline{a}) := \text{rk } \Theta_L^{lk}(\underline{a})$, $\underline{a} \in L$, where

$$\Theta_L^{lk}(\underline{a}) := \text{ad}^{r^{lk}(L)} T^{lk}(\underline{a}) \cdot S^{lk}(\underline{a})$$

(so that $\Theta_L^{lk}(\underline{a}) = 0$ if $r^{lk}(\underline{a}) < r^{lk}(L)$). Let $Y^{lk} := \{\underline{a} \in L : r^{lk}(\underline{a}) < r^{lk}(L)\}$. Set

$$d^{lk}(L) := \max_{\underline{a} \in L} d_L^{lk}(\underline{a}).$$

Clearly, $d_L^{lk}(\underline{a}) = 0$ if $\underline{a} \in Y^{lk}$, and $d_L^{lk}(\underline{a}) = d^{lk}(\underline{a})$ if $\underline{a} \in L \setminus Y^{lk}$. Also set

$$Z^{lk} := Y^{lk} \cup \{\underline{a} \in L : d_L^{lk}(\underline{a}) < d^{lk}(L)\}.$$

Then Y^{lk} and Z^{lk} are proper closed analytic subsets of L . For all $\underline{a} \in L \setminus Z^{lk}$, $r^{lk}(\underline{a}) = r^{lk}(L)$ and $d^{lk}(\underline{a}) = d_L^{lk}(\underline{a}) = d^{lk}(L)$. Put

$$D^k := L \setminus \bigcup_{l > k} Z^{lk}, \quad D := \bigcap_{k \geq 1} D^k.$$

By the Baire category theorem, the D^k (and hence also D) are residual subsets of L .

Fix $k \in \mathbb{N}$. If $\underline{a} \in D^k$, then $d^{lk}(\underline{a}) = d^{lk}(L)$, for all $l > k$. If, in addition, $l \geq l(\underline{a}, k)$, then $H_{\underline{a}}(k) = d^{lk}(L)$, by Remark 3.3. If $\underline{a}, \underline{a}' \in D^k$, then choosing $l \geq l(\underline{a}, k)$ and $\geq l(\underline{a}', k)$, we get $H_{\underline{a}}(k) = H_{\underline{a}'}(k)$. For the second assertion of the lemma, suppose that $l \geq l(\underline{a}, k)$. Then $H_{\underline{a}'}(k) = H_{\underline{a}}(k) = d^{lk}(\underline{a}) = d^{lk}(L) = d^{lk}(\underline{a}')$, so that $l \geq l(\underline{a}', k)$, by Remark 3.3. In the same way, $l \geq l(\underline{a}', k)$ implies that $l \geq l(\underline{a}, k)$. ■

3.3 Chevalley Function of a Subanalytic Set

Let N denote a real-analytic manifold, and let X be a closed subanalytic subset of N . If $b \in X$, then $\mathcal{F}_b(X)$ or $\mathcal{R}_b \subset \widehat{\mathcal{O}}_b$ denotes the formal local ideal of X at b , in the sense of the following simple lemma.

Lemma 3.6 *Let $b \in X$. The following three definitions of $\mathcal{F}_b(X)$ are equivalent:*

- (i) *Let M be a real-analytic manifold and let $\varphi: M \rightarrow N$ be a proper real-analytic mapping such that $X = \varphi(M)$. Then $\mathcal{F}_b(X) = \bigcap_{\underline{a} \in \varphi^{-1}(b)} \text{Ker } \widehat{\varphi}_{\underline{a}}^*$.*
- (ii) $\mathcal{F}_b(X) = \{F \in \widehat{\mathcal{O}}_b : (F \circ \gamma)(t) \equiv 0 \text{ for every real-analytic arc } \gamma(t) \text{ in } X \text{ such that } \gamma(0) = b\}$.
- (iii) $\mathcal{F}_b(X) = \{F \in \widehat{\mathcal{O}}_b : T_b^k F(y) = o(|y - b|^k), \text{ where } y \in X, \text{ for all } k \in \mathbb{N}\}$. Here $T_b^k F(y)$ denotes the Taylor polynomial of order k of F at b , in any local coordinate system.

Assume that $N = \mathbb{R}^n$, with coordinates $y = (y_1, \dots, y_n)$. Let $b \in X$. Recall (1.1).

Remark 3.7 We have $\nu_{X,b}(F) \leq \mu_{X,b}(F)$, as follows. Suppose that $F \in \widehat{\mathfrak{m}}_b^l + \mathcal{F}_b(X)$, say $F = G + H$, where $G \in \widehat{\mathfrak{m}}_b^l$ and $H \in \mathcal{F}_b(X)$. Then $|T_b^l G(y)| \leq c|y - b|^l$ and $T_b^l H(y) = o(|y - b|^l)$, $y \in X$, by Lemma 3.6. Hence $|T_b^l F(y)| \leq \text{const } |y - b|^l$ on X .

Definition 3.8 (Chevalley functions) Let $b \in X$ and let $k \in \mathbb{N}$. Set

$$l_X(b, k) := \min\{l \in \mathbb{N} : \text{if } F \in \widehat{\mathcal{O}}_b \text{ and } \mu_{X,b}(F) > l, \text{ then } \nu_{X,b}(F) > k\}.$$

Let $\varphi: M \rightarrow N$ be a proper real-analytic mapping such that $X = \varphi(M)$. Set

$$l_{\varphi^*}(b, k) := \min\{l \in \mathbb{N} : \text{if } F \in \widehat{\mathcal{O}}_b \text{ and } \nu_{M,a}(\widehat{\varphi}_a^*(F)) > l \\ \text{for all } a \in \varphi^{-1}(b), \text{ then } \nu_{X,b}(F) > k\}.$$

Remark 3.9 Suppose that $b = \varphi(\underline{a})$, where $\underline{a} = (a^1, \dots, a^s) \in M_\varphi^s$, $s \geq 1$. By Lemma 3.2, $l_{\varphi^*}(\underline{a}, k) < \infty$. If \underline{a} includes a point a^i in every connected component of $\varphi^{-1}(b)$, then $\bigcap_{i=1}^s \text{Ker } \widehat{\varphi}_{a^i}^* = \mathcal{F}_b(X)$ (by Remark 3.1 and Lemma 3.6), so that $l_{\varphi^*}(b, k) \leq l_{\varphi^*}(\underline{a}, k)$.

Lemma 3.10 (see [3, Lemma 6.5]) Let $\varphi: M \rightarrow N$ be a proper real-analytic mapping such that $X = \varphi(M)$. Then $l_X(b, \cdot) \leq l_{\varphi^*}(b, \cdot)$ for all $b \in X$.

4 Proofs of the Main Theorems

Let $\varphi: M \rightarrow \mathbb{K}^n$ be an analytic mapping from a manifold M (over $\mathbb{K} = \mathbb{R}$ or \mathbb{C}). Let s be a positive integer. Let $\underline{a} = (a^1, \dots, a^s) \in M_\varphi^s$, and let $b = \varphi(\underline{a})$.

Remark 4.1 By (2.1), the Chevalley functions $l_{\varphi^*}(\underline{a}, k)$ and $l_{\varphi^*}(b, k)$ (Definition 3.8) can be defined using power series that are supported outside the diagram of initial exponents. Set $\mathfrak{N}_{\underline{a}} := \mathfrak{N}(\mathcal{R}_{\underline{a}})$ and $\mathfrak{N}_b := \mathfrak{N}(\mathcal{R}_b)$ (cf. (3.1) and Lemma 3.6). Then

$$l_{\varphi^*}(\underline{a}, k) = \min\{l \in \mathbb{N} : \text{if } F \in \widehat{\mathcal{O}}_b^{\mathfrak{N}_{\underline{a}}} \text{ and } \widehat{\varphi}_{a^i}^*(F) \in \widehat{\mathfrak{m}}_{a^i}^{l+1}, i = 1, \dots, s, \\ \text{then } F \in \mathcal{R}_{\underline{a}} + \widehat{\mathfrak{m}}_b^{k+1}\},$$

$$l_{\varphi^*}(b, k) = \min\{l \in \mathbb{N} : \text{if } F \in \widehat{\mathcal{O}}_b^{\mathfrak{N}_b} \text{ and } \widehat{\varphi}_a^*(F) \in \widehat{\mathfrak{m}}_a^{l+1}, \text{ for all } a \in \varphi^{-1}(b), \\ \text{then } F \in \mathcal{R}_b + \widehat{\mathfrak{m}}_b^{k+1}\}.$$

(In the latter, we assume that φ is a proper real-analytic mapping.)

If $l \in \mathbb{N}$, set $J^l(b)^{\mathfrak{N}_{\underline{a}}} := \{\xi = (\xi_\beta)_{|\beta| \leq l} \in J^l(b) : \xi_\beta = 0 \text{ if } \beta \in \mathfrak{N}_{\underline{a}}\}$. Consider the linear mapping $\Phi^l(\underline{a}): J^l(b)^{\mathfrak{N}_{\underline{a}}} \rightarrow \bigoplus_{i=1}^s J^l(a^i)$ obtained by restriction of $J^l\varphi(\underline{a}): J^l(b) \rightarrow \bigoplus J^l(a^i)$ (2.3). Given $k \leq l$, write $\Phi^l(\underline{a})$ as a block matrix

$$\Phi^l(\underline{a}) = (A^{lk}(\underline{a}), B^{lk}(\underline{a})),$$

where $A^{lk}(\underline{a})$ is given by the restriction of $\Phi^l(\underline{a})$ to $J^k(b)^{\mathfrak{N}_{\underline{a}}}$.

Remark 4.2 If $\xi \in J^l(b)^{\mathfrak{N}_a}$, write $\xi = (\eta, \zeta)$ corresponding to this block decomposition. Then $l \geq l_{\varphi^*}(\underline{a}, k)$ if and only if $A^{lk}(\underline{a})\eta + B^{lk}(\underline{a})\zeta = 0$ implies $\eta = 0$ [3, Lemma 8.13].

Lemma 4.3 (cf. [3, Proposition 8.15]) Let $s \geq 1$ and consider $\varphi = \varphi^s: M_\varphi^s \rightarrow \mathbb{R}^n$. Let L be a relatively compact subanalytic leaf in M_φ^s (cf. Lemma 3.4) such that $\mathfrak{N}_a = \mathfrak{N}(\mathcal{R}_a)$ is constant on L . Let $l(k) = l(L, k)$ denote the generic Chevalley function of L . Then there exists $p \in \mathbb{N}$ such that $l_{\varphi^*}(\underline{a}, k) \leq l(k) + p$, for all $\underline{a} \in L$ and $k \in \mathbb{N}$.

Proof Set $\mathfrak{N} = \mathfrak{N}_a, \underline{a} \in L$. We can assume that \bar{L} lies in a coordinate chart for M^s as in §2.4. Let $k \in \mathbb{N}$ and let $l = l(k)$. Let $\underline{a} = (a^1, \dots, a^s) \in L$, and set $b = \varphi(\underline{a})$. Consider the linear mapping $\Phi^l(\underline{a}) = (A^{lk}(\underline{a}), B^{lk}(\underline{a})): J^l(b)^{\mathfrak{N}} \rightarrow \bigoplus_{i=1}^s J^l(a^i)$ as above. The $\widehat{\mathcal{O}}_{L,\underline{a}}$ -homomorphism $J_{\underline{a}}^l \varphi: J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L,\underline{a}} \rightarrow \bigoplus_{i=1}^s J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L,\underline{a}}$ (2.2) induces an $\widehat{\mathcal{O}}_{L,\underline{a}}$ -homomorphism

$$\Phi_{\underline{a}}^l = (A_{\underline{a}}^{lk}, B_{\underline{a}}^{lk}): J^l(b)^{\mathfrak{N}} \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L,\underline{a}} \rightarrow \bigoplus_{i=1}^s J^l(a^i) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L,\underline{a}};$$

evaluating at \underline{a} transforms $\Phi_{\underline{a}}^l$ to $\Phi^l(\underline{a}) = (A^{lk}(\underline{a}), B^{lk}(\underline{a}))$.

Let $r = \text{rk } B_{\underline{a}}^{lk}$, so r is the generic rank of $B^{lk}(\underline{x}), \underline{x} \in L$. Let $\Theta_{\underline{a}} = \text{ad}^r B_{\underline{a}}^{lk} \cdot A_{\underline{a}}^{lk}$. Then $\text{Ker } \Theta_{\underline{a}} = 0$ (i.e., $\text{Ker } \Theta(\underline{x}) = 0$ generically on L , where $\Theta(\underline{x}) = \text{ad}^r B^{lk}(\underline{x}) \cdot A^{lk}(\underline{x})$, by Remark 4.2). Let $d = \text{rk } \Theta_{\underline{a}}$. Then there is a nonzero minor $\delta_{\underline{a}} \in \mathcal{O}_{L,\underline{a}}$ of $\Theta_{\underline{a}}$ of order d ; $\delta_{\underline{a}}$ is induced by a minor $\delta(\underline{x})$ of order d of $\Theta(\underline{x}), \underline{x} \in L$, such that $\delta(\underline{x}) \neq 0$ on a residual subset of L . Since δ is a restriction to L of an analytic function defined in a neighbourhood of \bar{L} , the order of $\delta_{\underline{x}}, \underline{x} \in L$, is bounded on L , say $\delta_{\underline{x}} \leq p$.

We claim that $l_{\varphi^*}(\underline{a}, k) \leq l(k) + p$ for all $\underline{a} \in L$. Let $\underline{a} = (a^1, \dots, a^s) \in L$, and let $b = \varphi(\underline{a})$. Let $l = l(k)$ and $l' = l + p$. Suppose that $F \in \widehat{\mathcal{O}}_b^{\mathfrak{N}}$ and $\widehat{\varphi}_{a^i}^*(F) \in \widehat{\mathfrak{m}}_{a^i}^{l'+1}, i = 1, \dots, s$. Let $\widehat{\xi}_{\underline{a}} = (\widehat{\eta}_{\underline{a}}, \widehat{\zeta}_{\underline{a}})$ denote the element of $J^l(b)^{\mathfrak{N}} \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_{L,\underline{a}}$ induced by $J_b^l F \in J^l(b) \otimes_{\mathbb{K}} \widehat{\mathcal{O}}_b$ via the pull-back. Then each component of $A_{\underline{a}}^{lk} \widehat{\eta}_{\underline{a}} + B_{\underline{a}}^{lk} \widehat{\zeta}_{\underline{a}}$ belongs to $\widehat{\mathfrak{m}}_{L,\underline{a}}^{l'+1-l}$ (as we see by taking formal derivatives of order $\leq l$ of the $\widehat{\varphi}_{a^i}^*(F)$). It follows that each component of $\Theta_{\underline{a}} \widehat{\eta}_{\underline{a}}$, and therefore (by Cramer’s rule) each component of $\delta_{\underline{a}} \cdot \widehat{\eta}_{\underline{a}}$, belongs to $\widehat{\mathfrak{m}}_{L,\underline{a}}^{l'+1-l}$. Thus, each component of $\widehat{\eta}_{\underline{a}}$ lies in $\widehat{\mathfrak{m}}_{L,\underline{a}}^{l'+1-l-p} = \widehat{\mathfrak{m}}_{L,\underline{a}}$, i.e., $\widehat{\eta}_{\underline{a}}(\underline{a}) = 0$, so that F vanishes to order k at $b = \varphi(\underline{a})$. ■

Proof of Theorem 1.1 By [2, Theorems A,C], there is a locally finite partition of M into relatively compact subanalytic leaves L such that the diagram of initial exponents $\mathfrak{N}_a = \mathfrak{N}(\mathcal{R}_a)$ is constant on each L . Given L , let $l(L, k)$ denote the generic Chevalley function. (In particular, $l(L, k) = l_{\varphi^*}(a, k)$, for all a in a residual subset of L .) Since φ is regular, there exist α_L, γ_L such that $l(L, k) \leq \alpha_L k + \gamma_L$, for all $k \in \mathbb{N}$ (by [10]). By Lemma 4.3 (in the case $s = 1$), there exists $p_L \in \mathbb{N}$ such that $l_{\varphi^*}(a, k) \leq \alpha_L k + \gamma_L + p_L$, for all $a \in L$ and all k . The result follows. ■

Remark 4.4 In the case $\mathbb{K} = \mathbb{C}$, we define “subanalytic leaf” using the underlying real structure. If φ is regular, then the diagram \mathfrak{N}_a is, in fact, an upper-semicontinuous function of a , with respect to the \mathbb{K} -analytic Zariski topology of M (and a nat-

ural total ordering of $\{\mathfrak{R} \in \mathbb{N}^n: \mathfrak{R} + \mathbb{N}^n = \mathfrak{R}\}$ [2, Theorem C], but we do not need the more precise result here.

Lemma 4.5 *Let $s \geq 1$ and let $\underline{a} = (a^1, \dots, a^s) \in M_\varphi^s$. Suppose that φ is regular at a^1, \dots, a^s . Then there exist $\alpha, \beta \in \mathbb{R}$ such that $l_{\varphi^*}(\underline{a}, k) \leq \alpha k + \beta$, for all $k \in \mathbb{N}$.*

Proof Let $b = \varphi(\underline{a})$. For each $i = 1, \dots, s$, since φ is regular at a^i , there exist α^i, β^i such that

$$(4.1) \quad l_{\varphi^*}(a^i, k) \leq \alpha^i k + \beta^i, \quad \text{for all } k.$$

Of course, $\bigcap_{i=1}^s \text{Ker } \widehat{\varphi}_{a^i}^*$ is the kernel of the homomorphism $\widehat{\mathcal{O}}_b \rightarrow \bigoplus_{i=1}^s \widehat{\mathcal{O}}_b / \text{Ker } \widehat{\varphi}_{a^i}^*$. By the Artin–Rees lemma (see Remark 1.4), there exists $\lambda \in \mathbb{N}$ such that if $F \in \widehat{\mathfrak{m}}_b^{k+\lambda} + \text{Ker } \widehat{\varphi}_{a^i}^*, i = 1, \dots, s$, then

$$(4.2) \quad F \in \widehat{\mathfrak{m}}_b^k + \bigcap_{i=1}^s \text{Ker } \widehat{\varphi}_{a^i}^*.$$

Now let $F \in \widehat{\mathcal{O}}_b$ and suppose that $\widehat{\varphi}_{a^i}^*(F) \in \widehat{\mathfrak{m}}_{a^i}^{\alpha^i(\lambda+k)+\beta^i+1}, i = 1, \dots, s$. Then $F \in \widehat{\mathfrak{m}}_b^{\lambda+k+1} + \text{Ker } \widehat{\varphi}_{a^i}^*, i = 1, \dots, s$, by (4.1), so that $F \in \widehat{\mathfrak{m}}_b^{k+1} + \bigcap_{i=1}^s \text{Ker } \widehat{\varphi}_{a^i}^*$, by (4.2). In other words, $l_{\varphi^*}(\underline{a}, k) \leq \alpha k + \beta$, where $\alpha = \max \alpha^i$ and $\beta = \lambda \max \alpha^i + \max \beta^i$. ■

Proof of Theorem 1.2 Suppose that $\varphi: M \rightarrow \mathbb{R}^n$ is a real-analytic mapping and M is compact. Let $X = \varphi(M)$. Let $s \geq 1, \underline{a} \in M_\varphi^s, b = \varphi(\underline{a})$. If $\underline{a} = (a^1, \dots, a^s)$ includes a point a^i in every connected component of $\varphi^{-1}(b)$, then

$$(4.3) \quad l_X(b, k) \leq l_{\varphi^*}(\underline{a}, k),$$

by Remark 3.9 and Lemma 3.10.

Let L be a relatively compact subanalytic leaf in M_φ^s , such that $\mathfrak{R}_{\underline{a}} = \mathfrak{R}(\mathcal{R}_{\underline{a}})$ is constant on L . Suppose that φ is regular at a^i , for all $\underline{a} = (a^1, \dots, a^s) \in L$ and $i = 1, \dots, s$. Let $l(L, k)$ denote the generic Chevalley function of L . By Lemma 4.5, there exist α, β such that $l(L, k) \leq \alpha k + \beta$. Therefore, by Lemma 4.3, there exist α_L, β_L such that

$$(4.4) \quad l_{\varphi^*}(\underline{a}, k) \leq \alpha_L k + \beta_L, \quad \text{for all } \underline{a} \in L.$$

To prove the theorem, we can assume that X is compact. Let φ be a mapping as above, such that $X = \varphi(M)$. We consider first the case that X is Nash. Then we can assume that φ is regular. Let s denote a bound on the number of connected components of a fibre $\varphi^{-1}(b)$, for all $b \in X$. Then there is a finite partition of M_φ^s into relatively compact subanalytic leaves L , such that $\mathfrak{R}_{\underline{a}} = \mathfrak{R}(\mathcal{R}_{\underline{a}})$ is constant on every L . By (4.3) and (4.4), for each L , there exist α_L, β_L such that $l_X(b, k) \leq \alpha_L k + \beta_L$, for all $b \in \varphi(L)$ and all k . Therefore, $l_X(b, k)$ has a uniform linear bound.

Finally, we consider X formally Nash. Let $\text{NR}(\varphi) \subset M$ denote the set of points at which φ is not regular. Then $\text{NR}(\varphi)$ is a nowhere-dense closed analytic subset of M [11, Theorem 1]. For each positive integer s , set

$$\text{NR}(\underline{\varphi}^s) := M_\varphi^s \cap \bigcup_{i=1}^s \{\underline{a} = (a^1, \dots, a^s) \in M^s: a^i \in \text{NR}(\varphi)\};$$

then $\text{NR}(\underline{\varphi}^s)$ is a closed analytic subset of M_φ^s .

If $b \in X$ and a, a' belong to the same connected component of $\varphi^{-1}(b)$, then φ is regular at a if and only if φ is regular at a' (cf. Remark 3.1). Let t be a bound on the number of connected components of a fibre $\varphi^{-1}(b)$, for all $b \in X$. For each $s \leq t$, define $X_s := \{b \in X : \varphi^{-1}(b) \text{ has precisely } s \text{ regular components}\}$ and $Y_s := \{b \in X : \varphi^{-1}(b) \text{ has at least } s \text{ regular components}\}$. Then $X_s = Y_s \setminus Y_{s+1}$, and

$$Y_s = \underline{\varphi}^s(\overset{\circ}{M}_\varphi^s \setminus \text{NR}(\underline{\varphi}^s));$$

in particular, all the X_s and Y_s are subanalytic (cf. §3.2).

The hypothesis of the theorem implies: (i) $X = \bigcup_{s=1}^t X_s$; (ii) if $b \in X_s$ and $\underline{a} \in (\varphi^s)^{-1}(b) \cap (\overset{\circ}{M}_\varphi^s \setminus \text{NR}(\underline{\varphi}^s))$, then $\mathfrak{R}_{\underline{a}} = \mathfrak{R}_b$. ((ii) follows from the fact that $\mathcal{F}_b(X) = \mathcal{F}_b(Y_b)$, where Y_b is some closed Nash subanalytic subset of X , and (i) from the fact that the latter condition holds for all $b \in X$.)

By [11, Theorem 2], for each s , there is a finite stratification \mathcal{L}_s of M_φ^s compatible with $\text{NR}(\underline{\varphi}^s)$ such that $\mathfrak{R}_{\underline{a}} = \mathfrak{R}(\mathcal{R}_{\underline{a}})$ is constant on every stratum $L \subset M_\varphi^s \setminus \text{NR}(\underline{\varphi}^s)$, $L \in \mathcal{L}_s$. Clearly,

$$X_s = \bigcup_{\substack{L \in \mathcal{L}_s \\ L \subset M_\varphi^s \setminus \text{NR}(\underline{\varphi}^s)}} \underline{\varphi}^s(L \cap \overset{\circ}{M}_\varphi^s) \cap X_s;$$

hence

$$X = \bigcup_{s=1}^t \bigcup_{\substack{L \in \mathcal{L}_s \\ L \subset M_\varphi^s \setminus \text{NR}(\underline{\varphi}^s)}} \underline{\varphi}^s(L \cap \overset{\circ}{M}_\varphi^s).$$

Again by (4.3) and (4.4), for each L , there exist α_L, β_L such that $l_X(b, k) \leq \alpha_L k + \beta_L$, for all $b \in \underline{\varphi}(L)$ and all k . The result follows. ■

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