

## ON THE UMBILICITY OF HYPERSURFACES IN THE HYPERBOLIC SPACE

C. P. AQUINO, M. BATISTA<sup>✉</sup> and H. F. DE LIMA

(Received 11 June 2016; accepted 15 September 2016; first published online 16 November 2016)

Communicated by M. Murray

### Abstract

In this paper, we establish new characterization results concerning totally umbilical hypersurfaces of the hyperbolic space  $\mathbb{H}^{n+1}$ , under suitable constraints on the behavior of the Lorentzian Gauss map of complete hypersurfaces having some constant higher order mean curvature. Furthermore, working with different warped product models for  $\mathbb{H}^{n+1}$  and supposing that certain natural inequalities involving two consecutive higher order mean curvature functions are satisfied, we study the rigidity and the nonexistence of complete hypersurfaces immersed in  $\mathbb{H}^{n+1}$ .

2010 *Mathematics subject classification*: primary 53C42; secondary 53B30, 53C50, 53Z05, 83C99.

*Keywords and phrases*: hyperbolic space, complete hypersurfaces, totally umbilical hypersurfaces, higher order mean curvatures, Lorentzian Gauss map.

### 1. Introduction

The study of the geometry of complete hypersurfaces with constant mean curvature in a Riemannian space form constitutes a classical and fruitful theme in the theory of geometric analysis. In this branch, do Carmo and Lawson [12] used the well-known Alexandrov reflexion method to show that a complete hypersurface properly embedded with constant mean curvature in the  $(n + 1)$ -dimensional hyperbolic space  $\mathbb{H}^{n+1}$  with a single point at the asymptotic boundary must be a horosphere. Moreover, they also observed that the statement is no longer true if we replace embedded by immersed. Later on, Alías and Dajczer [2] proved that the horospheres are the only surfaces properly immersed in  $\mathbb{H}^3$  with constant mean curvature  $-1 \leq H \leq 1$  and which are contained in a slab (that is, the region between two horospheres that share the same point in the asymptotic boundary).

---

The first author is partially supported by CNPq, Brazil, grant number 302738/2014-2. The second author is partially supported by CNPq, Brazil, grant number 456755/2014-4. The third author is partially supported by CNPq, Brazil, grant number 303977/2015-9.

© 2016 Australian Mathematical Publishing Association Inc. 1446-7887/2016 \$16.00

In [3], Alías *et al.* proved that a bounded, complete hypersurface in  $\mathbb{H}^{n+1}$  with normal curvatures greater than  $-1$  must be diffeomorphic to a Euclidean sphere  $\mathbb{S}^n$ . Afterwards, Wang and Xia [21] showed that a closed hypersurface in  $\mathbb{H}^{n+1}$  and whose second fundamental form has constant norm must be totally umbilical. In [20], Shu proved that a complete hypersurface in  $\mathbb{H}^{n+1}$  with constant normalized scalar curvature and nonnegative sectional curvature must be either totally umbilical or isometric to a hyperbolic cylinder of  $\mathbb{H}^{n+1}$ . Next, the third author and Caminha [11] studied complete vertical graphs of constant mean curvature in  $\mathbb{H}^{n+1}$ . Under appropriate restrictions on the values of the mean curvature and the growth of the height function, they established necessary conditions for the existence of such a graph  $\Sigma^n$  and, when  $n = 2$ , they proved that  $\Sigma^2$  must be a horosphere. By extending a technique due to Yau [22], these same authors jointly with Camargo [9] obtained another rigidity result concerning the horospheres of  $\mathbb{H}^{n+1}$ , without the assumption of the constancy of the mean curvature. Moreover, they also obtained a characterization theorem for the horospheres of  $\mathbb{H}^{n+1}$  under suitable constraints on two consecutive higher order mean curvatures. More recently, the first and third authors [7] used some generalized maximum principles in order to obtain several new characterization results for horospheres of  $\mathbb{H}^{n+1}$  via suitable restrictions on the mean curvature function.

Meanwhile, in [5], these same authors jointly with Barros improved previous results of [6], showing that the only complete constant mean curvature hypersurfaces immersed in  $\mathbb{H}^{n+1}$  such that the image of the Lorentzian Gauss map lies in a totally umbilical space-like hypersurface of the de Sitter space  $\mathbb{S}_1^{n+1}$  must be the totally umbilical ones. The same conclusion holds when the assumption on the Lorentzian Gauss map is replaced by scalar curvature bounded from below and whose angle function  $f_a$ , with respect to some fixed vector  $a$  of the  $(n + 2)$ -dimensional Lorentz–Minkowski space  $\mathbb{L}^{n+2}$  such that the tangential component  $a^\top$  has Lebesgue integrable norm, does not change sign. Afterwards, the first author [4] obtained extensions of these results for the case of complete hypersurfaces of  $\mathbb{H}^{n+1}$  having constant scalar curvature.

In this article, our purpose is to study the umbilicity of complete hypersurfaces of the hyperbolic space  $\mathbb{H}^{n+1}$  via their higher order mean curvature functions. Firstly, assuming that some higher order mean curvature is constant, we establish new characterization results concerning totally umbilical hypersurfaces of  $\mathbb{H}^{n+1}$ , under appropriate constraints on the behavior of the Lorentzian Gauss map (see Theorems 3.1, 3.4 and 3.6 and Corollary 3.5). Afterwards, working with different warped product models for  $\mathbb{H}^{n+1}$  and supposing that two consecutive higher order mean curvature functions satisfy certain natural inequalities, we study the rigidity and the nonexistence of complete hypersurfaces of  $\mathbb{H}^{n+1}$  (see Theorems 4.2, 4.4 and 4.6).

## 2. Preliminaries

This section is devoted to recalling some basic facts concerning hypersurfaces immersed in the hyperbolic space. For this, let us consider the Lorentz–Minkowski

space  $\mathbb{L}^{n+2}$ , that is, the Euclidean vector space  $\mathbb{R}^{n+2}$  equipped with the metric

$$\langle v, w \rangle = \sum_{j=1}^{n+1} v_j w_j - v_{n+2} w_{n+2}.$$

The  $(n + 1)$ -dimensional hyperbolic space can be regarded as being the following hyperquadric of  $\mathbb{L}^{n+2}$ :

$$\mathbb{H}^{n+1} = \{p \in \mathbb{L}^{n+2}; \langle p, p \rangle = -1; p_{n+2} \geq 1\}.$$

In this context, we will deal with connected and oriented isometrically immersed hypersurfaces  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$ . We recall that the unit normal vector field  $N$  of  $\Sigma^n$  can be considered as a map  $N : \Sigma^n \rightarrow \mathbb{S}_1^{n+1}$ , where  $\mathbb{S}_1^{n+1}$  stands for the  $(n + 1)$ -dimensional unitary de Sitter space, that is,

$$\mathbb{S}_1^{n+1} = \{p \in \mathbb{L}^{n+2}; \langle p, p \rangle = 1\}.$$

In this setting,  $N$  is called the *Lorentzian Gauss map* of  $\Sigma^n$ .

Let us denote by  $A : \mathfrak{X}(\Sigma) \rightarrow \mathfrak{X}(\Sigma)$  the Weingarten endomorphism of  $\Sigma^n$  with respect to the vector field  $N$ . Recall that, if  $\nabla^0$ ,  $\bar{\nabla}$  and  $\nabla$  stand for the Levi-Civita connections in  $\mathbb{L}^{n+2}$ ,  $\mathbb{H}^{n+1}$  and  $\Sigma^n$ , respectively, then the Gauss and Weingarten formulas provide

$$\bar{\nabla}_X Y = \nabla_X Y + \langle AX, Y \rangle N$$

and

$$AX = -\bar{\nabla}_X N = -\nabla^0_X N$$

for all tangent vector fields  $X, Y \in \mathfrak{X}(\Sigma)$ .

Since the Weingarten operator  $A$  restricts to a self-adjoint linear map  $A_p : T_p \Sigma \rightarrow T_p \Sigma$ , at each  $p \in \Sigma^n$ ,

$$\det(tI - A) = \sum_{r=0}^n (-1)^r S_r t^{n-r},$$

where  $I$  stands for the identity operator,  $S_r(p)$  is the  $r$ th elementary symmetric function on the eigenvalues of  $A_p$ , for  $1 \leq r \leq n$ , and  $S_0 = 1$  by convention. We define the  $r$ th mean curvature  $H_r$  of  $\Sigma^n$ ,  $0 \leq r \leq n$ , by

$$\binom{n}{r} H_r = S_r.$$

We observe that  $H_0 = 1$ , while  $H_1 = (1/n)S_1$  is the usual mean curvature  $H$  of  $\Sigma^n$ .

For  $0 \leq r \leq n$ , one defines the  $r$ th Newton transformation  $P_r$  on  $\Sigma^n$  by setting  $P_0 = I$  and, for  $1 \leq r \leq n$ , via the recurrence relation

$$P_r = S_r I - A P_{r-1}.$$

On the other hand, given  $f \in C^\infty(\Sigma)$ , for each  $0 \leq r \leq n$ , the second-order differential operator  $L_r$  is defined as follows:

$$L_r f = \text{tr}(P_r \nabla^2 f).$$

Here  $\nabla^2 f : \mathfrak{X}(\Sigma) \rightarrow \mathfrak{X}(\Sigma)$  denotes the self-adjoint linear operator metrically equivalent to the Hessian of  $f$ , and it is given by

$$\langle \nabla^2 f(X), Y \rangle = \langle \nabla_X \nabla f, Y \rangle$$

for all  $X, Y \in \mathfrak{X}(\Sigma)$ . It is important to note that this operator is of divergence type provided that we have a hypersurface  $\Sigma^n \rightarrow \mathbb{Q}^{n+1}(c)$ , where  $\mathbb{Q}^{n+1}(c)$  stands for a Riemannian space form of constant sectional curvature  $c$ . This fact was proved by Rosenberg in [19] and it reads as follows:

$$L_r f = \operatorname{div}(P_r \nabla f).$$

Fixing a nonzero vector  $a \in \mathbb{L}^{n+2}$ , we will consider two particular functions naturally attached to a hypersurface  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1}$ , namely, the *height* and *angle* functions, which are defined, respectively, by  $l_a = \langle \psi, a \rangle$  and  $f_a = \langle N, a \rangle$ .

A direct computation allows us to conclude that the gradients of such functions are given by  $\nabla l_a = a^\top$  and  $\nabla f_a = -A(a^\top)$ , where  $a^\top$  is the orthogonal projection of  $a$  onto the tangent bundle  $T\Sigma$ , that is,

$$a^\top = a - f_a N + l_a \psi. \tag{2.1}$$

Based on the ideas of the classical paper of Reilly [18], Rosenberg [19] obtained suitable formulas for the operator  $L_r$  acting on the height and angle functions of a hypersurface of a Riemannian space form. For the case of the hyperbolic space, these formulas read as follows (compare [1, Section 3] or [19, Section 5]):

$$L_r l_a = c_r(H_{r+1} f_a + H_r l_a) \tag{2.2}$$

and

$$L_r f_a = -\left(\frac{n}{r+1} c_r H H_{r+1} - c_{r+1} H_{r+2}\right) f_a - c_r H_{r+1} l_a - \frac{c_r}{r+1} \langle \nabla H_{r+1}, a^\top \rangle, \tag{2.3}$$

where  $c_r = (r+1) \binom{n}{r+1} = (n-r) \binom{n}{r}$ .

Now, we observe that for  $r = 0$ , (2.2) particularizes to  $\Delta l_a = nH f_a + n l_a$ . Then, combining this formula with (2.3) for the case  $H_{r+1}$  constant,

$$\operatorname{div}\left(P_r \nabla f_a + \frac{c_r}{n} H_{r+1} \nabla l_a\right) = c_{r+1} (H_{r+2} - H H_{r+1}) f_a. \tag{2.4}$$

Our next auxiliary result establishes an analytical tool to detect the umbilicity of a hypersurface immersed in  $\mathbb{H}^{n+1}$ . For this, we recall that a point  $p_0$  in a hypersurface  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1}$  is said to be *elliptic* when all principal curvatures  $\lambda_i(p_0)$  are positive with respect to an appropriate choice of the Lorentzian Gauss map of  $\Sigma^n$ .

**LEMMA 2.1.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a hypersurface immersed in the hyperbolic space with  $H_{r+1}$  positive. Assume that there exist an elliptic point in  $\Sigma^n$ . Then*

$$H_{r+2} - H H_{r+1} \leq 0$$

*and equality holds if, and only if,  $\Sigma^n$  is totally umbilical.*

**PROOF.** Making use of [17, Lemma 1], we have that any  $H_i$ ,  $i \leq r$ , is also positive. Moreover,

$$H_{r+2} \leq H_{r+1}^{(r+2)/(r+1)} = H_{r+1}H_{r+1}^{1/(r+1)} \leq H_{r+1}H_r^{1/r} \leq HH_{r+1},$$

with equality at any stage only at umbilical points, which completes the proof (alternatively, see [1, page 204]).  $\square$

We close this section by obtaining the following result.

**LEMMA 2.2.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a hypersurface immersed in the hyperbolic space with  $H_{r+1}$  positive. Then*

$$\left| \frac{c_r}{n} H_{r+1} I - AP_r \right| \leq \binom{n-1}{r} |H_{r+1}| + \binom{n}{r} |H_r| |A| + |A^2 P_{r-1}|.$$

In particular, if  $\Sigma^n$  has an elliptic point and  $H$  is bounded, then

$$\left| \frac{c_r}{n} H_{r+1} I - AP_r \right|$$

is bounded on  $\Sigma^n$ .

**PROOF.** Using the definition of  $P_r$ ,

$$\frac{c_r}{n} H_{r+1} I - AP_r = \binom{n-1}{r} H_{r+1} I - \binom{n}{r} H_r A + A^2 P_{r-1}.$$

On the other hand, we observe that  $|A| \leq n^2 H^2 - n(n-1)H_2$  and

$$|A^2 P_{r-1}| \leq \text{tr}(A^2 P_{r-1}) = \left( c_r H_{r+1} - \frac{n}{r} c_{r-1} H H_r \right).$$

If  $\Sigma^n$  has an elliptic point, since we are assuming that  $H_{r+1}$  is positive, then we can reason as in the proof of Lemma 2.1 to get that  $H_j > 0$  for any  $j \leq r$ . Hence, the result follows on observing that the hypothesis that  $H$  is bounded implies, by [17, Equation (11)], that  $H_j$  is also bounded for all  $j \leq r + 1$ .  $\square$

### 3. Main results

In order to establish our first results, we recall the description of totally umbilical space-like hypersurfaces of the de Sitter space  $\mathbb{S}_1^{n+1}$  due to Montiel in [15] and its dual relation with totally umbilical hypersurfaces of the hyperbolic space  $\mathbb{H}^{n+1}$  described by López and Montiel in [14]. For this, we note that  $\mathbb{S}_1^{n+1}$  admits a foliation by means of totally umbilical space-like hypersurfaces

$$L(\tau) = \{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle = \tau\},$$

where  $a \in \mathbb{L}^{n+2}$ ,  $\langle a, a \rangle = 1, 0, -1$  and  $\tau^2 > \langle a, a \rangle$  (cf. [15, Example 1]). Consequently, we have that there exists a natural duality between the foliations of  $\mathbb{S}_1^{n+1}$  and  $\mathbb{H}^{n+1}$  through totally umbilical hypersurfaces. This duality follows from the fact that the

totally umbilical hypersurfaces of  $\mathbb{H}^{n+1}$  can be realized in the Lorentz–Minkowski model in the following way:

$$\mathcal{L}(\varrho) = \{p \in \mathbb{H}^{n+1}; \langle p, a \rangle = \varrho\},$$

where  $a \in \mathbb{L}^{n+2}$  is a nonzero fixed vector and  $\varrho^2 + \langle a, a \rangle > 0$  (cf. [14]). Furthermore, with a straightforward computation it is not difficult to verify that the Lorentzian Gauss mapping  $N : \mathcal{L}(\varrho) \rightarrow \mathbb{S}_1^{n+1}$  of such a hypersurface is given by

$$N(p) = \frac{1}{\sqrt{\varrho^2 + \langle a, a \rangle}}(a + \varrho p). \quad (3.1)$$

Hence, from (3.1), we have that the angle function  $f_a$  of a totally umbilical hypersurface of  $\mathbb{H}^{n+1}$  satisfies

$$f_a = \langle N, a \rangle = \sqrt{\varrho^2 + \langle a, a \rangle} = \tau = \text{constant}.$$

Therefore, Montiel's result [15] allows us to conclude that one of the following situations holds:

- (i) if  $a$  is a unit space-like vector, then  $N(\mathcal{L}(\varrho))$  is isometric to an  $n$ -dimensional hyperbolic space of constant sectional curvature  $-1/(\tau^2 - 1)$ ;
- (ii) if  $a$  is a nonzero null vector, then  $N(\mathcal{L}(\varrho))$  is isometric to the Euclidean space  $\mathbb{R}^n$ ;
- (iii) if  $a$  is a unit time-like vector, then  $N(\mathcal{L}(\varrho))$  is isometric to an  $n$ -dimensional sphere of constant sectional curvature  $1/(\tau^2 + 1)$ .

This description of the totally umbilical space-like hypersurfaces of  $\mathbb{S}_1^{n+1}$  enables us to characterize some particular regions in  $\mathbb{S}_1^{n+1}$ . In the case that  $a \in \mathbb{L}^{n+2}$  is a unit time-like vector, the level set  $L(0) = \{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle = 0\}$  defines a round sphere of radius one, which is a totally geodesic hypersurface in  $\mathbb{S}_1^{n+1}$ . According to the terminology established by Aledo *et al.* [1], we refer to this round sphere as being the equator of  $\mathbb{S}_1^{n+1}$  determined by  $a$  and we observe that it divides  $\mathbb{S}_1^{n+1}$  into two connected components, the *chronological future*, which is given by

$$\{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle < 0\},$$

and the *chronological past*, given by

$$\{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle > 0\}.$$

We note that the first and third authors obtained a characterization of totally umbilical geodesic round spheres as the only closed constant mean curvature hypersurfaces immersed in the hyperbolic space  $\mathbb{H}^{n+1}$  having its image by the Lorentzian Gauss map contained in the closure of a chronological future (or past) of an equator of  $\mathbb{S}_1^{n+1}$  (cf. [6, Theorem 3.4]). Here, working with a new approach, we are able to give an extension of this result. More precisely, we have the following theorem.

**THEOREM 3.1.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a closed hypersurface immersed in the hyperbolic space with some constant  $(r + 1)$ th mean curvature. If the image of the Lorentzian Gauss map of  $\Sigma^n$  is contained in the closure of the chronological future (or past) of an equator of  $\mathbb{S}_1^{n+1}$ , then  $\Sigma^n$  must be a totally umbilical geodesic sphere of  $\mathbb{H}^{n+1}$ .*

**PROOF.** Initially, we observe that, since  $\Sigma^n$  is closed, [8, Proposition 3.2] (see also [3, Lemma 8]) guarantees that there exists an elliptic point in  $\Sigma^n$ . Consequently, taking into account its constancy, it follows that  $H_{r+1} > 0$  on  $\Sigma^n$ . Thus, from Lemma 2.1,

$$H_{r+2} - HH_{r+1} \leq 0 \quad \text{on } \Sigma^n. \tag{3.2}$$

Moreover, our hypothesis on the Lorentzian Gauss image  $N(\Sigma)$  assures that there exists a time-like vector  $a \in \mathbb{L}^{n+2}$  such that the corresponding angle function  $f_a$  does not change sign on  $\Sigma^n$ . Hence, from (2.4) and (3.2), we conclude that the following divergence:

$$\operatorname{div}\left(P_r \nabla f_a + \frac{c_r}{n} H_{r+1} \nabla l_a\right) = c_{r+1}(H_{r+2} - HH_{r+1})f_a \tag{3.3}$$

does not change sign on  $\Sigma^n$ . Consequently, using the divergence theorem in (3.3), we conclude that the following equation holds on  $\Sigma^n$ :

$$(H_{r+2} - HH_{r+1})f_a = 0.$$

We claim that, in fact, the function  $h = H_{r+2} - HH_{r+1}$  vanishes identically on  $\Sigma^n$ . Indeed, if there exists  $p_0 \in \Sigma^n$  such that  $h(p_0) \neq 0$ , then there exists a neighborhood  $\mathcal{U}$  of  $p_0$  in  $\Sigma^n$  in which  $h \neq 0$  and  $f_a = 0$  in  $\mathcal{U}$ . Thus, taking into account (2.3), this will give that  $f_a$  and  $l_a$  are simultaneously zero in  $\mathcal{U}$ . But such a situation cannot occur since (2.1) implies that  $|\nabla l_a|^2 + f_a^2 - l_a^2 = -1$ . Therefore, we must have  $h = H_{r+2} - HH_{r+1} = 0$  on  $\Sigma^n$  and, hence, Lemma 2.1 assures that  $\Sigma^n$  is a totally umbilical geodesic sphere of  $\mathbb{H}^{n+1}$ .  $\square$

**REMARK 3.2.** In the previous theorem, the compactness of  $\Sigma^n$  cannot be dropped. In fact, it is possible to show that the hyperbolic cylinder

$$\Sigma^n = \mathbb{S}^k(\rho) \times \mathbb{H}^{n-k}\left(\sqrt{1 + \rho^2}\right) \rightarrow \mathbb{H}^{n+1}$$

has the following Lorentzian Gauss map:

$$N(p) = -\frac{1}{\rho \sqrt{1 + \rho^2}}(\nu(p) + \rho^2 p), \tag{3.4}$$

where  $\nu : \Sigma^n \rightarrow \mathbb{L}^{n+2}$  is given by  $\nu(p) = (p_1, \dots, p_{k+1}, 0, \dots, 0)$ . The hyperbolic cylinders are examples of complete isoparametric hypersurfaces of  $\mathbb{H}^{n+1}$ . Now, let us consider the time-like vector  $a = (0, \dots, 0, 1)$ . After a simple computation, we have from the expression in (3.4) that the corresponding angle and height functions satisfy the following linear dependence relation:

$$f_a = -\frac{\rho}{\sqrt{1 + \rho^2}} l_a.$$

Hence, using the reverse Cauchy–Schwarz inequality, we obtain that the angle function satisfies  $|f_a| \geq \rho / \sqrt{1 + \rho^2} > 0$  and, therefore, this means that the image of the Lorentzian Gauss map of  $\Sigma^n$  is contained in the chronological future (or past) of the equator of  $\mathbb{S}_1^{n+1}$  determined by  $a$ .

In order to present our next theorems, we will quote another auxiliary lemma, which is a consequence of the version of Stokes’ theorem given by Karp in [13] (see also [10, Proposition 2.1]). In what follows,  $\mathcal{L}^1(\Sigma)$  denotes the space of Lebesgue integrable functions on  $\Sigma^n$ .

**LEMMA 3.3.** *Let  $X$  be a smooth vector field on the  $n$ -dimensional complete noncompact oriented Riemannian manifold  $\Sigma^n$ , such that  $\operatorname{div}X$  does not change sign on  $\Sigma^n$ . If  $|X| \in \mathcal{L}^1(\Sigma)$ , then  $\operatorname{div}X = 0$ .*

Motivated by the fact that the Lorentzian Gauss map  $N$  of a totally umbilical hypersurface of  $\mathbb{H}^{n+1}$  satisfies  $f_a = \langle N, a \rangle = \tau$  for some nonzero vector  $a \in \mathbb{L}^{n+2}$  and some constant  $\tau \in \mathbb{R}$ , we obtain the following result.

**THEOREM 3.4.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a complete hypersurface immersed in the hyperbolic space with some constant  $(r + 1)$ th mean curvature. Suppose that  $\Sigma^n$  has an elliptic point and the image of the Lorentzian Gauss map of  $\Sigma^n$  is contained in a totally umbilical space-like hypersurface of  $\mathbb{S}_1^{n+1}$  orthogonal to some nonzero vector  $a \in \mathbb{L}^{n+2}$ . If  $|a^\top| \in \mathcal{L}^1(\Sigma)$ , then  $\Sigma^n$  must be a totally umbilical hypersurface of  $\mathbb{H}^{n+1}$  orthogonal to  $a$ .*

**PROOF.** We note that our constraint on the Lorentzian Gauss map of  $\Sigma^n$  implies that the angle function  $f_a = \tau$  for some constant  $\tau$ . We claim that  $\tau \neq 0$ . Indeed, if  $\tau = 0$ , from (2.3) we also get that  $l_a = 0$  on  $\Sigma^n$ . Thus, since  $|\nabla l_a|^2 + f_a^2 - l_a^2 = \langle a, a \rangle$ ,  $a$  must be a nonzero null vector. But, by completeness,  $\Sigma^n$  should be a horosphere of  $\mathbb{H}^{n+1}$ , which contradicts the fact that  $l_a = 0$ .

Now, from (2.4),

$$\Delta l_a = \frac{n\tau c_{r+1}}{c_r H_{r+1}} (H_{r+2} - HH_{r+1}). \tag{3.5}$$

Hence, from (3.5) and Lemma 2.1, we have that  $\Delta l_a$  does not change sign on  $\Sigma^n$  and, since we are supposing that  $|a^\top| \in \mathcal{L}^1(\Sigma)$ , we can apply Lemma 3.3 to conclude that  $l_a$  is, in fact, a harmonic function on  $\Sigma^n$ .

Returning to (3.5), we infer that  $H_{r+2} - HH_{r+1} = 0$  on  $\Sigma^n$  and, consequently,  $\Sigma^n$  must be totally umbilical. Moreover, considering  $r = 0$  in (2.2), we get that  $l_a$  is also constant on  $\Sigma^n$  and, therefore,  $\Sigma^n$  is orthogonal to  $a$ . □

Taking into account once more the existence of an elliptic point for closed hypersurfaces of the hyperbolic space, from Theorem 3.4 we get the following consequence.

**COROLLARY 3.5.** *The only closed hypersurfaces immersed in  $\mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  with some constant  $(r + 1)$ th mean curvature and whose image of the Lorentzian Gauss map is contained in a totally umbilical space-like hypersurface of  $\mathbb{S}_1^{n+1}$  are the totally umbilical geodesic spheres.*

Adopting the terminology due to López and Montiel in [14], when  $a \in \mathbb{L}^{n+2}$  is either a nonzero null or a space-like vector, we will refer to the *interior domain* enclosed by  $L(\tau)$  as being the set

$$\{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle \geq \tau\},$$

while the *exterior domain* enclosed by  $L(\tau)$  is given by

$$\{p \in \mathbb{S}_1^{n+1}; \langle p, a \rangle \leq \tau\}.$$

In this setting, we get the following result. Compare with [5, Theorem 1.2].

**THEOREM 3.6.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a complete noncompact hypersurface immersed in the hyperbolic space with some constant  $(r + 1)$ th mean curvature. Suppose that  $\Sigma^n$  has an elliptic point, its mean curvature  $H$  is bounded and the image of its Lorentzian Gauss map is contained in a domain enclosed by a totally umbilical space-like hypersurface of  $\mathbb{S}_1^{n+1}$  determined by either a nonzero null or a space-like vector  $a \in \mathbb{L}^{n+2}$ . If  $|a^\top| \in \mathcal{L}^1(\Sigma)$ , then  $\Sigma^n$  must be a totally umbilical hypersurface of  $\mathbb{H}^{n+1}$ .*

**PROOF.** Proceeding as in the proof of Theorem 3.1,

$$\operatorname{div}\left(P_r \nabla f_a + \frac{c_r}{n} H_{r+1} \nabla l_a\right) = c_{r+1}(H_{r+2} - HH_{r+1})f_a$$

does not change sign on  $\Sigma^n$  for some nonzero null (space-like) vector  $a \in \mathbb{L}^{n+2}$ . On the other hand, since the mean curvature  $H$  of  $\Sigma^n$  is bounded, it follows from Lemma 2.2 that

$$\left|P_r \nabla f_a + \frac{c_r}{n} H_{r+1} \nabla l_a\right| \leq \left|AP_r + \frac{c_r}{n} H_{r+1}\right| |a^\top| \in \mathcal{L}^1(\Sigma).$$

Thus, from Lemma 3.3, we get  $(H_{r+2} - HH_{r+1})f_a = 0$  on  $\Sigma^n$ . Therefore, observing that the angle function  $f_a$  has strict sign on  $\Sigma^n$ , we conclude that  $H_{r+2} - HH_{r+1}$  vanishes identically on  $\Sigma^n$  and, hence, Lemma 2.1 assures that  $\Sigma^n$  is a totally umbilical hypersurface of  $\mathbb{H}^{n+1}$ . □

#### 4. Other rigidity and nonexistence results

In this last section, we will use different warped product models for the hyperbolic space  $\mathbb{H}^{n+1}$  to obtain rigidity and nonexistence results concerning complete hypersurfaces in  $\mathbb{H}^{n+1}$  having two consecutive higher order mean curvatures obeying a suitable inequality. For this, we will need the following generalized maximum principle due to Yau (cf. [22, Theorem 3]).

**LEMMA 4.1.** *Let  $\Sigma^n$  be a complete Riemannian manifold. If  $f$  is a nonnegative subharmonic function on  $\Sigma^n$  such that  $f \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , then  $f$  must be constant.*

Based on this fact, we get the following result.

**THEOREM 4.2.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a complete hypersurface immersed in the hyperbolic space. Assume that, for some  $0 \leq r \leq n - 1$ ,  $P_r$  is bounded from above (in the sense of quadratic forms). Suppose that, for some fixed time-like vector  $a \in \mathbb{L}^{n+1}$ , the following inequality is satisfied:*

$$0 \leq H_{r+1} \leq \mathcal{H}H_r, \tag{4.1}$$

where  $\mathcal{H}(p)$  stands for the mean curvature of the totally umbilical geodesic sphere  $\mathcal{L}(\varrho)$  of  $\mathbb{H}^{n+1}$  which is orthogonal to  $a$  and passes through  $p \in \psi(\Sigma)$ . If  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , then  $\Sigma^n$  must be isometric to a totally umbilical geodesic sphere  $\mathcal{L}(\varrho_0)$  for some  $\varrho_0 > 1$ .

**PROOF.** Considering the vector field  $X(p) = a + \langle p, a \rangle p$  defined on  $\mathbb{H}^{n+1}$  and since  $a \in \mathbb{L}^{n+2}$  is a time-like vector, we can apply item (a) of [16, Proposition 2] to see that  $\mathbb{H}^{n+1}$  is isometric to the warped product space  $\mathbb{R}^+ \times_{\sinh t} \mathbb{S}^n$ , where each slice  $\{t\} \times \mathbb{S}^n$  corresponds to a totally umbilical geodesic sphere  $\mathcal{L}(\varrho) \subset \mathbb{H}^{n+1}$  which is orthogonal to  $a$ . In this setting, up to isometry, we have that  $X = \sinh t \partial_t$  and  $l_a = \cosh h$ , where  $h = \pi_{\mathbb{R}^+} |_{\Sigma}$  stands for the vertical height function of  $\Sigma^n$ .

Consequently, subtending the isometry between the quadric and this warped product model of  $\mathbb{H}^{n+1}$  and assuming that there exists a positive constant  $\beta$  such that  $P_r \leq \beta$ , it is not difficult to see that from (2.2),

$$\beta \Delta l_a \geq L_r l_a = c_r (\cosh h H_r + \sinh h H_{r+1} \langle N, \partial_t \rangle). \tag{4.2}$$

On the other hand, from [16, Proposition 1], we have that the mean curvature of a slice  $\{t\} \times \mathbb{S}^n$  oriented by  $-\partial_t$  is equal to  $\coth t$ . Thus, inequality (4.1) amounts to

$$0 \leq H_{r+1} \leq \coth h H_r. \tag{4.3}$$

From (4.2) and (4.3),

$$\Delta l_a \geq \frac{c_r \sinh h}{\beta} (\coth h H_r - H_{r+1}) \geq 0.$$

Therefore, since  $l_a \geq 1$  and using our hypothesis that  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , we can apply Lemma 4.1 to conclude that  $l_a$  is constant on  $\Sigma^n$  and, hence,  $\Sigma^n$  must be isometric to  $\mathcal{L}(\varrho_0)$  for some  $\varrho_0 > 1$ . □

**REMARK 4.3.** Fix a point  $p \in \mathbb{H}^3 \subset \mathbb{L}^4$ ; let us consider an orthonormal basis  $\{e_1, e_2, e_3\}$  of  $T_p \mathbb{H}^3$ . According to [3, Example 10], we can define a revolution torus  $\psi : [0, 2\pi] \times [0, 2\pi] \rightarrow \mathbb{H}^3$  as follows:

$$\begin{aligned} \psi(\theta, \phi) = & \cosh r (\cosh R p + \sinh R (\cos \theta e_1 + \sin \theta e_2)) \\ & + \sinh r (\cos \phi (\sinh R p + \cosh R (\cos \theta e_1 + \sin \theta e_2))) + \sin \theta e_3, \end{aligned}$$

where  $R > r > 0$ . With a straightforward computation we can verify that the principal curvatures  $\lambda_1$  and  $\lambda_2$  of this immersion are given by

$$\lambda_1 = \frac{\sinh r \sinh R + \cosh r \cosh R \cos \phi}{\cosh r \sinh R + \sinh r \cosh R \cos \phi}$$

and

$$\lambda_2 = -\coth r.$$

In particular, through such a torus, we see that hypothesis (4.1) in Theorem 4.2 is, in fact, necessary to conclude that the hypersurface be isometric to a totally umbilical geodesic sphere of the hyperbolic space.

Fixing a nonzero space-like vector  $a \in \mathbb{L}^{n+1}$ , in analogy with the terminology used in the Euclidean sphere, we will call the totally geodesic hyperbolic hyperplane  $\mathcal{L}(0) = \{p \in \mathbb{H}^{n+1}; \langle p, a \rangle = 0\}$  the *equator* of  $\mathbb{H}^{n+1}$  determined by  $a$ . So, such an equator naturally divides  $\mathbb{H}^{n+1}$  into two *closed hemispheres*, which are given by

$$\mathbb{H}_a^+ = \{p \in \mathbb{H}^{n+1}; \langle p, a \rangle \geq 0\}$$

and

$$\mathbb{H}_a^- = \{p \in \mathbb{H}^{n+1}; \langle p, a \rangle \leq 0\}.$$

In this setting, reasoning in a similar way as that in the proof of Theorem 4.2, we obtain the following result.

**THEOREM 4.4.** *Let  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  be a complete hypersurface immersed in the hyperbolic space. Assume that, for some  $0 \leq r \leq n - 1$ ,  $P_r$  is bounded from above (in the sense of quadratic forms). Suppose that, for some fixed nonzero space-like vector  $a \in \mathbb{L}^{n+1}$ ,  $\psi(\Sigma) \subset \mathbb{H}_a^+$  and that the following inequality is satisfied:*

$$0 \leq H_{r+1} \leq \mathcal{H}H_r, \tag{4.4}$$

where  $\mathcal{H}(p)$  stands for the mean curvature of the totally umbilical hyperbolic hyperplane  $\mathcal{L}(p)$  of  $\mathbb{H}^{n+1}$  which is orthogonal to  $a$  and passes through  $p \in \psi(\Sigma)$ . If  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , then  $\Sigma^n$  must be isometric to the totally geodesic hyperbolic hyperplane  $\mathcal{L}(0)$ .

**PROOF.** Considering the vector field  $X(p) = a + \langle p, a \rangle p$  defined on  $\mathbb{H}^{n+1}$  and since  $a \in \mathbb{L}^{n+2}$  is a nonzero space-like vector, we can apply item (c) of [16, Proposition 2] to see that  $\mathbb{H}^{n+1}$  is isometric to the warped product space  $\mathbb{R} \times_{\cosh t} \mathbb{H}^n$ , where each slice  $\{t\} \times \mathbb{H}^n$  corresponds to a totally umbilical hyperbolic hyperplane  $\mathcal{L}(p) \subset \mathbb{H}^{n+1}$  which is orthogonal to  $a$ . In this setting, up to isometry, we have that  $X = \cosh t \partial_t$  and  $l_a = \sinh h$ , where  $h = \pi_{\mathbb{R}}|_{\Sigma}$  stands for the vertical height function of  $\Sigma^n$ .

Consequently, subtending the isometry between the quadric and this warped product model of  $\mathbb{H}^{n+1}$  and assuming that there exists a positive constant  $\beta$  such that  $P_r \leq \beta$ , it is not difficult to see that from (2.2),

$$\beta \Delta l_a \geq L_r l_a = c_r (\sinh h H_r + \cosh h H_{r+1} \langle N, \partial_t \rangle). \tag{4.5}$$

On the other hand, from [16, Proposition 1], we have that the mean curvature of a slice  $\{t\} \times \mathbb{H}^n$  oriented by  $-\partial_t$  is equal to  $\tanh t$ . Thus, inequality (4.4) amounts to

$$0 \leq H_{r+1} \leq \tanh hH_r. \tag{4.6}$$

From (4.5) and (4.6),

$$\Delta l_a \geq \frac{c_r \cosh h}{\beta} (\tanh hH_r - H_{r+1}) \geq 0.$$

Moreover, we note that our hypothesis that  $\psi(\Sigma) \subset \mathbb{H}_a^+$  implies that  $l_a \geq 0$ . Therefore, since we are also assuming that  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , we can apply Lemma 4.1 to conclude that  $l_a$  is constant on  $\Sigma^n$  and, hence,  $\Sigma^n$  must be isometric to  $\mathcal{L}(\varrho)$  for some  $\varrho \geq 0$ . Finally, taking into account once more that  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , we see that, in fact,  $\varrho = 0$ . □

**REMARK 4.5.** Considering the totally umbilical geodesic spheres of  $\mathbb{H}^{n+1}$  which are contained in  $\mathbb{H}_a^+$ , we see that hypothesis (4.4) in Theorem 4.4 is necessary to conclude that the hypersurface  $\Sigma^n$  is isometric to the totally geodesic hyperbolic hyperplane  $\mathcal{L}(0)$ .

To close our paper, we will reason once more as in the proof of Theorem 4.2 to establish the following nonexistence result.

**THEOREM 4.6.** *There exists no complete hypersurface  $\psi : \Sigma^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{L}^{n+2}$  such that, for some  $0 \leq r \leq n - 1$ ,  $P_r$  is bounded from above (in the sense of quadratic forms),  $0 \leq H_{r+1} \leq H_r$  and, for some nonzero null vector  $a \in \mathbb{L}^{n+2}$ ,  $l_a \in \mathcal{L}^q(\Sigma)$  with  $q > 1$ .*

**PROOF.** Suppose, by contradiction, that there exists such a hypersurface. Considering the vector field  $X(p) = a + \langle p, a \rangle p$  defined on  $\mathbb{H}^{n+1}$  and since  $a \in \mathbb{L}^{n+2}$  is a nonzero null vector, we can apply item (b) of [16, Proposition 2] to see that  $\mathbb{H}^{n+1}$  is isometric to the warped product space  $\mathbb{R} \times_{e^t} \mathbb{R}^n$ , where each slice  $\{t\} \times \mathbb{R}^n$  corresponds to a totally umbilical Euclidean hyperplane  $\mathcal{L}(\varrho) \subset \mathbb{H}^{n+1}$  which is orthogonal to  $a$ . In this setting, since  $l_{-a} = -l_a$ , we have (up to isometry) that  $X = e^t \partial_t$  and  $l_a = e^h$ , where  $h = \pi_{\mathbb{R}}|_{\Sigma}$  stands for the vertical height function of  $\Sigma^n$ .

Consequently, subtending the isometry between the quadric and this warped product model of  $\mathbb{H}^{n+1}$ , it is not difficult to see that from (2.2),

$$\beta \Delta l_a \geq L_r l_a = c_r e^h (H_r + H_{r+1} \langle N, \partial_t \rangle). \tag{4.7}$$

Thus, since we are assuming that  $0 \leq H_{r+1} \leq H_r$ , from (4.7),

$$\Delta l_a \geq \frac{c_r e^h}{\beta} (H_r - H_{r+1}) \geq 0.$$

Hence, from  $l_a \in \mathcal{L}^q(\Sigma)$  for some  $q > 1$ , we can apply Lemma 4.1 to conclude that  $l_a$  is a positive constant on  $\Sigma^n$  and, consequently,  $\Sigma^n$  must be a horosphere of  $\mathbb{H}^{n+1}$ . In particular, we get that  $\Sigma^n$  is isometric to  $\mathbb{R}^n$ . Therefore, since the hypothesis  $l_a \in \mathcal{L}^q(\Sigma)$  also implies that  $\Sigma^n$  must have finite volume, we have reached a contradiction. □

### Acknowledgement

The authors would like to thank the referee for very valuable comments and suggestions.

### References

- [1] J. Aledo, L. J. Alías and A. Romero, 'Integral formulas for compact space-like hypersurfaces in de Sitter space: applications to the case of constant higher order mean curvature', *J. Geom. Phys.* **31** (1999), 195–208.
- [2] L. J. Alías and M. Dajczer, 'Uniqueness of constant mean curvature surfaces properly immersed in a slab', *Comment. Math. Helv.* **81** (2006), 653–663.
- [3] L. J. Alías, T. Kurose and G. Solanes, 'Hadamard-type theorems for hypersurfaces in hyperbolic spaces', *Differential Geom. Appl.* **24** (2006), 492–502.
- [4] C. P. Aquino, 'On the Gauss mapping of hypersurfaces with constant scalar curvature in  $\mathbb{H}^{n+1}$ ', *Bull. Braz. Math. Soc.* **45** (2014), 117–131.
- [5] C. P. Aquino, A. Barros and H. F. de Lima, 'Complete CMC hypersurfaces in the hyperbolic space with prescribed Gauss mapping', *Proc. Amer. Math. Soc.* **142** (2014), 3597–3604.
- [6] C. P. Aquino and H. F. de Lima, 'On the Gauss map of complete CMC hypersurfaces in the hyperbolic space', *J. Math. Anal. Appl.* **386** (2012), 862–869.
- [7] C. P. Aquino and H. F. de Lima, 'On the geometry of horospheres', *Comment. Math. Helv.* **89** (2014), 617–629.
- [8] J. L. Barbosa and A. G. Colares, 'Stability of hypersurfaces with constant r-mean curvature', *Ann. Global Anal. Geom.* **15** (1997), 277–297.
- [9] F. Camargo, A. Caminha and H. F. de Lima, 'Bernstein-type theorems in semi-Riemannian warped products', *Proc. Amer. Math. Soc.* **139** (2011), 1841–1850.
- [10] A. Caminha, 'The geometry of closed conformal vector fields on Riemannian spaces', *Bull. Braz. Math. Soc.* **42** (2011), 277–300.
- [11] A. Caminha and H. F. de Lima, 'Complete vertical graphs with constant mean curvature in semi-Riemannian warped products', *Bull. Belg. Math. Soc.* **16** (2009), 91–105.
- [12] M. do Carmo and B. Lawson, 'The Alexandrov–Bernstein theorems in hyperbolic space', *Duke Math. J.* **50** (1983), 995–1003.
- [13] L. Karp, 'On Stokes' theorem for noncompact manifolds', *Proc. Amer. Math. Soc.* **82** (1981), 487–490.
- [14] R. López and S. Montiel, 'Existence of constant mean curvature graphs in hyperbolic space', *Calc. Var.* **8** (1999), 177–190.
- [15] S. Montiel, 'An integral inequality for compact spacelike hypersurfaces in de Sitter space and applications to the case of constant mean curvature', *Indiana Univ. Math. J.* **37** (1988), 909–917.
- [16] S. Montiel, 'Unicity of constant mean curvature hypersurfaces in some Riemannian manifolds', *Indiana Univ. Math. J.* **48** (1999), 711–748.
- [17] S. Montiel and A. Ros, 'Compact hypersurfaces: the Alexandrov theorem for higher order mean curvatures', in: *Differential Geometry*, Pitman Monographs and Surveys in Pure and Applied Mathematics, 52 (eds. B. Lawson and K. Tenenblat) (Longman Scientific & Technical, Harlow, 1991), 279–296.
- [18] R. Reilly, 'Variational properties of functions of the mean curvature for hypersurfaces in space form', *J. Differential Geom.* **8** (1973), 447–453.
- [19] H. Rosenberg, 'Hypersurfaces of constant curvature in space forms', *Bull. Sci. Math.* **117** (1993), 217–239.
- [20] S. Shu, 'Complete hypersurfaces with constant scalar curvature in a hyperbolic space', *Balkan J. Geom. Appl.* **12** (2007), 107–115.
- [21] Q. Wang and C. Xia, 'Topological and metric rigidity theorems for hypersurfaces in a hyperbolic space', *Czechoslovak Math. J.* **57** (2007), 435–445.
- [22] S. T. Yau, 'Some function-theoretic properties of complete Riemannian manifolds and their applications to geometry', *Indiana Univ. Math. J.* **25** (1976), 659–670.

C. P. AQUINO, Departamento de Matemática, Universidade Federal do Piauí,  
64049-550 Teresina, Piauí, Brazil  
e-mail: [cicero.aquino@ufpi.edu.br](mailto:cicero.aquino@ufpi.edu.br)

M. BATISTA, Instituto de Matemática, Universidade Federal de Alagoas,  
57072-970 Maceió, Alagoas, Brazil  
e-mail: [mhbs@mat.ufal.br](mailto:mhbs@mat.ufal.br)

H. F. DE LIMA, Departamento de Matemática,  
Universidade Federal de Campina Grande, 58429-970 Campina Grande,  
Paraíba, Brazil  
e-mail: [henrique@mat.ufcg.edu.br](mailto:henrique@mat.ufcg.edu.br)