

## A SUFFICIENT CONDITION FOR THE SECOND DERIVED FACTOR GROUP TO BE FINITE

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### 1. Introduction

This paper concerns an application of an algorithm for the second derived factor group as described by Howse and Johnson in [3]. This algorithm has as its basis the Fox derivative (see [1]), a mapping from the free group  $F$  to the group-ring  $\mathbb{Z}F$ , defined as follows: let  $X$  be a set of generators of a group  $G$ , and let  $w = y_1 \dots y_k$  with each  $y_i \in X^{\pm 1}$ . Then the Fox derivative of the word  $w$  with respect to any generator  $x \in X$  is defined to be

$$\frac{\partial w}{\partial x} = \sum_{i=1}^k a_i, \quad \text{where } a_i = \begin{cases} y_1 \dots y_{i-1}, & \text{when } y_i = x, \\ -y_1 \dots y_i, & \text{when } y_i = x^{-1}, \\ 0, & \text{when } y_i \neq x^{\pm 1}. \end{cases}$$

Let  $\phi: F \rightarrow G$  (and also  $\phi: \mathbb{Z}F \rightarrow \mathbb{Z}G$ , etc.) and  $\psi: G \rightarrow G/G'$  (and also  $\psi: \mathbb{Z}G \rightarrow \mathbb{Z}(G/G')$ , etc.). The Jacobian  $J = \partial R / \partial X$  of the presentation  $G = \langle X | R \rangle$  is the  $|R| \times |X|$  matrix whose  $(i, j)$  entry is  $\partial r_i / \partial x_j$ . Let  $G/G' = \{z_1, \dots, z_n\}$  and  $A$  be a matrix over  $\mathbb{Z}(G/G')$ . Any entry  $\gamma \in \mathbb{Z}(G/G')$  of  $A$  is of the form  $\gamma = \sum_{i=1}^n \alpha_i z_i$  and thus defines an  $n$ -tuple  $(\alpha_1, \dots, \alpha_n)$ . The  $n$ -tuple corresponding to  $z_j$  ( $1 \leq j \leq n$ ) is a rearrangement of this, and we let  $m(\gamma)$  denote the  $n \times n$  matrix having this as its  $j$ th row. Let  $m(A)$  denote the matrix of integers obtained by applying  $m$  to each entry of  $A$ . Then the integer matrix  $M = m(\psi\phi(J))$  is a relation matrix for the group  $G'/G'' \oplus \mathbb{Z}^{\oplus(n-1)}$ . The invariant factors of  $G'/G''$  can be computed from  $M$  by diagonalisation.

The proof of this algorithm together with examples illustrating it and applications of it can be found in [2] and [3].

This paper applies the algorithm to 2-generator groups with finite derived factor groups. The main result obtained is that the second derived factor group is finite if the determinant of the matrix, with the above notation,  $A_{ij} = m(\psi\phi(\partial r_i / \partial x_j))$  for some  $r_i \in R$  and  $x_j \in X$  of the group presentation  $G = \langle X | R \rangle$  ( $|X| = 2$ ), is non-zero. This result is then applied to groups with cyclic derived factor group and which have a presentation which

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contains at least one relator having a small number of syllables; in which case much more explicit conditions for the second derived factor group to be finite are determined.

In the application of the algorithm the integer matrix  $m(\psi\phi(\partial r/\partial x))$  can be represented by the “polynomial”  $\psi\phi(\partial r/\partial x)$ , e.g. if  $r = x^3$ , then  $\psi\phi(\partial r/\partial x) = 1 + x + x^2$  and this can represent the integer matrix

$$\begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

(assuming that  $G/G' \cong \mathbb{Z}_3$ ). Moreover the integer relation matrix  $m(\psi\phi(J))$  can be represented by the “polynomial” matrix  $\psi\phi(J)$ . Indeed row and column operations can be performed on this “polynomial” matrix.

**2. The main theorem**

Consider the 2-generator group  $G = \langle x, y | r_1, \dots, r_q \rangle$ , where  $2 \leq q < \infty$ , with finite derived factor group and  $|G:G'| = n$ . For  $i = 1, \dots, q$ , let  $r_i = x^{a_{i1}}y^{b_{i1}} \dots x^{a_{ik_i}}y^{b_{ik_i}}$  where  $a_{ih} \neq 0$  and  $b_{ih} \neq 0$  for  $h = 1, \dots, k_i$ , if  $k_i > 1$ .

Let  $\sum_{h=1}^{k_i} a_{ih} = a_i$  and  $\sum_{h=1}^{k_i} b_{ih} = b_i$ . Let  $G/G' = \{z_1, \dots, z_n\}$ . Then the Fox derivatives of the relator  $r_i$  with respect to the generators  $x$  and  $y$ , modulo  $G'$ , are of the form

$$\frac{\partial r_i}{\partial x} \equiv \alpha_{i1}z_1 + \dots + \alpha_{in}z_n \pmod{G'}$$

$$\frac{\partial r_i}{\partial y} \equiv \beta_{i1}z_1 + \dots + \beta_{in}z_n \pmod{G'}$$

**Lemma 1.**  $\alpha_{i1} + \dots + \alpha_{in} = a_i$  and  $b_{i1} + \dots + b_{in} = b_i$ .

The proof is obvious from the definition of Fox derivatives.

The matrix (given in polynomial form)

$$J = \begin{pmatrix} \alpha_{11}z_1 + \dots + \alpha_{1n}z_n & \beta_{11}z_1 + \dots + \beta_{1n}z_n \\ \vdots & \vdots \\ \alpha_{q1}z_1 + \dots + \alpha_{qn}z_n & \beta_{q1}z_1 + \dots + \beta_{qn}z_n \end{pmatrix}$$

is a relation matrix for  $G'/G' \oplus \mathbb{Z}^{\oplus(n-1)}$ .  $m(J)$  is a  $qn \times 2n$  matrix, thus  $|G':G''|$  is equal to the h.c.f. of the determinants of all  $(n+1)$ -rowed minors of  $m(J)$  when finite, and is infinite when all these are zero.

Consider the  $2n \times 2n$  “submatrix” of  $J$

$$\begin{pmatrix} \alpha_{i1}z_1 + \dots + \alpha_{in}z_n & \beta_{i1}z_1 + \dots + \beta_{in}z_n \\ \alpha_{j1}z_1 + \dots + \alpha_{jn}z_n & \beta_{j1}z_1 + \dots + \beta_{jn}z_n \end{pmatrix} = K_{ij} \text{ (say),}$$

where  $i \neq j$ . In integer form we will write this matrix as

$$m(K_{ij}) = \begin{pmatrix} m(\alpha_{i1}, \dots, \alpha_{in}) & m(\beta_{i1}, \dots, \beta_{in}) \\ m(\alpha_{j1}, \dots, \alpha_{jn}) & m(\beta_{j1}, \dots, \beta_{jn}) \end{pmatrix}.$$

Replace row  $n+1$  by the sum of the last  $n$  rows, and column  $n+1$  by the sum of the last  $n$  columns and then consider the first  $n+1$  rows and  $n+1$  columns of the resulting matrix to get (using Lemma 1)

$$\begin{pmatrix} m(\alpha_{i1}, \dots, \alpha_{in}) & & & b_i \\ & & & \vdots \\ & & & b_i \\ & a_j & \dots & a_j & nb_j \end{pmatrix} = M_{ij} \text{ (say).}$$

Now  $M_{ij}$  is an  $(n+1) \times (n+1)$  matrix (while not an  $(n+1)$ -rowed minor of  $J$ ,  $M_{ij}$  was produced from  $J$  by matrix operation), thus we have

$$|G': G''| = |\det M_{ij}|. \tag{1}$$

**Lemma 2.** *If  $a_i \neq 0$ , then*

$$|\det M_{ij}| = n |a_i b_j - a_j b_i| |\det A_i| / |a_i|,$$

where  $A_i = m(\alpha_{i1}, \dots, \alpha_{in})$ .

**Proof.**

$$\begin{aligned} |\det M_{ij}| &= \left| \det \begin{pmatrix} A_i & & & b_i \\ & & & \vdots \\ & & & b_i \\ a_j & \dots & a_j & nb_j \end{pmatrix} \right| \\ &\xrightarrow{c_{n+1} - \frac{b_i}{a_i} \sum_{k=1}^n c_k} \left| \det \begin{pmatrix} A_i & & & 0 \\ & & & \vdots \\ & & & 0 \\ a_j & \dots & a_j & nb_j - \frac{na_j b_i}{a_i} \end{pmatrix} \right| \\ &= n \left| \frac{a_i b_j - a_j b_i}{a_i} \right| |\det A_i|, \text{ as required.} \quad \square \end{aligned}$$

**Lemma 3.** *If  $a_i \neq 0$ , then  $a_i b_j - a_j b_i \neq 0$  for some  $j$ .*

**Proof.** From the original definition of  $G$ , we have

$$|G:G'| = \text{h.c.f.}(a_i b_j - a_j b_i; i = 1, \dots, q, j = 1, \dots, q). \tag{2}$$

We will assume throughout this proof that  $a_i \neq 0$ . If  $b_i = 0$ , then there exists  $j$  such that  $b_j \neq 0$  from (2), because  $G/G'$  is finite. So if  $b_i = 0$ , then  $a_i b_j - a_j b_i \neq 0$  for some  $j$ . Now consider the case  $b_i \neq 0$ . Assume, for a contradiction, that  $a_i b_j - a_j b_i = 0$  for all  $j$ . Thus  $a_j = a_i b_j / b_i$  for all  $j$ . So for all  $j, k$  we have

$$a_k b_j - a_j b_k = \frac{a_i b_k}{b_i} b_j - \frac{a_i b_j}{b_i} b_k = 0$$

contradicting (2), because  $G/G'$  is finite. Thus  $a_i b_j - a_j b_i \neq 0$  for some  $j$ . □

We can now state a sufficient condition for  $|G':G''|$  to be finite.

**Theorem 1.** *Let  $G$  be a 2-generator group with  $G/G'$  finite. Let  $A_i = m(\psi\phi(\partial r_i / \partial x_j))$  for a given generator  $x_j$ . If  $\det A_i \neq 0$  for some  $i$ , then  $G'/G''$  is finite.*

**Proof.** If  $a_i = 0$ , then  $\det A_i = 0$ , because each row-sum  $= a_i = 0$ , by Lemma 1; however, there exists  $i$  so that  $a_i \neq 0$ , by the hypothesis that  $\det A_i \neq 0$ . Assume that  $a_i \neq 0$ . By Lemma 3,  $a_i b_j - a_j b_i \neq 0$  for some  $j$ ; so if  $\det A_i \neq 0$ , then  $\det M_{ij} \neq 0$  by Lemma 2. Thus by (1),  $G'/G''$  is finite. □

### 3. Groups with cyclic derived factor group

When the derived factor group is cyclic, the matrix  $A_i$  of Theorem 1 is circulant. A formula for the determinant of a circulant matrix is given in Lemma 4 below. From this formula conditions can be found such that the determinant is not zero, giving further conditions for the second derived factor group to be finite.

**Lemma 4.** *Let  $C = C(\alpha_1, \alpha_2, \dots, \alpha_n)$  be a circulant matrix, and  $\omega$  be a primitive  $n$ th root of unity. Then*

$$\det C = \prod_{i=1}^n \sum_{j=1}^n \alpha_j \omega^{i(j-1)}.$$

The proof of this lemma can be found in [4].

The following theorems are concerned with groups with cyclic derived factor group. We will consider groups having a presentation which contains at least one relator having a small number of syllables, i.e. a relator of the form  $r = x^a y^b$ , or of the form  $r = x^{a_1} y^{b_1} x^{a_2} y^{b_2}$ .

Let  $G = \langle x, y | R \rangle$ , where  $R$  is a finite set of relators, with  $G/G' = \langle z | z^n \rangle$ , where  $x = z^{m_1} \pmod{G'}$  and  $y = z^{m_2} \pmod{G'}$ , where  $0 < m_1 \leq n-1$  and  $0 < m_2 \leq n-1$ . (It should

be noted that  $0 < m_1, m_2$  is an extra assumption, but the case  $x \equiv 1 \pmod{G'}$ , i.e.  $m_1 = 0$ , is considered in [2]. Moreover, either  $m_1$  or  $m_2$  is not zero, unless  $G = G' = G''$ .)

Let  $M_j = \{s; s | m_j\}$  ( $j = 1, 2$ ) the set of all divisors of  $m_j$ .

**Theorem 2.** *Let  $G$  be as just stated. Let  $r = x^a y^b$ , where  $a \neq 0, r \in R$ . If  $(am_1, n) \in M_1$ , then  $G'/G''$  is finite.*

**Proof.** Without loss of generality we can assume that  $a > 0$  (if  $a < 0$ , then the relator  $r = x^a y^b$  can be rewritten as  $y^{-b} x^{-a}$  and then as  $x^{-a} y^{-b}$ ). Now

$$\begin{aligned} \frac{\partial r}{\partial x} &= \frac{\partial x^a}{\partial x} = 1 + x + \dots + x^{a-1} \\ &\equiv 1 + z^{m_1} + z^{2m_1} + \dots + z^{(a-1)m_1} \pmod{G'}. \end{aligned}$$

Let  $f(z) = 1 + z^{m_1} + z^{2m_1} + \dots + z^{(a-1)m_1}$ . (Recall that  $z^n = 1$ ). Then, by Lemma 4,  $\det A = \prod_{z^n=1} f(z)$  (where  $A$  represents, in this case, the matrix  $A_i$  of Theorem 1).

If  $\det A = 0$ , then  $f(w) = 0$  for some  $n$ th root  $w$  of unity, and if  $\det A \neq 0$ , then  $G'/G''$  is finite by Theorem 1. Let  $(am_1, n) \in M_1$ , and, for a contradiction, assume that  $f(w) = 0$  where  $w$  is an  $n$ th root of unity. Then

$$(1 - w^{m_1})f(w) = 0 \Rightarrow 1 - z^{am_1} = 0 \Rightarrow z^{am_1} = 1.$$

Thus  $w^{(am_1, n)} = 1$  and hence  $w^{m_1} = 1$ , because  $(am_1, n) \in M_1$  and so  $(am_1, n)$  divides  $m_1$ . So

$$f(w) = 1 + w^{m_1} + \dots + w^{(a-1)m_1} = a \neq 0$$

the required contradiction. So  $\det A \neq 0$  and  $G'/G''$  is finite by Theorem 1. □

**Theorem 3.** *Let  $G$  be as defined above. Let  $r = x^{a_1} y^{b_1} x^{a_2} y^{b_2}$ , where  $r \in R$  and  $a_1 + a_2 \neq 0$ .*

- (i) *Let  $n$  be odd. If  $(a_1 m_1, a_2 m_1, n) \in M_1$  and  $(b_1 m_2, b_2 m_2, n) \in M_1$ , then  $G'/G''$  is finite.*
- (ii) *Let  $n$  be even. If  $(a_1 m_1, a_2 m_1, n) \in M_1, (b_1 m_2, b_2 m_2, n) \in M_1$ , and  $((a_1 - a_2)m_1, n) \in M_1$ , then  $G'/G''$  is finite.*

**Proof.** The proof proceeds along similar lines to that of Theorem 2. We have

$$\begin{aligned} \frac{\partial r}{\partial x} &= \frac{\partial x^{a_1}}{\partial x} + x^{a_1} y^{b_1} \frac{\partial x^{a_2}}{\partial x} \\ &\equiv \alpha_1 + \alpha_2 z + \dots + \alpha_n z^{n-1} \pmod{G'}. \end{aligned}$$

Let  $f(z) = \alpha_1 + \alpha_2 z + \dots + \alpha_n z^{n-1}$ .

There are four cases to consider depending on the signs of  $a_1$  and  $a_2$ . However in

each case we obtain

$$(1 - z^{m_1})f(z) = 1 - z^{a_1 m_1} + z^{a_1 m_1 + b_1 m_2} - z^{a_1 m_1 + b_1 m_2 + a_2 m_1} \tag{3}$$

Now, by Lemma 4,  $\det A = \prod_{z^n=1} f(z)$  (where  $A$  is the matrix equivalent to the matrix  $A_i$  of Theorem 1). If  $\det A = 0$ , then  $f(w) = 0$  for some  $n$ th root  $w$  of unity, and if  $\det A \neq 0$ , then  $G'/G''$  is finite by Theorem 1. Assume, for a contradiction, that  $\det A = 0$ , so there exists  $w$  such that  $f(w) = 0$ , where  $w^n = 1$ . Then  $(1 - w^{m_1})f(w) = 0$  and so, by (3),

$$1 - w^{a_1 m_1} + w^{a_1 m_1 + b_1 m_2} - w^{a_1 m_1 + b_1 m_2 + a_2 m_1} = 0. \tag{4}$$

Let  $w^{a_1 m_1} = p_1 + iq_1$ ,  $w^{a_1 m_1 + b_1 m_2} = p_2 + iq_2$ , and  $w^{a_1 m_1 + b_1 m_2 + a_2 m_1} = p_3 + iq_3$ , where  $p_j^2 + q_j^2 = 1$  ( $j = 1, 2, 3$ ), (where  $i^2 = -1$ ).

From (4) we have

$$1 - p_1 + p_2 - p_3 = 0 \Rightarrow p_1 = 1 + p_2 - p_3 \tag{5}$$

and  $-q_1 + q_2 - q_3 = 0 \Rightarrow q_1 = q_2 - q_3$ .

Now  $p_1^2 + q_1^2 = 1$ , so  $(1 + p_2 - p_3)^2 + (q_2 - q_3)^2 = 1$ . Multiplying out, factorising, and squaring, we have

$$(1 + p_2)^2(1 - p_3)^2 = (1 - p_2^2)(1 - p_3^2).$$

There are three cases to consider

- (a)  $p_3 = 1$ , so  $w^{a_1 m_1 + b_1 m_2 + a_2 m_1} = 1$ , hence, by (4),  $w^{a_1 m_1} = w^{a_1 m_1 + b_1 m_2} \Rightarrow w^{b_1 m_2} = 1$ . Hence  $w^{(a_1 + a_2)m_1} = 1$ . Also  $w^{(a_1 + a_2)m_1 + (b_1 + b_2)m_2} = 1$  (because  $r = z^{(a_1 + a_2)m_1 + (b_1 + b_2)m_2} \pmod{G'}$ ), so  $w^{b_2 m_2} = 1$ .
- (b)  $p_2 = -1$ , so  $w^{a_1 m_1 + b_1 m_2} = -1$ .
- (c)  $(1 + p_2)(1 - p_3) = (1 - p_2)(1 + p_3)$  i.e.  $1 + p_2 - p_3 - p_2 p_3 = 1 - p_2 + p_3 - p_2 p_3$ , hence  $p_2 = p_3$ . Thus, from (5),  $p_1 = 1$ , so  $w^{a_1 m_1} = 1$ . By (4),  $w^{b_1 m_2} = w^{b_1 m_2 + a_2 m_1}$ , so  $w^{a_2 m_1} = 1$ .

Assume that  $n$  is odd. In case (b)  $w^{a_1 m_1 + b_1 m_2} = -1$ , so this case has no solution (because  $n$  is odd), so we need only consider cases (a) and (c). In case (a)  $w^{b_1 m_2} = 1$  and  $w^{b_2 m_2} = 1$ , while in case (c)  $w^{a_1 m_1} = 1$  and  $w^{a_2 m_1} = 1$ . So if  $(a_1 m_1, a_2 m_1, n) \in M_1$  and  $(b_1 m_2, b_2 m_2, n) \in M_1$ , then  $w^{m_1} = 1$ . Hence  $f(w) = a_1 + a_2 w^{a_1 m_1 + b_1 m_2}$ . Now  $w^n = 1$ , where  $n$  is odd, and  $a_1 + a_2 \neq 0$ , so  $f(w) \neq 0$  contradicting  $\det A = 0$ . So  $\det A \neq 0$  and  $G'/G''$  is finite by Theorem 1, proving (i).

Assume that  $n$  is even. In case (b)  $w^{a_1 m_1 + b_1 m_2} = -1$ , so, by (4),  $w^{b_1 m_2 + a_2 m_1} = -1$  and thus  $w^{(a_1 - a_2)m_1} = 1$ . In case (a)  $w^{b_1 m_2} = 1$  and  $w^{b_2 m_2} = 1$ . In case (c)  $w^{a_1 m_1} = 1$  and  $w^{a_2 m_1} = 1$ . So if  $(a_1 m_1, a_2 m_1, n) \in M_1$ ,  $(b_1 m_2, b_2 m_2, n) \in M_1$ , and  $((a_1 - a_2)m_1, n) \in M_1$ , then  $w^{m_1} = 1$ . Thus  $f(w) = a_1 + a_2 w^{a_1 m_1 + b_1 m_2}$ .  $f(w) \neq 0$ , because  $a_1 + a_2 \neq 0$  and  $a_1 \neq a_2$  (because  $((a_1 - a_2)m_1, n) \in M_1$ ) contradicting  $\det A = 0$ . So  $\det A \neq 0$  and  $G'/G''$  is finite by Theorem 1, proving (ii). □

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