

## NORMAL EMBEDDINGS OF $p$ -GROUPS INTO $p$ -GROUPS

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A well known lemma of Burnside is generalised, to give necessary and sufficient conditions for a finite  $p$ -group  $K$  to be normally embedded in a nilpotent group  $V$ , with  $K \cong \omega(V)$ . (Here,  $\omega$  denotes a single word and  $\omega(V)$  is the corresponding verbal subgroup.) Our main result is related to earlier work of Blackburn, Gaschütz and Hobby.

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The following result of Burnside has been an initial step for similar statements: A non-abelian group whose centre is cyclic cannot be the derived group of a  $p$ -group ([2, Theorem p. 241]). Burnside himself noted the consequence, that a non-abelian group the index of whose derived group is  $p^2$  cannot be the derived group of a  $p$ -group ([2, Theorem, p. 242]). Later on Hobby showed that a group satisfying one of the two hypotheses just mentioned cannot be the Frattini subgroup of a  $p$ -group (see [4, Theorem 1 and 2, p. 209]). On the other hand Blackburn has brought in a positive note by determining exactly those two-generator  $p$ -groups which occur as derived groups of  $p$ -groups [1].

The results of Burnside and Hobby mentioned before can still be strengthened: Given the same hypotheses the group cannot be invariant in a  $p$ -group and at the same time included in its Frattini subgroup. This seems to be well known. A positive statement (like that of Blackburn) cannot be expected considering the little information given in the hypotheses.

The purpose of this note is slightly more general: We ask for a necessary and sufficient condition to decide whether a given  $p$ -group  $N$  can be a normal subgroup of a  $p$ -group  $G$  and contained in a (preassigned) characteristic subgroup of  $G$ . Such a condition is exhibited for verbal subgroups (Main Theorem). It depends on the automorphism group of  $N$  only. We need a construction to prove the positive part of the statement (Theorem 3); later on we shall see that the construction can be improved for many special cases.

We end this note with the proof of a statement that allows the following specialization: If  $N = A \times B$  where  $A$  is of exponent  $p$  and of nilpotency class 2 and  $B$  is of order  $p$ , then there is a  $p$ -group  $G$  with normal subgroup  $N^+$  which is contained in  $G'$  such that  $N$  and  $N^+$  are isomorphic (see Proposition 8).

Notation is mostly standard: The derived group (that is, the commutator subgroup)

of  $G$  is denoted by  $G'$ , and by  $G_n$  we mean the  $n$ th term of the lower central series of  $G$  (so that  $G_1 = G$ ).

A group  $G$  is residually nilpotent if the intersection of all  $G_n$  is trivial. The intersection of all maximal subgroups of  $G$  is called the Frattini subgroup and denoted by  $\Phi(G)$ ; also  $\text{Aut}(G)$  and  $\text{Inn}(G)$  are the groups of automorphisms and of inner automorphisms of  $G$  respectively. A word  $w = w(x_1, \dots, x_k)$  is a product of elements (considered as variables) of a group. We call  $w(G) = \langle w(x_1, \dots, x_k), x_i \in G \rangle$  the verbal subgroup of  $G$  corresponding to the word  $w$ . For background information on this subject see H. Neumann [5, Chapter 1] or D. J. S. Robinson [6, p. 55].

For our construction later on we need a basic statement.

**Lemma 1.** *For a given word  $w(x_1, \dots, x_n)$  and a natural number  $k$  there is a finite  $p$ -group  $S$  such that the verbal subgroup  $w(S)$  is of exponent  $m = p^k$  and contained in the centre of  $S$ .*

**Proof.** Consider the free group  $F$  of rank  $n$  and the verbal subgroup  $w(F)$ . Since  $w$  is nontrivial, also  $w(F)$  is nontrivial. Since  $F$  is residually nilpotent, there is a number  $t$  such that

$$w(F) \text{ is contained in } F_t \text{ but not in } F_{t+1}.$$

Since  $F$  is a free group,  $F/F_{t+1}$  is torsion free, and

$$w(F)F_{t+1}/(w(F))^m F_{t+1} \text{ is of exact exponent } m.$$

Denote  $(w(F))^m F_{t+1}$  by  $R$ . Choose a normal subgroup  $Y$  of  $F$  which is maximal with respect to satisfying the relation  $w(F)R \cap Y = R$ . Every maximal abelian normal subgroup of  $F/Y$  containing  $w(F)Y/Y$  is finite and so  $F/Y$  must be finite, and  $F/Y$  is a  $p$ -group since  $w(F)Y/Y$  is a  $p$ -group; the lemma is shown for  $S = F/Y$ .

To show that certain embeddings are impossible we have the following lemma.

**Lemma 2.** *Assume that  $K$  is a normal subgroup of the finite  $p$ -group  $G$ . Consider a Sylow- $p$ -subgroup  $L$  of  $\text{Aut}(K)$ . If  $\text{Inn}(K) \not\subseteq w(L)$  for some word  $w$ , then also  $K \not\subseteq w(G)$ .*

**Proof.**  $G/C(K)$  is isomorphic to a subgroup of  $L$ , and this isomorphism maps  $KC(K)/C(K)$  onto  $\text{Inn}(K)$ . Since forming verbal subgroups is a monotonic operation, we deduce that

$$\begin{array}{ll} w(G/C(K)) = w(G)C(K)/C(K) & \text{does not contain} \\ KC(K)/C(K), \text{ and so } w(G) & \text{does not contain } K. \end{array}$$

**Remark.** The following result is due to Gaschütz [3, Satz 11]: If  $N$  is a normal subgroup of the finite group  $G$ , then  $N$  cannot be contained in the Frattini subgroup  $\Phi(G)$  of  $G$  if  $\text{Inn}(N) \not\subseteq \Phi(\text{Aut}(N))$ . Note that, in general, the Frattini subgroup of a finite group is not a verbal subgroup (if  $G$  is the holomorph of the group of order five, there is a subgroup  $U$  such that  $\Phi(U) \not\subseteq U \cap \Phi(G)$  and a normal subgroup  $R$  such that  $\Phi(G)R/R \neq \Phi(G/R)$ ).

We can now proceed to the positive part.

**Theorem 3.** Assume that  $K$  is a finite  $p$ -group such that, for some word  $w$ ,  $\text{Inn}(K)$  is contained in the verbal subgroup  $w(L)$  of a Sylow  $p$ -subgroup  $L$  of  $\text{Aut}(K)$ . Then there is a finite  $p$ -group  $G$  such that  $G$  possesses a normal subgroup  $K^+ \subseteq w(G)$  isomorphic to  $K$ .

**Proof.** Let  $\exp(K) = p^k = m$ . By Lemma 1 there is a finite  $p$ -group  $S$  such that  $w(S) \subseteq Z(S)$  with an element  $u \in w(S)$  of order  $m$ .

We form an extension of the wreath product  $KwrS$  by  $L$  in the following manner:  $L$  and  $S$  centralize each other; and if  $a^*$  denotes the inner automorphism defined by  $a \in K$ , then  $a^{*-1}xa^* = a^{-1}xa$  for all  $x$  in  $K$ . Consequently  $a^{-1}a^*$  centralizes  $K$ , and

$$\left( \prod_{s \in S} s^{-1}as \right)^{-1} a^* \text{ centralizes } K^S.$$

Now

$$\begin{aligned} \left( \prod_{s \in S} (s^{-1}as)^{-1}a^* \right) \left( \prod_{s \in S} (s^{-1}bs)^{-1}b^* \right) &= \left( \prod_{s \in S} s^{-1}bs \right)^{-1} \left( \prod_{s \in S} s^{-1}as \right)^{-1} a^*b^* \\ &= \left( \prod_{s \in S} s^{-1}abs \right)^{-1} (ab)^*, \end{aligned}$$

and we see that the set  $D = \{(\prod_{s \in S} s^{-1}as)^{-1}a^* \mid a \in K\}$  is in fact a subgroup of  $M = \langle K, S, L \rangle$ . It is easy to check that  $D$  is normal in  $M$  and that it is isomorphic to  $K$ .

For all  $a$  in  $K$  we know by hypothesis

$$a^* \in w(L).$$

Let  $R$  be any transversal of  $\langle u \rangle$  in  $S$ . Then

$$\prod_{s \in S} s^{-1}as = \prod_{r \in R} r^{-1} \left( \prod_{i=0}^{m-1} u^{-1}au^i \right) r.$$

Now  $\prod_{i=0}^{m-1} u^{-i} a u^i = \prod_{i=1}^{m-1} [a, u^i]$ , and since  $u \in w(S)$  we have  $[a, u^i] \in w(\langle a \rangle \text{ wr } S) \subseteq w(KS)$ . So  $D$  is contained in  $w(M)$ , and the theorem is shown for  $M = G$  and  $D = K^+$ .

We have collected all the details needed for our central result.

**Main Theorem.** (Theorem 4) *Assume that  $K$  is a finite  $p$ -group and  $L$  is a Sylow  $p$ -subgroup of  $\text{Aut}(K)$ .*

*$w(V) \not\cong K$  is true for every nilpotent extension  $V$  of  $K$  if and only if  $w(L) \not\cong \text{Inn}(K)$ .*

**Proof.** If  $w(L) \not\cong \text{Inn}(K)$ , then  $w(V) \not\cong K$  by Lemma 2. If  $w(L) \cong \text{Inn}(K)$ , there is an extension  $V$  with  $w(V) \cong K$  by Theorem 3.

**2. Special cases**

In this section we will show that the construction used in Theorem 3 can be improved in special cases to obtain smaller extensions for the same purpose.

Analysis of the construction in Theorem 3 shows that the key statement is the inclusion of  $DL \wedge K^S$  in  $w(KS)$ . We will show for certain cases that a smaller group  $S$  does this already. In each case we have the hypothesis

$$K \text{ is a finite } p\text{-group and } L \text{ is a Sylow } p\text{-subgroup of } \text{Aut}(K). \tag{+}$$

We will denote by  $d(G)$  the derived length of  $G$  (so  $G^{(d(G))} = 1$ ) and by  $d^*(K)$  the derived length of a Sylow  $p$ -subgroup of  $\text{Hol}(K)$ .

**Proposition 5.** *Let  $K$  and  $L$  be as in (+) and  $L^{p^i} \cong \text{Inn}(K)$ . Then there is an extension  $G$  of  $K$  such that*

(i)  $G^{p^i} \cong K$ ,

and

(ii)  $d(G) \leq d^*(K) + 1$ .

**Proof.** We choose  $\langle t \rangle$  for  $S$ , where  $\langle t \rangle$  is of order  $\text{Max}(p^i, \text{exp}(K)) = m$ . The proposition now follows from

$$\prod_{i=0}^{m-1} t^{-i} x t^i = (x t^{-1})^m$$

and  $d(\langle K^S, L \rangle) = d^*(K)$ .

**Proposition 6.** *Let  $K$  and  $L$  be as in (+) and  $L_n \cong \text{Inn}(K)$ . Then there is an extension  $G$  of  $K$  such that*

(i)  $G_n \cong K$ ,

and

$$(ii) \quad d(G) \leq d^*(K) + 1.$$

**Proof.** Assume  $n \leq v(p-1) + 1$ , and  $\exp(K) = p^k = m$ . We choose for  $S$  the direct product of  $v$  cyclic groups  $t_j$  of order  $m$ . Let  $t$  be one of them. Since  $\prod_{i=0}^{m-1} t^{-i} a t^i = a^m [a, t]^{(p)} [[a, t], t]^{(p^2)} \dots$  and the binomial coefficient  $\binom{m}{d}$  is divisible by  $m$  for  $d < p$ , we find

$$\prod_{i=0}^{m-1} t^{-i} a t^i \in \langle t, a \rangle_p,$$

and

$$\prod_{s \in S} s^{-1} a s \in \langle K, S \rangle_{v(p-1)+1} \subseteq \langle K, S \rangle_n.$$

The inequality (ii) follows as in Proposition 5.

**Proposition 7.** *Let  $K$  and  $L$  be as in (+) and  $L' \cong \text{Inn}(K)$ . Then there is an extension  $G$  of  $K$  such that*

$$(i) \quad G'' \cong K,$$

and

$$(ii) \quad d(G) \geq d^*(K) + 2.$$

**Proof.** Assume  $\exp(K) = p^k = m$ . We choose

$$S = \langle u, v \mid u^m = v^m = [[u, v]v] = [[u, v], u] = 1 \rangle.$$

The commutator  $w = [u, v]$  has order  $m$ . Let  $a \in K$ . Now

$$\prod_{s \in S} s^{-1} a s = \prod_{i=0}^{m-1} v^{-i} \left( \prod_{j=0}^{m-1} w^{-j} \left( \prod_{t=0}^{m-1} u^{-t} a u^{-t} \right) w^j \right) v^i$$

is contained in  $[\langle w \rangle, [\langle u \rangle, \langle a \rangle]] \subseteq \langle S, K \rangle''$ . Since  $S'' = 1$ , (ii) follows.

### 3. A case of embeddability

As promised we prove here the last statement of the introduction; in fact, we show something more general.

**Proposition 8.** *Assume that  $N$  is the direct product  $A \times B$  where  $A$  is of exponent  $p$  and*

of nilpotency class 2 and  $B$  is elementary abelian of rank  $n$ . Then there is an extension  $G$  of  $N$  such that  $N$  is contained in  $G_{n+1}$ .

**Proof.** We can make use of the Main Theorem at once if  $N$  is abelian. We assume now that  $N$  is nonabelian, that  $A$  has a basis  $\{a_1, \dots, a_k\}$ , and  $B$  has a basis  $b_1, \dots, b_n$ . We consider first the group  $S$  of all automorphisms of  $N$  that stabilize the series

$$N \supset A'B \supset A'\langle b_2, \dots, b_n \rangle \supset A'\langle b_3, \dots, b_n \rangle \supset \dots \supset A'\langle b_n \rangle \supset A' \supset 1,$$

that is, the group of all automorphisms leaving all terms of the series invariant and inducing the identity on quotient groups of consecutive terms. Clearly  $S$  is a  $p$ -group. Let  $z$  be any element of  $A'$ . We consider elements in  $S$  which fix all basis elements except one; among these we single out  $\tau_i$  mapping  $a_i$  onto  $a_i b_1$ ,  $\sigma_j$  mapping  $b_j$  onto  $b_j b_{j+1}$  and  $\rho$  mapping  $b_n$  onto  $b_n z$ .

Now  $[\dots[\tau_i, \sigma_1], \dots, \sigma_{n-1}], \rho$  is an automorphism fixing all basis elements except  $a_i$  which is mapped onto  $a_i z$ . This shows that the automorphism stabilizing

$$A \supset A' \supset 1$$

are all contained in  $S_{n+1}$ , and clearly all inner automorphisms of  $A$  belong to this set. There is a Sylow  $p$ -subgroup  $L$  of  $\text{Aut}(N)$  that contains  $S$ , and we have found

$$L_{n+1} \supseteq S_{n+1} \supseteq \text{Inn}(N).$$

By the Main Theorem, Proposition 8 is true.

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