

# IDEAL DECOMPOSITIONS IN NOETHERIAN RINGS

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An interesting identity is obtained for ideals  $A$  and  $B$  in a Noetherian ring:

$$A = (A + B^n) \cap (A : B^n)$$

for sufficiently large  $n$ . This identity is applied to obtain Fuchs' quasi-primary decomposition of  $A$  in an improved form, and to obtain Krull's theorem on the intersection of the powers of  $A$ , both developments making no use of the Noetherian primary decomposition of  $A$ . Finally, the identity is used to obtain the primary decomposition without reference to irreducible ideals, in a largely constructive manner which yields the decomposition in an illuminating, automatically normal form, and which, subject to certain simple conditions, is unique.

Throughout the paper  $R$  is a (commutative) Noetherian ring (with unity).

**LEMMA 1.** *If  $A$  and  $B$  are ideals of  $R$ , then for a sufficiently large integer  $n$ ,  $A = (A + B^n) \cap (A : B^n)$ .*

*Proof.* Let  $B = (x_1, \dots, x_q)$ , and choose positive integers  $r, n_1, \dots, n_q$  such that

$$(1) \quad A : B^r = A : B^{r+1} = \dots,$$

$$(2) \quad n_i > r \quad \text{for } i = 1, \dots, q,$$

$$(3) \quad A : (x_1^{n_1}) = A : (x_1^{n_1+1}) = \dots$$

and

$$[A + (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}})] : (x_i^{n_i}) = [A + (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}})] : (x_i^{n_i+1}) = \dots$$

for  $i = 2, \dots, q$ .

To simplify the notation, let  $x_i^{n_i} = y_i, i = 1, \dots, q$ .

By a well-known identity (**4**, p. 22),  $A = (A + (y_1)) \cap (A : (y_1))$ , and if  $A = (A + (y_1, \dots, y_i)) \cap (A : (y_1, \dots, y_i))$ , then

$$\begin{aligned} A &= (A + (y_1, \dots, y_{i+1})) \cap [(A + (y_1, \dots, y_i)) : (y_{i+1})] \cap (A : (y_1, \dots, y_i)) \\ &\supseteq (A + (y_1, \dots, y_{i+1})) \cap (A : (y_{i+1})) \cap (A : (y_1, \dots, y_i)) \\ &= (A + (y_1, \dots, y_{i+1})) \cap (A : (y_1, \dots, y_{i+1})). \end{aligned}$$

Thus, by induction,

$$A = (A + (y_1, \dots, y_q)) \cap (A : (y_1, \dots, y_q)).$$

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If  $m = n_1 + \dots + n_q - q + 1$ , then  $B^m \subseteq (y_1, \dots, y_q) \subseteq B^r$ , and so  $A \subseteq (A + B^m) \cap (A : B^r) \subseteq (A + (y_1, \dots, y_q)) \cap (A : (y_1, \dots, y_q)) = A$ .

Thus, if  $n = \max\{m, r\}$ , then by assumption (1),  $A = (A + B^n) \cap (A : B^n)$ , and the proof is complete.

**COROLLARY.** *For ideals  $A$  and  $B$  of  $R$  and a sufficiently large integer  $n$ ,  $(A : B^n) \cap B^n \subseteq A$ .*

Note that by Dedekind’s modular law,

$$(A : B^n) \cap (A + B^n) = A + ((A : B^n) \cap B^n)$$

and so  $A = (A : B^n) \cap (A + B^n)$  if and only if  $(A : B^n) \cap B^n \subseteq A$ . Also, if  $A = (A + B^n) \cap (A : B^n)$ , then

$$A : B^n = ((A + B^n) : B^n) \cap (A : B^{2n}) = A : B^{2n},$$

and hence  $A : B^n = A : B^{n+1} = \dots$

It follows directly from the maximum condition in  $R$  that any ideal  $A$  contains a finite product of prime over ideals (**5**, p. 200). The factors of such a product with the smallest number of distinct factors are precisely the minimal prime ideals of  $A$ . Thus,  $A$  is contained in a finite number of minimal prime ideals and contains a product of them.

**THEOREM 1.** *If  $A$  is any ideal of  $R$  and  $P_1, \dots, P_k$  are the minimal prime ideals of  $A$ , then for a sufficiently large integer  $n$ ,*

$$A = (A + P_1^n) \cap ((A : P_1^n) + P_2^n) \cap \dots \cap ((A : P_1^n \dots P_{k-1}^n) + P_k^n).$$

*Proof.* By systematic application of Lemma 1, there exist  $n_1, \dots, n_k$  such that

$$\begin{aligned} A &= (A + P_1^{n_1}) \cap (A : P_1^{n_1}) \\ &= (A + P_1^{n_1}) \cap ((A : P_1^{n_1}) + P_2^{n_2}) \cap ((A : P_1^{n_1}) : P_2^{n_2}) \\ &= (A + P_1^{n_1}) \cap ((A : P_1^{n_1}) + P_2^{n_2}) \cap (A : P_1^{n_1} P_2^{n_2}) = \dots \\ &= (A + P_1^{n_1}) \cap ((A : P_1^{n_1}) + P_2^{n_2}) \cap \dots \cap ((A : P_1^{n_1} \dots P_{k-1}^{n_{k-1}}) + P_k^{n_k}) \\ &\qquad \qquad \qquad \cap (A : P_1^{n_1} \dots P_k^{n_k}). \end{aligned}$$

There exists an  $n \geq n_i, i = 1, \dots, k$ , such that  $(P_1 \dots P_k)^n \subseteq A$ . Then  $A : P_1^n \dots P_k^n = R$ , and since by an earlier remark

$$\begin{aligned} A : P_1^{n_1} \dots P_i^{n_i} &= (A : P_1^{n_1}) : P_2^{n_2} \dots P_i^{n_i} = (A : P_1^n) : P_2^{n_2} \dots P_i^{n_i} = \dots \\ &= (\dots (A : P_1^n) : P_2^n) : \dots) : P_i^n = A : P_1^n \dots P_i^n, \end{aligned}$$

we have that

$$A = (A + P_1^n) \cap ((A : P_1^n) + P_2^n) \cap \dots \cap ((A : P_1^n \dots P_{k-1}^n) + P_k^n),$$

as required.

COROLLARY. *If  $A$  is any ideal of  $R$  and  $P_1, \dots, P_k$  are the minimal prime ideals of  $A$ , then for a sufficiently large integer  $n$ ,*

$$A = (A + P_1^n) \cap (A + P_2^n) \cap \dots \cap (A + P_k^n).$$

Recall that, following Fuchs (1), an ideal  $A$  is quasi-primary if and only if the radical of  $A$  is prime. A representation of an ideal  $A$  as an intersection of quasi-primary ideals is in shortest form if none of the quasi-primary components can be omitted and no intersection of two or more of the components is itself quasi-primary.

If  $A$  is an ideal contained in the prime ideal  $P$ , then the radical of  $A + P^n$  is  $P$  and so  $A + P^n$  is quasi-primary. Thus, the decomposition above is a representation of  $A$  as an intersection of quasi-primary ideals. Moreover, this is a shortest representation, since the prime ideals  $P_1, \dots, P_k$  are the minimal prime ideals of  $A$ , and hence none of the quasi-primary components  $A + P_i^n$  can be omitted and no intersection of two or more is quasi-primary.

If the index of a quasi-primary ideal  $Q$  is defined as the smallest power of the radical of  $Q$  contained in  $Q$ , then given any shortest representation  $A = Q_1 \cap \dots \cap Q_m$  of  $A$  as an intersection of quasi-primary ideals  $Q_i$  of index  $r_i$  and radical  $P_i$ , the component  $Q_i$  can be replaced by the ideal  $A + P_i^{r_i}$ ; indeed,  $A + P_i^{r_i}$  is the minimal quasi-primary ideal of index  $r_i$  which can be substituted for  $Q_i$  in the decomposition. Of course, any exponent of  $P_i$  greater than  $r_i$  can also be used. Since  $Q_1 \cap \dots \cap Q_m$  is in shortest form, the  $P_i$  are exactly the minimal prime ideals of  $A$  and the decomposition

$$(A + P_1^{r_1}) \cap \dots \cap (A + P_m^{r_m})$$

is also in shortest form. Since the minimal prime ideals of  $A$  are determined only by  $A$ , we have proved the following results of Fuchs (1, Theorems 5 and 6).

THEOREM 2. *Every ideal  $A$  of  $R$  can be represented as a finite intersection of quasi-primary ideals. Given two shortest representations of  $A$ , there is a one-to-one correspondence between the components such that corresponding components have the same radical.*

We now establish Krull's intersection theorem (3) without the use of the Noetherian primary decomposition. (The referee has called our attention to the fact that Herstein (2) has recently also obtained such a proof by different methods.)

THEOREM 3. *Let  $M$  be any ideal of  $R$ , and  $A = \bigcap_{n=1}^{\infty} M^n$ . Then  $A = (0)$  if and only if no element of  $1 - M$  is a zero-divisor.*

*Proof.* The proof in (5, p. 216) utilizes the primary decomposition only to obtain the preliminary result  $AM \supseteq A$ . Now, applying Lemma 1, we have that for  $n$  sufficiently large

$$AM = (AM + M^n) \cap (AM:M^n) \supseteq A \cap A = A,$$

as required.

Next we turn our attention to the primary decomposition. Recall that for  $P$  any prime ideal and  $A$  any ideal of  $R$  the  $P$ -component of  $A$  is

$$A_P = \{x \mid xt \in A \text{ for some } t \notin P\},$$

and that since  $R$  is Noetherian, there exists an  $s$  not in  $P$  such that  $A_P = A : (s)$ . We collect several simple results concerning  $P$ -components in the following lemma.

LEMMA 2. (i) *If  $A$  is any ideal,  $P$  a prime ideal, and  $B$  an ideal contained in  $A_P$ , then  $A : B \not\subseteq P$ .*

(ii) *If  $P$  is a minimal prime ideal of  $A$ , then  $A_P$  is the (unique) minimal  $P$ -primary ideal containing  $A$ , and is a minimal primary ideal containing  $A$ .*

(iii) *If  $Q$  is a minimal primary ideal containing  $A$ , then  $Q$  is equal to  $A_P$  for some minimal prime ideal  $P$  of  $A$ .*

(iv) *If  $A$  and  $B$  are any ideals and  $P$  is a prime ideal, then  $P \supseteq A : B$  if and only if  $P \supseteq A_P : B_P$ .*

(v)  *$P$  is a minimal prime ideal of  $A$  if and only if  $P$  is a prime ideal containing  $A$  and  $A : P^n \not\subseteq P$  for some positive integer  $n$ .*

*Proof.* (i) Since  $sA_P \subseteq A$  for some  $s$  not in  $P$ , it is immediate that  $A : A_P \not\subseteq P$ , whence if  $B \subseteq A_P$  so that  $A : B \supseteq A : A_P$ , then  $A : B \not\subseteq P$ .

(ii) Now let  $P$  be a minimal prime ideal of  $A$ , and  $P_2, \dots, P_k$ , the remaining minimal prime ideals of  $A$ . Clearly  $A_P \subseteq P$ . There exists an  $n$  such that  $(PP_2 \dots P_k)^n \subseteq A$ . If  $k = 1$ , then  $P^n \subseteq A \subseteq A_P$ , while if  $k > 1$ , then there exists some  $y$  in  $(P_2 \dots P_k)^n$  such that  $y$  is not in  $P$ , whence  $P^ny \subseteq A$  and so  $P^n \subseteq A_P$ . Thus we have  $P^n \subseteq A_P \subseteq P$ .

If  $xy \in A_P$  and  $y \notin P$ , then there exists  $s \notin P$  such that  $xy s \in A$ . Then  $ys \notin P$  implies that  $x \in A_P$ , and  $A_P$  is  $P$ -primary.

Now if  $Q$  is any  $P$ -primary ideal containing  $A$ , then  $x \in A_P$  implies that there exists  $s \notin P$  such that  $xs \in A \subseteq Q$ , whence  $x \in Q$ , so that  $A_P$  is the minimal  $P$ -primary ideal containing  $A$ . Clearly  $A_P$  is also a minimal primary ideal containing  $A$ .

(iii) It is well known (e.g. 4, p. 51) that Krull's intersection theorem implies (again without essential use of the primary decomposition) that for any prime ideal  $P$  of  $R$  the intersection of all symbolic prime powers,  $P^{(n)}$ , of  $P$  or, equivalently, of the ideals  $(P^n)_P$  is equal to the  $P$ -component,  $(0)_P$ , of the zero ideal. Noting that if  $A \subseteq P$ , then under the canonical homomorphism,  $f$ , of  $R$  modulo  $A$  the ideal  $\bigcap_{n=1}^{\infty} (A + P^n)_P$  corresponds to the ideal

$$\bigcap_{n=1}^{\infty} f(P)^{(n)} = (0)_{f(P)},$$

we see that

$$\bigcap_{n=1}^{\infty} (A + P^n)_P = A_P.$$

If, now,  $Q$  is a minimal primary ideal containing  $A$ , then, *a fortiori*,  $Q$  is a minimal  $P$ -primary ideal containing  $A$  for some prime ideal  $P$ . Thus,  $Q$  is equal to  $(A + P^n)_P$  for all sufficiently large  $n$  and so is equal to  $A_P$ . But  $A_P$   $P$ -primary implies that  $P$  is a minimal prime ideal of  $A$ .

(iv) Suppose that  $P$  is prime and  $P \supseteq A:B$ . Now  $sA_P \subseteq A$  for some  $s$  not in  $P$ . If  $x \in A_P:B_P$ , then  $xB_P \subseteq A_P$  and  $sxB_P \subseteq A$ . Thus,  $sx \in A:B_P \subseteq A:B \subseteq P$ , whence  $x \in P$  and  $A_P:B_P \subseteq P$ .

Conversely, suppose  $P$  is prime and  $P \not\supseteq A:B$ . Then  $sB \subseteq A$  for some  $s$  not in  $P$ . Also,  $tB_P \subseteq B$  for some  $t$  not in  $P$ . Hence  $stB_P \subseteq A$  with  $st$  not in  $P$ , so that  $B_P \subseteq A_P$ ,  $A_P:B_P = R \not\subseteq P$ .

(v) Suppose that  $P$  is a minimal prime ideal of  $A$ . Then  $A_P$  is  $P$ -primary by (ii),  $P^n \subseteq A_P$  for some  $n$ , and by (i),  $A:P^n \not\subseteq P$ .

Conversely, suppose that  $P$  is prime,  $A \subseteq P$ , and  $A:P^n \not\subseteq P$  for some positive integer  $n$ . The result is trivial if  $P = A$ ; so suppose  $A$  is properly contained in  $P$  and let  $C$  be any ideal which contains  $A$  and is properly contained in  $P$ . There exists a  $p$  in  $P$  such that  $p \notin C$  and an  $s$  not in  $P$  such that  $sP^n \subseteq A$ . Hence,  $sp^n \in A \subseteq C$  and  $C$  is not prime. Thus,  $P$  must be a minimal prime ideal of  $A$ .

This completes the proof of Lemma 2.

To obtain the primary decomposition of  $A$ , let  $S_1$  be the intersection of all ideals  $A_P$  for  $P$  a minimal prime ideal of  $A$ , or, equivalently, the intersection of all minimal primary ideals containing  $A$ , let  $S_{k+1} = S_k \cap B_k$ , where  $B_k$  is the intersection of all  $A_P$  for  $P$  a minimal prime ideal of  $A:S_k$ , and consider the chain of ideals thus obtained.

Since the  $S_k$  form a descending chain, the ideals  $A:S_k$  form an ascending chain, and hence for some  $n$ ,  $A:S_n = A:S_{n+1} = \dots$ . If  $A:S_n \neq R$ , then  $A:S_n$  has a minimal prime ideal  $P$ ,  $S_{n+1} \subseteq A_P$  and  $A:S_{n+1} \not\subseteq P$ . Thus  $A:S_n = R$ . Thus the chain of ideals  $S_1 \supseteq S_2 \supseteq \dots \supseteq S_n = A$  associates with  $A$  a finite set of prime ideal divisors  $P_1, \dots, P_q$  of  $A$  such that

$$A = A_{P_1} \cap \dots \cap A_{P_q}.$$

We have that  $S_1$  is the intersection of primary ideals whose radicals are the minimal prime ideals of  $A$ . Assume, inductively, that for some  $k$ ,  $1 \leq k < n$ , each  $A_{P'}$  in  $S_k = \cap A_{P'}$  has a primary decomposition for which the prime radicals are contained in  $P'$ .

Now let  $P$  be a minimal prime ideal of  $A:S_k$ . Then for some  $s$  not in  $P$  we have  $(S_k)_P = S_k:(s)$  and  $s(S_k)_P \subseteq S_k$ . Since  $A:S_k$  contains a product of its minimal prime ideals, for all integers  $r$  greater than some integer  $m$  and some  $q$  not in  $P$  we have  $P^r q S_k \subseteq A$ . Then  $P^r q s (S_k)_P \subseteq A$ , and since  $qs \notin P$ , thus  $P^r (S_k)_P \subseteq A_P$  and  $(S_k)_P \subseteq A_P:P^r$ .

In the assumed primary decomposition of each  $A_{P'}$  in  $S_k = \cap A_{P'}$  the prime ideal  $P$  does not occur as one of the prime radicals, since, by Lemma 2,  $S_k \subseteq A_{P'}$  implies  $A:S_k \not\subseteq P'$ , whereas  $A:S_k \subseteq P$ . Now  $(S_k)_P$  also has a

primary decomposition for which the prime radicals are all contained in  $P$  (4, p. 17) and hence properly contained in  $P$ . Thus, if  $yP^r \subseteq A_P \subseteq (S_k)_P$ , then we must have  $y \in (S_k)_P$ , and so  $A_P:P^r \subseteq (S_k)_P$ . We conclude that  $A_P:P^r = (S_k)_P$ .

Now take  $r$  sufficiently large that  $A_P:P^r = A_P:P^{r+1} = \dots, A_P:P^r = (S_k)_P$ , and  $A_P = (A_P:P^r) \cap (A_P + P^r)$ . Then  $A_P = (S_k)_P \cap (A_P + P^r)$ , and taking  $P$ -components we obtain  $A_P = (S_k)_P \cap (A_P + P^r)_P$ . But  $(A_P + P^r)_P = (A + P^r)_P$ ; hence  $A_P = (S_k)_P \cap (A + P^r)_P$ . Since  $P$  is the unique minimal prime ideal of  $A + P^r$ ,  $(A + P^r)_P$  is  $P$ -primary and  $A_P$  has a primary decomposition, all components of which have radicals contained in  $P$ .

Thus, by induction,  $A$  has a primary decomposition. We note that if  $P$  is a minimal prime ideal of  $A$ , then for some  $m, P^m \subseteq A_P$  and

$$A_P = (A_P)_P = (A_P + P^m)_P = (A + P^m)_P.$$

Moreover, since for  $P$  a minimal prime ideal of  $A:S_k, A_P = (S_k)_P \cap (A + P^r)_P$ , it is clear that

$$S_{k+1} = S_k \cap B_k = S_k \cap [\cap (A + P_i^{r_i})_{P_i}],$$

where the  $P_i$  are the minimal prime ideals of  $A:S_k$ , and hence do not occur as radicals of any of the primary ideals in the decomposition of  $S_k$ . Thus, each  $P$  occurring as a minimal prime ideal of  $A$  or of some  $A:S_k$  is the radical of exactly one  $P$ -primary ideal (namely  $(A + P^r)_P$ , the unique minimal  $P$ -primary ideal containing  $(A + P^r)$ ) in the primary decomposition of  $A$  thus obtained.

We now show that this decomposition of  $A$  as a finite intersection of primary ideals  $Q_i = (A + P_i^{r_i})_{P_i}$  is automatically a normal decomposition of  $A$ . Since the  $P_i$  are all distinct, it suffices to show that no  $Q_i$  is redundant. Suppose, on the contrary, that some  $Q_i = Q = (A + P^r)_P$  is redundant, where  $P$  is a minimal prime ideal of  $A:S_k$ , so that

$$A = Q_1 \cap \dots \cap Q_m \cap \dots \cap Q_n \cap Q = Q_1 \cap \dots \cap Q_n,$$

where  $Q_1, \dots, Q_m$  are those  $Q_j$  whose radicals are contained in the radical  $P$  of  $Q$ . Then

$$A_P = Q_1 \cap \dots \cap Q_m \cap Q = Q_1 \cap \dots \cap Q_m$$

and  $Q$  is also redundant in the resulting decomposition of  $A_P$ . But this decomposition is precisely  $A_P = (S_k)_P \cap Q$ , which implies that  $A_P = (S_k)_P$  and  $A_P:(S_k)_P = R$ . But by Lemma 2 (iv),  $A:S_k \subseteq P$  implies  $A_P:(S_k)_P \subseteq P \neq R$ . Hence the original primary decomposition of  $A$  is irredundant, and therefore also normal.

We have proved the following theorem:

**THEOREM 4.** *Let  $A$  be any ideal of  $R$ . Then by the above process  $A$  is represented as an (automatically normal) intersection of a finite number of primary ideals of the form  $(A + P^r)_P$ .*

The usual uniqueness theorems concerning primary decompositions now follow by standard arguments.

For decompositions of the above form, an additional uniqueness property can be obtained by use of the following theorem.

THEOREM 5. *Let*

$$A = Q_1 \cap \dots \cap Q_k = Q'_1 \cap \dots \cap Q'_k,$$

where  $Q_i$  and  $Q'_i$  are primary with radical  $P_i$ ,  $i = 1, \dots, k$ , and  $P_i \neq P_j$  if  $i \neq j$ . Then

$$A = Q_1 \cap \dots \cap Q_{j-1} \cap Q'_j \cap Q_{j+1} \cap \dots \cap Q_k.$$

*Proof.* Assume the indexing chosen so that  $P_1, \dots, P_{j-1}$  are those prime ideals of  $A$  not contained in  $P = P_j$ . Then  $A = Q_1 \cap \dots \cap Q_{j-1} \cap A_P$ . But for  $n$  sufficiently large,  $A_P = Q'_j \cap (A_P : P^n)$  and  $A_P : P^n = Q_{j+1} \cap \dots \cap Q_k$ . Thus,

$$A = Q_1 \cap \dots \cap Q_{j-1} \cap Q'_j \cap Q_{j+1} \cap \dots \cap Q_k.$$

THEOREM 6. *Any ideal  $A$  of  $R$  has a normal decomposition*

$$A = \bigcap_{i=1}^n (A + P_i^{m_i})_{P_i}$$

which is unique in that each exponent  $m_i$  is the minimum exponent that yields a primary component of  $A$  of the given form.

*Proof.* By Theorem 4,  $A$  has a normal decomposition

$$A = \bigcap_{i=1}^n (A + P_i^{r_i})_{P_i}.$$

By Theorem 5 the exponents associated with the prime ideals  $P_i$  can be minimized independently and, moreover, each minimum  $m_i$  thus obtained depends only upon  $A$  and  $P_i$ .

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