

## ASYMPTOTIC RESULTS FOR CLASS NUMBER DIVISIBILITY IN CYCLOTOMIC FIELDS

BY  
FRANK GERTH III

**ABSTRACT.** Let  $n \geq 3$  and  $m \geq 3$  be integers. Let  $K_n$  be the cyclotomic field obtained by adjoining a primitive  $n$ th root of unity to the field of rational numbers. Let  $K_n^+$  denote the maximal real subfield of  $K_n$ . Let  $h_n$  (resp.,  $h_n^+$ ) denote the class number of  $K_n$  (resp.,  $K_n^+$ ). For fixed  $m$  we show that  $m$  divides  $h_n$  and  $h_n^+$  for asymptotically almost all  $n$ . Also for those  $K_n$  and  $K_n^+$  with a given number of ramified primes, we obtain lower bounds for certain types of densities for  $m$  dividing  $h_n$  and  $h_n^+$ .

**1. Introduction.** Let  $n \geq 3$  be an integer, and let  $\zeta_n$  be a primitive  $n$ th root of unity. Let  $h_n$  denote the class number of the cyclotomic field  $K_n = \mathbf{Q}(\zeta_n)$ , and let  $h_n^+$  denote the class number of the maximal real subfield  $K_n^+$  of  $K_n$ . It is well known that  $h_n^+ \mid h_n$ . Recently Cornell and Rosen [1] have announced that if  $n$  is divisible by at least five distinct odd primes, then  $2 \mid h_n^+$  (and of course  $2 \mid h_n$ ). Hence asymptotically  $2 \mid h_n^+$  and  $2 \mid h_n$  for almost all  $n$ . Now let  $m \geq 3$  be an integer. Cornell and Washington [2] have recently given sufficient conditions for  $m \mid h_n^+$  and  $m \mid h_n$ . In section 2 we describe the results of Cornell and Washington. In section 3 we obtain an asymptotic result which indicates that for fixed  $m$  almost all  $K_n^+$  and  $K_n$  have class numbers divisible by  $m$ . In section 4 we consider those  $K_n^+$  and  $K_n$  with a fixed number of ramified primes and obtain lower bounds for certain types of densities for  $m \mid h_n^+$  and  $m \mid h_n$ .

**2. Results of Cornell and Washington.** Let notations be as in section 1. First we consider  $m \mid h_n^+$ . Let

$$(2.1) \quad M = \begin{cases} 4m & \text{if } m \text{ is odd} \\ 2m & \text{if } m \text{ is even.} \end{cases}$$

Let  $r$  be the number of distinct primes congruent to 1 (mod  $M$ ) that divide  $n$ , and let  $p_1, \dots, p_r$  denote these distinct primes. Then the proofs in [2] show that  $m \mid h_n^+$  if

(i)  $r \geq 4$ , or

(ii)  $r = 3$  and at least two of  $(p_i/p_i) = 1$  or  $1 \leq l < j \leq 3$ , where  $(p_i/p_i)$  is the Legendre symbol. Alternately we may describe the situation as follows. If

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$m \nmid h_n^+$ , it is necessary that

- (a)  $r \leq 2$ , or  
 (b)  $r = 3$  and at most one of  $(p_i/p_i) = 1$  for  $1 \leq l < j \leq 3$ .

Since  $h_n^+ \mid h_n$ , we see that condition (i) or (ii) is sufficient for  $m \mid h_n$ . Equivalently condition (a) or (b) is necessary for  $m \nmid h_n$ . However when  $m$  is odd, the proofs in [2] indicate more restrictive necessary conditions for  $m \nmid h_n$ . Let  $s$  be the number of distinct primes congruent to 1 (mod  $m$ ) that divide  $n$ , and let  $p_{i_1}, \dots, p_{i_s}$  denote these distinct primes. Then if  $m$  is odd and  $m \nmid h_n$ , it is necessary that one of the following conditions be satisfied:

- (c)  $s \leq 1$   
 (d<sub>1</sub>)  $s = 2$ , and both  $p_{i_1} \equiv 1 \pmod{4}$  and  $p_{i_2} \equiv 1 \pmod{4}$   
 (d<sub>2</sub>)  $s = 2$ , exactly one of  $p_{i_1}$  and  $p_{i_2}$  is congruent to 1 (mod 4), and  $(p_{i_2}/p_{i_1}) = -1$   
 (e<sub>1</sub>)  $s = 3$ , each  $p_{i_j} \equiv 1 \pmod{4}$  for  $j = 1, 2, 3$ , and at most one of  $(p_i/p_i) = 1$  for  $1 \leq l < j \leq 3$   
 (e<sub>2</sub>) exactly one of  $p_{i_1}, p_{i_2}, p_{i_3}$  is congruent to 3 (mod 4), say  $p_{i_1}$ ,  $(p_i/p_i) = -1$  for  $j = 2, 3$ , and  $(p_{i_3}/p_{i_2}) = 1$ .

3.  $m \mid h_n^+$  and  $m \mid h_n$  for almost all  $n$ . Let notations be as in previous sections. In section 1 we remarked that  $2 \mid h_n^+$  (and  $2 \mid h_n$ ) if  $n$  is divisible by at least five odd primes. So if  $2 \nmid h_n^+$ , it is necessary that  $n$  be divisible by at most four odd primes. If  $x$  is a positive real number and  $B_x = \{n \leq x : n \text{ is divisible by at most four distinct odd primes}\}$ , then it can be proved by standard techniques that

$$|B_x| \ll \frac{x(\log \log x)^3}{\log x}.$$

In particular  $|B_x| = o(x)$ , from which it follows that  $2 \mid h_n^+$  (and  $2 \mid h_n$ ) for asymptotically almost all  $n$ .

Now suppose  $m \geq 3$  is an integer. From section 2 we know that if  $m \nmid h_n^+$ , it is necessary that  $n$  be divisible by at most three distinct primes congruent to 1 (mod  $M$ ), where  $M$  is given by (2.1). Our goal in this section is to prove the following theorem.

**THEOREM 1.** *Let  $m \geq 3$  be an integer, and define  $M$  by (2.1). Let  $x$  be a positive real number, and let  $C_x = \{n \leq x : m \nmid h_n^+\}$ . Then*

$$|C_x| \ll \frac{x(\log \log x)^3}{(\log x)^{1/\varphi(M)}}$$

where  $\varphi$  is the Euler  $\varphi$ -function, and where the constant implied by the symbol  $\ll$  depends only on  $M$ . In particular, for fixed  $m$ ,  $|C_x| = o(x)$ . Hence for fixed  $m$ ,  $m \mid h_n^+$  and  $m \mid h_n$  for asymptotically almost all  $n$ .

The proof of Theorem 1 depends on certain sieve estimates. Let  $z$  be a positive real number and  $b$  a positive integer. Let  $A(x) = \{n \leq x\}$ ,  $A_b(x) = \{n \in A(x) : n \equiv 0 \pmod{b}\}$ ,  $P = \{\text{primes } p \equiv 1 \pmod{M}\}$ ,  $P(z) = \prod_{p \leq z}^P p$ ,  $S(A(x), P, z) = \{n \in A(x) : (n, P(z)) = 1\}$ . We shall need the following lemma, which follows immediately from [5], Corollary 2.3.1.

LEMMA 1. *Let  $G$  be a set of primes with*

$$(3.1) \quad \sum_{\substack{p \in G \\ p \leq x}} \frac{1}{p} \geq \frac{1}{\varphi(M)} \log \log x - a$$

for some constant  $a$ . Then

$$(3.2) \quad |\{n \in A(x) : (n, p) = 1 \text{ for all } p \in G\}| \ll \frac{x}{(\log x)^{1/\varphi(M)}}$$

where the constant implied by the  $\ll$  symbol depends only on  $a$ .

Now we let

$D = \{n \in A(x) : n \text{ is divisible by at most three distinct primes in } P\}$ . We claim that

$$(3.3) \quad D \leq F_0 + F_1 + F_2 + F_3$$

where

$$\begin{aligned} F_0 &= S(A(x), P, x^{1/4}) \\ F_1 &= \sum_{\substack{p \in P \\ p \leq x^{1/4}}} S(A_p(x), P - \{p\}, \left(\frac{x}{p}\right)^{1/4}) \\ F_2 &= \sum_{\substack{p_1, p_2 \in P \\ p_1 p_2 \leq x^{1/4}}} S(A_{p_1 p_2}(x), P - \{p_1, p_2\}, \left(\frac{x}{p_1 p_2}\right)^{1/4}) \\ F_3 &= \sum_{\substack{p_1, p_2, p_3 \in P \\ p_1 p_2 p_3 \leq x^{1/4}}} S(A_{p_1 p_2 p_3}(x), P - \{p_1, p_2, p_3\}, \frac{x}{p_1 p_2 p_3}). \end{aligned}$$

If  $n$  is divisible by no prime in  $P$ , then  $n$  is counted in  $F_0$ . If  $n$  is divisible by exactly one prime in  $P$ , then we see that  $n$  is counted in  $F_0$  or  $F_1$ . If  $n$  is divisible by exactly two distinct primes in  $P$ , then we see that  $n$  is counted in  $F_0$ ,  $F_1$ , or  $F_2$ . Finally if  $n$  is divisible by exactly three distinct primes in  $P$ , we see that  $n$  is counted in  $F_0$ ,  $F_1$ ,  $F_2$ , or  $F_3$ . Thus Inequality 3.3 is valid. We also note that

$$\begin{aligned} S(A_p(x), P - \{p\}, \left(\frac{x}{p}\right)^{1/4}) &= S\left(A\left(\frac{x}{p}\right), P - \{p\}, \left(\frac{x}{p}\right)^{1/4}\right) \\ S\left(A_{p_1 p_2}(x), P - \{p_1, p_2\}, \left(\frac{x}{p_1 p_2}\right)^{1/4}\right) &= S\left(A\left(\frac{x}{p_1 p_2}\right), P - \{p_1, p_2\}, \left(\frac{x}{p_1 p_2}\right)^{1/4}\right) \\ S\left(A_{p_1 p_2 p_3}(x), P - \{p_1, p_2, p_3\}, \frac{x}{p_1 p_2 p_3}\right) &= S\left(A\left(\frac{x}{p_1 p_2 p_3}\right), P - \{p_1, p_2, p_3\}, \frac{x}{p_1 p_2 p_3}\right). \end{aligned}$$

We first use Lemma 1 with  $G = \{p \in P : p \leq x^{1/4}\}$ . The well-known formula

$$\sum_{\substack{p \in P \\ p \leq x}} \frac{1}{p} = \frac{1}{\varphi(M)} \log \log x + O(1)$$

implies that

$$\begin{aligned} \sum_{\substack{p \in G \\ p \leq x}} \frac{1}{p} &= \sum_{\substack{p \in P \\ p \leq x^{1/4}}} \frac{1}{p} = \frac{1}{\varphi(M)} \log \log (x^{1/4}) + O(1) \\ &= \frac{1}{\varphi(M)} \log \log x + O(1). \end{aligned}$$

So Inequality 3.1 is satisfied with a constant  $a$  that depends on  $M$ . Thus from Inequality 3.2,

$$F_0 = S(A(x), P, x^{1/4}) \ll \frac{x}{(\log x)^{1/\varphi(M)}}.$$

Next we use Lemma 1 with  $G = \{q \in P - \{p\} : q \leq (x/p)^{1/4}\}$ . Since

$$\sum_{\substack{q \in G \\ q \leq x/p}} \frac{1}{q} = \frac{1}{\varphi(M)} \log \log \left(\frac{x}{p}\right) + O(1),$$

then

$$S\left(A\left(\frac{x}{p}\right), P - \{p\}, \left(\frac{x}{p}\right)^{1/4}\right) \ll \frac{x}{p(\log(x/p))^{1/\varphi(M)}}.$$

For  $p \leq x^{1/4}$ , we have

$$\frac{1}{\left(\log\left(\frac{x}{p}\right)\right)^{1/\varphi(M)}} \ll \frac{1}{(\log x)^{1/\varphi(M)}} \quad \text{and} \quad \sum_{\substack{p \in P \\ p \leq x^{1/4}}} \frac{1}{p} \ll \log \log x.$$

So

$$F_1 = \sum_{\substack{p \in P \\ p \leq x^{1/4}}} S\left(A\left(\frac{x}{p}\right), P - \{p\}, \left(\frac{x}{p}\right)^{1/4}\right) \ll \frac{x \log \log x}{(\log x)^{1/\varphi(M)}}.$$

Next with

$$G = \left\{q \in P - \{p_1, p_2\} : q \leq \left(\frac{x}{p_1 p_2}\right)^{1/4}\right\},$$

Lemma 1 gives

$$S\left(A\left(\frac{x}{p_1 p_2}\right), P - \{p_1, p_2\}, \left(\frac{x}{p_1 p_2}\right)^{1/4}\right) \ll \frac{x}{p_1 p_2 (\log(x/p_1 p_2))^{1/\varphi(M)}}.$$

For  $p_1 p_2 \leq x^{1/2}$ , we have

$$\frac{1}{\left(\log\left(\frac{x}{p_1 p_2}\right)\right)^{1/\varphi(M)}} \ll \frac{1}{(\log x)^{1/\varphi(M)}} \quad \text{and} \quad \sum_{\substack{p_1, p_2 \in P \\ p_1 p_2 \leq x^{1/4}}} \frac{1}{p_1 p_2} \ll (\log \log x)^2.$$

So

$$F_2 = \sum_{\substack{p_1, p_2 \in P \\ p_1 p_2 \leq x^{1/4}}} S\left(A\left(\frac{x}{p_1 p_2}\right), P - \{p_1, p_2\}, \left(\frac{x}{p_1 p_2}\right)^{1/4}\right) \ll \frac{x(\log \log x)^2}{(\log x)^{1/\varphi(M)}}.$$

Finally with

$$G = \left\{ q \in P - \{p_1, p_2, p_3\} : q \leq \frac{x}{p_1 p_2 p_3} \right\},$$

Lemma 1 gives

$$S\left(A\left(\frac{x}{p_1 p_2 p_3}\right), P - \{p_1, p_2, p_3\}, \frac{x}{p_1 p_2 p_3}\right) \ll \frac{x}{p_1 p_2 p_3 (\log(x/p_1 p_2 p_3))^{1/\varphi(M)}}.$$

For  $p_1 p_2 p_3 \leq x^{3/4}$ , we have

$$\frac{1}{\left(\log\left(\frac{x}{p_1 p_2 p_3}\right)\right)^{1/\varphi(M)}} \ll \frac{1}{(\log x)^{1/\varphi(M)}} \quad \text{and} \quad \sum_{\substack{p_1, p_2, p_3 \in P \\ p_1 p_2 p_3 \leq x^{3/4}}} \frac{1}{p_1 p_2 p_3} \ll (\log \log x)^3.$$

So

$$F_3 = \sum_{\substack{p_1, p_2, p_3 \in P \\ p_1 p_2 p_3 \leq x^{3/4}}} S\left(A\left(\frac{x}{p_1 p_2 p_3}\right), P - \{p_1, p_2, p_3\}, \frac{x}{p_1 p_2 p_3}\right) \ll \frac{x(\log \log x)^3}{(\log x)^{1/\varphi(M)}}.$$

Then from Inequality 3.3, we have

$$D \ll \frac{x(\log \log x)^3}{(\log x)^{1/\varphi(M)}}.$$

Since  $|C_x| \leq D$ , we have proved Theorem 1.

**4. Density results.** We let notations be the same as in previous sections. In this section we shall suppose that  $n$  is divisible by exactly  $t$  distinct primes. So  $n = p_1^{e_1} \cdots p_t^{e_t}$ , where  $p_1, \dots, p_t$  are distinct primes and each  $e_i \geq 1$ . We note that the conditions in section 2 do not distinguish between  $m \mid h_n^+$  for  $n = p_1 \cdots p_t$  and  $m \mid h_n^+$  for  $n = p_1^{e_1} \cdots p_t^{e_t}$  if each  $e_i \geq 1$ . Similarly the conditions in section 2 do not distinguish between  $m \mid h_n$  for  $n = p_1 \cdots p_t$  and  $m \mid h_n$  for  $n = p_1^{e_1} \cdots p_t^{e_t}$  if each  $e_i \geq 1$ . Hence we shall consider only square-free integers  $n$  with  $t$  prime factors. Let  $x$  be a positive real number, and let  $R_{t,x} = \{n \leq x : n = p_1 \cdots p_t \text{ with primes } p_1 < p_2 < \cdots < p_t\}$ . If  $T_x$  is a subset of  $R_{t,x}$  for each  $x$ ,

we define a density

$$d(T_x) = \lim_{x \rightarrow \infty} \frac{|T_x|}{|R_{t,x}|}$$

provided the limit exists. We define a lower density

$$\mathbf{d}(T_x) = \liminf_{x \rightarrow \infty} \frac{|T_x|}{|R_{t,x}|}.$$

If  $d(T_x)$  exists, then of course  $\mathbf{d}(T_x) = d(T_x)$ . We also note the well-known asymptotic formula

$$(4.1) \quad |R_{t,x}| \sim \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}$$

as  $x \rightarrow \infty$  with  $t$  fixed. Our main result in this section is the following theorem.

**THEOREM 2.** *Let  $m \geq 3$  and  $t \geq 3$  be integers. Define  $M$  by (2.1). Let  $V_{m,t,x} = \{n \in R_{t,x} : m \mid h_n\}$  and  $V_{m,t,x}^+ = \{n \in R_{t,x} : m \mid h_n^+\}$ . Then*

(i)  $\mathbf{d}(V_{m,t,x}) \geq \mathbf{d}(V_{m,t,x}^+) \geq 1 - y_{m,t}$ , where

$$y_{m,t} = \frac{(\varphi(M) - 1)^{t-3}}{(\varphi(M))^t} \left[ (\varphi(M) - 1)^3 + t(\varphi(M) - 1)^2 + \frac{t(t-1)}{2}(\varphi(M) - 1) + \frac{t(t-1)(t-2)}{12} \right].$$

If  $m$  is odd, we have the stronger result (ii)  $\mathbf{d}(V_{m,t,x}) \geq 1 - z_{m,t}$ , where

$$z_{m,t} = \frac{(\varphi(m) - 1)^{t-3}}{(\varphi(m))^t} \left[ (\varphi(m) - 1)^3 + t(\varphi(m) - 1)^2 + \frac{t(t-1)}{4}(\varphi(m) - 1) + \frac{7t(t-1)(t-2)}{384} \right].$$

Now let  $t = 2$  and let  $m$  be odd. Then (iii)  $\mathbf{d}(V_{m,t,x}) \geq \frac{1}{2(\varphi(m))^2}$ .

**Proof.** For  $t \geq 3$ , let  $Y_{m,t,x} = \{n \in R_{t,x} : n \text{ satisfies condition (a) or (b) of section 2}\}$  and  $Z_{m,t,x} = \{n \in R_{t,x} : n \text{ satisfies one of the conditions (c), (d}_1), (d_2), (e_1), (e_2) \text{ of section 2}\}$ . To prove (i) and (ii) of Theorem 2, it suffices to show that  $d(Y_{m,t,x}) = y_{m,t}$  and  $d(Z_{m,t,x}) = z_{m,t}$ . Now

$$(4.2) \quad |Y_{m,t,x}| = \sum_{p_1 \cdots p_t \leq x} \delta_0 + \sum_{p_1 \cdots p_t \leq x} \delta_1 + \sum_{p_1 \cdots p_t \leq x} \delta_2 + \sum_{p_1 \cdots p_t \leq x} \delta_3$$

where for  $0 \leq i \leq 2$ ,

$$\delta_i = \begin{cases} 1 & \text{if exactly } i \text{ of } p_1, \dots, p_t \text{ are congruent to } 1 \pmod{M} \\ 0 & \text{otherwise} \end{cases}$$

and

$$\delta_3 = \begin{cases} 1 & \text{if condition (b) of section 2 is satisfied} \\ 0 & \text{otherwise.} \end{cases}$$

Now for fixed  $M$  and  $t$ , standard calculations show

$$(4.3) \quad \sum_{p_1 \cdots p_t \leq x} \delta_0 = \sum_{\substack{p_1 \cdots p_t \leq x \\ \text{each } p_i \not\equiv 1 \pmod{M}}} 1 \sim \left(\frac{\varphi(M)-1}{\varphi(M)}\right)^t \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}.$$

Similarly

$$(4.4) \quad \sum_{p_1 \cdots p_t \leq x} \delta_1 \sim \binom{t}{1} \frac{1}{\varphi(M)} \left(\frac{\varphi(M)-1}{\varphi(M)}\right)^{t-1} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}$$

and

$$(4.5) \quad \sum_{p_1 \cdots p_t \leq x} \delta_2 \sim \binom{t}{2} \left(\frac{1}{\varphi(M)}\right)^2 \left(\frac{\varphi(M)-1}{\varphi(M)}\right)^{t-2} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}$$

where  $\binom{t}{1}$  and  $\binom{t}{2}$  are binomial coefficients.

The calculation of  $\sum_{p_1 \cdots p_t \leq x} \delta_3$  is slightly more complicated. We will obtain the factor

$$\binom{t}{3} \left(\frac{1}{\varphi(M)}\right)^3 \left(\frac{\varphi(M)-1}{\varphi(M)}\right)^{t-3}$$

from the congruence conditions mod  $M$ . Next since

$$\left(\frac{p_{i_2}}{p_{i_1}}\right) = \pm 1, \quad \left(\frac{p_{i_3}}{p_{i_1}}\right) = \pm 1, \quad \left(\frac{p_{i_3}}{p_{i_2}}\right) = \pm 1,$$

there are eight possible combinations for the Legendre symbols. Four of these combinations satisfy the requirements of condition (b), and hence we expect an additional factor of  $\frac{4}{8} = \frac{1}{2}$  in the calculation. Thus we expect

$$(4.6) \quad \sum_{p_1 \cdots p_t \leq x} \delta_3 \sim \frac{1}{2} \binom{t}{3} \left(\frac{1}{\varphi(M)}\right)^3 \left(\frac{\varphi(M)-1}{\varphi(M)}\right)^{t-3} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}.$$

Concerning the proof of this type of result, we make the following observations. For simplicity, we consider the case  $t=3$ . We let  $\chi_p$  be the quadratic character defined by

$$\chi_p(a) = \left(\frac{a}{p}\right) \quad \text{for } (a, p) = 1.$$

Then suppose, for example, that we want to count the integers  $n \leq x$  of the form  $n = p_1 p_2 p_3$  with primes  $p_1 < p_2 < p_3$ , each  $p_i \equiv 1 \pmod{M}$ , and with

$$\left(\frac{p_2}{p_1}\right) = -1, \quad \left(\frac{p_3}{p_1}\right) = 1, \quad \left(\frac{p_3}{p_2}\right) = -1.$$

Then we would compute the sum

$$(4.7) \sum_{\substack{p_1 \leq x^{1/3} \\ p_1 \equiv 1 \pmod{M}}} \sum_{\substack{p_1 < p_2 \leq (x/p_1)^{1/2} \\ p_2 \equiv 1 \pmod{M}}} \sum_{\substack{p_2 < p_3 \leq x/p_1 p_2 \\ p_3 \equiv 1 \pmod{M}}} \frac{1}{2}(1 - \chi_{p_1}(p_2)) \frac{1}{2}(1 + \chi_{p_1}(p_3)) \frac{1}{2}(1 - \chi_{p_2}(p_3)).$$

We have performed calculations similar to this in [3] and [4]. The coefficient in the main term in the asymptotic formula for (4.7) comes from the factor  $\frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2}$  and the congruence conditions mod  $M$ . The remaining terms are character sums which are part of the error term. Thus we see that the sum (4.7) is asymptotic to

$$\frac{1}{8} \left( \frac{1}{\varphi(M)} \right)^3 \frac{x(\log \log x)^2}{2! \log x}.$$

Since there are four possible combinations of Legendre symbols that give  $\delta_3 = 1$ , we get

$$\sum_{p_1 p_2 p_3 \leq x} \delta_3 \sim \frac{1}{2} \left( \frac{1}{\varphi(M)} \right)^3 \frac{x(\log \log x)^2}{2! \log x} \quad \text{when } t = 3.$$

It is then easy to see that (4.6) is true for arbitrary  $t \geq 3$ . Now using (4.1)–(4.6), we see that  $d(Y_{m,t,x}) = y_{m,t}$  where  $y_{m,t}$  is specified in the statement of Theorem 2.

Next we consider  $|Z_{m,t,x}|$ . We note that

$$(4.8) \quad |Z_{m,t,x}| = \sum_{p_1 \cdots p_t \leq x} \varepsilon_0 + \sum_{p_1 \cdots p_t \leq x} \varepsilon_1 + \sum_{p_1 \cdots p_t \leq x} \varepsilon_2 + \sum_{p_1 \cdots p_t \leq x} \varepsilon_3$$

where for  $0 \leq i \leq 1$ ,

$$\varepsilon_i = \begin{cases} 1 & \text{if exactly } i \text{ of } p_1, \dots, p_t \text{ are congruent to } 1 \pmod{m} \\ 0 & \text{otherwise} \end{cases}$$

$$\varepsilon_2 = \begin{cases} 1 & \text{if condition } (d_1) \text{ or } (d_2) \text{ of section 2 is satisfied} \\ 0 & \text{otherwise} \end{cases}$$

$$\varepsilon_3 = \begin{cases} 1 & \text{if condition } (e_1) \text{ or } (e_2) \text{ of section 2 is satisfied} \\ 0 & \text{otherwise} \end{cases}$$

Now analogous to (4.3) and (4.4), we have

$$(4.9) \quad \sum_{p_1 \cdots p_t \leq x} \varepsilon_0 \sim \left( \frac{\varphi(m) - 1}{\varphi(m)} \right)^t \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}$$

and

$$(4.10) \quad \sum_{p_1 \cdots p_t \leq x} \varepsilon_1 \sim \binom{t}{1} \frac{1}{\varphi(m)} \left( \frac{\varphi(m) - 1}{\varphi(m)} \right)^{t-1} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}.$$

We now examine the effect of the conditions  $(d_1)$ ,  $(d_2)$ ,  $(e_1)$ ,  $(e_2)$ . In  $(d_1)$ , the congruence conditions (mod 4) introduce a factor  $\frac{1}{4}$ . In  $(d_2)$ , the congruence

conditions (mod 4) introduce a factor  $\frac{2}{4}$ , and the condition  $(p_{i_2}/p_{i_1}) = -1$  introduces a factor  $\frac{1}{2}$ . Thus from (d<sub>1</sub>) and (d<sub>2</sub>) we get a factor  $\frac{1}{4} + \frac{2}{4} \cdot \frac{1}{2} = \frac{1}{2}$  to multiply the coefficient

$$\binom{t}{2} \left(\frac{1}{\varphi(m)}\right)^2 \left(\frac{\varphi(m)-1}{\varphi(m)}\right)^{t-2}.$$

So

$$(4.11) \quad \sum_{p_1 \cdots p_t \leq x} \varepsilon_2 \sim \frac{1}{2} \binom{t}{2} \left(\frac{1}{\varphi(m)}\right)^2 \left(\frac{\varphi(m)-1}{\varphi(m)}\right)^{t-2} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}.$$

In (e<sub>1</sub>), the congruence conditions (mod 4) introduce a factor  $\frac{1}{8}$ , and the condition on Legendre symbols introduces a factor  $\frac{4}{8}$ . In (e<sub>2</sub>), the congruence conditions (mod 4) introduce a factor  $\frac{3}{8}$ , and the condition on Legendre symbols introduces a factor  $\frac{1}{8}$ . So from (e<sub>1</sub>) and (e<sub>2</sub>) we get a factor  $\frac{1}{8} \cdot \frac{4}{8} + \frac{3}{8} \cdot \frac{1}{8} = \frac{7}{64}$  to multiply the factor

$$\binom{t}{3} \left(\frac{1}{\varphi(m)}\right)^3 \left(\frac{\varphi(m)-1}{\varphi(m)}\right)^{t-3}.$$

Thus

$$(4.12) \quad \sum_{p_1 \cdots p_t \leq x} \varepsilon_3 \sim \frac{7}{64} \binom{t}{3} \left(\frac{1}{\varphi(m)}\right)^3 \left(\frac{\varphi(m)-1}{\varphi(m)}\right)^{t-3} \frac{x(\log \log x)^{t-1}}{(t-1)! \log x}.$$

Then from (4.1), (4.8), (4.9), (4.10), (4.11), and (4.12), we get  $d(Z_{m,t,x}) = z_{m,t}$ , where  $z_{m,t}$  is specified in the statement of Theorem 2.

Statement (iii) in Theorem 2 can be obtained by using conditions (c), (d<sub>1</sub>), (d<sub>2</sub>) and by observing that

$$1 - \left(\frac{1}{\varphi(m)}\right)^2 [(\varphi(m)-1)^2 + 2(\varphi(m)-1) + \frac{1}{2}] = \frac{1}{2(\varphi(m))^2}.$$

REMARK. For fixed  $m$  in Theorem 2,  $\lim_{t \rightarrow \infty} y_{m,t} = 0$  and  $\lim_{t \rightarrow \infty} z_{m,t} = 0$ .

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DEPARTMENT OF MATHEMATICS  
 THE UNIVERSITY OF TEXAS  
 AUSTIN, TEXAS 78712  
 U.S.A.