A GENERALIZATION OF THE CYCLOTOMIC POLYNOMIAL

BY K. NAGESWARA RAO*

ABSTRACT. In this paper, the cyclotomic polynomial is generalized and several of its properties based on the Möbius inversion are derived. It is deduced that a polynomial whose roots are the roots of a cyclotomic polynomial multiplied by those of another cyclotomic polynomial is the product of cyclotomic polynomials. Character sums and finite Fourier series are employed for the latter result.

- 1. **Preliminaries.** Let $n \ge 1$ be any integer. To each integer n associate a non-empty set A(n) of positive divisors of n satisfying the following conditions:
 - I. If $d \in A(m)$ and $m \in A(n)$ imply that $d \in A(n)$ and $m/d \in A(n/d)$ and vice versa.
 - II. If $d \in A(n)$ then $n/d \in A(n)$.
 - III. $1 \in A(n)$.
 - IV. $A(mn) = A(m) \times A(n) = \{ab \mid a \in A(m), b \in A(n)\}$ whenever (m, n) = 1.
 - V. For every prime power of p^k , there exists a positive integer t so that

$$A(p^k) = \{1, p^t, p^{2t}, \dots, p^{rt}\},\$$

$$rt = k$$
, and $p^{t} \in A(p^{2t})$, $p^{2t} \in A(p^{3t})$, etc.

Following Narkiewicz [9] the number p^t is called the primitive element of $A(p^k)$ associated with the prime p. (Also see McCarthy [4].)

If m is any integer, then the A-greatest common divisor (A-g.c.d.) of m and n is defined as the largest member of A(n) that divides m and is denoted by $(m, n)_A$. The number of integers m, $0 \le m < n$ such that $(m, n)_A = 1$ is denoted by $\phi_A(n)$ which generalizes Euler's totient.

Let ρ be any primitive *n*th root of unity, d_1, d_2, \ldots, d_r be all the elements of A(n). Then let $C(d_i)$ stand for the set of all elements $\{\rho^m\}$, $0 \le m < n$ which are such that $(m, n)_A = n/d_i$.

Let μ_A be the Möbius function associated with the set A(n) which is multiplicative and is defined for prime power values by

$$\mu_A(p^{\alpha}) = \begin{bmatrix} -1 & \text{if } p^{\alpha} \text{ is primitive} \\ 0 & \text{otherwise} \end{bmatrix}$$

Received by the editors February 24, 1976 and, in revised form, June 4, 1976.

^{*} Supported in part by NSF Institutional Grant for Science GU 3615; IBM No. 4122.

Also, let the generalized Ramanujan's sum $C_A(m, n)$ be defined by

$$C_A(m, n) = \sum_{(x, n)_A = 1} \exp(2\pi i m x/n)$$

Let $Q_A^{(n)}(x) \equiv Q^{(n)}(x) = \pi(x-\delta)$ where the product runs over all elements δ of C(n). If A(n) is the set of all positive divisors of n then $Q^{(n)}(x)$ reduces to the cyclotomic polynomial. For an extensive literature on the cyclotomic polynomial, see Apostol [1].

The object of the paper is to obtain several of the properties involving $Q^{(n)}(x)$. In particular, it is shown that a monic polynomial $Q^{(d_1,\dots,d_k)}(x)$, whose roots are given by $x = x_1 \cdot x_2 \cdot \dots \cdot x_k$, where x_i $(i = 1, \dots, k)$ runs over all the roots of $Q^{(di)}(x) = 0$, is the product of the polynomials $Q^{(d)}(x)$, where d runs over all the elements of A(n).

2. Main results.

THEOREM 1.

$$Q^{(n)}(x) = \prod_{d \in A(n)} (x^d - 1)^{\mu_A(n/d)}$$

Proof. It is clear that $x^n - 1 = \prod_{d \in A(n)} Q^{(d)}(x)$. Now by applying Möbius inversion (see McCarthy [3]), we get the result.

REMARK 1. From Theorem 1 it follows that the coefficients of $Q^{(n)}(x)$ are rational integers.

REMARK 2. The polynomial $Q^{(n)}(x)$ is irreducible over the rational field if A(n) is the set of all positive divisors of n.

We now obtain some lemmas:

LEMMA 1. If $f(n) = \prod [1 - e(T, n)]$ & f(1) = 1 and $g(n) = \prod [1 - e(x, n)]$ & g(1) = 1 where in the first product T runs from 1 to n-1 and in the second x ranges over all the elements mod n such that $(x, n)_A = 1$ then $g(n) = \prod_{\omega \in A(n)} [f(n/\omega)]^{\mu_A(\omega)}$.

Proof. Clearly $f(n) = \prod_{\omega \in A(n)} g(\omega)$. Now by applying the inversion principle for products, the result is obtained.

Note:

$$g(n) = \begin{bmatrix} Q^{(n)}(1) & \text{if} & n > 1\\ 1 & \text{if} & n = 1 \end{bmatrix}$$

Lemma 2. $\log g(n) = \Lambda_A(n)$, where g(n) is the function defined above and $\Lambda_A(n)$ is given in

$$\Lambda_{\mathbf{A}}(n) = \begin{bmatrix} \log p^t & \text{if } n \text{ is the power of a prime and } p^t \text{ is its primitive} \\ 0 & \text{otherwise} \end{bmatrix}$$

It is well known that f(n) = n, then by the above Lemma 1, $g(n) = \prod_{\omega \in A(n)} (n/\omega)^{\mu_A(\omega)}$. If n has canonical representation given by $n = p_1^{a_1} \cdots p_r^{a_r}$ and $p_i^{t_i}$ is the primitive for $p_i^{a_i}$ (i = 1, ..., r) then

$$g(n) = \left(\frac{n}{1}\right) \prod_{1}^{r} \left(\frac{p_i^{t_i}}{n}\right) \prod_{\substack{i \neq j \\ i,j=1}}^{r} \left(\frac{n}{p_i^{t_i} p_j^{t_j}}\right) \prod_{\substack{i \neq j \neq k \\ i,j,k=1}}^{r} \left(\frac{p_i^{t_i} p_j^{t_j} p_k^{t_k}}{n}\right) \cdot \cdot \cdot$$

where p_i^t are primitives. (Vacuous products are to be taken as 1.) But this is clearly given by

$$g(n) = \begin{bmatrix} p_1^{t_1} = p^t & \text{if} & r = 1\\ 1 & \text{otherwise.} \end{bmatrix}$$

This completes the proof of the lemma.

LEMMA 3. $Q^{(1)}(1) = 0$.

Since $Q^{(1)}(x) = x - 1$, the result follows.

Lemmas 2 and 3 yield the following result.

THEOREM 2.

$$Q^{(n)}(1) = \begin{bmatrix} p^t & \text{if } n = p^k \text{ and } p^t \text{ is the primitive} \\ 0 & \text{if } n = 1 \\ 1 & \text{otherwise} \end{bmatrix}$$

THEOREM 3.

$$Q^{(n)}(x) = x^{\phi_A(n)}Q^{(n)}(x^{-1})$$

Proof. Clearly the degree of $Q^{(n)}(x)$ is $\phi_A(n)$. Also, if $\delta \in C(n)$ then $\delta^{-1} \in C(n)$ and hence $Q^{(n)}(x) = 0$, $Q^{(n)}(x^{-1}) = 0$ have the same roots.

Theorem 4. If $(m, p^{\alpha}) = 1$, then $Q^{(mp^{\alpha})}(x) = Q^{(mp^{\epsilon})}(x^{p^{\alpha-\epsilon}})$.

Proof. The result follows from

$$\prod_{d \in A(mp^{\alpha})} \left[x^{mp^{\alpha}/d} - 1 \right]^{\mu_A(d)} = \prod \left[\left(x^{p^{\alpha^{-t}}} \right)^{mp^{t}/d} - 1 \right]^{\mu_A(d)}$$

THEOREM 5.

$$Q^{(p^t m)}(x)Q^{(m)}(x) = Q^{(m)}(x^{p^t})$$
 whenever $(m, p^t) = 1$.

Proof. Consider

$$\begin{split} \prod_{d \in A(mp^i)} [x^{mp^i/d} - 1]^{\mu_A(d)} \cdot \prod_{\delta \in A(m)} [x^{m/\delta} - 1]^{\mu_A(\delta)} \\ &= \prod_{D \in A(m)} [x^{mp^i/D} - 1]^{\mu_A(D)} \cdot \prod_{\substack{\Delta \in A(mp^i) \\ \Delta \notin A(m)}} [x^{mp^i/\Delta} - 1]^{\mu_A(\Delta)} \cdot \prod_{\delta \in A(m)} [x^{m/\delta} - 1]^{\mu_A(\delta)} \end{split}$$

But

$$\prod_{\substack{\delta p^i \in A(mp^i) \\ \delta \notin A(p^i)}} [x^{mp^i/\delta p^i} - 1]^{\mu_A(\delta p^i)} \cdot \prod_{\delta \in A(m)} [x^{m/\delta} - 1]^{\mu_A(\delta)}$$

$$= \prod_{\substack{\delta p' \in A(mp') \\ \delta \notin A(p')}} [x^{m/\delta} - 1]^{-\mu_A(\delta)} \cdot \prod_{\delta \in A(m)} [x^{m/\delta} - 1]^{\mu_A(\delta)} = 1$$

Let

$$P_i(m, n) = \begin{bmatrix} 1 & \text{if } (m, n)_A \in C(d_i) \\ 0 & \text{otherwise.} \end{bmatrix}$$

It is easy to see that $P_i(m, n)$ is multiplicative in n and also in m, n; i.e., $P_i(m, n_1 n_2) = P_i(m, n_1) P_i(m, n_2)$ whenever $(n_1, n_2) = 1$; also $P_i(m_1 m_2, n_1 n_2) = P_i(m_1, n_1) P_i(m_2, n_2)$ whenever $(m_1 n_1, m_2 n_2) = 1$. Also, it is an A-even function (mod n), i.e., $P_i(m, n) = P_i(g, n)$ where $g = (m, n)_A$.

THEOREM 6. The function $P_i(m, n)$ has the representation given by

$$P_i(m, n) = \frac{1}{n} \sum_{d \in A(n)} C_A\left(\frac{n}{d}, d_i\right) C_A(m, d).$$

Proof. Since $P_i(m, n)$ is A-even (mod n) it admits a representation given by

$$P_i(m, n) = \sum_{\omega \in A(n)} a_{\omega} C_A(m, \omega)$$

where

$$a_{\omega} = \frac{1}{n} \sum_{d \in A(n)} P_i \left(\frac{n}{d}, n \right) C_A \left(\frac{n}{\omega}, d \right).$$

(cf. McCarthy [3], Theorem 6). (See Cohen [2].) Using the definition of $P_i(m, n)$ we have $a_{\omega} = (1/n)C_A(n/\omega, d_i)$.

The Cauchy product h(m, n) of $P_i(m, n)$ and $P_j(m, n)$ is defined by the relation:

$$h(m, n) = \sum_{m=a+b \pmod{n}} P_i(a, n) P_j(b, n)$$

where the summation runs over all a and $b \pmod{n}$ such that $m \equiv a + b \pmod{n}$. We now have the following:

LEMMA 4. If f(m, n) and g(m, n) are two A-even functions (mod n) having the representations given by

$$f(m, n) = \sum_{d \in A(n)} \alpha_d C_A \left(m, \frac{n}{d} \right)$$

$$g(m, n) = \sum_{d \in A(n)} \beta_d C_A \left(m, \frac{n}{d} \right)$$

then the Cauchy product $\ell(m, n)$ of f(m, n), and g(m, n) is given by

$$\ell(m, n) = \sum_{m=a+b \pmod{n}} f(a, n)g(b, n)$$
$$= n \sum_{d \in A(n)} \alpha_d \beta_d C_A \left(m, \frac{n}{d}\right)$$

Proof. The result is a direct consequence of the orthogonality relation:

$$\sum_{m=a+b \pmod{n}} C_A(a, d_i) C_A(b, d_j) = \begin{bmatrix} nC_A(m, d) & \text{if } d_i = d_j = d \\ 0 & \text{if } d_i \neq d_j \end{bmatrix}$$

(cf. McCarthy [3], Theorem 3.)

THEOREM 7. The number of solutions $\{a, b\}$ of the congruence: $m \equiv a + b \pmod{n}$, such that $(a, n)_A = n/d_i$ and $(b, n)_A = n/d_i$ is

$$\frac{1}{n}\sum_{\omega\in A(n)}C_A\left(\frac{n}{\omega},d_i\right)C_A\left(\frac{n}{\omega},d_j\right)C_A(m,\omega)$$

Proof. By Lemma 4, the Cauchy product h(m, n) of $P_i(m, n)$ and $P_j(m, n)$ is the expression given in the theorem. But this Cauchy product by definition is $\sum_{m=a+b \pmod{n}} P_i(a, n) P_j(b, n)$ which is the number of solutions of the congruence stated above.

REMARK 3. Since $C_A(m, n)$ is A-even (mod n) it follows that the number of solutions of the congruences: $m \equiv a + b \pmod{n}$ such that $(a, n)_A = n/d_i$ and $(b, n)_A = n/d_j$ and $m' \equiv a + b \pmod{n}$ are the same whenever $(m, n)_A = (m', n)_A$. The same thing can also be interpreted as follows: Let $C(d_i) \otimes C(d_j)$ stand for the set of elements obtained by multiplying each element of $C(d_i)$ with each element of $C(d_i)$. If any element of $C(d_k)$ appears γ times in the product set then every element of $C(d_k)$ must repeat the same number of times. Or equivalently $C(d_i) \otimes C(d_j)$ can be represented as a linear combination of $C(d_1), \ldots, C(d_r)$. If A(n) is the set of all positive divisors of n, the above discussion in substance reduces to the result of Vaidyanathaswamy [10].

THEOREM 7'. The number of solutions of the congruence $m \equiv x_1 + \cdots + x_s \pmod{n}$ where s_i of the x's are such that $(x_i, n)_A = n/d_i$ and $\sum s_i = s$, is equal to

$$\frac{1}{n} \sum_{\omega \in A(n)} \left[\prod_{i} \left[C_{A} \left(\frac{n}{\omega}, d_{i} \right) \right]^{s_{i}} \cdot C_{A}(m, \omega) \right]$$

Proof. The result mentioned is the Cauchy product of s_1 functions $P_1(m, n)$, s_2 functions $P_2(m, n)$, ... so that $\sum s_i = s$.

This generalizes some of the results of Rao [7] and [8] and McCarthy [5].

6

THEOREM 8. If $Q^{(d_1,\ldots,d_k)}(x)$ is a monic polynomial whose roots are $\{x_1x_2\cdots x_k\}$, where x_i runs over all the roots of $Q^{(d_i)}(x)=0$, then $Q^{(d_1,\ldots,d_k)}(x)=\prod_{d\in A(n)}[Q^{(d)}(x)]^{\gamma(d_1,\ldots,d_k,d)}$ where

$$\gamma(d_1,\ldots,d_k,d) = \frac{1}{n} \sum_{\omega \in A(n)} C_A\left(\frac{n}{\omega},d_1\right) \cdots C_A\left(\frac{n}{\omega},d_k\right) C_A(d,\omega).$$

Proof. This is a direct consequence of Theorem 7' since each root of $Q^{(d)}(x)$ is repeated $\gamma(d_1, \ldots, d_k, d)$ times in $\{(x_1x_2 \cdots x_k)\}$. This generalizes a result of Menon [6].

When A(n) is the set of all positive divisors of n denote $Q^{(n)}(x)$ by $P_n(x)$ the cyclotomic polynomial and $C_A(m, n)$ by C(m, n), the Ramanujan's Sum. We now deduce that a polynomial whose roots are the roots of a cyclotomic polynomial multiplied by those of another cyclotomic polynomial is the product of cyclotomic polynomials. Precisely,

THEOREM 8'. If $P_{d_1,d_2}(x)$ is the monic polynomial whose roots are the roots of $P_{d_1}(x)$ multiplied by those of $P_{d_2}(x)$ then:

$$P_{d_1,d_2}(x) = \prod_{d|n} [P_d(x)]^{\Gamma(d_1,d_2,d)}$$

where

$$\Gamma(d_1, d_2, d) = \frac{1}{n} \sum_{\omega/n} C\left(\frac{n}{\omega}, d_1\right) C\left(\frac{n}{\omega}, d_2\right) C(d, \omega).$$

The author is grateful to the referee for his useful suggestions.

REFERENCES

- 1. Tom M. Apostol, The resultant of the cyclotomic polynomials $F_m(ax)$ and $F_n(bx)$. Math. Comp. **29** (1975), 1–6.
- 2. Eckford Cohen, A class of arithmetical functions. Proc. Nat. Acad. Sci. USA, 41 (1955), 939-944.
 - 3. Paul J. McCarthy, Regular arithmetical convolutions. Port. Math. 27 (1968), 1-13.
- 4. Paul J. McCarthy, Arithmetical functions and distributivity. Canad. Math. Bull. 13 (1970), 491-496.
- 5. Paul J. McCarthy, Regular arithmetical convolutions and the solution of linear congruences. Colloq. Math 22 (1971), 215–222.
- 6. P. K. Menon, On Vaidyanathaswamy's class division of the residue classes modulo N. J. Indian Math. Soc. 26 (1962), 167-186.
- 7. K. Nageswara Rao, Unitary class division of integers mod n and related arithmetical identities. J. Indian Math. Soc. 30 (1966), 195-205.
- 8. K. Nageswara Rao, On a congruence equation and related arithmetical identities. Monatsh. Math. 71 (1967), 24-31.
 - 9. W. Narkiewicz, On a class of arithmetical convolutions. Colloq. Math. 10 (1963), 81-94.
- 10. R. Vaidyanathaswamy, A remarkable property of integers mod N and its bearing on group theory. Proc. Ind. Acad. Sci. **5A** (1937), 63–75.

DEPT. OF MATHEMATICS

NORTH DAKOTA STATE UNIVERSITY FARGO, NORTH DAKOTA 58102 USA