

## PINCHING THEOREMS FOR A COMPACT MINIMAL SUBMANIFOLD IN A COMPLEX PROJECTIVE SPACE

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### Abstract

We give a formula for the Laplacian of the second fundamental form of an  $n$ -dimensional compact minimal submanifold  $M$  in a complex projective space  $CP^m$ . As an application of this formula, we prove that  $M$  is a geodesic minimal hypersphere in  $CP^m$  if the sectional curvature satisfies  $K \geq 1/n$ , if the normal connection is flat, and if  $M$  satisfies an additional condition which is automatically satisfied when  $M$  is a  $CR$  submanifold. We also prove that  $M$  is the complex projective space  $CP^{m/2}$  if  $K \geq 3/n$ , and if the normal connection of  $M$  is semi-flat.

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### 1. Introduction

The theory of submanifolds in a complex projective space  $CP^m$  is one of the most interesting objects in differential geometry. We have three typical classes of submanifolds in  $CP^m$ , complex submanifolds, totally real submanifolds and  $CR$  submanifolds, according to the behavior of the tangent bundle of a submanifold with respect to the action of the almost complex structure of the ambient manifold  $CP^m$ . For these submanifolds, there are many interesting results (see [1, 6, 12]).

In the present paper, we first study general submanifolds in a complex projective space  $CP^m$  of constant holomorphic sectional curvature 4, and give the Laplacian of the second fundamental form of an  $n$ -dimensional minimal submanifold  $M$  in  $CP^m$ , which corresponds to a formula for the Laplacian of the second fundamental form of a minimal submanifold in a unit sphere given by Simons [9].

Moreover, we prepare some inequalities for the second fundamental form which are useful to prove pinching theorems. Based on these results we study an  $n$ -dimensional compact minimal submanifold  $M$  in  $CP^m$  whose sectional curvature  $K$  satisfies  $K \geq 1/n$ . In particular, we prove that if the sectional curvature  $K$  of an  $n$ -dimensional compact minimal  $CR$  submanifold  $M$  in  $CP^m$  with flat normal connection satisfies

$K \geq 1/n$ , then  $M$  is the geodesic minimal hypersphere in  $CP^m$ . The geodesic minimal hypersphere is given by  $\pi(S^1(\sqrt{1/2m}) \times S^{2m-1}(\sqrt{(2m-1)/2m}))$  in  $CP^m$ , where  $\pi: S^{2m+1} \rightarrow CP^m$  is the Hopf fibration and  $S^k(r)$  is a  $k$ -dimensional sphere (see [10]).

This is a generalization of the result in Kon [4] for a compact real minimal hypersurface  $M$  in  $CP^m$ .

We also prove that if the sectional curvature  $K$  of an  $n$ -dimensional compact minimal submanifold  $M$  in  $CP^m$  satisfies  $K \geq 3/n$ , then  $M$  is the complex projective space  $CP^{n/2}$  under the assumption that the normal connection of  $M$  is semi-flat.

The concept of a semi-flat normal connection of a submanifold in a complex projective space is closely related to that of a flat normal connection of a submanifold in a sphere.

## 2. Preliminaries

Let  $\tilde{M}$  denote a Kähler manifold of complex dimension  $m$  (real dimension  $2m$ ). We denote by  $J$  the almost complex structure of  $\tilde{M}$ . The Hermitian metric of  $\tilde{M}$  is denoted by  $g$ .

Let  $M$  be a real  $n$ -dimensional Riemannian manifold immersed in  $\tilde{M}$ . We denote by the same  $g$  the Riemannian metric on  $M$  induced from that of  $\tilde{M}$ . We denote by  $\tilde{\nabla}$  the Levi-Civita connection in  $\tilde{M}$  and by  $\nabla$  the connection induced on  $M$ . Then the Gauss and Weingarten formulas are given respectively by

$$\tilde{\nabla}_X Y = \nabla_X Y + B(X, Y), \quad \tilde{\nabla}_X V = -A_V X + D_X V,$$

for any vector fields  $X$  and  $Y$  tangent to  $M$  and any vector field  $V$  normal to  $M$ , where  $D$  denotes the normal connection. A normal vector field  $V$  on  $M$  is said to be *parallel* if  $D_X V = 0$  for any vector field  $X$  tangent to  $M$ . We call both  $A$  and  $B$  the *second fundamental form* of  $M$  that are related by  $g(B(X, Y), V) = g(A_V X, Y)$ .

For the second fundamental form  $B$  and  $A$ , we define  $\nabla B$  and  $\nabla A$ , the covariant derivative of the second fundamental form, by

$$\begin{aligned} (\nabla_X B)(Y, Z) &= D_X(B(Y, Z)) - B(\nabla_X Y, Z) - B(Y, \nabla_X Z), \\ (\nabla_X A)_V Y &= \nabla_X(A_V Y) - A_{D_X V} Y - A_V(\nabla_X Y). \end{aligned}$$

Then we have  $g((\nabla_X B)(Y, Z), V) = g((\nabla_X A)_V Y, Z)$ . The *mean curvature vector field*  $\mu$  of  $M$  is defined to be  $\mu = (1/n)\text{tr } B$ , where  $\text{tr } B$  is the trace of  $B$ . If  $\mu = 0$ , then  $M$  is said to be *minimal*.

For any vector field  $X$  tangent to  $M$ , we put

$$JX = PX + FX,$$

where  $PX$  is the tangential part of  $JX$  and  $FX$  is the normal part of  $JX$ . For any vector field  $V$  normal to  $M$ , we put

$$JV = tV + fV,$$

where  $tV$  is the tangential part of  $JV$  and  $fV$  is the normal part of  $JV$ . Then  $P$  and  $f$  are skew-symmetric with respect to  $g$  and  $g(FX, V) = -g(X, tV)$ . We also have  $P^2 = -I - tF$ ,  $FP + fF = 0$ ,  $Pt + tf = 0$  and  $f^2 = -I - Ft$ .

Next we define the covariant derivatives of  $P, F, t$  and  $f$  by  $(\nabla_X P)Y = \nabla_X(PY) - P\nabla_X Y$ ,  $(\nabla_X F)Y = D_X(FY) - F\nabla_X Y$ ,  $(\nabla_X t)V = \nabla_X(tV) - tD_X V$  and  $(\nabla_X f)V = D_X(fV) - fD_X V$ , respectively. We then have  $(\nabla_X P)Y = A_{FY}X + tB(X, Y)$ ,  $(\nabla_X F)Y = -B(X, PY) + fB(X, Y)$ ,  $(\nabla_X t)V = -PA_V X + A_{fV}X$  and  $(\nabla_X f)V = -FA_V X - B(X, tV)$ .

We denote by  $T_x(M)$  and  $T_x(M)^\perp$  the tangent space and the normal space of  $M$  at  $x$ , respectively.

**DEFINITION 2.1.** A submanifold  $M$  in a Kähler manifold  $\tilde{M}$  with almost complex structure  $J$  is called a *CR submanifold* in  $\tilde{M}$  if there exists a differentiable distribution  $\mathcal{D} : x \rightarrow \mathcal{D}_x \subset T_x(M)$  on  $M$  satisfying the following conditions:

- (i)  $H$  is holomorphic, that is  $J\mathcal{D}_x = \mathcal{D}_x$  for each  $x \in M$ ; and
- (ii) the complementary orthogonal distribution  $\mathcal{D}^\perp : x \rightarrow \mathcal{D}_x^\perp \subset T_x(M)$  is anti-invariant, that is  $J\mathcal{D}_x^\perp \subset T_x(M)^\perp$  for each  $x \in M$ .

In the following, we put  $h = \dim \mathcal{D}_x$ ,  $q = \dim \mathcal{D}_x^\perp$  and  $\text{codim } M = 2m - n = p$ . If  $q = 0$ , then a *CR submanifold*  $M$  is a complex submanifold in  $\tilde{M}$ , and if  $h = 0$ , then  $M$  is a totally real submanifold in  $\tilde{M}$ . If  $p = q$ , then a *CR submanifold*  $M$  is called a *generic submanifold*. Any real hypersurface is a generic submanifold.

We use the following theorem (see [12, p. 217]).

**THEOREM 2.2.** *In order for a submanifold  $M$  in a Kähler manifold  $\tilde{M}$  to be a CR submanifold, it is necessary and sufficient that  $FP = 0$ .*

We suppose that the ambient manifold  $\tilde{M}$  is a complex projective space  $CP^m$  of constant holomorphic sectional curvature 4. The Riemannian curvature tensor  $\tilde{R}$  of  $CP^m$  is given by

$$\begin{aligned} \tilde{R}(X, Y)Z &= g(Y, Z)X - g(X, Z)Y + g(JY, Z)JX \\ &\quad - g(JX, Z)JY + 2g(X, JY)JZ, \end{aligned} \tag{2.1}$$

for any vector fields  $X, Y$  and  $Z$  of  $CP^m$ . Thus the *equation of Gauss* and the *equation of Codazzi* are given respectively by

$$\begin{aligned} R(X, Y)Z &= g(Y, Z)X - g(X, Z)Y + g(PY, Z)PX \\ &\quad - g(PX, Z)PY + 2g(X, PY)PZ \\ &\quad + A_{B(Y,Z)}X - A_{B(X,Z)}Y, \\ (\nabla_X B)(Y, Z) - (\nabla_Y B)(X, Z) &= g(PY, Z)FX - g(PX, Z)FY + 2g(X, PY)FZ. \end{aligned}$$

We define the curvature tensor  $R^\perp$  of the normal bundle of  $M$  by

$$R^\perp(X, Y)V = D_X D_Y V - D_Y D_X V - D_{[X,Y]}V,$$

where  $X$  and  $Y$  are vector fields tangent to  $M$  and  $V$  is a vector field normal to  $M$ . Then we have the *equation of Ricci*:

$$g(R^\perp(X, Y)U, V) + g([A_V, A_U]X, Y) = g(FY, U)g(FX, V) - g(FX, U)g(FY, V) + 2g(X, PY)g(fU, V),$$

where  $[A_V, A_U] = A_V A_U - A_U A_V$ . If the normal curvature tensor  $R^\perp$  of  $M$  satisfies  $R^\perp(X, Y)V = 0$  for any vector fields  $X$  and  $Y$  tangent to  $M$  and any vector field  $V$  normal to  $M$ , then the normal connection of  $M$  is said to be *flat*. If  $R^\perp$  satisfies  $R^\perp(X, Y)V = 2g(X, PY)fV$ , then the normal connection of  $M$  is said to be *semi-flat*.

In the following, we denote by  $A_a$  the second fundamental form in the direction of  $v_a$ , where  $\{v_1, \dots, v_p\}$  is an orthonormal basis for  $T_x(M)^\perp$ ,  $p = 2m - n$ . We denote by  $|\cdot|$  the length of the tensor. From the equation of Ricci, we have the following.

**LEMMA 2.3.** *Let  $M$  be an  $n$ -dimensional submanifold in  $CP^m$ . If the normal connection of  $M$  is flat, then*

$$\begin{aligned} \sum_{a,b} |[A_a, A_b]|^2 &= 2 \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \\ &\quad - 8 \sum_a g(tfv_a, tfv_a) + 4 \sum_{i,a} g(Pe_i, Pe_i)g(fv_a, fv_a), \\ \sum_{i,a} g([A_{f_a}, A_a]e_i, Pe_i) &= 2 \sum_a \text{tr } A_a A_{f_a} P \\ &= 2 \left( \sum_a g(tfv_a, tfv_a) - \sum_{i,a} g(Pe_i, Pe_i)g(fv_a, fv_a) \right), \\ \sum_{a,b} g([A_a, A_b]tv_a, tv_b) &= \sum_{a,b} (g(A_a tv_b, A_b tv_a) - g(A_a tv_a, A_b tv_b)) \\ &= \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \\ &\quad - 2 \sum_a g(tfv_a, tfv_a), \end{aligned}$$

where we have put  $A_{f_a} = A_{fv_a}$ .

**LEMMA 2.4.** *Let  $M$  be an  $n$ -dimensional submanifold in  $CP^m$ . If the normal connection of  $M$  is semi-flat, then*

$$\begin{aligned} \sum_{a,b} |[A_a, A_b]|^2 &= 2 \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2), \\ \sum_{i,a} g([A_{f_a}, A_a]e_i, Pe_i) &= 2 \sum_a g(tfv_a, tfv_a), \\ \sum_{a,b} g([A_a, A_b]tv_a, tv_b) &= \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2). \end{aligned}$$

In the following we give an example of a compact  $CR$  submanifold in  $CP^m$  with semi-flat normal connection.

**EXAMPLE 1.** Let  $S^{2m+1}$  be a  $(2m + 1)$ -dimensional unit sphere and  $N$  be a  $(n + 1)$ -dimensional submanifold immersed in  $S^{2m+1}$ . With respect to the Hopf fibration  $\pi : S^{2m+1} \rightarrow CP^m$ , we consider the following commutative diagram (see [5, 8, 12]):

$$\begin{array}{ccc} N & \longrightarrow & S^{2m+1} \\ \downarrow & & \downarrow \\ M & \longrightarrow & CP^m. \end{array}$$

We denote by  $(\phi, \xi, \eta, G)$  the contact metric structure on  $S^{2m+1}$ . The horizontal lift with respect to the connection  $\eta$  will be denoted by  $*$ . Then  $(JX)^* = \phi X^*$  and  $G(X^*, Y^*) = g(X, Y)^*$  for any vectors  $X$  and  $Y$  tangent to  $CP^m$ . A submanifold  $N$  in  $S^{2m+1}$  is tangent to the totally geodesic fibre of  $\pi$  and the structure vector field  $\xi$  is tangent to  $N$ .

Let  $\alpha$  be the second fundamental form of  $N$  in  $S^{2m+1}$ . Then we have the relations of the second fundamental form  $\alpha$  of  $N$  and  $B$  of  $M$ :

$$\begin{aligned} (\nabla_{X^*}\alpha)(Y^*, Z^*) &= [(\nabla_X B)(Y, Z) + g(PX, Y)FZ + g(PX, Z)FY]^*, \\ (\nabla_{X^*}\alpha)(Y^*, \xi) &= [fB(X, Y) - B(X, PY) - B(Y, PX)]^*, \\ (\nabla_{X^*}\alpha)(\xi, \xi) &= -2(FPX)^*, \end{aligned}$$

for any vectors  $X, Y$  and  $Z$  tangent to  $M$ . From the third equation, we see that if the second fundamental form  $\alpha$  of  $N$  is parallel, then  $FP = 0$  and  $M$  is a  $CR$  submanifold of  $CP^m$  by Theorem 2.2.

Let  $K^\perp$  be the curvature tensor of the normal bundle of  $N$ . Then

$$\begin{aligned} G(K^\perp(X^*, Y^*)V^*, U^*) &= [g(R^\perp(X, Y)V, U) - 2g(X, PY)g(fV, U)]^*, \\ G(K^\perp(X^*, \xi)V^*, U^*) &= g((\nabla_X f)V, U)^* \end{aligned}$$

for any vectors  $X$  and  $Y$  tangent to  $M$  and any vectors  $V$  and  $U$  normal to  $M$ . Therefore, the normal connection of  $N$  in  $S^{2m+1}$  is flat if and only if the normal connection of  $M$  is semi-flat and  $\nabla f = 0$  (see [7, 8, 12]).

We put

$$N = S^{m_1}(r_1) \times \cdots \times S^{m_k}(r_k), \quad n + 1 = \sum_{i=1}^k m_i, \quad 1 = \sum_{i=1}^k r_i^2,$$

where  $m_1, \dots, m_k$  are odd numbers. Then  $n + k$  is also odd. The second fundamental form  $\alpha$  of  $N$  is parallel in  $S^{2m+1}$ . We can see that  $M = \pi(N)$  is a generic submanifold in  $CP^{(n+k-1)/2}$  with flat normal connection.  $\pi(S^1(r_1) \times S^n(r_2))$  is called a geodesic

hypersphere in  $CP^{(n+1)/2}$  (see [10]). Moreover,  $M$  is a CR submanifold in  $CP^m$  ( $m > (n + k - 1)/2$ ) with semi-flat normal connection and  $\nabla f = 0$ .

If  $r_i = (m_i/(n + 1))^{1/2}$  ( $i = 1, \dots, k$ ), then  $M$  is a generic minimal submanifold in  $CP^{(n+k-1)/2}$ . Then we have  $|A|^2 = \sum_a \text{tr } A_a^2 = (n - 1)q$ ,  $q = k - 1$ .

If  $M$  is a complex submanifold in  $CP^m$ , the normal connection of  $M$  is semi-flat if and only if  $M$  is totally geodesic (see [3]).

### 3. Minimal submanifolds with flat normal connection

In this section, we give a pinching theorem for  $n$ -dimensional compact minimal submanifolds in a complex projective space  $CP^m$  with flat normal connection. For the proof of a theorem, we first give the Simons' type integral formula for a compact minimal submanifold in  $CP^m$  (see [9]).

We use the following lemma [2, p. 81].

**LEMMA 3.1.** *Let  $M$  be a minimal submanifold in a Riemannian manifold  $\bar{M}$ . Then*

$$\begin{aligned} (\nabla^2 B)(X, Y) &= \sum_i (\nabla_{e_i} \nabla_{e_i} B)(X, Y) \\ &= \sum_i \left( (R(e_i, X)B)(e_i, Y) + (\bar{\nabla}_X(\bar{R}(e_i, Y)e_i)^\perp)^\perp + (\bar{\nabla}_{e_i}(\bar{R}(e_i, X)Y)^\perp)^\perp \right), \end{aligned}$$

where  $\{e_1, \dots, e_n\}$  denotes an orthonormal basis of  $T_x(M)$ , and  $\bar{\nabla}$  is the Levi-Civita connection in  $\bar{M}$ .

We compute the equation in Lemma 3.1 for an  $n$ -dimensional minimal submanifold  $M$  in a complex projective space  $CP^m$  of constant holomorphic sectional curvature 4. Since  $CP^m$  is locally symmetric, using (2.1),

$$\begin{aligned} &\sum_i (\bar{\nabla}_X(\bar{R}(e_i, Y)e_i)^\perp)^\perp \\ &= \sum_i (\bar{R}(B(X, e_i), Y)e_i + \bar{R}(e_i, B(X, Y))e_i \\ &\quad + \bar{R}(e_i, Y)B(X, e_i))^\perp - \sum_i B(X, (\bar{R}(e_i, Y)e_i)^T), \\ &= 3(fB(X, PY) + FtB(X, Y) - B(X, P^2Y) + FA_{FY}X), \\ &\sum_i (\bar{\nabla}_{e_i}(\bar{R}(e_i, X)Y)^\perp)^\perp \\ &= \sum_i (\bar{R}(B(e_i, e_i), X)Y \\ &\quad + \bar{R}(e_i, B(e_i, X))Y + \bar{R}(e_i, X)B(e_i, Y))^\perp - \sum_i B(e_i, (\bar{R}(e_i, X)Y)^T) \\ &= FA_{FX}Y - FA_{FY}X + fB(X, PY) + 2fB(PX, Y) \\ &\quad - 3B(PX, PY) - 2 \sum_i g(A_{Fe_i}e_i, X)FY - \sum_i g(A_{Fe_i}e_i, Y)FX. \end{aligned}$$

Thus we obtain

$$\begin{aligned}
 g(\nabla^2 B, B) &= \sum_{i,j,k} g((\nabla_{e_i} \nabla_{e_i} B)(e_j, e_k), B(e_j, e_k)) \\
 &= \sum_{i,j,a} g((R(e_i, e_j)A)_a e_i, A_a e_j) + 3 \left( \sum_a \operatorname{tr} A_{Ft v_a} A_a \right. \\
 &\quad - 2 \sum_a \operatorname{tr} A_a A_{fa} P - \sum_a \operatorname{tr} P^2 A_a^2 + \sum_a \operatorname{tr} (A_a P)^2 \\
 &\quad + \sum_{a,b} g(A_a t v_a, t v_b) \operatorname{tr} A_b \\
 &\quad \left. + \sum_{a,b} (g(A_a t v_b, A_b t v_a) - g(A_a t v_a, A_b t v_b)) \right).
 \end{aligned}$$

On the other hand,

$$\begin{aligned}
 \sum_a \operatorname{tr} A_{Ft v_a} A_a &= - \sum_a \operatorname{tr} A_a^2 + \sum_a \operatorname{tr} A_{fa}^2, \\
 - \sum_a \operatorname{tr} P^2 A_a^2 + \sum_a \operatorname{tr} (A_a P)^2 &= \frac{1}{2} \sum_a |[P, A_a]|^2.
 \end{aligned}$$

Hence we have the following lemma.

**LEMMA 3.2.** *Let  $M$  be an  $n$ -dimensional minimal submanifold in  $CP^m$ . Then*

$$\begin{aligned}
 g(\nabla^2 B, B) &= g(\nabla^2 A, A) \\
 &= \sum_{i,j,a} g((R(e_i, e_j)A)_a e_i, A_a e_j) \\
 &\quad + 3 \left( - \sum_a \operatorname{tr} A_a^2 + \sum_a \operatorname{tr} A_{fa}^2 - 2 \sum_a \operatorname{tr} A_a A_{fa} P + \frac{1}{2} \sum_a |[P, A_a]|^2 \right. \\
 &\quad \left. + \sum_{a,b} (g(A_a t v_b, A_b t v_a) - g(A_a t v_a, A_b t v_b)) \right).
 \end{aligned}$$

We prepare the following lemma.

**LEMMA 3.3.** *Let  $M$  be an  $n$ -dimensional minimal submanifold in  $CP^m$ . If  $U$  is a parallel section in the normal bundle of  $M$ , then*

$$\begin{aligned}
 \operatorname{div}(\nabla_{tU} tU) &= (n - 1)g(tU, tU) + 3g(PtU, PtU) - \sum_a g(A_a tU, A_a tU) \\
 &\quad + \operatorname{tr} A_{fU}^2 - \operatorname{tr} A_U^2 - 2\operatorname{tr} A_U A_{fU} P + \sum_a g(A_U t v_a, A_U t v_a) \\
 &\quad + \frac{1}{2} |[P, A_U]|^2.
 \end{aligned}$$

**PROOF.** For any vector field  $X$  on a Riemannian manifold, we generally have the equation [11]

$$\operatorname{div}(\nabla_X X) - \operatorname{div}((\operatorname{div} X)X) = S(X, X) + \frac{1}{2}|L_X g|^2 - |\nabla X|^2 - (\operatorname{div} X)^2, \quad (3.1)$$

where  $S$  denotes the Ricci tensor and  $(L_X g)(Y, Z) = g(\nabla_Y X, Z) + g(\nabla_Z X, Y)$ .

Suppose that  $U$  is a parallel section of the normal bundle of  $M$ . From the equation of Gauss,

$$S(tU, tU) = (n - 1)g(tU, tU) + 3g(PtU, PtU) - \sum_a g(A_a tU, A_a tU).$$

On the other hand, since  $(\nabla_X t)V = -PA_V X + A_{fV} X$  for any  $V$  normal to  $M$ , we have  $\nabla_X(tU) = -PA_U X + A_{fU} X$ . This implies that  $\operatorname{div}(tU) = \operatorname{tr} A_{fU} = 0$ . Also

$$\begin{aligned} |\nabla tU|^2 &= \operatorname{tr} A_{fU}^2 + \operatorname{tr} A_U^2 - 2\operatorname{tr} A_U A_{fU} P - \sum_a g(A_U t v_a, A_U t v_a), \\ |L_{tU} g|^2 &= |[P, A_U]|^2 + 4\operatorname{tr} A_{fU}^2 - 8\operatorname{tr} A_U A_{fU} P. \end{aligned}$$

Substituting these equations into (3.1), we have our lemma. □

**LEMMA 3.4.** *Let  $M$  be an  $n$ -dimensional minimal submanifold in  $CP^m$  with flat normal connection. Then*

$$\begin{aligned} & -g(\nabla^2 A, A) - 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) - 2 \sum_i g(FPe_i, FPe_i) \\ & + \frac{1}{2} \left( \sum_a \operatorname{tr} A_{fa}^2 + \sum_a |[P, A_a]|^2 - 4 \sum_a \operatorname{tr} A_a A_{fa} P \right) \\ & + \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \\ & = \sum_a \operatorname{tr} A_a^2 - \sum_{i,j,a} g((R(e_i, e_j)A)_a e_i, A_a e_j) \\ & + 8 \sum_i g(FPe_i, FPe_i) - \frac{1}{2} \sum_a \operatorname{tr} A_{fa}^2 - 2 \sum_a \operatorname{div}(\nabla_{tv_a} tv_a). \end{aligned}$$

**PROOF.** By a straightforward computation, we obtain

$$\sum_a g(tfv_a, tfv_a) = \sum_a g(Ptv_a, Ptv_a) = \sum_i g(FPe_i, FPe_i), \quad (3.2)$$

$$\begin{aligned} & \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \\ & = (n - 1) \sum_a g(tv_a, tv_a) - \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) \\ & + \sum_a g(Ptv_a, Ptv_a). \end{aligned} \quad (3.3)$$

Thus, using Lemmas 2.3 and 3.2,

$$\begin{aligned}
 -g(\nabla^2 A, A) &= -\sum_{i,j,a} g((R(e_i, e_j)A)_{ae_i}, A_a e_j) + 3 \sum_a \text{tr } A_a^2 - 3 \sum_a \text{tr } A_{fa}^2 \\
 &\quad + 6 \sum_a \text{tr } A_a A_{fa} P - \frac{3}{2} \sum_a |[P, A_a]|^2 - 2(n-1) \sum_a g(tv_a, tv_a) \\
 &\quad + 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) + 4 \sum_a g(Ptv_a, Ptv_a) \\
 &\quad - \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2).
 \end{aligned}$$

Since the normal connection of  $M$  is flat, we can choose an orthonormal basis  $\{v_a\}$  of  $T(M)^\perp$  such that  $Dv_a = 0$  for all  $a$ . Thus, from Lemma 3.3,

$$\begin{aligned}
 \text{div}(\nabla_{tv_a} tv_a) &= (n-1)g(tv_a, tv_a) + 3g(Ptv_a, Ptv_a) \\
 &\quad + \text{tr } A_{fa}^2 - \text{tr } A_a^2 - 2\text{tr } A_a A_{fa} P + \frac{1}{2}|[P, A_a]|^2.
 \end{aligned}$$

From these equations, we have our assertion. □

If  $M$  is compact, we have  $\int_M |\nabla A|^2 = -\int_M g(\nabla^2 A, A)$  (see [9]). Therefore Lemma 3.4 implies the following.

**THEOREM 3.5.** *Let  $M$  be an  $n$ -dimensional compact minimal submanifold in a complex projective space  $CP^m$  with flat normal connection. Then*

$$\begin{aligned}
 &\int_M \left( |\nabla A|^2 - 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) - 2 \sum_i g(FPe_i, FPe_i) \right. \\
 &\quad \left. + \frac{1}{2} \left( \sum_a \text{tr } A_{fa}^2 + \sum_a |[P, A_a]|^2 - 4 \sum_a \text{tr } A_a A_{fa} P \right) \right. \\
 &\quad \left. + \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \right) \\
 &= \int_M \left( \sum_a \text{tr } A_a^2 - \sum_{i,j,a} g((R(e_i, e_j)A)_{ae_i}, A_a e_j) \right. \\
 &\quad \left. + 8 \sum_i g(FPe_i, FPe_i) - \frac{1}{2} \sum_a \text{tr } A_{fa}^2 \right).
 \end{aligned}$$

We next consider the properties of some terms of the equation in Theorem 3.5.

**LEMMA 3.6.** *Let  $M$  be an  $n$ -dimensional submanifold in  $CP^m$ . Then*

$$|\nabla A|^2 \geq 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) + 2 \sum_i g(FPe_i, FPe_i).$$

**PROOF.** We put

$$T_1(X, Y, Z) = (\nabla_X B)(Y, Z) + g(PX, Y)FZ + g(PX, Z)FY.$$

Then we obtain

$$\begin{aligned} |T_1|^2 &= |\nabla B|^2 + 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) + 2 \sum_i g(FPe_i, FPe_i) \\ &\quad + 4 \sum_{i,j} g((\nabla_{e_i} B)(Pe_i, e_j), Fe_j). \end{aligned}$$

From the equation of Codazzi,

$$\begin{aligned} \sum_{i,j} g((\nabla_{e_i} B)(Pe_i, e_j), Fe_j) &= \sum_{i,j} g((\nabla_{e_j} B)(e_i, Pe_i), Fe_j) \\ &\quad - \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) - \sum_i g(FPe_i, FPe_i). \end{aligned}$$

Since  $B$  is symmetric and  $P$  is skew-symmetric, the first term on the right-hand side of the equation vanishes. So we have our assertion.  $\square$

**LEMMA 3.7.** *Let  $M$  be an  $n$ -dimensional submanifold in  $CP^m$  with parallel mean curvature vector field. If the equality*

$$|\nabla A|^2 = 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) + 2 \sum_i g(FPe_i, FPe_i)$$

*holds, then  $M$  is a CR submanifold.*

**PROOF.** By the proof of Lemma 3.6, the equation holds if and only if  $T_1 = 0$ . Suppose that  $T_1 = 0$ . Then

$$\sum_i g((\nabla_{e_i} B)(e_i, X), v_a) = g(FPX, v_a)$$

for any  $X$  and  $v_a$ . On the other hand, since the mean curvature vector field is parallel, the equation of Codazzi implies that

$$\sum_i g((\nabla_{e_i} B)(e_i, X), v_a) = 3g(FPX, v_a).$$

From these equations, we have  $FP = 0$ . Then, from Theorem 2.2,  $M$  is a CR submanifold.  $\square$

**LEMMA 3.8.** *Let  $M$  be a  $n$ -dimensional submanifold of  $CP^m$ . Then*

$$\sum_a \operatorname{tr} A_{fa}^2 + \sum_a |[P, A_a]|^2 - 4 \sum_a \operatorname{tr} A_a A_{fa} P \geq 0.$$

**PROOF.** We put

$$T_2(X, Y) = fB(X, Y) - B(X, PY) - B(PX, Y).$$

Then

$$\begin{aligned} |T_2|^2 &= \sum_{i,j} |fB(e_i, e_j) - B(e_i, Pe_j) - B(Pe_i, e_j)|^2 \\ &= \sum_a \operatorname{tr} A_{fa}^2 + \sum_a |[P, A_a]|^2 - 4 \sum_a \operatorname{tr} A_a A_{fa} P. \end{aligned}$$

Thus we have our inequality. □

**REMARK.** In Example 1, when the mean curvature vector field of  $M$  in  $CP^m$  is parallel, by Lemma 3.7, we see that the second fundamental form of a submanifold  $N$  in  $S^{2m+1}$  is parallel if and only if the second fundamental form  $M$  in  $CP^m$  satisfies  $T_1 = 0$  and  $T_2 = 0$ . We see that the submanifold  $\pi(S^{m_1}(r_1) \times \dots \times S^{m_k}(r_k))$  in  $CP^m$  satisfies  $T_1 = 0$  and  $T_2 = 0$ . □

**THEOREM 3.9.** *Let  $M$  be an  $n$ -dimensional compact minimal submanifold in a complex projective space  $CP^m$  with flat normal connection. If the second fundamental form  $A$  satisfies  $\sum_a \operatorname{tr} A_{fa}^2 \geq 16|FP|^2$ , and if the sectional curvature  $K$  of  $M$  satisfies  $K \geq 1/n$ , then  $M$  is the geodesic minimal hypersphere  $\pi(S^1(\sqrt{1/2m}) \times S^{2m-1}(\sqrt{(2m-1)/2m}))$  in  $CP^m$ .*

**PROOF.** From Lemmas 3.6 and 3.8, we see that the left-hand side of the equation in Theorem 3.5 is non-negative. Next we prove that the right-hand side of this equation is non-positive.

Choosing an orthonormal basis  $\{e_i\}$  of  $T_x(M)$  such that  $A_a e_i = h_i^a e_i, i = 1, \dots, n$ ,

$$\begin{aligned} \sum_{i,j} g((R(e_i, e_j)A)_a e_i, A_a e_j) &= \sum_{i,j} g(R(e_i, e_j)A_a e_i, A_a e_j) \\ &\quad - \sum_{i,j} g(A_a R(e_i, e_j)e_i, A_a e_j) \\ &= \frac{1}{2} \sum_{i,j} (h_i^a - h_j^a)^2 K_{ij}, \end{aligned}$$

where  $K_{ij}$  denotes the sectional curvature of  $M$  with respect to the section spanned by  $e_i$  and  $e_j$ . Since  $K_{ij} \geq 1/n$ , we obtain

$$\sum_{i,j} g((R(e_i, e_j)A)_a e_i, A_a e_j) \geq \frac{1}{2n} \sum_{i,j} (h_i^a - h_j^a)^2 \geq \operatorname{tr} A_a^2.$$

The left-hand side of this inequality is independent of the choice of an orthonormal basis  $\{e_i\}$ . Hence

$$\sum_a \operatorname{tr} A_a^2 - \sum_{i,j,a} g((R(e_i, e_j)A)_a e_i, A_a e_j) \leq 0.$$

Consequently, Theorem 3.5 and Lemmas 3.6 and 3.8 imply that

$$|\nabla A|^2 - 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) - 2 \sum_a g(Ptv_a, Ptv_a) = 0, \tag{3.4}$$

$$\sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) = 0, \tag{3.5}$$

$$8 \sum_a g(FPe_i, FPe_i) - \frac{1}{2} \sum_a \operatorname{tr} A_{fa}^2 = 0. \tag{3.6}$$

By (3.4) and Lemma 3.7,  $M$  is a CR submanifold. Thus, from (3.6), we have  $A_{fa} = 0$  for all  $v_a$ . On the other hand, (3.5) implies that  $q = 1$  or  $q = 0$ .

Suppose that  $q = 1$ . Using Lemma 2.3, we obtain

$$\sum_{i,a} g([A_{fa}, A_a]e_i, Pe_i) = -2h(p - 1) = 0.$$

When  $p = 1$ , from the theorem in [4],  $M$  is a geodesic minimal hypersphere. When  $h = 0$ , we have  $n = q = 1$  and  $K = 0$ . This is a contradiction.

We next suppose that  $q = 0$ . Then  $M$  is a complex submanifold and  $n = h$ . On the other hand, again using Lemma 2.3, we have  $hp = 0$ , and hence  $h = 0$ . This is a contradiction. □

When  $M$  is a CR minimal submanifold, by Theorem 2.2, we have  $FP = 0$ . Hence the condition  $\sum_a \operatorname{tr} A_{fa}^2 \geq 16|FP|^2$  in Theorem 3.9 is automatically satisfied. So we have the following theorem.

**THEOREM 3.10.** *Let  $M$  be an  $n$ -dimensional compact minimal CR submanifold in a complex projective space  $CP^m$  with flat normal connection. If the sectional curvature  $K$  of  $M$  satisfies  $K \geq 1/n$ , then  $M$  is the geodesic minimal hypersphere  $\pi(S^1(\sqrt{1/2m}) \times S^{2m-1}(\sqrt{(2m-1)/2m}))$  in  $CP^m$ .*

#### 4. Minimal submanifolds with semi-flat normal connection

In this section we give pinching theorems for minimal submanifolds in  $CP^m$  with semi-flat normal connection. First of all, using (3), (4) and Lemmas 2.4 and 3.2 we have the following lemma.

**LEMMA 4.1.** *Let  $M$  be an  $n$ -dimensional compact minimal submanifold in  $CP^m$  with semi-flat normal connection. Then*

$$\begin{aligned} & \int_M \left( |\nabla A|^2 - 2 \sum_{i,a} g(Pe_i, Pe_i)g(tv_a, tv_a) - 2 \sum_i g(FPe_i, FPe_i) \right. \\ & \quad \left. + \frac{3}{2} \left( \sum_a \text{tr } A_{fa}^2 + \sum_a |[P, A_a]|^2 - \sum_a 4\text{tr } A_a A_{fa} P \right) \right. \\ & \quad \left. + 4 \sum_i g(FPe_i, FPe_i) \right) \\ &= \int_M \left( - \sum_{i,j,a} g((R(e_i, e_j)A)_{ae_i}, A_a e_j) + 3 \sum_a \text{tr } A_a^2 \right. \\ & \quad \left. - \frac{3}{2} \sum_a \text{tr } A_{fa}^2 - 2(n-1) \sum_a g(tv_a, tv_a) \right. \\ & \quad \left. - \sum_{a,b} (g(tv_a, tv_a)g(tv_b, tv_b) - g(tv_a, tv_b)^2) \right). \end{aligned}$$

From this, we have the following theorem.

**THEOREM 4.2.** *Let  $M$  be an  $n$ -dimensional compact minimal submanifold in a complex projective space  $CP^m$  with semi-flat normal connection. If the sectional curvature  $K$  of  $M$  satisfies  $K \geq 3/n$ , then  $M$  is the complex projective space  $CP^{n/2}$  in  $CP^m$ .*

**PROOF.** From Lemmas 3.6 and 3.8, we see that the left-hand side of the equation in Lemma 4.1 is non-negative. Next we prove that the right-hand side of this equation is non-positive.

Since  $K_{ij} \geq 3/n$ , by a similar method in the proof of Theorem 3.9, we obtain

$$- \sum_{i,j,a} g((R(e_i, e_j)A)_{ae_i}, A_a e_j) + 3 \sum_a \text{tr } A_a^2 \leq 0.$$

Consequently,

$$\frac{3}{2} \sum_a \text{tr } A_{fa}^2 + 2(n-1) \sum_a g(tv_a, tv_a) = 0.$$

Thus, we obtain  $A_{fa} = 0$  for all  $v_a$  and  $t = 0$ . Therefore  $M$  is a complex submanifold in  $CP^m$  and  $A_a = 0$  for all  $v_a$ . Thus  $M$  is a real  $n$ -dimensional totally geodesic complex submanifold in  $CP^m$ , that is,  $CP^{n/2}$ . □

Next we give a pinching theorem for a compact minimal  $CR$  submanifold in  $CP^m$  with semi-flat normal connection.

**THEOREM 4.3.** *Let  $M$  be a compact  $n$ -dimensional minimal CR submanifold in a complex projective space  $CP^m$  with semi-flat normal connection. If the sectional curvature  $K$  of  $M$  satisfies  $K \geq (1/n)$ , then  $M$  is a totally geodesic complex projective space  $CP^{n/2}$  or a geodesic minimal hypersphere  $\pi(S^1(\sqrt{1/(n+1)}) \times S^n(\sqrt{n/(n+1)}))$  of some  $CP^{(n+1)/2}$  in  $CP^m$ .*

**PROOF.** Since  $M$  is a CR submanifold in  $CP^m$ , we can take an orthonormal basis  $\{v_a\}$  of  $T_x(M)^\perp$  such that  $\{v_1, \dots, v_q\}$  form an orthonormal basis of  $FT_x(M)$  and  $\{v_{q+1}, \dots, v_p\}$  form an orthonormal basis of  $fT_x(M)^\perp$ .

If  $q = 0$ ,  $M$  is a complex submanifold in  $CP^m$ . Then the normal connection of  $M$  is semi-flat if and only if  $M$  is a totally geodesic complex projective space  $CP^{n/2}$  by a theorem of Ishihara [3].

We next suppose that  $q \geq 1$ . Since the normal connection of  $M$  is semi-flat, we have  $A_{fV}PX = 0$  and  $A_{fV}tU = \beta tU$  for any vector  $X$  tangent to  $M$  and any vectors  $U, V$  normal to  $M$  (see Chen [1, Lemmas 5.3 and 5.6]). Thus, by the minimality of  $M$ , we see that  $\beta = 0$  and  $A_{fV} = 0$ .

Let  $V$  be in  $FT(M)$ . Then

$$\begin{aligned} g(fD_X V, fU) &= -g((\nabla f)V, fU) \\ &= g(FA_V X, fU) + g(B(X, tV), fU) \\ &= g(A_{fU} X, tV) = 0. \end{aligned}$$

This means that  $FT(M)$  is parallel, that is,  $D_X V$  is in  $FT(M)$ . Moreover, we have  $R^\perp(X, Y)V = 0$  for any  $V \in FT(M)$ . So we can choose an orthonormal basis  $\{v_\lambda\}$  in such a way that  $D_X v_\lambda = 0, \lambda = 1, \dots, q$ . We notice that  $\nabla_X t v_\lambda = -PA_\lambda X$ . Hence we have  $\text{div}(t v_\lambda) = -\text{tr } PA_\lambda = 0$  since  $P$  is skew-symmetric and  $A_\lambda$  is symmetric.

From Lemmas 2.4 and 3.2, we obtain

$$\begin{aligned} g(\nabla^2 A, A) &= \sum_{i,j,\lambda} g((R(e_i, e_j)A)_\lambda e_i, A_\lambda e_j) \\ &\quad + 3\left(-\sum_a \text{tr } A_\lambda^2 + \frac{1}{2} \sum_a \|[P, A_\lambda]\|^2\right) + 3q(q-1). \end{aligned}$$

On the other hand, Lemma 3.3 implies that

$$\sum_\lambda \text{div}(\nabla_{t v_\lambda} t v_\lambda) = (n-1)q - \sum_\lambda \text{tr } A_\lambda^2 + \frac{1}{2} \sum_\lambda \|[P, A_\lambda]\|^2.$$

Using these equations,

$$\begin{aligned} &-g(\nabla^2 A, A) - 2hq + \frac{1}{2} \sum_\lambda \|[P, A_\lambda]\|^2 + q(q-1) \\ &= \sum_\lambda \text{tr } A_\lambda^2 - \sum_{i,j,\lambda} g((R(e_i, e_j)A)_\lambda e_i, A_\lambda e_j) - 2 \sum_\lambda \text{div}(\nabla_{t v_\lambda} t v_\lambda). \end{aligned}$$

Thus

$$\int_M \left( |\nabla A|^2 - 2hq + \frac{1}{2} \sum_{\lambda} |[P, A_{\lambda}]|^2 + q(q-1) \right) \\ = \int_M \left( \sum_{\lambda} \operatorname{tr} A_{\lambda}^2 - \sum_{i,j,\lambda} g((R(e_i, e_j)A)_{\lambda} e_i, A_{\lambda} e_j) \right).$$

By Lemma 3.6, we see that the left-hand side of this equation is non-negative. Next we prove that the right-hand side of the equation above is non-positive. From the assumption on the sectional curvature of  $M$ , we have, by a similar method in the proof of Theorem 3.9,

$$\sum_{\lambda} \operatorname{tr} A_{\lambda}^2 - \sum_{i,j,\lambda} g((R(e_i, e_j)A)_{\lambda} e_i, A_{\lambda} e_j) \leq 0.$$

Consequently, we obtain

$$|\nabla A|^2 = 2hq, \quad PA_{\lambda} = A_{\lambda}P, \quad q(q-1) = 0.$$

Hence we have  $q = 1$  and  $M$  is a real hypersurface in some  $CP^{(n+1)/2}$  in  $CP^m$  (see [10, p. 227]). Therefore, using Theorem 3.10, we have our result (see also [4]).  $\square$

If  $n > p + 2$ , we see that  $\nabla f = 0$  and  $M$  is a CR submanifold in  $CP^m$  with  $A_f V = 0$  for any vector  $V$  normal to  $M$  (see Okumura [7, 8]). Therefore, Theorem 4.3 implies the following result.

**THEOREM 4.4.** *Let  $M$  be a compact  $n$ -dimensional minimal submanifold in  $CP^m$  with semi-flat normal connection. If the sectional curvature  $K$  of  $M$  satisfies  $K \geq 1/n$ , and if  $n > p + 2$ , then  $M$  is a totally geodesic complex projective space  $CP^{n/2}$  or a geodesic minimal hypersphere  $\pi(S^1(\sqrt{1/(n+1)}) \times S^n(\sqrt{n/(n+1)})$  of some  $CP^{(n+1)/2}$  in  $CP^m$ .*

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