



# Certain Properties of $K_0$ -monoids Preserved by Tracial Approximation

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*Abstract.* We show that the following  $K_0$ -monoid properties of  $C^*$ -algebras in the class  $\Omega$  are inherited by simple unital  $C^*$ -algebras in the class  $TA\Omega$ : (1) weak comparability, (2) strictly unperforated, (3) strictly cancellative.

## 1 Introduction

The Elliott program for the classification of amenable  $C^*$ -algebras might be said to have begun with the K-theoretical classification of AF-algebras in [2]. Since then, many classes of  $C^*$ -algebras have been found to be classified by the Elliott invariant. Among them, one important class is the class of simple unital AH-algebras. A very important axiomatic version of the classification of AH-algebras without dimension growth was given by H. Lin. Instead of assuming inductive limit structure, he started with a certain abstract approximation property and showed that  $C^*$ -algebras with this abstract approximation property and certain additional properties are AH-algebras without dimension growth. More precisely, Lin introduced the class of tracially approximate interval algebras.

Following the notion of Lin on the tracial approximation by interval algebras, G. A. Elliott and Z. Niu in [5] considered tracial approximation by more general  $C^*$ -algebras. Let  $\Omega$  be a class of unital  $C^*$ -algebras. Then the class of  $C^*$ -algebras which can be tracially approximated by  $C^*$ -algebras in  $\Omega$ , denoted by  $TA\Omega$ , is defined as follows. A simple unital  $C^*$ -algebra  $A$  is said to belong to the class  $TA\Omega$ , if for any  $\varepsilon > 0$ , any finite subset  $F \subseteq A$ , and any nonzero element  $a \geq 0$ , there exist a nonzero projection  $p \in A$  and a  $C^*$ -subalgebra  $B$  of  $A$  with  $1_B = p$  and  $B \in \Omega$ , such that

- (1)  $\|xp - px\| < \varepsilon$  for all  $x \in F$ ,
- (2)  $pxp \in_\varepsilon B$  for all  $x \in F$ ,
- (3)  $1 - p$  is Murray–von Neumann equivalent to a projection in  $\overline{aAa}$ .

The question of the behavior of  $C^*$ -algebra properties under passage from a class  $\Omega$  to the class  $TA\Omega$  is interesting and sometimes important. In fact the property of having tracial states, the property of being of stable rank one, and the property that the strict order on projections is determined by traces were used in the proof of the classification theorem in [5] by G. A. Elliott and Z. Niu.

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In this paper, we show that the following  $K_0$ -monoid properties of  $C^*$ -algebras in the class  $\Omega$  are inherited by simple unital  $C^*$ -algebras in the class  $TA\Omega$ :

- (1) weak comparability,
- (2) strictly unperforated,
- (3) strictly cancellative.

## 2 Preliminaries and Definitions

Let  $a$  and  $b$  be two positive elements in a  $C^*$ -algebra  $A$ . We write  $[a] \leq [b]$  (cf. [11, Definition 3.5.2]), if there exists a partial isometry  $v \in A^{**}$  such that, for every  $c \in \text{Her}(a)$ ,  $v^*c, cv \in A$ ,  $vv^* = P_a$ , where  $P_a$  is the range projection of  $a$  in  $A^{**}$ , and  $v^*cv \in \text{Her}(b)$ . We write  $[a] = [b]$  if  $v^* \text{Her}(a)v = \text{Her}(b)$ . Let  $n$  be a positive integer. We write  $n[a] \leq [b]$ , if there are  $n$  mutually orthogonal positive elements  $b_1, b_2, \dots, b_n \in \text{Her}(b)$  such that  $[a] \leq [b_i], i = 1, 2, \dots, n$ .

Let  $0 < \sigma_1 < \sigma_2 \leq 1$  be two positive numbers. Define

$$f_{\sigma_1}^{\sigma_2}(t) = \begin{cases} 1 & \text{if } t \geq \sigma_2 \\ \frac{t-\sigma_1}{\sigma_2-\sigma_1} & \text{if } \sigma_1 \leq t \leq \sigma_2 \\ 0 & \text{if } 0 < t \leq \sigma_1 \end{cases}$$

Let  $\Omega$  be a class of unital  $C^*$ -algebras. Then the class of  $C^*$ -algebras that can be tracially approximated by  $C^*$ -algebras in  $\Omega$  is denoted by  $TA\Omega$ .

**Definition 2.1** ([5]) A simple unital  $C^*$ -algebra  $A$  is said to belong to the class  $TA\Omega$  if for any  $\varepsilon > 0$ , any finite subset  $F \subseteq A$ , and any nonzero element  $a \geq 0$ , there exist a nonzero projection  $p \in A$  and a  $C^*$ -subalgebra  $B$  of  $A$  with  $1_B = p$  and  $B \in \Omega$  such that

- (1)  $\|xp - px\| < \varepsilon$  for all  $x \in F$ ,
- (2)  $pxp \in_\varepsilon B$  for all  $x \in F$ ,
- (3)  $[1 - p] \leq [a]$ .

**Definition 2.2** ([7]) Let  $\Omega$  be a class of unital  $C^*$ -algebras. A unital  $C^*$ -algebra  $A$  is said to have property (III) if, for any positive numbers  $0 < \sigma_3 < \sigma_4 < \sigma_1 < \sigma_2 < 1$ , any  $\varepsilon > 0$ , any finite subset  $F \subseteq A$ , any nonzero positive element  $a$ , and any integer  $n > 0$ , there exist a nonzero projection  $p \in A$  and a  $C^*$ -subalgebra  $B$  of  $A$  with  $B \in \Omega$  and  $1_B = p$  such that

- (1)  $\|xp - px\| < \varepsilon$  for all  $x \in F$ ,
- (2)  $pxp \in_\varepsilon B$  for all  $x \in F, \|pap\| \geq \|a\| - \varepsilon$ ,
- (3)  $n[f_{\sigma_1}^{\sigma_2}((1 - p)a(1 - p))] \leq [f_{\sigma_3}^{\sigma_4}(pap)]$ .

**Lemma 2.3** ([5]) *If the class  $\Omega$  is closed under tensoring with matrix algebras, or closed under taking unital hereditary  $C^*$ -subalgebras, then  $TA\Omega$  is closed under passing to matrix algebras or unital hereditary  $C^*$ -subalgebras.*

We say a  $C^*$ -algebra  $A$  has the *SP*-property if every nonzero hereditary  $C^*$ -subalgebra of  $A$  contains a nonzero projection.

Call projections  $p, q \in M_\infty(A)$  equivalent, denoted  $p \sim q$ , when there is a partial isometry  $v \in M_\infty(A)$  such that  $p = v^*v, q = vv^*$ . The equivalence classes are denoted  $[\cdot]$  and the set of all these is

$$V(A) := \{[p] \mid p = p^* = p^2 \in M_\infty(A)\}.$$

Addition in  $V(A)$  is defined by

$$[p] + [q] := [\text{diag}(p, q)].$$

Then  $V(A)$  becomes an abelian monoid, which we call  $V(A)$  the  $K_0$ -monoid of  $A$ .

All abelian monoids have a natural pre-order, the algebraic ordering, defined as follows: if  $x, y \in M$ , we write  $x \leq y$  if there is a  $z \in M$  such that  $x+z = y$ . In the case of  $V(A)$ , the algebraic ordering is given by Murray–von Neumann subequivalence, that is,  $[p] \leq [q]$  if and only if there is a projection  $p' \leq q$  such that  $p \sim p'$ . We also write, as is customary,  $p \preceq q$  to mean that  $p$  is subequivalent to  $q$ .

If  $x, y \in M$ , we will write  $x \leq^* y$  if there is a nonzero element  $z$  in  $M$ , such that  $x+z = y$ .

Let us recall that an element  $u$  in a monoid  $M$  is an order unit provided  $u \neq 0$  and, for any  $x$  in  $M$ , there is  $n \in \mathbb{N}$  such that  $x \leq nu$ .

We say that a monoid  $M$  is conical if  $x+y = 0$  only when  $x = y = 0$ . Note that, for any  $C^*$ -algebra  $A$ , the projection monoid  $V(A)$  is conical.

A monoid  $M$  with order unit  $u$  was called weak comparability if for every nonzero element  $x$  in  $M$  such that  $x \leq u$ , there exists  $k \in \mathbb{N}$  such that, if  $y \in M$  and  $ky \leq u$ , then also  $y \leq x$ .

Recall also that a monoid  $M$  is called strictly unperforated if, whenever  $nx+z = ny$  for  $x, y, z \in M$  with  $z \neq 0$  and  $n \in \mathbb{N}$ , there is a nonzero element  $w$  such that  $x+w = y$ .

We say that a monoid  $M$  is strictly cancellative if for  $a, b, c \in M$  such that  $a+c \leq^* b+c$  implies  $a \leq^* b$ .

**Theorem 2.4** ([7]) *Let  $\Omega$  be a class of unital  $C^*$ -algebras such that  $\Omega$  is closed under taking unital hereditary  $C^*$ -subalgebras and closed taking finite direct sums. Let  $A$  be a simple unital  $C^*$ -algebra. Then the following are equivalent:*

- (1)  $A \in T\Omega$ ,
- (2)  $A$  has property (III).

**Theorem 2.5** ([7]) *Let  $\Omega$  be a class of unital  $C^*$ -algebras with the SP-property. Then any simple unital  $C^*$ -algebra  $A \in T\Omega$  has the SP-property.*

### 3 The Main Results

**Theorem 3.1** *Let  $\Omega$  be a class of unital  $C^*$ -algebras with SP-property. Suppose that  $\Omega$  is closed under tensoring with matrix algebras and for any  $B \in \Omega$  the  $K_0$ -monoid  $V(B)$  is weak comparability. Then the  $K_0$ -monoid  $V(A)$  is weak comparability for any simple unital  $C^*$ -algebra  $A \in T\Omega$ .*

**Proof** We need to show that  $[q] \leq [p]$  when  $[p] \leq [1]$  and  $k[q] \leq [1]$  for some  $k \in \mathbb{N}$  where  $p, q \in M_j(A)$  for some integer  $j$ .

By Lemma 2.3 we may assume that  $p, q \in \text{proj}(A)$ . By Theorem 2.5,  $A$  has the property SP: there exist nonzero projections  $p', p''$  such that  $[p] = [p'] + [p'']$ ,  $[p'] = [p'']$ . We have  $[p'] \leq [1]$ .

Since  $A \in TA\Omega$ , by Theorem 2.4, for  $F = \{p, p', p'', q\}$ , any  $\varepsilon > 0$ , there exist a projection  $s \in A$  and a  $C^*$ -subalgebra  $B \subseteq A$  with  $B \in \Omega$ ,  $1_B = s$  such that

- (1)  $\|xs - sx\| < \varepsilon$  for all  $x \in F$ ,
- (2)  $sxs \in {}_\varepsilon B$  for all  $x \in F$ ,
- (3)  $[1 - s] \leq [p'']$ .

By (1) and (2) there exist projections  $p'_1, q_1 \in B$  and  $p_2, q_2 \in (1 - s)A(1 - s)$ , such that

$$[p'] = [p'_1] + [p'_2], \quad [q] = [q_1] + [q_2]$$

and  $[p'_1] \leq [s]$ ,  $[p'_2] \leq [1 - s]$ ,  $k[q_1] \leq [s]$  and  $k[q_2] \leq [1 - s]$ .

Since  $V(B)$  is weak comparability, we have

$$[q_1] \leq [p'_1], \quad k[q_2] \leq [1 - s] \leq [p'']$$

We have

$$[q_2] \leq [1 - s] \leq [p'']$$

Therefore

$$[q] = [q_1] + [q_2] \leq [p'_1] + [q_2] \leq [p'_1] + [p''] = [p]. \quad \blacksquare$$

**Theorem 3.2** *Let  $\Omega$  be a class of unital  $C^*$ -algebras with SP-property. Suppose that  $\Omega$  is closed under tensoring with matrix algebras and for any  $B \in \Omega$  the  $K_0$ -monoid  $V(B)$  is strictly unperforated. Then the  $K_0$ -monoid  $V(A)$  is strictly unperforated for any simple unital  $C^*$ -algebra  $A \in TA\Omega$ .*

**Proof** We need to show there exists a nonzero element  $w$  such that  $x + w = y$  whenever  $nx + z = ny$  for  $x, y, z \in V(A)$  with  $z \neq 0$  and  $n \in \mathbb{N}$ . We may assume that  $x = [p], z = [r], y = [q]$  where  $p, q, r \in M_j(A)$  with  $r \neq 0$  for some large integer  $j \in \mathbb{N}$ .

By Lemma 2.3 we may assume that  $p, q, r \in \text{proj}(A)$ , we have  $n[p] + [r] = n[q]$  with  $r \neq 0$ . Since  $A \in TA\Omega$ , by Theorem 2.4, for  $F = \{p, q, r\}$ , any  $\varepsilon > 0$ , there exist a projection  $s \in A$  and a  $C^*$ -subalgebra  $B \subseteq A$  with  $B \in \Omega$ ,  $1_B = s$  such that:

- (1)  $\|xs - sx\| < \varepsilon$  for all  $x \in F$ ,
- (2)  $sxs \in {}_\varepsilon B$  for all  $x \in F$ ,
- (3)  $(n + 1)[1 - s] \leq [r]$ .

By (3) there exist mutually equivalent and mutually orthogonal projections  $r_1, r_2, \dots, r_{n+1}$  such that

$$r_i \leq 1 - s$$

for all  $1 \leq i \leq n + 1$ , and

$$(n + 1)[r_1] = [r_1 + r_2 \cdots + r_{n+1}] \leq [r].$$

By Theorem 2.5,  $A$  has the SP property such that there exists a projection  $t \leq 1 - p$  such that  $[t] \leq [r_1]$ . We have

$$n[p] + n[t] \leq^* n[q],$$

i.e.,  $n[p + t] \leq^* n[q]$ .

Since  $A \in TA\Omega$ , for  $G = \{p, q, t\}$ , any  $\varepsilon > 0$ , and any  $0 < \sigma_3 < \sigma_4 < \sigma_1 < \sigma_2 < 1$ , there exist a projection  $m \in A$  and a  $C^*$ -subalgebra  $C \subseteq A$  with  $C \in \Omega$ ,  $1_C = m$  such that

- (1')  $\|xm - mx\| < \varepsilon$  for all  $x \in G$ ,
- (2')  $mxm \in {}_\varepsilon C$  for all  $x \in G$ ,
- (3')  $[f_{\sigma_1}^{\sigma_2}((1 - m)t(1 - m))] \leq [f_{\sigma_3}^{\sigma_4}(mtm)]$ .

By (1') and (2') there exist projections

$$p_1, q_1, t_1 \in C \quad \text{and} \quad p_2, q_2, t_2 \in (1 - m)A(1 - m)$$

such that

$$[p] = [p_1] + [p_2], \quad [q] = [q_1] + [q_2],$$

$$n([p_1] + [t_1]) \leq^* n[q_1], \quad n([p_2] + [t_2]) \leq^* n[q_2].$$

By (3') we have  $[t_2] \leq [t_1]$ .

Since  $V(C)$  has the strictly cancellative property, we have  $([p_1] + [t_1]) \leq^* [q_1]$ .

For  $H = \{p_2, q_2, r_2, t_2\}$ , any  $\varepsilon > 0$ , by Theorem 2.4, there exist a projection  $e \in A$  and a  $C^*$ -subalgebra  $E \subseteq A$  with  $E \in \Omega$ ,  $1_E = e$  such that

- (1'')  $\|xe - ex\| < \varepsilon$  for all  $x \in H$ ,
- (2'')  $exe \in {}_\varepsilon E$  for all  $x \in H$ ,
- (3'')  $[1 - e] \leq [t_2]$ .

By (1'') and (2''), there exist projections  $p_3, q_3 \in E$  and  $p_4, q_4 \in (1 - e)A(1 - e)$  such that

$$[p_2] = [p_3] + [p_4],$$

$$[q_2] = [q_3] + [q_4],$$

$$n([p_3] + [t_3]) \leq^* n[q_3], \quad \text{and}$$

$$n([q_4] + [t_4]) \leq^* n[q_4].$$

Since  $V(E)$  has the strictly cancellative property, we have  $[p_3] + [t_3] \leq^* [q_3]$ .

By (3'') we have  $[p_4] \leq [1 - e] \leq [t_2]$ . We have

$$[p] = [p_1] + [p_2] = [p_1] + [p_3] + [p_4] \leq [p_1] + [p_3] + [t_2]$$

$$\leq [p_1] + [q_3] + [t_1] \leq^* [q_1] + [q_3] \leq^* [q].$$

Therefore there exists an element  $w$  such that  $[p] + w = [q]$ . ■

**Theorem 3.3** *Let  $\Omega$  be a class of unital  $C^*$ -algebras with SP-property. Suppose that  $\Omega$  is closed under tensoring with matrix algebras and for any  $B \in \Omega$  the  $K_0$ -monoid  $V(B)$  is strictly cancellative. Then the  $K_0$ -monoid  $V(A)$  is strictly cancellative for any simple unital  $C^*$ -algebra  $A \in TA\Omega$ .*

**Proof** We need to show that  $a + c \leq^* b + c$  imply that  $a \leq^* b$ . There exist projections  $p, q, r \in M_k(A)$  for sufficiently large integer  $k$  such that  $[p] = a, [r] = c, [q] = b$ . By Lemma 2.3, we may assume that  $p, q, r \in \text{proj}(A)$ .

Since  $A \in TA\Omega$ , for  $G = \{p, q, r\}$ , any  $\varepsilon > 0$ , and any  $0 < \sigma_3 < \sigma_4 < \sigma_1 < \sigma_2 < 1$ , there exist a projection  $m \in A$  and a  $C^*$ -subalgebra  $C \subseteq A$  with  $C \in \Omega, 1_C = m$  such that

- (1')  $\|xm - mx\| < \varepsilon$  for all  $x \in G$ ,
- (2')  $mxm \in {}_\varepsilon C$  for all  $x \in G$ .

By (1') and (2') there exist projections

$$p_1, q_1, r_1 \in C \quad \text{and} \quad p_2, q_2, r_2 \in (1 - m)A(1 - m)$$

such that

$$\begin{aligned} [p] &= [p_1] + [p_2], & [q] &= [q_1] + [q_2], & [r] &= [r_1] + [r_2], \\ [p_1] + [r_1] &\leq^* [q_1] + [r_1], & [p_2] + [r_2] &\leq^* [q_2] + [r_2]. \end{aligned}$$

Since  $V(C)$  has the strictly cancellative property, we have

$$[p_1] \leq^* [q_1].$$

There exist projection  $e_1 \neq 0$  such that  $[p_1] + [e_1] = [q_1]$ . We may assume that  $e_1 \in \text{proj}(A)$ .

For  $H = \{p_2, q_2, r_2, e_1\}$ , any  $\varepsilon > 0$ , there exist a projection  $n \in A$  and a  $C^*$ -subalgebra  $E \subseteq A$  with  $E \in \Omega, 1_E = n$  such that

- (1'')  $\|xn - nx\| < \varepsilon$  for all  $x \in H$ ,
- (2'')  $nxn \in {}_\varepsilon E$  for all  $x \in H$ ,
- (3'')  $[1 - n] \leq [e_1]$ .

By (1'') and (2'') there exist projections

$$p_3, q_3, r_3, e_3 \in E \quad \text{and} \quad p_4, q_4, r_4, e_4 \in (1 - n)A(1 - n)$$

such that

$$\begin{aligned} [p_2] &= [p_3] + [p_4], & [q_2] &= [q_3] + [q_4], & [r_2] &= [r_3] + [r_4], \\ [p_3] + [r_3] &\leq^* [q_3] + [r_3], & [p_4] + [r_4] &\leq^* [q_4] + [r_4]. \end{aligned}$$

Since  $V(E)$  has the strictly cancellative property, we have  $[p_3] \leq^* [q_3]$ .

By (3'') we have  $[p_4] \leq [1 - n] \leq [e_1]$ . Therefore

$$\begin{aligned} [p] &= [p_1] + [p_2] = [p_1] + [p_3] + [p_4] \leq [p_1] + [p_3] + [e_1] \\ &\leq [q_1] + [p_3] \leq^* [q_1] + [q_3] + [q_4] = [q]. \end{aligned}$$

■

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