



# On Surfaces with $p_g = 0$ and $K^2 = 5$

Caryn Werner

*Abstract.* We construct new examples of surfaces of general type with  $p_g = 0$  and  $K^2 = 5$  as  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -covers and show that they are genus three hyperelliptic fibrations with bicanonical map of degree two.

## 1 Introduction

Given a compact complex algebraic surface  $S$ , the geometric genus  $p_g$  is the dimension over  $\mathbb{C}$  of the space of holomorphic two-forms and the irregularity  $q$  is the dimension of the space of holomorphic one-forms. For minimal surfaces of general type with  $p_g = q = 0$ , the self-intersection of the canonical class  $K_S$  satisfies  $1 \leq K_S^2 \leq 9$ . Although there are many examples of such surfaces of general type, including examples for each value of  $K_S^2$ , few general results are known.

There has been much recent work on these surfaces using either the bicanonical map or the theory of covers. As  $p_g = 0$  implies that there are no canonical curves, the bicanonical system  $|2K_S|$  is the next natural system of curves on  $S$ . Using careful analysis of the bicanonical map, Mendes Lopes and Pardini [6, 7] have obtained a classification of surfaces with  $K^2 = 6$ . In particular they prove that if the bicanonical map is not birational, then it has degree two or four. For each case they use bidouble covers to construct examples, and in the case of degree four bicanonical map they prove that such a surface must be a Burniat surface.

Burniat's bidouble cover construction [2] yields examples with  $p_g = 0$  and  $2 \leq K^2 \leq 6$ . Catanese [3] studied how singularities of the branch curves affect the invariants of the resulting bidouble covers, with two examples of  $K^2 = 5$  surfaces among his constructions. In this note we prove that the Catanese surfaces are equivalent to the Burniat example, and therefore belong to the category of surfaces with bicanonical map of degree four. We then construct two examples with degree two bicanonical map. The surfaces are constructed as bidouble covers of the plane. We also show both can be realized as genus three hyperelliptic fibrations.

First we look at some properties of the bicanonical map for  $p_g = 0, K^2 = 5$  surfaces. In Section 3 we review the construction of bidouble covers and prove that the Catanese surfaces are equivalent to Burniat's. In Section 4 we find two examples with bicanonical map of degree two.

---

Received by the editors August 22, 2007.  
Published electronically May 11, 2010.  
Supported by the Allegheny College Academic Support Committee.  
AMS subject classification: 14J29.

## 2 The Bicanonical Map

Let  $S$  be a minimal surface of general type with  $p_g = q = 0$  and  $K_S^2 = 5$ , and bicanonical map  $\varphi$ . We have the following possibilities for the degrees of  $\varphi$  and its image.

**Lemma 2.1** *Let  $S$  be a minimal surface of general type with  $p_g = q = 0$  and  $K_S^2 = 5$  and bicanonical map  $\varphi: S \rightarrow X$ . Assume that  $\varphi$  is not birational. Then either*

- (i)  $\varphi$  has degree two and  $X$  is a degree 10 rational surface in  $\mathbb{P}^5$ , or
- (ii)  $\varphi$  has degree four and  $X$  is a degree 5 rational surface in  $\mathbb{P}^5$ .

**Proof** By Reider’s Theorem [8],  $\varphi$  is a morphism, and by Xiao ([9]), the image of  $\varphi$  is a rational surface  $X$ . As  $K_S^2 = 5$  and  $\dim H^0(S, 2K_S) = 1 + \frac{1}{2}(2K_S \cdot K_S)$ , we have  $X \subset \mathbb{P}^5$ . The product of the degree of  $\varphi$  and the degree of the image in  $\mathbb{P}^5$  is equal to  $(2K_S)^2 = 4K_S^2 = 20$ . By Mendes Lopes [5], the degree of  $\varphi$  is at most four, thus we have the two cases. ■

We now suppose  $S$  is a minimal surface of general type with  $p_g = 0$ ,  $K_S^2 = 5$ , and bicanonical map  $\varphi: S \rightarrow \mathbb{P}^5$  of degree two onto its image. Let  $\sigma$  denote the involution induced by  $\varphi$ , and let  $\pi: S \rightarrow \Sigma = S/\sigma$  be the quotient map.

The fixed locus of  $\sigma$  is the union of a smooth curve  $R$  and  $k$  isolated points  $P_1, \dots, P_k$ . By [6], the number of isolated fixed points of  $\sigma$  is  $k = K_S^2 + 4 = 9$ . Write  $Q = \pi(R)$  and  $Q_i = \pi(P_i)$ . Then the  $Q_i$  are ordinary double points on the normal surface  $\Sigma$ . We have

$$\begin{array}{ccc} \tilde{S} & \xrightarrow{\epsilon} & S \\ \downarrow & & \downarrow \pi \\ Y & \xrightarrow{\nu} & \Sigma \end{array},$$

where  $\tilde{S} \rightarrow S$  is the blowup of  $S$  at the points  $P_i$ . Then  $\sigma$  induces an involution on  $\tilde{S}$ , with fixed locus  $R_0$ , the inverse image of  $R$ , and  $E_i$ , the exceptional divisors over the  $P_i$ . We write  $\tilde{S} \rightarrow Y$  for the quotient map by this involution. On  $Y$  we have 9 disjoint  $(-2)$ -curves  $C_i$  from the resolution of the nodes  $Q_i$ ; write  $B$  for the image of the curve  $R_0$  on  $Y$ . Thus we have a double cover of  $Y$  branched along  $B + C_1 + \dots + C_k$ . The surface  $\Sigma$  is rational by Lemma 2.1, thus  $Y$  is rational as well.

To realize our surfaces as double covers, we use the following result due to Borrelli.

**Theorem 2.2** ([1, Proposition 5.3]) *Let  $S$  be a smooth minimal surface of general type with  $p_g = 0$  and  $K_S^2 = 5$ . If the bicanonical map has degree 2 and  $S$  is not a genus two pencil, then  $S$  is a minimal model of a Du Val double plane, and a hyperelliptic fibration of genus 3 with 3 or 4 double fibers.*

The double plane constructions, suggested by Du Val ([4]) as a means of constructing general type surfaces, are as follows.

First let  $T_1, T_2, T_3$  be three lines in the plane through a point  $P$  and let  $C$  be a degree thirteen curve with an order five singularity at  $P$  and three infinitely near quadruple points  $P_1, P_2, P_3$  with tangent  $T_1, T_2, T_3$ , respectively. These infinitely near quadruple points (or  $(4, 4)$  points) are singularities of order four which remains order four after one blow-up, thus each of the four branches of  $C$  has the same tangent

direction, that of  $T_i$ . Assume  $C$  also has three additional infinitely near triple points at  $P_4, P_5, P_6$ . (Thus at these  $(3, 3)$  points  $C$  requires two blowups to resolve the singularity.)

Blow up the plane at the points  $P, P_1, \dots, P_6$  and then blow up the ordinary singularities above each  $P_i$ , to obtain a rational surface  $Y$  where the proper transform  $\bar{C}$  of  $C$  is non-singular. In addition the transforms of the lines  $T_1, T_2, T_3$  do not meet  $\bar{C}$  on  $Y$ .

We have  $Y$ , a thirteen-fold blowup of the plane, with  $K_Y^2 = -4$ . Write  $e_i$  for the transform of the exceptional divisor for the first blowup of  $P_i$  and  $f_i$  for the blowup corresponding to the tangent direction. Let  $h$  denote the class of a line.

We have

$$K_Y \equiv -3h + e + \sum e_i + 2 \sum f_i.$$

We add the exceptional curves  $e_i, i = 1, \dots, 6$  to the curves  $\bar{C}$  and  $\bar{T}_i$  on  $Y$  to obtain an even branch divisor,

$$\bar{C} + \sum_1^3 \bar{T}_i + \sum_1^6 e_i \equiv 16h - 8e - 4 \sum_1^3 e_i - 10 \sum_1^3 f_i - 2 \sum_4^6 e_i - 6 \sum_4^6 f_i.$$

Thus we see the nine disjoint  $-2$ -curves  $T_1, T_2, T_3, e_1, \dots, e_6$  on  $Y$  corresponding to the nodes of the quotient  $S/\sigma$ . We have

$$L \equiv 8h - 4e - 2 \sum_1^3 e_i - 5 \sum_1^3 f_i - \sum_4^6 e_i - 3 \sum_4^6 f_i,$$

where  $2L$  represents the branching for the double cover  $\pi: X \rightarrow Y$ . Then by standard arguments for double covers, we have

$$\pi_* \mathcal{O}_X = \mathcal{O}_Y \oplus \mathcal{O}_Y(-L)$$

and

$$K_X^2 = 2(K_Y + L)^2.$$

Thus  $\chi(X) = 1$  and  $K_X^2 = -4$ . The canonical system on  $X$  corresponds to  $H^0(Y, \mathcal{O}_Y(K_Y)) \oplus H^0(Y, \mathcal{O}_Y(K_Y + L))$ ; as  $Y$  is rational the first system is empty. Since  $K_Y + L \equiv 5h - 3e - \sum_1^3 e_i - 3 \sum_1^3 f_i - \sum_4^6 f_i \equiv T_1 + T_2 + T_3 + 2h - \sum_1^6 f_i$ , we see that  $p_g = 0$  provided the six points  $P_1, \dots, P_6$  are not on a conic.

The lines  $T_i$  and the exceptional curves  $e_i$  are all  $-2$  rational curves on  $Y$ ; as they are components of the branch locus, they become  $-1$  curves on  $X$ . Blowing down these nine curves yields a surface  $S$  with  $K_S^2 = 5$ . The bicanonical system for the cover corresponds to  $|2K_Y + 2L|$ , where

$$2K_Y + 2L \equiv 10h - 6e - 2 \sum_1^3 e_i - 6 \sum_1^3 f_i - 2 \sum_4^6 f_i.$$

As each  $T_i$  is a component of this system, and

$$2K_Y + 2L - \sum T_i \equiv 7h - 3e - \sum_1^3 e_i - 4 \sum_1^3 f_i - 2 \sum_4^6 f_i,$$

we see that the bicanonical system corresponds to plane curves of degree seven, with a triple point at  $P$ , tacnodes at  $P_1, P_2, P_3$ , and through  $P_4, P_5, P_6$  with the tangent direction of  $C$ .

Consider the pencil of lines in the plane through  $P$ . This pulls back to a basepoint-free rational pencil on  $Y$  which intersects the branch locus with order eight, thus on  $X$  we have a genus three hyperelliptic pencil. There are three double fibers, corresponding to the lines  $T_i$ .

For the second Du Val double plane construction, we let  $T_1, T_2, T_3, T_4$  be four lines through a point  $P$  and let  $C$  be a degree fourteen plane curve with an order six singularity at  $P$  and four  $(4, 4)$  points  $P_1, P_2, P_3, P_4$  with tangent  $T_1, T_2, T_3, T_4$ , respectively. Assume  $C$  also has one  $(3, 3)$  point  $P_5$  and one ordinary order four singularity at  $P_6$ . We blow up the plane at  $P, P_1, \dots, P_6$ , and at the singularities above  $P_1, \dots, P_5$  to obtain a rational surface  $Y$  with  $K_Y^2 = -3$ , and

$$K_Y \equiv -3h + e + \sum_1^5 e_i + 2 \sum_1^5 f_i + e_6.$$

Set  $\bar{C} + \sum_1^4 \bar{T}_i + \sum_1^5 e_i = 2L$  as the branch curve for our cover, where

$$L \equiv 9h - 5e - 2 \sum_1^4 e_i - 5 \sum_1^4 f_i - e_5 - 3f_5 - 2e_6.$$

Then the double cover  $\pi: X \rightarrow Y$  is a general type surface with  $p_g = q = 0$  and  $K_X^2 = -4$ . Blowing down the nine  $-1$  curves  $T_1, \dots, T_4$  and  $e_1, \dots, e_5$  on  $X$  gives a minimal surface  $S$  with  $K_S^2 = 5$ .

Again we consider the rational pencil of lines through  $P$  and the corresponding pencil  $\pi^*(h - e)$  on  $S$ . The four lines from the branch curve of the cover give four double fibers of this pencil, corresponding to  $\pi^*(T_i + 2f_i)$ .

The high degree of the branch locus makes these two constructions difficult to realize. Instead we find surface with  $K^2 = 5$  and degree two bicanonical map constructed as bidouble covers. We begin with a brief description of the construction of bidouble covers; see [3] for details.

### 3 Bidouble Covers

Recall the definition of a *bidouble cover*  $f: X \rightarrow Y$ , which is a cover with Galois group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . The cover  $f: X \rightarrow Y$  is determined by divisors  $D_1, D_2, D_3$  and line bundles  $L_1, L_2, L_3$  on  $Y$  with  $2L_i \equiv D_j + D_k$ . Write  $D = D_1 + D_2 + D_3 = L_1 + L_2 + L_3$  and  $R = f^{-1}(D)$ , the ramification divisor of  $f$ . We have  $f|_R$  is degree two onto  $D$ . By standard arguments for covers,

$$\begin{aligned} f_*\mathcal{O}_X &\cong \mathcal{O}_Y \oplus \mathcal{O}_Y(-L_1) \oplus \mathcal{O}_Y(-L_2) \oplus \mathcal{O}_Y(-L_3) \\ p_g(X) &= p_g(Y) + \sum_1^3 h^0(Y, \mathcal{O}_Y(K_Y + L_i)) \\ K_X^2 &= (2K_Y + D)^2 \end{aligned}$$

and

$$H^0(X, \mathcal{O}_X(2K_X)) = H^0(Y, \mathcal{O}_Y(2K_Y + D)) \oplus \bigoplus_1^3 H^0(Y, \mathcal{O}_Y(2K_Y + D - L_i)).$$

The cover  $X$  is a smooth surface when the base surface  $Y$  is smooth, the branching divisors  $D_1, D_2, D_3$  are smooth, and  $D$  has normal crossings. Otherwise a resolution of singularities is equivalent to blowing up any singular points of  $D$  on  $Y$  and then forming the cover.

Thus for smooth bidouble covers of the plane  $\pi: S \rightarrow \mathbb{P}^2$ , we have

$$p_g(S) = \dim H^0(\mathbb{P}^2, \mathcal{O}(a)) + \dim H^0(\mathbb{P}^2, \mathcal{O}(b)) + \dim H^0(\mathbb{P}^2, \mathcal{O}(c))$$

and

$$K_S^2 = (a + b + c - 6)^2,$$

where the line bundles  $L_1, L_2, L_3$  have degrees  $a, b, c$ , respectively. When the divisors  $D_i$  pass through a point with multiplicity  $s_i$ , we write  $(s_1, s_2, s_3)$  to denote the singularity, following the notation of Catanese [3].

**Example 3.1 (Burniat Surfaces)** Suppose the  $D_i$  are degree three curves in the plane so that each  $L_i$  has degree three. Then the cover  $f: S \rightarrow \mathbb{P}^2$  yields a surface with  $\chi_S = 4$ ,  $K_S^2 = 9$ . As shown below, imposing certain singularities on  $D$  reduces these invariants to  $\chi = 1$  and  $K^2 = 5$ , resulting in a Burniat surface [2].

We consider a bidouble cover of the plane where each  $D_i$  is the union of three lines. Start with a tetrahedron of lines in  $\mathbb{P}^2$  with vertices at points  $p_1, p_2, p_3$ , and  $p_4$ . Let  $D_1$  consist of the lines  $p_1p_2$  and  $p_1p_4$ , plus another line through  $p_1$ . Let  $D_2$  consist of the lines  $p_2p_3$  and  $p_2p_4$  and another line through  $p_2$ , and  $D_3$  the lines  $p_3p_1$  and  $p_3p_4$ , plus another line through  $p_3$ . Thus we have total branching of degree nine, with each  $D_i$  of degree three and each line bundle  $L_i$  of degree three. The configuration has three singularities of type  $(0, 1, 3)$  at the points  $p_1, p_2$ , and  $p_3$ . The point  $p_4$  is a singularity of type  $(1, 1, 1)$ . The resulting bidouble cover has  $p_g = 0$ ,  $K^2 = 5$ . (Similarly one can impose additional  $(1, 1, 1)$  singularities to obtain surfaces with  $2 \leq K^2 \leq 4$ , while the case of three  $(0, 1, 3)$  points and no additional singularities yields the  $K^2 = 6$  example.)

To obtain the smooth Burniat surface with  $K_X^2 = 5$ , we first blow up the plane at  $p_1, p_2, p_3$ , and  $p_4$  to obtain a rational surface  $Y$  with  $K_Y \equiv -3h + e_1 + e_2 + e_3 + e_4$ , where  $h$  is the class of a line and the  $e_i$  are the exceptional curves over each  $p_i$ . On  $Y$  we set the branch divisors for the bidouble cover

$$D'_1 \equiv 3h - 3e_1 - e_2 - e_4 + e_3$$

$$D'_2 \equiv 3h - 3e_2 - e_3 - e_4 + e_1$$

$$D'_3 \equiv 3h - e_1 - 3e_3 - e_4 + e_2$$

thus we have

$$\begin{aligned} L_1 &\equiv 3h - e_2 - 2e_3 - e_4 \\ L_2 &\equiv 3h - 2e_1 - e_3 - e_4 \\ L_3 &\equiv 3h - e_1 - 2e_2 - e_4. \end{aligned}$$

Note that each divisor consists of three lines together with one exceptional divisor from the blowup, with total branching  $D = 9h - 3e_1 - 3e_2 - 3e_3 - 3e_4$ .

To compute the bicanonical system we use

$$H^0(X, \mathcal{O}_X(2K_X)) = H^0(Y, \mathcal{O}_Y(2K_Y + D)) \oplus \bigoplus_1^3 H^0(Y, \mathcal{O}_Y(2K_Y + D - L_i)).$$

We have

$$2K_Y + D \equiv 3h - e_1 - e_2 - e_3 - e_4$$

which corresponds to  $-K_Y$ , the system of plane cubics through the four singular points of the branch locus, which embeds  $Y$  as a degree five surface in  $\mathbb{P}^5$ . For  $i = 1, 2, 3$  we have  $|2K_Y + D - L_i| = \emptyset$ , so  $H^0(X, \mathcal{O}_X(2K_X)) = H^0(Y, \mathcal{O}_Y(2K_Y + D))$ . Thus the bicanonical map for the Burniat surface has degree four.

Moreover  $X$  can be realized as a hyperelliptic fibration as follows. Consider the pencil of lines in the plane through the point  $p_1$ . The corresponding genus 3 pencil on  $X$  has four reducible fibers. Three fibers are the union of two  $(-1)$ -elliptic curves meeting at one point, corresponding to the lines  $p_1p_2, p_1p_3$ , and  $p_1p_4$  in  $D$ . The fourth reducible fiber is a double fiber corresponding to the line in  $D$  through  $p_1$  only. In the same way, the pencils of lines through  $p_2$  and lines through  $p_3$  correspond to genus three hyperelliptic pencils on  $X$ .

**Example 3.2 (Alternate Constructions of the Burniat Surfaces)** Two examples of surfaces with  $p_g = 0, K^2 = 5$  have been constructed by Catanese [3, §5] as bidouble covers. We will show each is equivalent to the Burniat construction.

First construct a bidouble cover of the plane with branch divisors of degrees 1, 3, and 5. Set the degree five component to be a conic and a triangle of lines meeting the conic at vertices  $p_1, p_2, p_3$ . For the next component take the union of three lines through a fourth point on the conic,  $p_4$ , with one line passing through  $p_1$  and another through  $p_2$ . Suppose the last component is a line through  $p_3$ ; thus  $D$  has four singularities of type  $(0, 1, 3)$ . Blowing up the plane at  $p_1, \dots, p_4$  and taking the bidouble cover branched along  $D_1, D_2$ , and  $D_3$ , where  $D_1$  consists of the proper transforms of the conic and triangle,  $D_2$  the transforms of the triple of lines, together with the exceptional divisor  $e_3$  over  $p_3$ , and  $D_1$  is the transform of the line through  $p_3$  together with the exceptional divisors  $e_1, e_2, e_4$  over  $p_1, p_2$ , and  $p_4$ . We obtain a surface with  $p_g = q = 0, K^2 = 5$ .

To see that this construction is equivalent to the Burniat surface, consider the Cremona transformation of the plane centered at  $p_1, p_3$ , and  $p_4$ ; that is, let the map  $f: \mathbb{P}^2 \rightarrow \mathbb{P}^2$  be the composition of the blowup of these three points with the contraction of the lines  $p_1p_3, p_1p_4$ , and  $p_3p_4$ . Write  $q_0 = f(p_2)$  and let  $q_1 = f(p_1p_3)$ ,

$q_2 = f(p_1p_4)$ , and  $q_3 = f(p_3p_4)$  be the contractions of those three lines. Under this transformation, a conic through  $p_1, p_2, p_3, p_4$  is sent to a line through the point  $q_0$ ; the line  $p_1p_2$  is sent to the line  $q_0q_3$ , and the line  $p_2p_3$  is sent to the line  $q_0q_2$ . Thus  $D_1$  is transformed to a triple of lines through  $q_0$ , with one line through  $q_2$  and another through  $q_3$ . The line  $p_1p_4$  in  $D_2$  is blown down, while the other two lines are transformed to lines  $q_0q_1$  and another line through  $q_1$ . The other component of  $D_2$ , the exceptional divisor  $e_3$ , is sent by the Cremona transformation to the line  $q_1q_2$ . Finally the components of  $D_3$  are sent to three lines through  $q_2$ , including  $q_1q_2$  and  $q_2q_3$ . Thus under the quadratic transformation of the plane, the divisors  $D_1, D_2, D_3$  are sent to the branch divisors for the Burniat bidouble cover.

If instead we blow up  $p_1$  and  $p_2$  and contract the line  $p_1p_2$ , we have a birational map  $\mathbb{P}^2 \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$ . The configuration of curves is transformed to another construction of Catanese [3]: a bidouble cover of the quadric surface  $\mathbb{P}^1 \times \mathbb{P}^1$  with branching  $D_i$  of bi-degree  $(2, 2)$ . Each  $D_i$  consists of a horizontal and a vertical section that meet at a point  $q_i$ , together with a  $(1, 1)$  curve passing through  $q_i$  and  $q_{i+1}$ . Thus we have another description of the Burniat surface as a bidouble cover.

#### 4 Examples with Bicanonical Map of Degree Two

**Theorem 4.1** *Surfaces of general type with  $p_g = 0$  and  $K^2 = 5$  and bicanonical map of degree two can be constructed as bidouble covers of the plane, with branching divisors of degree 2, 4, and 6.*

We provide two constructions of surfaces, corresponding to the two cases of Du Val double planes given in Section 2. Both examples are bidouble covers of the plane determined by the three divisors  $D_1, D_2, D_3$  and their singularities.

First, let  $P_1, \dots, P_5$  be points in general position in the plane and consider four concurrent lines through  $P_1$ :  $T_2 = P_1P_2, T_3 = P_1P_3, S_4 = P_1P_4$ , and  $S_5 = P_1P_5$ . Let  $Q_1$  and  $Q_2$  be conics that are tangent to  $T_2$  at  $P_2$  and  $T_3$  at  $P_3$ , with  $Q_1$  through  $P_4$  and  $Q_2$  through  $P_5$ . Let  $C$  be a cubic, also tangent to  $T_2$  and  $T_3$  at  $P_2$  and  $P_3$ , respectively, and passing through the points  $P_1$  and  $P_4$ , with a double point at  $P_5$ . Then  $C$  and  $Q_1$  intersect at each of  $P_2$  and  $P_3$  with multiplicity two, as well as at the point  $P_4$ . These two curves must also intersect at one additional point,  $P_6$ . Write  $S_6$  for the line  $P_1P_6$ .

Set

$$\begin{aligned} D_1 &= Q_1 \\ D_2 &= T_3 + S_4 + S_5 + S_6 \\ D_3 &= T_2 + Q_2 + C. \end{aligned}$$

Thus we have the branch data for a bidouble cover of degrees 2, 4, 6. The degrees of the line bundles  $L_i$  are therefore 3, 4, 5, so such a cover, if non-singular, would have invariants  $p_g = 10$  and  $K^2 = 36$ . We now compute how the singularities reduce these invariants. The  $D_i$  have six singularities, of type  $(0, 2, 4)$  at  $P_1$ ,  $(0, 1, 3)$  at  $P_2$ ,  $(1, 1, 2)$  at  $P_3$ ,  $(1, 1, 1)$  at  $P_4$  and  $P_6$ , and  $(0, 1, 3)$  at  $P_5$ . At the points  $P_2$  and  $P_3$ , the tangent directions of all components coincide, resulting in “infinitely near” singularities.

To resolve these singularities and obtain a smooth cover, we blow up each  $P_i$ , as well as the infinitely near points above  $P_2$  and  $P_3$  corresponding to the tangent directions of  $T_2$  and  $T_3$ . Write  $e_i$  for the exceptional divisor above each  $P_i$ , and  $f_2, f_3$  for the divisor for the second blowups above  $P_2, P_3$ . Let  $Y$  be the rational surface obtained as this eight-fold blowup of the plane. Then  $K_Y^2 = 1$  and we have

$$\begin{aligned} D_1 &\equiv 2h - e_2 - 2f_2 - e_3 - 2f_3 - e_4 - e_6 + e_5, \\ D_2 &\equiv 4h - 4e_1 - e_3 - 2f_3 - e_4 - e_5 - e_6 + e_2, \\ D_3 &\equiv 6h - 2e_1 - 3e_2 - 6f_2 - 2e_3 - 4f_3 - e_4 - 3e_5 - e_6 + e_3. \end{aligned}$$

Note that we add the exceptional curves  $e_2, e_3, e_5$  to the branch locus to ensure even divisors.

Thus the line bundles for the cover on  $Y$  are

$$\begin{aligned} L_1 &\equiv 5h - 3e_1 - e_2 - 3f_2 - e_3 - 3f_3 - e_4 - 2e_5 - e_6, \\ L_2 &\equiv 4h - e_1 - 2e_2 - 4f_2 - e_3 - 3f_3 - e_4 - e_5 - e_6, \\ L_3 &\equiv 3h - 2e_1 - f_2 - e_3 - 2f_3 - e_4 - e_6, \end{aligned}$$

and  $D \equiv 12h - 6e_1 - 3e_2 - 8f_2 - 3e_3 - 8f_3 - 3e_4 - 3e_5 - 3e_6$ . The canonical class on  $Y$  is  $K_Y \equiv -3h + \sum_1^6 e_i + 2f_2 + 2f_3$ .

Write  $\pi: X \rightarrow Y$  for the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -cover. To compute the geometric genus  $p_g$ , we use

$$p_g(X) = p_g(Y) + \sum \dim H^0(Y, \mathcal{O}_Y(K_Y + L_i)).$$

We have

$$\begin{aligned} K_Y + L_1 &\equiv 2h - 2e_1 - f_2 - f_3 - e_5, \\ K_Y + L_2 &\equiv h - e_2 - 2f_2 - f_3, \\ K_Y + L_3 &\equiv -e_1 + f_2 + e_5. \end{aligned}$$

Clearly all three linear systems are empty, thus  $p_g(X) = 0$ .

We have  $K_X^2 = (2K_Y + D)^2 = -3$ . On  $X$  the inverse image of each of  $T_2, T_3, e_2$ , and  $e_3$  is a disjoint union of two  $-1$ -curves. Blowing these eight curves down, we obtain a surface  $S$  with  $K_S^2 = 5$ .

Next we consider the bicanonical system on the cover. Recall that the bicanonical system on  $X$  corresponds to

$$H^0(Y, \mathcal{O}_Y(2K_Y + D)) \oplus \bigoplus_1^3 H^0(Y, \mathcal{O}_Y(2K_Y + D - L_i)).$$

If  $\alpha_1, \alpha_2, \alpha_3$  are the non-zero elements of  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , with  $D_i$  the fixed divisor of the involution  $\alpha_i$ , then  $\mathbb{Z}_2 \times \mathbb{Z}_2$  acts on  $H^0(2K_Y + D - L_i)$  by the character orthogonal to  $\alpha_i$ .

We have

$$2K_Y + D \equiv 6h - 4e_1 - e_2 - 4f_2 - e_3 - 4f_3 - e_4 - e_5 - e_6,$$

a system of plane sextics with a quadruple point at  $P_1$ , tacnodes at  $P_2$  and  $P_3$ , through  $P_4, P_5, P_6$ . The lines  $T_2$  and  $T_3$  and the exceptional divisors  $e_2, e_3$  are components of this system, thus the bicanonical divisor can be written as  $2K_X \equiv \pi^*(-K_Y + T) + \pi^*(T_2 + T_3 + e_2 + e_3)$ , where  $T$  is the pullback to  $Y$  of the class of lines through  $P_1$  and  $|-K_Y + T| \equiv |4h - 2e_1 - e_2 - 2f_2 - e_3 - 2f_3 - e_4 - e_5 - e_6|$ . This system of quartics defines a birational map to  $\mathbb{P}^4$ .

The spaces  $H^0(Y, \mathcal{O}_Y(2K_Y + D - L_1))$  and  $H^0(Y, \mathcal{O}_Y(2K_Y + D - L_2))$  are easily seen to be empty, while  $\dim H^0(2K_Y + D - L_3) = 1$ , with  $2K_Y + D - L_3 = T_2 + T_3 + L_{25}$ , where  $L_{25}$  is the line  $P_2P_5$ . Since  $\dim H^0(S, \mathcal{O}_S(2K_S)) = 6$ , we see that  $S$  is a minimal surface. As  $h^0(2K_Y + D - L_3) = 1$  and  $h^0(2K_Y + D - L_i) = 0$  for  $i = 1, 2$ , the bicanonical map has degree two and coincides with the involution  $\alpha_3$  of the bidouble cover.

To realize this surface as a hyperelliptic fibration as in Borrelli’s classification, consider the pencil of lines through  $P_1$ . This gives a rational basepoint free pencil on  $Y$ ,  $|h - e_1|$ , which pulls back to a hyperelliptic genus three pencil on  $S$ . There are three double fibers, corresponding to the three fibers containing  $T_2, T_3$ , and  $e_5$ .

For the second construction, we let  $P_0$  be a point in general position to  $P_1, \dots, P_4$ , and set

$$\begin{aligned} D_1 &= Q_1 \\ D_2 &= A + T_3 \\ D_3 &= T_0 + T_2 + S_4 + S_1 \end{aligned}$$

where  $T_0$  is the line  $P_0P_1$ ,  $S_1$  is a line through  $P_1$ , and  $A$  is a quintic through  $P_1$  and  $P_4$ , with tacnodes at  $P_0, P_2$ , and  $P_3$ , tangent to  $T_0$  at  $P_0$ , and tangent to  $Q_1$  at  $P_2$  and  $P_3$ .

Thus, again we have branch data for a bidouble cover of degrees 2, 4, 6. The branching has five singularities, of type  $(0, 1, 2)$  at  $P_0$ ,  $(0, 2, 4)$  at  $P_1$ ,  $(1, 1, 2)$  at  $P_2$ ,  $(0, 1, 3)$  at  $P_3$ , and  $(1, 1, 1)$  at  $P_4$ . The singularities at the points  $P_0, P_2$ , and  $P_3$  are infinitely near as the tangent directions of all components of  $D_1, D_2$ , and  $D_3$  coincide at these points.

To resolve the singularities and obtain a smooth cover, we blow up each  $P_i$ , as well as the infinitely near points above  $P_0, P_2$ , and  $P_3$  corresponding to the tangent directions of  $T_0, T_2$ , and  $T_3$ . Write  $e_i$  for the exceptional divisor above  $P_i$ , and  $f_0, f_2, f_3$  for the divisor for the second blowups above  $P_0, P_2, P_3$ . Let  $Y$  be the rational surface obtained as this eight-fold blowup of the plane. Then  $K_Y^2 = 1$  and we have

$$\begin{aligned} D_1 &\equiv 2h - e_2 - 2f_2 - e_3 - 2f_3 - e_4, \\ D_2 &\equiv 6h - 2e_0 - 4f_0 - 2e_1 - 2e_2 - 4f_2 - 3e_3 - 6f_3 - e_4 + e_2, \\ D_3 &\equiv 4h - e_0 - 2f_0 - 4e_1 - e_2 - 2f_2 - e_4 + e_0 + e_3. \end{aligned}$$

Note that we add the exceptional curves  $e_0, e_2, e_3$  to the branch locus to ensure even divisors.

Thus the line bundles for the cover on  $Y$  are

$$\begin{aligned} L_1 &\equiv 5h - e_0 - 3f_0 - 3e_1 - e_2 - 3f_2 - e_3 - 3f_3 - e_4, \\ L_2 &\equiv 3h - f_0 - 2e_1 - e_2 - 2f_2 - f_3 - e_4, \\ L_3 &\equiv 4h - e_0 - 2f_0 - e_1 - e_2 - 3f_2 - 2e_3 - 4f_3 - e_4, \end{aligned}$$

and  $D \equiv 12h - 2e_0 - 6f_0 - 6e_1 - 3e_2 - 8f_2 - 3e_3 - 8f_3 - 3e_4$ . In this example the canonical divisor on  $Y$  is  $K_Y = -3h + e_0 + 2f_0 + e_1 + e_2 + 2f_2 + e_3 + 2f_3 + e_4$ .

Write  $\pi: X \rightarrow Y$  for the  $\mathbb{Z}_2 \times \mathbb{Z}_2$ -cover. We have

$$\begin{aligned} K_Y + L_1 &\equiv 2h - f_0 - 2e_1 - f_2 - f_3, \\ K_Y + L_2 &\equiv e_0 + f_0 - e_1 + f_3, \\ K_Y + L_3 &\equiv h - f_2 - e_3 - 2f_3. \end{aligned}$$

Clearly all three linear systems are empty, thus  $p_g(X) = 0$ .

We have  $K_X^2 = (2K_Y + D)^2 = -5$ . On  $X$  the inverse image of each of  $T_2, T_3, e_0, e_2$ , and  $e_3$  is a disjoint union of two  $-1$ -curves. Blowing these ten curves down we obtain a surface  $S$  with  $K_S^2 = 5$ . We note that the image of  $T_0$  on  $S$  is a  $-2$  rational curve, thus  $K_S$  is not ample.

Next we compute the degree of the bicanonical map. We have

$$2K_Y + D \equiv 6h - 2f_0 - 4e_1 - e_2 - 4f_2 - e_3 - 4f_3 - e_4,$$

corresponding to a system of plane sextics tangent to  $T_0$  at  $P_0$ , with a quadruple point at  $P_1$ , tacnodes at  $P_2$  and  $P_3$  along  $T_2$  and  $T_3$ , through  $P_4$ . As the line  $T_2$  would intersect such a sextic with multiplicity four at both  $P_1$  and  $P_2$ ,  $T_2$  must be a component of this system. Similarly  $T_3, e_0, e_2$ , and  $e_3$  are components, so we have on  $Y$

$$|2K_Y + D| = T_2 + T_3 + e_0 + e_2 + e_3 + |4h - e_0 - 2f_0 - 2e_1 - e_2 - 2f_2 - e_3 - 2f_3 - e_4|.$$

This system of quartics can be written as  $|-K_Y + T|$ , where  $T$  corresponds to the pullback of lines through  $P_1$ , and defines a birational map to  $\mathbb{P}^4$ . We have  $\dim H^0(Y, \mathcal{O}_Y(2K_Y + D - L_2)) = 1$ , with  $2K_Y + D - L_2 = T_2 + T_3 + h - f_0 - f_3$ . Since the systems  $|2K_Y + D - L_1|$  and  $|2K_Y + D - L_3|$  are empty we have  $\dim H^0(S, \mathcal{O}_S(2K_S)) = 6$ . Thus  $S$  is our minimal surface with  $K_S^2 = 5$ . The bicanonical map has degree two and coincides with one of the involutions of the bidouble cover.

To realize this surface as a hyperelliptic fibration we again consider the rational pencil on  $Y$  of lines through  $P_1$ . In this case we have four double fibers, corresponding to the lines  $T_0, T_2, T_3$ , and  $T$ .

**Remark 4.2** In the first construction, we can instead choose branch curves without the singularity at either  $P_4$  or  $P_6$  to obtain a surface with  $K^2 = 6$ . Avoiding singularities at both points results in a surface with  $K^2 = 7$ . In the second construction

we can obtain a surface with  $K^2 = 4$  by imposing an additional singularity of type  $(1, 1, 1)$ , by taking the line  $S_1$  through an additional intersection point of the conic  $Q_1$  and the quintic  $A$ .

**Acknowledgments** This work was begun during a visit to Lisbon supported by the Allegheny College Academic Support Committee. I am grateful to the Instituto Superior Tecnico for the hospitality during my stay, and I thank Margarida Mendes Lopes for many useful and interesting discussions.

## References

- [1] G. Borrelli, *The classification of surfaces of general type with nonbirational bicanonical map*. J. Algebraic Geom. **16**(2007), no. 4, 625–669.
- [2] P. Burniat, *Sur les surfaces de genre  $P_{12} > 1$* . Ann. Mat. Pura Appl.(4) **71**(1996), 1 - 24. doi:10.1007/BF02413731
- [3] F. Catanese, *Singular bidouble covers and the construction of interesting algebraic surfaces*. In: Algebraic geometry: Hirzebruch 70, Contemp. Math., 241, Amer. Math. Soc., Providence, RI, 1999, pp. 97 - 120.
- [4] P. Du Val, *On surfaces whose canonical system is hyperelliptic*. Canadian J. Math. **4**(1952), 204–221.
- [5] Y. Miyaoka, *Tricanonical maps of numerical Godeaux surfaces*. Invent. Math **34**(1976), no. 2, 99–111. doi:10.1007/BF01425477
- [6] M. Mendes Lopes and R. Pardini, *Surfaces of general type with  $p_g = 0, K^2 = 6$  and non birational bicanonical map*. Math. Ann. **329**(2004), no. 3, 535–552.
- [7] ———, *A connected component of the moduli space of surfaces with  $p_g = 0$* . Topology **40**(2001), no. 5, 977–991. doi:10.1016/S0040-9383(00)00004-5
- [8] I. Reider, *Vector bundles of rank 2 and linear systems on algebraic surfaces*. Ann. of Math. **127**(1988), no. 2, 309–316. (1988). doi:10.2307/2007055
- [9] G. Xiao, *Degree of the bicanonical map of a surface of general type*. Amer. J. Math. **112**(1990), no. 5, 713–736. doi:10.2307/2374804

Department of Mathematics, Allegheny College, Meadville, PA 16335  
e-mail: cwerner@allegheny.edu