

# A GENERALIZATION OF THE MATRIX FORM OF THE BRUNN-MINKOWSKI INEQUALITY

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## Abstract

In this paper, we establish an extension of the matrix form of the Brunn-Minkowski inequality. As applications, we give generalizations on the metric addition inequality of Alexander.

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## 1. Introduction

The Brunn-Minkowski inequality is one of the most important geometric inequalities. There is a vast amount of work on its generalizations and on its connections with other areas, (see [2, 5–13, 21, 22]). An excellent survey on this inequality is provided by Gardner (see [12]). The matrix form of the Brunn-Minkowski inequality (see [14, 15]) asserts that if  $A$  and  $B$  are two positive definite matrices of order  $n$ , then

$$(1.1) \quad |A + B|^{1/n} \geq |A|^{1/n} + |B|^{1/n},$$

with equality if and only if  $A = cB$  ( $c \geq 0$ ), where  $|A|$  denotes the determinant of  $A$ .

In [4], Bergström proved the following interesting inequality, which is analogous to (1.1).

If  $A$  and  $B$  are positive definite matrices of order  $n$ , and  $A_{(i)}$ ,  $B_{(i)}$  denote the sub-matrices obtained by deleting the  $i$ -th row and column, then

$$(1.2) \quad \frac{|A + B|}{|A_{(i)} + B_{(i)}|} \geq \frac{|A|}{|A_{(i)}|} + \frac{|B|}{|B_{(i)}|}.$$

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In [9], Ky Fan gave a simultaneous generalization of (1.1) and (1.2). He established the following elegant inequality.

Let  $A_k$  denote the principal sub-matrix of  $A$  formed by taking the first  $k$  rows and columns of  $A$ . If  $C = A + B$ , where  $A$  and  $B$  are positive definite matrices of order  $n$ , then

$$(1.3) \quad \left( \frac{|C|}{|C_k|} \right)^{1/(n-k)} \geq \left( \frac{|A|}{|A_k|} \right)^{1/(n-k)} + \left( \frac{|B|}{|B_k|} \right)^{1/(n-k)}$$

In this paper, a new generalization of the matrix form of the Brunn-Minkowski inequality is presented, which is an extension of (1.3) also.

Let  $I_{n-k}$  denote the unit matrix of order  $n - k$ , ( $0 \leq k < n$ ). One of our main results is the following theorem.

**THEOREM 1.1.** *Let  $A$  and  $B$  be positive definite matrices of order  $n$ , and let  $a$  and  $b$  be two nonnegative real numbers such that  $A > aI_n$  and  $B > bI_n$ . If  $C = A + B$ , then*

$$(1.4) \quad \left( \frac{|C|}{|C_k|} - |(a + b)I_{n-k}| \right)^{1/(n-k)} \geq \left( \frac{|A|}{|A_k|} - |aI_{n-k}| \right)^{1/(n-k)} + \left( \frac{|B|}{|B_k|} - |bI_{n-k}| \right)^{1/(n-k)}$$

with equality if and only if  $a^{-1}A = b^{-1}B$ .

The other aim of this paper is to provide a generalization of the metric addition inequality of Alexander. The concept of metric addition began with Oppenheim in [20], and was first explicitly defined and named by Alexander in [1].

Let  $\Omega_1 = \{P_0^{(1)}, \dots, P_n^{(1)}\}$  and  $\Omega_2 = \{P_0^{(2)}, \dots, P_n^{(2)}\}$  denote two simplices in the  $n$ -dimensional Euclidean space  $R^n$  with vertices  $P_0^{(1)}, \dots, P_n^{(1)}$  and  $P_0^{(2)}, \dots, P_n^{(2)}$ , respectively. If there exists a set of points  $\Omega_3 = \{P_0^{(3)}, \dots, P_n^{(3)}\}$ , such that

$$(1.5) \quad |P_i^{(3)} - P_j^{(3)}|^2 = |P_i^{(1)} - P_j^{(1)}|^2 + |P_i^{(2)} - P_j^{(2)}|^2,$$

then  $\Omega_3$  is called *metric addition* of  $\Omega_1$  and  $\Omega_2$ , and is denoted by

$$(1.6) \quad \Omega_3 = \Omega_1 + \Omega_2.$$

It can be proved that the set of points  $\Omega_3$  exists and is an  $n$ -dimensional simplex (see [1]). Alexander conjectured the following inequality:

$$(1.7) \quad V^2(\Omega_3) \geq V^2(\Omega_1) + V^2(\Omega_2).$$

However in [23], Yang and Zhang proved that (1.7) is not true, and gave the following correct form

$$(1.8) \quad V^{2/n}(\Omega_3) \geq V^{2/n}(\Omega_1) + V^{2/n}(\Omega_2),$$

with equality if and only if  $\Omega_1$  and  $\Omega_2$  are similar.

As an application of Theorem 1.1, we establish the following theorem, which is a special case of Theorem 4.1 of this paper.

**THEOREM 1.2.** *Let simplex  $\Omega_3$  be a metric addition of simplex  $\Omega_1$  and simplex  $\Omega_2$ . Let  $D_1$  and  $D_2$  be compact domains in  $R^n$  and  $D_1 \subset \Omega_1, D_2 \subset \Omega_2$ . Then*

$$(1.9) \quad [V^2(\Omega_3) - (V^{2/n}(D_1) + V^{2/n}(D_2))^n]^{1/n} \geq [V^2(\Omega_1) - V^2(D_1)]^{1/n} + [V^2(\Omega_2) - V^2(D_2)]^{1/n}.$$

The equality holds if and only if  $\Omega_1$  and  $\Omega_2$  are similar and  $(V(\Omega_1), V(\Omega_2)) = \mu(V(D_1), V(D_2))$ , where  $\mu$  is a constant.

**REMARK 1.3.** Taking  $D_1 = D_2 = \emptyset$  or taking  $D_1 = \Omega_1, D_2 = \Omega_2$  in Theorem 1.2, we can obtain (1.8). Hence (1.9) is a generalization of (1.8).

## 2. Definitions and lemmas

Let  $S_n(R)$  denote the set of  $n \times n$  real symmetric matrices. Let  $I_n$  denote the  $n \times n$  unit matrix. We use the notation  $A > 0$  ( $A \geq 0$ ) if  $A$  is a positive definite (positive semi-definite) matrix, and  $A^T$  denotes the transpose of  $A$ . Let  $A, B \in S_n(R)$ . Then  $A > B$  ( $A \geq B$ ) if and only if  $A - B > 0$  ( $A - B \geq 0$ ). Let  $k_n$  denote the volume of the unit ball in  $R^n$ .

**DEFINITION 2.1.** Let  $A = \begin{bmatrix} A_k & A_{12} \\ A_{21} & A_{22} \end{bmatrix}$  be a matrix of order  $n$ , and let  $A_k$  denote the principal sub-matrix of  $A$  formed by taking the first  $k$  rows and columns of  $A$ . If  $A_k$  is nonsingular, then  $A_{22} - A_{21}A_k^{-1}A_{12}$  is called a *Schur complement* of  $A$ , with respect to  $A_k$ , which is denoted by  $A/A_k$ .

Obviously, if  $A_k$  is a matrix of order 0, then  $A/A_k = A$ .

**LEMMA 2.2.** *Let  $A \in S_n(R), A > 0$ , and  $A_k$  be its  $k$ -th order principal minor. Then*

$$(2.1) \quad A/A_k > 0 \quad \text{and} \quad |A/A_k| = \frac{|A|}{|A_k|}.$$

The proof of Lemma 2.2 can be found in [17, page 22].

LEMMA 2.3 ([10, 16]). *Let  $A, B \in S_n(R)$ ,  $A > 0, B > 0$ , and  $A_k$  and  $B_k$  be  $k$ -th order principal minors of  $A$  and  $B$ , respectively. Then*

$$(2.2) \quad (A + B)/(A_k + B_k) \geq A/A_k + B/B_k.$$

LEMMA 2.4. *Let  $A, B \in S_n(R)$ ,  $A > B > 0$ . Then*

$$(2.3) \quad |A| > |B|.$$

The proof of Lemma 2.4 can be found in [17, page 472].

LEMMA 2.5 ([19]). *Let  $A, B \in S_n(R)$ ,  $A \geq B > 0$ ,  $A_k, B_k$  be  $k$ -th order principal minors of  $A$  and  $B$ , respectively. Then*

$$(2.4) \quad |A/A_k| \geq |B/B_k|.$$

LEMMA 2.6. *Let  $A, B \in S_n(R)$ ,  $A > 0, B > 0$ . Then there exists an invertible matrix  $P$  satisfying  $|P^T P| = 1$  such that  $P^T A P = \text{diag}(a_1, \dots, a_n)$  and  $P^T B P = \text{diag}(b_1, \dots, b_n)$ .*

LEMMA 2.7. *Let  $x_i \geq 0, y_i \geq 0$  ( $i = 1, \dots, n$ ). Then*

$$(2.5) \quad \left(\prod_{i=1}^n x_i\right)^{1/n} + \left(\prod_{i=1}^n y_i\right)^{1/n} \leq \left(\prod_{i=1}^n (x_i + y_i)\right)^{1/n},$$

with equality if and only if  $x_i = \nu y_i$ , where  $\nu$  is a constant.

This is a special case of Maclaurin's inequality.

LEMMA 2.8 (Bellman's inequality). *Suppose that  $a = \{a_1, \dots, a_n\}$  and  $b = \{b_1, \dots, b_n\}$  are two  $n$ -tuples of positive real numbers, and  $p > 1$  such that*

$$a_1^p - \sum_{i=2}^n a_i^p > 0 \quad \text{and} \quad b_1^p - \sum_{i=2}^n b_i^p > 0.$$

Then

$$(2.6) \quad \left((a_1 + b_1)^p - \sum_{i=2}^n (a_i + b_i)^p\right)^{1/p} \geq \left(a_1^p - \sum_{i=2}^n a_i^p\right)^{1/p} + \left(b_1^p - \sum_{i=2}^n b_i^p\right)^{1/p},$$

with equality if and only if  $a = \nu b$ , where  $\nu$  is a constant.

The proof of Lemma 2.8 can be found in [3, page 38].

### 3. Proof of Theorem 1.1

PROOF. According to Lemma 2.2 and Lemma 2.3, we have

$$|A/A_k| = \frac{|A|}{|A_k|}, \quad |B/B_k| = \frac{|B|}{|B_k|},$$

and

$$(3.1) \quad \frac{|A + B|}{|A_k + B_k|} = |(A + B)/(A_k + B_k)| \geq |(A/A_k) + (B/B_k)|.$$

So

$$(3.2) \quad \left( \frac{|A + B|}{|A_k + B_k|} - |(a + b)I_{n-k}| \right)^{1/(n-k)} \geq (|(A/A_k) + (B/B_k)| - |(a + b)I_{n-k}|)^{1/(n-k)}.$$

Let  $\tilde{A} = A/A_k > 0$  and  $\tilde{B} = B/B_k > 0$ . Then to prove (1.4), we need only to prove the following inequality

$$(3.3) \quad (|\tilde{A} + \tilde{B}| - |(a + b)I_{n-k}|)^{1/(n-k)} \geq (|\tilde{A}| - |aI_{n-k}|)^{1/(n-k)} + (|\tilde{B}| - |bI_{n-k}|)^{1/(n-k)}.$$

Notice that  $\tilde{A}$  and  $\tilde{B}$  are matrices of order  $n - k$ . By condition  $A > aI_n$ ,  $B > bI_n$  and Lemma 2.5, we have  $\tilde{A} > aI_{n-k}$ ,  $\tilde{B} > bI_{n-k}$ . By Lemma 2.6, there is an invertible matrix  $P$  such that  $|P^T P| = 1$ , and

$$P^T \tilde{A} P = \text{diag}(a_1, \dots, a_{n-k}), \quad P^T \tilde{B} P = \text{diag}(b_1, \dots, b_{n-k}).$$

So

$$|\tilde{A}| = |P^T \tilde{A} P| = \prod_{i=1}^{n-k} a_i, \quad |\tilde{B}| = |P^T \tilde{B} P| = \prod_{i=1}^{n-k} b_i, \quad \text{and} \quad |\tilde{A} + \tilde{B}| = \prod_{i=1}^{n-k} (a_i + b_i).$$

It is straightforward to see that (3.3) holds if and only if

$$(3.4) \quad \left( \prod_{i=1}^{n-k} (a_i + b_i) - (a + b)^{n-k} \right)^{1/(n-k)} \geq \left( \prod_{i=1}^{n-k} a_i - a^{n-k} \right)^{1/(n-k)} + \left( \prod_{i=1}^{n-k} b_i - b^{n-k} \right)^{1/(n-k)}$$

Now we prove (3.4). Put  $X^{n-k} = \prod_{i=1}^{n-k} a_i - a^{n-k}$  and  $Y^{n-k} = \prod_{i=1}^{n-k} b_i - b^{n-k}$ . Then

$$X^{n-k} + a^{n-k} = \prod_{i=1}^{n-k} a_i, \quad Y^{n-k} + b^{n-k} = \prod_{i=1}^{n-k} b_i.$$

Applying Minkowski inequality, we have

$$\begin{aligned} ((X + Y)^{n-k} + (a + b)^{n-k})^{1/(n-k)} &\leq (X^{n-k} + a^{n-k})^{1/(n-k)} + (Y^{n-k} + b^{n-k})^{1/(n-k)} \\ &= \left(\prod_{i=1}^{n-k} a_i\right)^{1/(n-k)} + \left(\prod_{i=1}^{n-k} b_i\right)^{1/(n-k)}. \end{aligned}$$

Applying Lemma 2.7 to the right of the above inequality, we obtain

$$((X + Y)^{n-k} + (a + b)^{n-k})^{1/(n-k)} \leq \left(\prod_{i=1}^{n-k} (a_i + b_i)\right)^{1/(n-k)},$$

which implies that  $(X + Y)^{n-k} \leq \prod_{i=1}^{n-k} (a_i + b_i) - (a + b)^{n-k}$ . It follows that

$$X + Y \leq \left(\prod_{i=1}^{n-k} (a_i + b_i) - (a + b)^{n-k}\right)^{1/(n-k)},$$

which is just inequality (3.4). □

**REMARK 3.1.** Let  $a = b = 0$  in Theorem 1.1. Then we get the Ky Fan inequality (1.3). Let  $k = 0$  in Theorem 1.1, and we obtain

$$(3.5) \quad (|A + B| - |(a + b)I_n|)^{1/n} \geq (|A| - |aI_n|)^{1/n} + (|B| - |bI_n|)^{1/n},$$

with equality if and only if  $a^{-1}A = b^{-1}B$ .

This is [18, Equation (23)], so Theorem 1.1 is a generalization of the Ky Fan inequality (1.3) and (3.5).

Replacing  $A$  and  $B$  by  $\lambda A$  and  $\mu B$ , and at the same time replacing  $a$  and  $b$  by  $\lambda a$  and  $\mu b$  in Theorem 1.1, yields the following corollary.

**COROLLARY 3.2.** Let  $A, B \in S_n(R)$ , and  $A_k$  and  $B_k$  be  $k$ -th order principal minors of  $A$  and  $B$  respectively. Let  $C = \lambda A + \mu B$ ,  $a \geq 0, b \geq 0$ . If  $A > aI_n, B > bI_n$ , then

$$(3.6) \quad \begin{aligned} &\left(\frac{|C|}{|C_k|} - |(\lambda a + \mu b)I_{n-k}|\right)^{1/(n-k)} \\ &\geq \lambda \left(\frac{|A|}{|A_k|} - |aI_{n-k}|\right)^{1/(n-k)} + \mu \left(\frac{|B|}{|B_k|} - |bI_{n-k}|\right)^{1/(n-k)} \end{aligned}$$

for all  $\lambda > 0, \mu > 0$ , with equality if and only if  $a^{-1}A = b^{-1}B$ .

By induction, we infer the following.

COROLLARY 3.3. Let  $A_i \in S_n(R)$ ,  $a_i \geq 0$ ,  $\lambda_i > 0$ ,  $A_i > a_i I_n$ , and  $A_{i(k)}$  be  $k$ -th order principal minors of  $A_i$ ,  $i = 1, \dots, m$ . Then

$$(3.7) \quad \left( \frac{|\sum_{i=1}^m \lambda_i A_i|}{|\sum_{i=1}^m \lambda_i A_{i(k)}|} - \left| \sum_{i=1}^m \lambda_i a_i I_{n-k} \right| \right)^{1/(n-k)} \geq \sum_{i=1}^m \lambda_i \left( \frac{|A_i|}{|A_{i(k)}|} - |a_i I_{n-k}| \right)^{1/(n-k)},$$

with equality if and only if  $a_1^{-1} A_1 = \dots = a_m^{-1} A_m$ .

Applying the generalized arithmetic-geometric mean inequality to the right side of (3.7), we get the following inequality.

COROLLARY 3.4. Let  $A_i \in S_n(R)$ ,  $a_i \geq 0$ ,  $\lambda_i > 0$ , and  $\sum_{i=1}^m \lambda_i = 1$ ,  $A_i > a_i I_n$ , and  $A_{i(k)}$  be  $k$ -th order principal minors of  $A_i$ ,  $i = 1, \dots, m$ . Then

$$(3.8) \quad \frac{|\sum_{i=1}^m \lambda_i A_i|}{|\sum_{i=1}^m \lambda_i A_{i(k)}|} - \left| \sum_{i=1}^m \lambda_i a_i I_{n-k} \right| \geq \prod_{i=1}^m \left( \frac{|A_i|}{|A_{i(k)}|} - |a_i I_{n-k}| \right)^{\lambda_i}.$$

When  $a_1 = \dots = a_m = 0$ , the equality holds in (3.8) if and only if  $A_1, \dots, A_m$  are equal.

Taking  $i = 2$ ,  $k = 0$  in Corollary 3.4, we obtain a generalization of the Ky Fan concave theorem as follows.

COROLLARY 3.5. Let  $A_i \in S_n(R)$ ,  $a_i \geq 0$ ,  $A_i > a_i I_n$  ( $i = 1, 2$ ). Then

$$(3.9) \quad |\lambda A_1 + (1 - \lambda) A_2| - [\lambda a_1 + (1 - \lambda) a_2]^n \geq (|A_1| - a_1^n)^\lambda (|A_2| - a_2^n)^{1-\lambda},$$

where  $0 \leq \lambda \leq 1$ .

### 4. Inequalities for metric addition

Let  $\Omega_l = \{P_0^{(l)}, \dots, P_n^{(l)}\}$  ( $1 \leq l \leq m$ ) be a simplex in  $R^n$ . For any  $\lambda_l > 0$  ( $1 \leq l \leq m$ ), there exists a unique simplex  $\Omega_{m+1} = \{P_0^{(m+1)}, \dots, P_n^{(m+1)}\}$  such that

$$(4.1) \quad |P_i^{(m+1)} - P_j^{(m+1)}|^2 = \sum_{l=1}^m \lambda_l |P_i^{(l)} - P_j^{(l)}|^2.$$

Then  $\Omega_{m+1}$  is called the *weighted metric addition* of  $\Omega_1, \dots, \Omega_m$ , denoted by

$$(4.2) \quad \Omega_{m+1} = \sum_{l=1}^m \lambda_l \Omega_l$$

(see [1, 23]). On the weighted metric addition, we have the following theorem.

**THEOREM 4.1.** *Let  $\Omega_i$  be an  $n$ -dimensional simplex in  $R^n$  ( $1 \leq i \leq m$ ). If  $\Omega_{m+1} = \sum_{i=1}^m \lambda_i \Omega_i$  and compact domains  $D_i \subset \Omega_i$ , then*

$$(4.3) \quad \left[ V^2(\Omega_{m+1}) - \left( \sum_{i=1}^m \lambda_i V^{2/n}(D_i) \right)^n \right]^{1/n} \geq \sum_{i=1}^m \lambda_i [V^2(\Omega_i) - V^2(D_i)]^{1/n}.$$

*The equality holds if and only if  $\Omega_1, \dots, \Omega_m$  are similar and  $(V(\Omega_1), \dots, V(\Omega_m)) = \mu(V(D_1), \dots, V(D_m))$ , where  $\mu$  is a constant.*

**PROOF.** Let  $a_{ij}^{(l)}$  be the distance between  $P_i^{(l)}$  and  $P_j^{(l)}$ . Let

$$\rho_{ij}^{(l)} = (a_{i0}^{(l)})^2 + (a_{0j}^{(l)})^2 - (a_{ij}^{(l)})^2, \quad (0 \leq i, j \leq n).$$

Then the matrix  $A^{(l)} = (\rho_{ij}^{(l)})_{n \times n}$  is a positive definite matrix.

It is straightforward to verify that

$$(4.4) \quad A^{(m+1)} = \sum_{i=1}^m \lambda_i A^{(i)},$$

then by the volume formula of a simplex, we have

$$(4.5) \quad |A^{(i)}| = 2^n n!^2 V^2(\Omega_i),$$

where  $1 \leq i \leq m + 1$ .

Let

$$(4.6) \quad a_i^n = 2^n n!^2 V^2(D_i), \quad (1 \leq i \leq m).$$

Since  $D_i \subset \Omega_i$ ,  $1 \leq i \leq m$ , then  $|A_i| > a_i^n$ ,  $1 \leq i \leq m$ . Setting  $k = 0$  in Corollary 3.3, we have

$$(4.7) \quad \left[ \left| \sum_{i=1}^m \lambda_i A_i \right| - \left( \sum_{i=1}^m \lambda_i a_i \right)^n \right]^{1/n} \geq \sum_{i=1}^m \lambda_i (|A_i| - a_i^n)^{1/n},$$

with equality if and only if  $a_1^{-1} A^{(1)} = \dots = a_m^{-1} A^{(m)}$ .

By (4.4), we have

$$(4.8) \quad \left[ |A^{(m+1)}| - \left( \sum_{i=1}^m \lambda_i a_i \right)^n \right]^{1/n} \geq \sum_{i=1}^m \lambda_i (|A^{(i)}| - a_i^n)^{1/n}.$$

Substituting (4.5) and (4.6) into (4.7) and rearranging, we obtain (4.3). □

Let  $D_1$  and  $D_2$  be two closed balls with radii  $r_1$  and  $r_2$ , respectively. We infer the following.

**COROLLARY 4.2.** *Let  $\Omega_3 = \Omega_1 + \Omega_2$ , and  $r(\Omega_1)$  and  $r(\Omega_2)$  be the radii of simplex  $\Omega_1$  and  $\Omega_2$ , respectively. If  $0 \leq r_1 \leq r(\Omega_1)$ ,  $0 \leq r_2 \leq r(\Omega_2)$ , then*

$$(4.9) \quad (V^2(\Omega_3) - (r_1^2 + r_2^2)^n k_n^2)^{1/n} \geq (V^2(\Omega_1) - r_1^{2n} k_n^2)^{1/n} + (V^2(\Omega_2) - r_2^{2n} k_n^2)^{1/n}.$$

When  $r_1 = r_2 = 0$ , there is equality if and only if  $\Omega_1$  and  $\Omega_2$  are similar; when  $r_1 \neq 0$  and  $r_2 \neq 0$ , equality holds if and only if  $\Omega_1$  and  $\Omega_2$  are similar and  $r_1^n/r_2^n = V(\Omega_1)/V(\Omega_2)$ .

**PROOF.** From (1.8) and applying Bellman's inequality (2.6), we have

$$\begin{aligned} (V^2(\Omega_3) - (r_1^2 + r_2^2)^n k_n^2)^{1/n} &\geq ((V^{2/n}(\Omega_1) + V^{2/n}(\Omega_2))^n - (r_1^2 + r_2^2)^n k_n^2)^{1/n} \\ &\geq (V^2(\Omega_1) - r_1^{2n} k_n^2)^{1/n} + (V^2(\Omega_2) - r_2^{2n} k_n^2)^{1/n}. \end{aligned}$$

The proof is complete. □

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