

VOLTERRA SPACES REVISITED

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(Received 6 August 2003; revised 19 March 2004)

Communicated by A. J. Pryde

Abstract

In this paper, we investigate Volterra spaces and relevant topological properties. New characterizations of weakly Volterra spaces are provided. An analogy of the Banach category theorem in terms of Volterra properties is obtained. It is shown that every weakly Volterra homogeneous space is Volterra, and there are metrizable Baire spaces whose hyperspaces of nonempty compact subsets endowed with the Vietoris topology are not weakly Volterra.

2000 *Mathematics subject classification*: primary 26A15, 54C05, 54E52.

Keywords and phrases: Baire space, dense G_δ -set, the Vietoris topology, Volterra space, weakly Volterra space.

1. Introduction

Let $f : X \rightarrow Y$ be a function from one topological space X into another topological space Y . We shall denote by $C(f)$ (respectively $D(f)$) the set of points at which f is continuous (respectively discontinuous). Recall that f is said to be *pointwise discontinuous*, abbreviated as *PWD*, if $C(f)$ is dense in X . This class of functions was originally introduced by Hankel [8] in 1870, and used to be the main object of studies in the classical real function theory until the appearance of the works of Lebesgue. It can be shown that a function of a Baire space to a metric space is PWD if and only if $D(f)$ is of first category. In 1881, Volterra [16] proved the following interesting theorem.

THEOREM 1.1 ([16]). *Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be a PWD function. Then there exists no other PWD function $g : \mathbb{R} \rightarrow \mathbb{R}$ with $C(g) = D(f)$.*

The first author was supported by a fellowship from the Foundation for Research, Science and Technology of New Zealand under the project number UOAX0240.

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Hence, for example, the set $C(f)$ of the function $f : \mathbb{R} \rightarrow \mathbb{R}$ given by

$$f(x) = \sum_{k \geq 1} \frac{(kx)}{k^2} = \frac{(x)}{1^2} + \frac{(2x)}{2^2} + \cdots + \frac{(kx)}{k^2} + \cdots,$$

where (x) denotes the fractional part of $x \in \mathbb{R}$, is precisely the irrationals, and there exists no function $g : \mathbb{R} \rightarrow \mathbb{R}$ whose set of points of continuity is the rationals. These ideas and their generalizations have been studied in the last ten years by Gauld, Greenwood and Piotrowski in [3–6] respectively. Their work leads to the following definitions of Volterra and weakly Volterra spaces.

DEFINITION 1.2 ([5]). A topological space X is called *Volterra* (respectively *weakly Volterra*) if for each pair of real-valued PWD functions $f, g : X \rightarrow \mathbb{R}$, the set $C(f) \cap C(g)$ is dense (respectively nonempty) in X .

We notice that the range space \mathbb{R} in Definition 1.2 can be replaced by any developable space by considering the generalized oscillation. Although Volterra and weakly Volterra spaces are defined in terms of ‘external’ functions on them, there are some ‘internal’ characterizations for these two classes of spaces as well, namely, a space X is Volterra (respectively weakly Volterra) if and only if the intersection of any two dense G_δ -sets in X is dense (respectively nonempty) [6]. Recall that a space is *Baire* (respectively *of second category*) if the intersection of any countably many dense open subsets is dense (respectively nonempty). Now, it is clear that every Baire space is Volterra, and every space of second category is weakly Volterra. Of course, all nonempty Baire spaces are of second category, and all nonempty Volterra spaces are weakly Volterra. In general, these four classes of spaces are all distinct, and relevant examples can be found in [4–7]. In answering a question in [4], Gruenhage and Lutzer [7] provided some natural classes of topological spaces in which a space is Volterra if and only if it is Baire. In particular, the following theorem is essentially proved in [7].

THEOREM 1.3 ([7]). *Let X be a topological space which satisfies any one of the following conditions:*

- (a) X contains a dense metrizable subspace.
- (b) X is a *Lašnev space*, that is, a closed continuous image of a metric space.
- (c) X is a *metacompact sequential space* which has a σ -closed discrete dense set.
- (d) X is *separable and sequential*.
- (e) X is a *metacompact Moore space*.

Then X is a Baire space (respectively a space of second category) if and only if it is a Volterra (respectively weakly Volterra) space.

However, it is still not clear how to extend Theorem 1.3 to some classes of topological spaces with certain types of generalized metric properties. For example, it is

still an open question whether it is true that every Volterra Moore space is Baire, see, for example, [7, Question 2.11].

In this paper, we shall continue the study of Volterra and weakly Volterra spaces. In Section 2, new characterizations of weakly Volterra spaces are given, and an error in a result of [4] is corrected. In Section 3, an analogy of the Banach category theorem is established. This enables us to discover a decomposition for an arbitrary topological space in terms of Volterra properties, and further prove that any weakly Volterra homogeneous space is Volterra. In the last section, we study hyperspaces of Volterra spaces with the Vietoris topology. It is shown that in certain classes of spaces, if the hyperspace of nonempty compact subsets of a given space is Volterra (respectively weakly Volterra) then all its finite powers must be Volterra (respectively weakly Volterra). We also give two examples to show that in general, the property of being (weakly) Volterra is not preserved by the hyperspace of nonempty compact subsets of a given space. Finally, some open questions related to Volterra properties of hyperspaces are posed.

All topological spaces are assumed T_1 , although it is not always necessary. As usual, \bar{A} and $\text{int } A$ will denote the closure and interior of a subset A in a space X respectively. When X is a subspace of a topological space Y , we shall use \bar{A}^X and $\text{int}_X A$ to denote the closure and interior of a subset A in the subspace X respectively. For a cardinal κ , $\text{cf}(\kappa)$ denotes the cofinality of κ , and κ^+ will represent the next cardinal after κ . The symbol ${}^A B$ stands for the set of all functions from a set A to a set B . We refer the readers to [9] for basic facts and undefined notation about Baire spaces. For the other undefined terminology, see [11, 12].

2. Weakly Volterra spaces

In this section, we first correct an error in an example of Gauld, Greenwood and Piotrowski on weakly Volterra spaces in [4]. Then, we provide some new characterizations for weakly Volterra spaces, which enable us to resolve a problem in [4]. The following result can be found in [4].

THEOREM 2.1 ([4]). *If X is a Volterra space, Y_1, \dots, Y_n ($n \in \mathbb{N}$) are developable spaces and $f_i : X \rightarrow Y_i$ ($i \leq n$) are PWD functions, then $\bigcap \{C(f_i) : 1 \leq i \leq n\}$ is dense in X .*

In the light of Theorem 2.1, it is natural and also interesting to consider the following question.

QUESTION 2.2. *Is it true that for any weakly Volterra space X , any developable spaces Y_1, \dots, Y_n ($n \geq 3$) and any PWD functions $f_i : X \rightarrow Y_i$ ($1 \leq i \leq n$), $\bigcap \{C(f_i) : 1 \leq i \leq n\} \neq \emptyset$?*

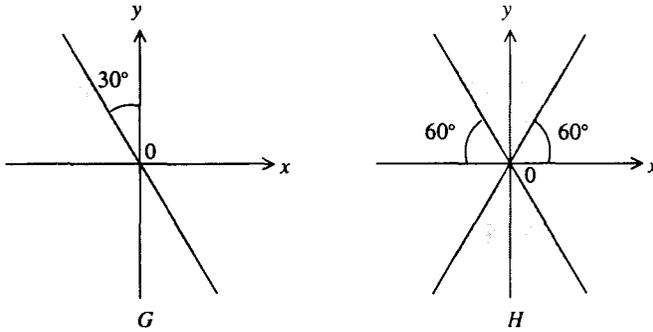


FIGURE 1.

In fact, this question has been already considered in [4] and a negative answer was provided there. More precisely, a weakly Volterra space X and three real-valued functions $f, g, h : X \rightarrow \mathbb{R}$ such that $C(f), C(g)$ and $C(h)$ are dense G_δ -sets of X , but $C(f) \cap C(g) \cap C(h) = \emptyset$, were constructed in [4, Example 3]. Unfortunately, this example is false as we are going to show next.

EXAMPLE 1. The space X in [4, Example 3] is not weakly Volterra. First, we shall briefly describe the space presented in [4]. Let

$$A = \{(x, y) \in \mathbb{R}^2 : y \geq 0\}.$$

For each real number $r \geq 0$, let $A_r = \{(x, y) \in \mathbb{R}^2 : y + r > 0\}$. Define B, B_r to be the sets obtained by rotating A, A_r 120° about $(0, 0)$ anti-clockwise, and C, C_r by a similar rotation clockwise. Let

$$D = (A_0 \cap B_0) \cup (B_0 \cap C_0) \cup (C_0 \cap A_0) \quad \text{and}$$

$$E = (A_0 \setminus (B \cup C)) \cup (B_0 \setminus (C \cup A)) \cup (C_0 \setminus (A \cup B)).$$

Furthermore, let us define $\mathcal{B}_1, \mathcal{B}_2$ and \mathcal{B}_3 by

$$\mathcal{B}_1 = \{(A_r \cap B_s \cap C_t \cap D) \setminus F : r, s, t > 0 \text{ and } F \subseteq \mathbb{R}^2 \text{ is finite}\},$$

$$\mathcal{B}_2 = \{(A_r \cap B_s \cap C_t) \setminus F : r, s, t > 0 \text{ and } F \subseteq \mathbb{R}^2 \text{ is finite}\} \quad \text{and}$$

$$\mathcal{B}_3 = \{(A_r \cap B_s \cap C_t \cap E) \setminus F : r, s, t > 0 \text{ and } F \subseteq \mathbb{R}^2 \text{ is finite}\}.$$

Then the space X considered in [4, Example 3] is \mathbb{R}^2 endowed with the topology generated by $\bigcup\{\mathcal{B}_i : 1 \leq i \leq 3\}$ as a base. It is clear that A, B, C are dense G_δ -sets of X . In addition, it can be checked easily that both $A_0 \cap B_0$ and $C \setminus (A \cup B)$ are G_δ -sets of X (but, they are not dense in X).

Now, consider the two subsets G and H of X shown in Figure 1 as the two shaded regions without including their boundaries. These two sets can be defined by the following formulae

$$G = (A_0 \cap B_0) \cup (C \setminus (A \cup B)) \quad \text{and} \quad H = (B_0 \cap C_0) \cup (A \setminus (B \cup C)).$$

It is not difficult to see that G is dense in X . Being the union of two G_δ -sets in X , G is also a G_δ -set of X . Thus, G is a dense G_δ -set in X . Similarly, H is also a dense G_δ -set of X . However, it is obvious that $G \cap H = \emptyset$. Therefore, we have verified that the space X is not weakly Volterra.

Interestingly, the answer to Question 2.2 is positive. To show this, we shall first provide some new characterizations for weakly Volterra spaces.

THEOREM 2.3. *The following statements are equivalent for a space X :*

- (a) X is a weakly Volterra space.
- (b) The intersection of any finitely many dense G_δ -sets of X is somewhere dense in X .
- (c) The intersection of any finitely many dense G_δ -sets of X is not empty.

PROOF. It is clear that (b) \Rightarrow (c) and (c) \Rightarrow (a).

We shall prove (a) \Rightarrow (b) by induction. Suppose X is weakly Volterra. First, for any two dense G_δ -sets A_1, A_2 of X , we define $B_1 = A_1 \setminus \overline{A_1 \cap A_2}$ and $B_2 = A_2 \setminus \overline{A_1 \cap A_2}$. It is obvious that $B_1 \cap B_2 = \emptyset$. Since A_1 and A_2 are dense in X , we have $\overline{B_1} = X \setminus \text{int } A_1 \cap A_2$, and $\overline{B_2} = X \setminus \text{int } A_1 \cap A_2$. If $\text{int } A_1 \cap A_2 = \emptyset$, then B_1 and B_2 are two dense G_δ -sets of X which are disjoint. This is a contradiction. Therefore, we have shown that the intersection of any two dense G_δ -sets of X is somewhere dense in X .

Next, suppose that it has been shown that the intersection of any i many dense G_δ -sets of X is somewhere dense in X , where $1 \leq i \leq n$ and $n \geq 3$. Let A_1, \dots, A_{n+1} be $n + 1$ many dense G_δ -sets of X . Then, by our induction hypothesis, $\text{int } \bigcap \{A_i : 1 \leq i \leq n\} \neq \emptyset$. For each $1 \leq j \leq n - 1$, let us define the subset $C_j \subset X$ by

$$C_j = \left(A_j \setminus \overline{\bigcap \{A_i : 1 \leq i \leq n\}} \right) \cup \left(\bigcap \{A_i : 1 \leq i \leq n\} \right).$$

Furthermore, we define the set $C_n \subset X$ by the following

$$C_n = \left(A_n \setminus \overline{\bigcap \{A_i : 1 \leq i \leq n\}} \right) \cup \left(A_{n+1} \cap \text{int } \overline{\bigcap \{A_i : 1 \leq i \leq n\}} \right).$$

Now for every $1 \leq j \leq n - 1$, since A_j is dense in X , we have

$$\begin{aligned} \overline{C_j} &= X \setminus \overline{\bigcap\{A_i : 1 \leq i \leq n\} \cup \bigcap\{A_i : 1 \leq i \leq n\}} \\ &= \left(X \setminus \text{int} \overline{\bigcap\{A_i : 1 \leq i \leq n\}} \right) \cup \overline{\bigcap\{A_i : 1 \leq i \leq n\}} \\ &= X. \end{aligned}$$

Thus, all the sets C_j ($1 \leq j \leq n - 1$) are dense G_δ -sets of X . Similarly, one can check C_n is also a dense G_δ -set in X . Moreover, it is easy to see that

$$\bigcap\{C_j : 1 \leq j \leq n\} \subset \bigcap\{A_i : 1 \leq i \leq n + 1\}.$$

By our induction hypothesis again, $\bigcap\{C_j : 1 \leq j \leq n\}$ is somewhere dense in X , then so is $\bigcap\{A_i : 1 \leq i \leq n + 1\}$. □

Our next result shall provide an affirmative answer to Question 2.2.

COROLLARY 2.4. *Let X be a weakly Volterra space, Y_1, \dots, Y_n ($n \in \mathbb{N}$) developable spaces and $f_i : X \rightarrow Y_i$ ($1 \leq i \leq n$) PWD functions. Then $\bigcap_{i=1}^n \{C(f_i)\} \neq \emptyset$.*

PROOF. It is easy to see that each $C(f_i)$ ($1 \leq i \leq n$) is a dense G_δ -set of X . Hence, by Theorem 2.3, we obtain $\bigcap\{C(f_i) : 1 \leq i \leq n\} \neq \emptyset$. □

3. Volterraness in homogeneous spaces

A space X is said to be *homogeneous* if for any two distinct points $x, y \in X$ there exists a homeomorphism $f : X \rightarrow X$ such that $f(x) = y$. In this section, the following main theorem shall be proved.

THEOREM 3.1. *Let X be a homogeneous space. Then X is Volterra if and only if it is weakly Volterra.*

To achieve this goal, we shall first study non-weakly Volterra subspaces in a given space. It is shown that the rôle of non-weakly Volterra subspaces in the theory of Volterra spaces is somehow similar to that of first category sets in the theory of Baire spaces. In what follows, we split the proof of Theorem 3.1 into several lemmas, which are interesting for their own sake.

LEMMA 3.2. *If a space X contains a nonempty weakly Volterra open subspace Y , then X itself is weakly Volterra.*

PROOF. Suppose that U and V are any two dense G_δ -sets in X . Then $U \cap Y$ and $V \cap Y$ are two dense G_δ -sets in the subspace Y . Since Y is weakly Volterra, then $U \cap V \supset (U \cap V) \cap Y \neq \emptyset$. Hence, X is weakly Volterra. \square

REMARK. In Lemma 3.2, ‘ Y is open’ can be replaced with a weaker condition ‘there exists a G_δ -set H in Y such that $\text{int } H$ is dense in Y ’.

LEMMA 3.3 ([5]). *A space is Volterra if and only if every nonempty open subspace is weakly Volterra.*

LEMMA 3.4. *If a space X contains a dense G_δ -subspace that is not weakly Volterra, then X itself is not weakly Volterra.*

PROOF. Let $Y \subset X$ be a dense G_δ -subspace that is not weakly Volterra. Then there are two disjoint dense G_δ -sets U and V in Y . Pick two dense G_δ -sets \hat{U} and \hat{V} in X with $U = \hat{U} \cap Y$ and $V = \hat{V} \cap Y$. Suppose that X is weakly Volterra. Then, by Theorem 2.3 (c), we have $\hat{U} \cap \hat{V} \cap Y \neq \emptyset$. It follows that $U \cap V \neq \emptyset$. This is a contradiction, since $U \cap V = \emptyset$. \square

Since every non-weakly Volterra subspace in a topological space must be a set of first category, our next lemma can be treated as an analogy of the Banach category theorem in topology and analysis.

LEMMA 3.5. *In any space X , the union of any family of nonempty open non-weakly Volterra subspaces is not weakly Volterra.*

PROOF. Let \mathcal{U} be a family of nonempty open subspaces of X such that each member of \mathcal{U} is not weakly Volterra in X . Let \mathfrak{S}_{NV} be the set of all collections of nonempty open subsets of X with the following two properties:

- (a) each collection $\mathcal{V} \in \mathfrak{S}_{NV}$ is pairwise disjoint; and
- (b) for each collection $\mathcal{V} \in \mathfrak{S}_{NV}$ and each member $V \in \mathcal{V}$, there exists some $U \in \mathcal{U}$ such that $V \subset U$.

Then, by Zorn’s lemma, \mathfrak{S}_{NV} has a maximal element $\mathcal{V} = \{V_\alpha : \alpha \in A\}$. Let $V = \bigcup \{V_\alpha : \alpha \in A\}$. By the maximality of \mathcal{V} , we have $\bigcup \{U : U \in \mathcal{U}\} \subset \overline{V}$. Moreover, it follows from (b) and Lemma 3.2 that for each $\alpha \in A$, V_α is not weakly Volterra as an open subspace of X . Thus, there are two families $\{F_\alpha : \alpha \in A\}$ and $\{H_\alpha : \alpha \in A\}$ of G_δ -sets of X such that

- (c) $F_\alpha \cap H_\alpha = \emptyset$ for all $\alpha \in A$; and
- (d) $F_\alpha \subset V_\alpha \subset \overline{F_\alpha}$ and $H_\alpha \subset V_\alpha \subset \overline{H_\alpha}$ for all $\alpha \in A$.

Let $F = \bigcup\{F_\alpha : \alpha \in A\}$ and $H = \bigcup\{H_\alpha : \alpha \in A\}$. By (a) and (c), we have $F \cap H = \emptyset$. For each $\alpha \in A$, let

$$F_\alpha = \bigcap\{F_\alpha^n : n \geq 1\} \quad \text{and} \quad H_\alpha = \bigcap\{H_\alpha^n : n \geq 1\},$$

where F_α^n and H_α^n are nonempty open subsets of X contained in V_α such that $F_\alpha^{n+1} \subset F_\alpha^n$ and $H_\alpha^{n+1} \subset H_\alpha^n$ for all $\alpha \in A$ and all $n \in \mathbb{N}$. Now, put

$$F_n = \bigcup\{F_\alpha^n : \alpha \in A\} \quad \text{and} \quad H_n = \bigcup\{H_\alpha^n : \alpha \in A\}.$$

for all $n \in \mathbb{N}$. After a simple computation, we can obtain

$$F = \bigcap\{F_n : n \geq 1\} \quad \text{and} \quad H = \bigcap\{H_n : n \geq 1\}.$$

By (d), we have $V_\alpha \subset \overline{F_\alpha}^V$ and $V_\alpha \subset \overline{H_\alpha}^V$ for each $\alpha \in A$. Since $\{F_\alpha : \alpha \in A\}$ and $\{H_\alpha : \alpha \in A\}$ are two discrete families in the subspace V of X , then

$$V \subset \bigcup\{\overline{F_\alpha}^V : \alpha \in A\} = \overline{F}^V \quad \text{and} \quad V \subset \bigcup\{\overline{H_\alpha}^V : \alpha \in A\} = \overline{H}^V.$$

Thus, F and H are two disjoint dense G_δ -sets in the subspace V of X . Consequently, V is not a weakly Volterra subspace of X . It follows from Lemma 3.4 that \overline{V} is not a weakly Volterra subspace of X either. Since $\bigcup\{U : U \in \mathcal{U}\} \subset \overline{V}$, by Lemma 3.2 again, $\bigcup\{U : U \in \mathcal{U}\}$ is not a weakly Volterra subspace of \overline{V} . Therefore, we conclude that $\bigcup\{U : U \in \mathcal{U}\}$ is not a weakly Volterra subspace of X . \square

As an immediate application of Lemma 3.5, we obtain the following decomposition lemma for an arbitrary topological space.

LEMMA 3.6. *Let X be an arbitrary topological space. Then there are two open (possibly empty) subspaces X_{NV} and X_V of X such that*

- (a) $X = \overline{X_{NV}} \cup \overline{X_V}$ and $X_{NV} \cap X_V = \emptyset$;
- (b) every nonempty open subspace of X_{NV} is not weakly Volterra in X ; and
- (c) every nonempty open subspace of X_V is Volterra in X .

Furthermore, X is a Volterra space if and only if $X_{NV} = \emptyset$, and X is a weakly Volterra space if and only if $X_V \neq \emptyset$.

PROOF. Let X_{NV} be the union of all nonempty open non-weakly Volterra subspaces of X , and let $X_V = X \setminus \overline{X_{NV}}$. By Lemma 3.5, X_{NV} is not weakly Volterra as an open subspace of X . It is obvious that every nonempty open subspace of X_V is weakly Volterra. Thus, following from Lemma 3.3, every nonempty open subspace of X_V is Volterra. So, we have shown that X_{NV} and X_V fulfil (a), (b) and (c). By Lemma 3.3 again, X is Volterra if and only if $X_{NV} = \emptyset$. If $X_V \neq \emptyset$, then X_V is a weakly Volterra

subspace of X . By Lemma 3.2, the space X itself is weakly Volterra. Conversely, suppose that X is weakly Volterra, and $X_V = \emptyset$. Then $X = \overline{X_{NV}}$. Since X_{NV} is not weakly Volterra, then by Lemma 3.4, the space X itself is not weakly Volterra either. This is a contradiction. \square

Now we are able to prove Theorem 3.1 by applying the previous lemmas.

PROOF OF THEOREM 3.1. The necessity is trivial. To prove the sufficiency, suppose that X is a weakly Volterra space. Then, by Lemma 3.6, X_V is a nonempty open Volterra subspace of X . Now, let U be any nonempty open subspace of X . Then there exists a point $x \in X_V$ and a homeomorphism $f : X \rightarrow X$ such that $f(x) \in U$. The space $U \cap f(X_V)$, being a nonempty open subspace of the Volterra space $f(X_V)$, is also Volterra. Thus, it follows from Lemma 3.2 that U is a weakly Volterra subspace of X . Finally, by Lemma 3.3, the space X itself is Volterra. \square

The relationships among the classes of Baire spaces, Volterra spaces, weakly Volterra spaces and spaces of second category can be summarised in the following figure.

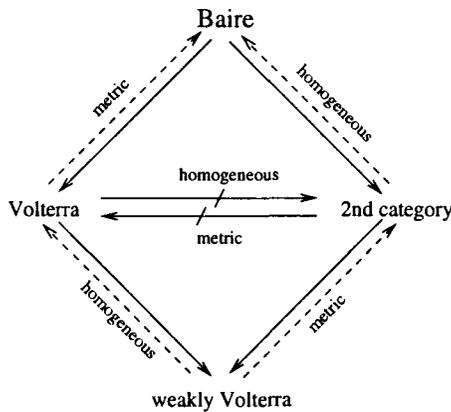


FIGURE 2.

REMARK. It is well known that a homogeneous space is Baire if and only if it is of second category. Note that homogeneous Volterra spaces which are not Baire do exist. For example, let $X = \mathbb{R}$ be the set of all reals. Let \mathcal{T}_1 be the lower topology on X , that is, $\mathcal{T}_1 = \{\emptyset, X\} \cup \{(a, +\infty) : a \in X\}$. Let \mathcal{T}_2 be the co-countable topology on X . Equip X with the topology $\mathcal{T} = \mathcal{T}_1 \vee \mathcal{T}_2$. Then X is a T_1 homogeneous space. Every dense G_δ -set A of X can be expressed by either $A = X \setminus S$, or $A = (a, +\infty) \setminus S$, or $A = [a, +\infty) \setminus S$, where $a \in X$ and $S \subset X$ is countable. Hence, the intersection of any finitely many dense G_δ -sets of X meets every nonempty member of \mathcal{T} . It

follows that X is Volterra. On the other hand, X is not Baire, because the subsets $U_n = (n, +\infty)$ of X are all open and dense but their intersection over \mathbb{N} is empty.

Since the space given in the previous remark is not Hausdorff, the following question arises naturally.

QUESTION 3.7. Does there exist a Tychonoff homogeneous space or even a Hausdorff topological group which is Volterra but not Baire?

By Lemma 3.6, a nonempty space is not weakly Volterra if and only if no nonempty open subspace is weakly Volterra. Our next result, which says that *a semi-open subspace of a given space is not weakly Volterra if and only if it is nowhere weakly Volterra*, is a slight extension of this fact. Recall that a subset A of a space X is *semi-open* if $\text{int } A$ is dense in A . It is clear that in any topological space, all open subspaces are semi-open.

THEOREM 3.8. *Let A be a nonempty semi-open subspace of a space X . Then A is not weakly Volterra in X if and only if for every open subset U of X with $U \cap A \neq \emptyset$ there exists a nonempty open subset V of X contained in U such that $V \cap A$ is not weakly Volterra in X .*

PROOF. The necessity follows from Lemma 3.2 directly. So, we shall consider the sufficiency. First, suppose that A is a nowhere dense subset of X . Let U and V be any two dense G_δ -sets in A . If A is weakly Volterra, then by Theorem 2.3, $U \cap V$ is a somewhere dense set in the subspace A . We shall derive a contradiction. Let G be any nonempty open subset of A , and let H be an open subset of X with $G = H \cap A$. Then $H \cap \text{int } A \neq \emptyset$, as $\text{int } A$ is dense in A . Since A is a nowhere dense set of X , then $U \cap V$ is a nowhere dense subset of X as well. Thus, there exists a nonempty open subset O of X contained in $H \cap \text{int } A$ such that $O \cap (U \cap V) = \emptyset$. This shows that $U \cap V$ is a nowhere dense set in the subspace A , which is a contradiction. Hence, A is not weakly Volterra in this case.

Next, we shall consider the case that A is a somewhere dense subset of X . Let $U = \text{int } \bar{A}$. Then U is a nonempty open subset of X . Let $\mathcal{U} = \{U_\beta : \beta \in B\}$ be the family of all nonempty open subsets of X such that for each $\beta \in B$, $U_\beta \subset U$ and $U_\beta \cap A$ is not a weakly Volterra subspace of X . Note that for each $\beta \in B$, $U_\beta \cap A$ is not weakly Volterra as an open subspace of the subspace A . It follows from Lemma 3.5 that $\bigcup\{U_\beta \cap A : \beta \in B\}$ is not weakly Volterra in the subspace A . By hypothesis, $\bigcup\{U_\beta \cap A : \beta \in B\}$ is a dense open subspace of $A \cap U$. Hence, it follows from Lemma 3.4 that $A \cap U$ cannot be weakly Volterra. Furthermore, since $\text{int } A \subset A \cap U$, by Lemma 3.2, $\text{int } A$ is not weakly Volterra in X . Finally, as $\text{int } A$ is dense and open in the subspace A , by Lemma 3.4 again, we conclude that A is not a weakly Volterra subspace of X . \square

REMARK. Note that the condition ‘ A is semi-open’ in Theorem 3.8 is not needed in the proof of necessity. However, the authors do not know whether this condition can be dropped from the proof of the sufficiency.

4. Hyperspaces of Volterra spaces

In this section, we shall study hyperspaces of Volterra and weakly Volterra spaces. For a given Hausdorff topological space X , let 2^X denote the collection of nonempty closed subsets of X . For any finite family $\mathcal{U} = \{U_1, U_2, \dots, U_n\}$ of subsets of X , we define $\langle \mathcal{U} \rangle \subset 2^X$ by

$$\langle \mathcal{U} \rangle = \left\{ F \in 2^X : F \subset \bigcup \{U_i : 1 \leq i \leq n\}, \text{ and } F \cap U_i \neq \emptyset \forall i = 1, \dots, n \right\}.$$

Throughout this section, 2^X shall be equipped with the so-called *Vietoris topology* τ_V (also known as *the finite topology* in the literature), which has the family of all subsets of 2^X of the form $\langle \mathcal{U} \rangle$ as a base, where \mathcal{U} runs through all finite families of open subsets of X . Let $\mathcal{F}(X)$ (respectively $\mathcal{K}(X)$) be the subspace of 2^X consisting of all nonempty finite (respectively compact) subsets of X with the relative topology. In what follows, we shall first give some necessary conditions for space X in certain classes of spaces such that $\mathcal{K}(X)$ is (weakly) Volterra. Then we give two examples from well-known constructions to show that the (weak) Volterraness of a space X is not preserved by its hyperspace $\mathcal{K}(X)$ in general.

LEMMA 4.1. *For any Hausdorff space X , if $\mathcal{K}(X)$ is Volterra (respectively weakly Volterra) then X is Volterra (respectively weakly Volterra).*

PROOF. For a family $\{B_\alpha : \alpha \in A\}$ of subsets of X , it is easy to check that

- (a) $\langle \bigcap \{B_\alpha : \alpha \in A\} \rangle = \bigcap \{ \langle B_\alpha \rangle : \alpha \in A \}$; and
- (b) for any $\alpha \in A$, B_α is dense (respectively nonempty) in X if and only if $\langle B_\alpha \rangle$ is dense (respectively nonempty) in $\mathcal{K}(X)$.

Now suppose that $\mathcal{K}(X)$ is Volterra (respectively weakly Volterra). Let U and V be two dense G_δ -sets in X . Then $\langle U \rangle$ and $\langle V \rangle$ are dense G_δ -sets in $\mathcal{K}(X)$. Since $\mathcal{K}(X)$ is Volterra (respectively weakly Volterra), then $\langle U \rangle \cap \langle V \rangle$ is dense (respectively nonempty) in $\mathcal{K}(X)$. By (a) and (b) above, $U \cap V$ is dense (respectively nonempty) in X . Therefore, X is Volterra (respectively weakly Volterra). □

We notice that the conclusion of Lemma 4.1 still holds when $\mathcal{K}(X)$ is replaced by 2^X . Next, we shall show that the conclusion of Lemma 4.1 can be strengthened for certain classes of spaces.

THEOREM 4.2. *Let X be a Tychonoff space which satisfies any one of the following conditions:*

- (a) X has a dense metrizable subspace.
- (b) X is a Lašnev space, that is, a closed continuous image of a metric space.
- (c) X is separable and first countable.
- (d) X is a metacompact Moore space.

If $\mathcal{K}(X)$ is a Volterra (respectively weakly Volterra) space, then X^n is a Baire space (respectively a space of second category) for all $n \in \mathbb{N}$.

PROOF. Suppose that $\mathcal{K}(X)$ is a Volterra (respectively weakly Volterra) space. By Lemma 4.1, X itself is Volterra (respectively weakly Volterra). Then, by Theorem 1.3, under any of these conditions, X is a Baire space (respectively a space of second category). We first show that under any one of these conditions, $\mathcal{K}(X)$ is a Baire space (respectively a space of second category). The cases of (a), (b) and (c), which are easier, shall be shown in the next. Suppose that (a) holds. Let $Y \subset X$ be a dense metrizable subspace. Then $\mathcal{F}(Y)$ is a dense metrizable subspace of $\mathcal{K}(X)$. If (b) holds, then there is a metric space M and a closed continuous mapping $f : M \rightarrow X$ from M onto X . Define $\hat{f} : \mathcal{K}(M) \rightarrow \mathcal{K}(X)$ by letting $\hat{f}(K) = f(K)$ for all $K \in \mathcal{K}(M)$. It can be checked that \hat{f} is closed and continuous. Moreover, $\hat{f}(\mathcal{K}(M))$ is a dense subspace of $\mathcal{K}(X)$. Now, suppose that (c) holds. Then $\mathcal{F}(X)$ is a dense separable and first countable subspace of $\mathcal{K}(X)$. Hence, by [7, Corollary 2.8], under any of conditions (a), (b) and (c), $\mathcal{K}(X)$ is Baire (respectively of second category).

Finally, suppose (d) holds. Then we can choose a development $(\mathcal{U})_{n \in \mathbb{N}}$ such that for each $n \in \mathbb{N}$, \mathcal{U}_n is a point finite open cover of X and \mathcal{U}_{n+1} is a refinement of \mathcal{U}_n . For each $n \in \mathbb{N}$, let $Y_n \subset X$ be a dense G_δ -subspace such that \mathcal{U}_n is locally finite at each point of Y_n . For each $n \in \mathbb{N}$, set

$$Y = \bigcap \{Y_n : n \in \mathbb{N}\} \quad \text{and} \quad \mathcal{V}_n = \{U \cap Y : U \in \mathcal{U}_n\}.$$

Then, $\bigcup \{\mathcal{V}_n : n \in \mathbb{N}\}$ is a σ -locally finite base for Y . Thus, by the Bing-Nagata-Smirnov metrization theorem, Y is a metrizable subspace of X . If $\mathcal{K}(X)$ is Volterra, as we have seen, X is Baire. Then, Y is dense in X , and thus $\mathcal{F}(Y)$ is a dense metrizable subspace of $\mathcal{K}(X)$. It follows that $\mathcal{K}(X)$ is Baire. Suppose that $\mathcal{K}(X)$ is weakly Volterra. By Lemma 3.6, $\mathcal{K}(X)$ contains a nonempty basic open subspace $\langle U_1, \dots, U_n \rangle$ that is Volterra. Let $U = \bigcup \{U_i : 1 \leq i \leq n\}$. We claim that U is an open Volterra subspace of X . To see this, for any two dense G_δ -sets

$$G = \bigcap \{G_m : m \in \mathbb{N}\} \quad \text{and} \quad H = \bigcap \{H_m : m \in \mathbb{N}\}$$

of U , where G_m and H_m are open subsets of U (thus they are open in X as well) for all $m \in \mathbb{N}$, let $G_{mi} = G_m \cap U_i$ and $H_{mi} = H_m \cap U_i$ for each $1 \leq i \leq n$. Then, for

each $m \in \mathbb{N}$, we can define two basic open subsets

$$\mathcal{G}_m = \langle G_{m1}, \dots, G_{mn} \rangle \quad \text{and} \quad \mathcal{H}_m = \langle H_{m1}, \dots, H_{mn} \rangle$$

in $\mathcal{X}(X)$. It can be readily checked that $\mathcal{G} = \bigcap \{ \mathcal{G}_m : m \in \mathbb{N} \}$ and $\mathcal{H} = \bigcap \{ \mathcal{H}_m : m \in \mathbb{N} \}$ are dense G_δ -sets in the subspace $\langle U_1, \dots, U_n \rangle$. Thus, $\mathcal{G} \cap \mathcal{H}$ is dense in $\langle U_1, \dots, U_n \rangle$. This implies that $G \cap H$ is dense in U . Hence, we have shown that U is a Volterra subspace of X . Next, we choose an open subset $V \subset X$ such that $\overline{V} \subset U$ and $V_i = V \cap U_i \neq \emptyset$ for all $1 \leq i \leq n$. Being a nonempty open subspace of $\langle U_1, \dots, U_n \rangle$, $\langle V_1, \dots, V_n \rangle$ is also Volterra. By applying the condition (d) to the closed subspace \overline{V} of X and then repeating the previous argument, we conclude that \overline{V} contains a dense metrizable subspace M . Then $M \cap V$ is a dense metrizable subspace of V . Since $\mathcal{F}(M \cap V)$ is dense in $\langle V_1, \dots, V_n \rangle$, then it follows that

$$\mathcal{F}(M \cap V) \cap \langle V_1, \dots, V_n \rangle$$

is a dense metrizable subspace of $\langle V_1, \dots, V_n \rangle$. By Theorem 1.3 (a), we conclude that $\langle V_1, \dots, V_n \rangle$ is an open Baire subspace of $\mathcal{X}(X)$. Therefore, $\mathcal{X}(X)$ is a space of second category.

To complete the proof, we need to introduce some auxiliary tools. For any finite family $\mathcal{U} = \{U_1, U_2, \dots, U_n\}$ of subsets of X , let

$$\mathcal{U}^* = \prod \{U_i : 1 \leq i \leq n\} \times \prod \left\{ \bigcup \{U_j : 1 \leq j \leq n\} : i > n \right\}.$$

Then $\mathcal{U}^* \subset X^\omega$. Let X^ω be equipped with a topology τ^* by taking

$$\mathfrak{S} = \{ \mathcal{U}^* : \mathcal{U} \text{ is a finite family of open subsets of } X \}$$

as a base. We denote the space X^ω with this topology by X_*^ω . We have shown that under any of conditions (a)–(d), $\mathcal{X}(X)$ is Baire (respectively of second category). Then it follows from [13, Theorem 3.10] that X_*^ω is also a Baire space (respectively space of second category). For any fixed $n \in \mathbb{N}$, since the canonical projection mapping $\pi : X_*^\omega \rightarrow X^n$, defined by $\pi(\langle x_i \rangle) = \langle x_1, \dots, x_n \rangle$ for all $\langle x_i \rangle \in X_*^\omega$, is an open and continuous surjection, then X^n is Baire (respectively of second category). \square

REMARK. (i) In general, none of the following properties: first countability, Lašnev, metacompactness and sequentiality, is preserved by the hyperspace of non-empty compact subsets of a given space. For example, the Sorgenfrey line S is metacompact, but $\mathcal{X}(S)$ is not metacompact. The other relevant counterexamples can be found in [1, 14].

(ii) For any given space X , the associated space X_*^ω , or a more general space X_*^κ (where $\kappa \geq \omega$), has been studied in [13, 15] respectively. In particular, τ^* is called *the pinched-cube topology* in [15].

Now, we give two examples to show that the hyperspace $\mathcal{K}(X)$ of a Volterra (even a metric Baire) space X does not need to be weakly Volterra, that is, the converse of Lemma 4.1 does not hold in general.

EXAMPLE 2. A Baire metric space X whose square X^2 is nowhere Baire and whose hyperspace $\mathcal{K}(X)$ is not weakly Volterra. For any cardinal $\kappa > \omega$, let $C_\omega\kappa = \{\alpha \in \kappa : \text{cf}(\alpha) = \omega\}$. For any $f \in {}^\omega\kappa$, let $f^* = \sup\{f(n) : n \in \omega\}$. Next, define a metric ρ on ${}^\omega\kappa$ by

$$\rho(f, g) = \begin{cases} 0, & \text{if } f = g; \\ 1/2^n, & \text{if } f \neq g, \text{ where } n = \min\{m \in \omega : f \upharpoonright m \neq g \upharpoonright m\}. \end{cases}$$

Then the metric space $({}^\omega\kappa, \rho)$ is simply denoted by J_κ . Let $M = J_2 \times J_{\mathfrak{c}^+}$ be given the product metric d , that is, $d((x_1, y_1), (x_2, y_2)) = \rho_1(x_1, x_2) + \rho_2(y_1, y_2)$. Now, let $\{A_y : y \in J_2\}$ be a family of pairwise disjoint stationary subsets of $C_\omega\mathfrak{c}^+$. Consider the subspace $X = \{(y, f) \in M : f^* \in A_y\}$ of M . It is shown in [2, Example 4] that X is a Baire space, but X^2 is of first category. By Theorem 4.2, $\mathcal{K}(X)$ is not weakly Volterra.

EXAMPLE 3. A hereditarily Baire metric space X all of whose powers are Baire, but whose hyperspace $\mathcal{K}(X)$ is not weakly Volterra. Let $X \subset \mathbb{R}$ be a Bernstein set endowed with the Euclidean topology (refer to [10, 12] for the existence of such a set in \mathbb{R}). It is known that X is a hereditarily Baire metric space such that neither X nor $\mathbb{R} \setminus X$ contains a perfect subset of \mathbb{R} . Moreover, it is also known that all compact subsets of X are countable and X^κ is a Baire space for any cardinal κ . Since X is separable, it has a countable base \mathcal{B} . For any nonempty member $V \in \mathcal{B}$, let

$$\mathcal{A}_V = \{K \in \mathcal{K}(X) : K \cap V \text{ contains exactly one point}\}.$$

Suppose that $\langle U_1, \dots, U_n \rangle$ is any basic open subset of $\mathcal{K}(X)$, where U_1, \dots, U_n are nonempty open subsets of X . We consider two cases.

- (i) $V \cap (\bigcup_{i=1}^n U_i) = \emptyset$. In this case, we have $\mathcal{A}_V \cap \langle U_1, \dots, U_n \rangle = \emptyset$.
- (ii) $V \cap U_{i_0} \neq \emptyset$ for some $1 \leq i_0 \leq n$. First, we can choose two disjoint nonempty open subsets $U_{i_0}^1$ and $U_{i_0}^2$ of X both of which are contained in $V \cap U_{i_0}$. Then, it follows that $\langle U_1, \dots, U_{i_0}^1, U_{i_0}^2, \dots, U_n \rangle$ is a basic open subset of $\mathcal{K}(X)$ which is contained in $\langle U_1, \dots, U_n \rangle$ such that $\mathcal{A}_V \cap \langle U_1, \dots, U_{i_0}^1, U_{i_0}^2, \dots, U_n \rangle = \emptyset$.

Thus, we have shown that \mathcal{A}_V is a nowhere dense subset of $\mathcal{K}(X)$. Since each nonempty compact subset is not perfect, it must have an isolated point. Consequently, $\mathcal{K}(X) = \bigcup_{V \in \mathcal{B}} \mathcal{A}_V$ and $\mathcal{K}(X)$ is a space of first category. In addition, since $\mathcal{K}(X)$ is metrizable by the Hausdorff metric of the Euclidean metric on X , by Theorem 1.3, $\mathcal{K}(X)$ is not weakly Volterra.

By a result of McCoy in [13], if X is a Tychonoff space such that $\mathcal{K}(X)$ is a Baire space, then X^n is Baire for all $n \in \mathbb{N}$. We conclude this paper with the following two questions motivated by this fact and Theorem 4.2.

QUESTION 4.3. Let X be a Tychonoff space. If $\mathcal{K}(X)$ is a Volterra (respectively weakly Volterra) space, is it true that X^n is Volterra (respectively weakly Volterra) for all $n \in \mathbb{N}$?

QUESTION 4.4. Let X be a Tychonoff space. If 2^X is a Baire (respectively Volterra, weakly Volterra), is it true that X^n is Baire (respectively Volterra, weakly Volterra) for all $n \in \mathbb{N}$?

NOTE ADDED IN PROOF. In the system $[ZFC + P(c)]$, there is a Hausdorff-Volterra group which is not Baire; refer to V. Malykhin, 'Extremally disconnected and nearly extremally disconnected groups', *Soviet Math. Dokl.* **16** (1975), 21–25. Recently, an affirmative answer to the case of Baire spaces of Question 4.4 has been given by J. Cao, S. García-Ferreira and V. Gutev in a joint paper.

Acknowledgement

Example 3 was communicated to the first-listed author by T. O. Banach as folklore at V Iberoamerican conference on General Topology and its Applications at Lorca, Spain in June, 2003.

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