

## OPERATOR ALGEBRAS FROM THE DISCRETE HEISENBERG SEMIGROUP

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*Abstract* We study reflexivity and structural properties of operator algebras generated by representations of the discrete Heisenberg semigroup. We show that the left regular representation of this semigroup gives rise to a semi-simple reflexive algebra. We exhibit an example of a representation that gives rise to a non-reflexive algebra. En route, we establish reflexivity results for subspaces of  $H^\infty(\mathbb{T}) \otimes \mathcal{B}(\mathcal{H})$ .

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### 1. Introduction

The theory of group representations has been a motivating force for operator algebra theory since the very beginnings of the subject. If  $\pi$  is a unitary representation of a group  $G$ , a much studied object is the weak- $*$  closed algebra generated by  $\{\pi(g) : g \in G\}$ . A special case of particular importance arises when  $\pi$  is the left regular representation  $g \rightarrow L_g$  acting on  $L^2(G)$ ; the algebra obtained in this way is the von Neumann algebra  $\text{VN}(G)$  of the group  $G$ .

These algebras are all self-adjoint. If  $S \subseteq G$  is a semigroup, one can consider instead the non-self-adjoint algebra generated by  $\{\pi(g) : g \in S\}$ , possibly restricted to a common invariant subspace. The algebra of analytic Toeplitz operators is an instance of this construction. Such algebras have recently attracted considerable attention in the literature.

Let  $\mathbb{F}_n^+$  be the free semigroup on  $n$  generators. The ‘non-commutative Toeplitz algebra’ is the weakly closed algebra  $\mathcal{L}_n$  generated by the operators  $L_g$ ,  $g \in \mathbb{F}_n^+$ , restricted to the invariant subspace  $\ell^2(\mathbb{F}_n^+)$ . It was introduced by Popescu in [19] and studied by him in a subsequent series of papers, and by Arias and Popescu in [1]. Later, Popescu [20] considered free products of semigroups satisfying certain additional properties, and

Davidson and Pitts [8, 9] and Davidson *et al.* [7] studied the algebra  $\mathcal{L}_n$  within the more general framework of free semigroup algebras. On the other hand, non-self-adjoint algebras arising from representations of some Lie groups such as the Heisenberg group, the ‘ $ax + b$  group’ and  $\mathrm{SL}_2(\mathbb{R})$  were considered by Katavolos and Power [13, 14], by Levene [16] and by Levene and Power [17]. These authors studied problems including reflexivity and hyperreflexivity, determination of the invariant subspace lattice and semi-simplicity.

In this paper, we study operator algebras arising from representations of the discrete Heisenberg semigroup. Recall that the discrete Heisenberg group  $\mathbb{H}$  consists of all matrices of the form

$$\begin{bmatrix} 1 & k & n \\ 0 & 1 & m \\ 0 & 0 & 1 \end{bmatrix}, \quad k, m, n \in \mathbb{Z}.$$

Let  $\mathbb{H}^+$  be the semigroup consisting of all matrices in  $\mathbb{H}$  with  $k, m \in \mathbb{Z}^+$ . We are interested in the weak-\* closed algebra  $\mathcal{T}_L(\mathbb{H}^+)$  generated by the operators  $L_g$ ,  $g \in \mathbb{H}^+$ , restricted to the invariant subspace  $\ell^2(\mathbb{H}^+)$ . In §4, we show that  $\mathcal{T}_L(\mathbb{H}^+)$  contains no non-trivial quasi-nilpotent or compact elements; in particular, it is semi-simple. We show that the commutant of  $\mathcal{T}_L(\mathbb{H}^+)$  is the corresponding right regular representation and we identify the centre and the diagonal. In §5 we prove that  $\mathcal{T}_L(\mathbb{H}^+)$  is reflexive using a direct integral decomposition and the results of §3.

In §6 we study a class of representations of  $\mathbb{H}^+$  that arise from representations of the irrational rotation algebra studied by Brenken [4]. The latter, in the multiplicity free case, are parametrized by a cocycle and a measure. When the cocycle is trivial, we show that the weak-\* closed algebras generated by the restriction to  $\mathbb{H}^+$  are unitarily equivalent to nest algebras or equal to  $\mathcal{B}(\mathcal{H})$ . We also exhibit a representation (corresponding to a non-trivial cocycle) that generates a non-reflexive algebra even for the weak operator topology.

In §§2 and 3 we develop a technique that allows us to handle the question of reflexivity of  $\mathcal{T}_L(\mathbb{H}^+)$ . We introduce and study a notion of reflexivity for spaces of operators acting on tensor products of Hilbert spaces, which we think is of independent interest. Using this notion, we generalize previous results of Kraus [15] and Ptak [21], establishing reflexivity for a class of subspaces of  $\mathcal{T} \otimes \mathcal{B}(\mathcal{H})$  (where  $\mathcal{T}$  is the algebra of analytic Toeplitz operators).

### 1.1. Preliminaries and notation

The discrete Heisenberg group  $\mathbb{H}$  is generated by

$$u = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad v = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad w = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

The element  $w$  is central and  $wv = vw$ .

We write  $\mathcal{B}(\mathcal{H})$  for the algebra of all bounded linear operators on a Hilbert space  $\mathcal{H}$ .

If  $P \in \mathcal{B}(\mathcal{H})$  is an (orthogonal) projection, we set  $P^\perp = I - P$ , where  $I$  is the identity operator. We denote by  $\mathcal{B}(\mathcal{H})_*$  the predual of  $\mathcal{B}(\mathcal{H})$ , that is, the space of all weak-\* continuous functionals on  $\mathcal{B}(\mathcal{H})$ . If  $x, y \in \mathcal{H}$ , we write  $\omega_{x,y}$  for the vector functional in  $\mathcal{B}(\mathcal{H})_*$  given by  $\omega_{x,y}(A) = \langle Ax, y \rangle$ ,  $A \in \mathcal{B}(\mathcal{H})$ . If  $\mathcal{E}$  is a subset of a vector space,  $[\mathcal{E}]$  will stand for the linear span of  $\mathcal{E}$ .

The *pre-annihilator*  $\mathcal{S}_\perp$  of a subspace  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H})$  is

$$\mathcal{S}_\perp = \{\omega \in \mathcal{B}(\mathcal{H})_* : \omega(A) = 0 \text{ for all } A \in \mathcal{S}\}.$$

The *reflexive hull* of  $\mathcal{S}$  [18] is

$$\text{Ref } \mathcal{S} = \{A \in \mathcal{B}(\mathcal{H}) : \omega_{x,y}(\mathcal{S}) = \{0\} \Rightarrow \omega_{x,y}(A) = 0 \text{ for all } x, y \in \mathcal{H}\}.$$

The subspace  $\mathcal{S}$  is called *reflexive* if  $\mathcal{S} = \text{Ref } \mathcal{S}$ .

If  $\mathcal{L}$  is a collection of projections on  $\mathcal{H}$ ,

$$\text{Alg } \mathcal{L} = \{A \in \mathcal{B}(\mathcal{H}) : AL = LAL\}$$

is the algebra of all operators leaving the ranges of the elements of  $\mathcal{L}$  invariant. It is easy to see that a unital subalgebra  $\mathcal{A} \subseteq \mathcal{B}(\mathcal{H})$  is reflexive if and only if  $\mathcal{A} = \text{Alg } \mathcal{L}$  for some collection  $\mathcal{L}$  of projections on  $\mathcal{H}$ .

Let  $\mathcal{H}_1$  and  $\mathcal{H}_2$  be Hilbert spaces and let  $\mathcal{H}_1 \otimes \mathcal{H}_2$  be their Hilbert space tensor product. If  $\mathcal{S}_i \subseteq \mathcal{B}(\mathcal{H}_i)$ ,  $i = 1, 2$ , we let  $\mathcal{S}_1 \otimes \mathcal{S}_2$  be the weak-\* closed subspace of  $\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  generated by the operators  $A_1 \otimes A_2$ , where  $A_i \in \mathcal{S}_i$ ,  $i = 1, 2$ . If  $A \in \mathcal{B}(\mathcal{H}_1)$ , we write  $A \otimes \mathcal{S}_2$  for the space  $\mathbb{C}A \otimes \mathcal{S}_2$ . If  $\omega_i \in \mathcal{B}(\mathcal{H}_i)_*$ ,  $i = 1, 2$ , we let  $\omega_1 \otimes \omega_2 \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)_*$  be the unique weak-\* continuous functional satisfying  $(\omega_1 \otimes \omega_2)(A_1 \otimes A_2) = \omega_1(A_1)\omega_2(A_2)$ ,  $A_i \in \mathcal{B}(\mathcal{H}_i)$ ,  $i = 1, 2$ .

Finally, we let  $H^p$  be the Hardy space corresponding to  $p$  ( $p = 2, \infty$ ), that is, the space consisting of all functions in  $L^p(\mathbb{T})$  whose Fourier coefficients indexed by negative integers vanish. For each  $\varphi \in H^\infty$ , we let  $T_\varphi \in \mathcal{B}(H^2)$  be the analytic Toeplitz operator with symbol  $\varphi$ , that is, the operator given by  $T_\varphi f = \varphi f$ ,  $f \in H^2$ . We let

$$\mathcal{T} = \{T_\varphi : \varphi \in H^\infty\}$$

be the algebra of all analytic Toeplitz operators on  $H^2$ .

## 2. A reflexive hull for subspaces of $\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$

In this section, we introduce a reflexive hull for spaces of operators that act on the tensor product of two given Hilbert spaces. The results will be applied in § 3 to study reflexivity of subspaces of  $\mathcal{T} \otimes \mathcal{B}(\mathcal{K})$  for a given Hilbert space  $\mathcal{K}$ .

Suppose a Hilbert space  $\mathcal{H}$  decomposes as a tensor product  $\mathcal{H}_1 \otimes \mathcal{H}_2$  of two Hilbert spaces. If  $\omega \in \mathcal{B}(\mathcal{H}_1)_*$ , then the right slice map  $R_\omega : \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2) \rightarrow \mathcal{B}(\mathcal{H}_2)$  is the unique weak-\* continuous linear map with the property that  $R_\omega(A \otimes B) = \omega(A)B$ , whenever  $A \in \mathcal{B}(\mathcal{H}_1)$  and  $B \in \mathcal{B}(\mathcal{H}_2)$ . Similarly, one defines the left slice maps, denoted by  $L_\tau$ ,

where  $\tau \in \mathcal{B}(\mathcal{H}_2)_*$ . We note that if  $\omega = \omega_{\xi, \eta}$  for some vectors  $\xi, \eta \in \mathcal{H}_1$ , then for all  $x, y \in \mathcal{H}_2$ ,

$$\langle R_\omega(T)x, y \rangle = \langle T(\xi \otimes x), \eta \otimes y \rangle, \quad T \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2). \quad (2.1)$$

This equality shows that, when  $\omega$  is a vector functional or, more generally, a weakly continuous functional, then  $R_\omega$  is also weakly (that is, WOT–WOT) continuous (where WOT denotes weak operator topology).

If  $\mathcal{S}$  is a weak-\* closed subspace of  $\mathcal{B}(\mathcal{H}_1)$  and  $T \in \mathcal{S} \otimes \mathcal{B}(\mathcal{H}_2)$ , then clearly  $L_\omega(T) \in \mathcal{S}$  for all  $\omega \in \mathcal{B}(\mathcal{H}_2)_*$ . The converse was proved in [15].

**Lemma 2.1 (Kraus [15]).** *Let  $\mathcal{S}$  be a weak-\* closed subspace of  $\mathcal{B}(\mathcal{H}_1)$  and let  $T \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ . If  $L_\omega(T) \in \mathcal{S}$  for all  $\omega \in \mathcal{B}(\mathcal{H}_2)_*$ , then  $T \in \mathcal{S} \otimes \mathcal{B}(\mathcal{H}_2)$ .*

Consider the set of vector functionals

$$\mathcal{E} = \{\omega_{\xi \otimes x, \eta \otimes y} : \xi, \eta \in \mathcal{H}_1, x, y \in \mathcal{H}_2\} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)_*.$$

The set  $\mathcal{E}$  (as any subset of the dual of  $\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  [10]) can be used to define a reflexive hull for subspaces of  $\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ . Namely, if  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ , let

$$\text{Ref}_e \mathcal{S} = \{T \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2) : \omega(\mathcal{S}) = \{0\} \Rightarrow \omega(T) = 0 \text{ for all } \omega \in \mathcal{E}\}.$$

It is clear that  $\text{Ref}_e(\mathcal{S})$  depends on the tensor product decomposition  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$ . The following statements are easy consequences of the definition; we omit their proofs.

**Lemma 2.2.** *Let  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ . Then*

- (i)  $\text{Ref}_e \mathcal{S}$  is a reflexive, hence weakly closed, subspace of operators,
- (ii)  $\text{Ref} \mathcal{S} \subseteq \text{Ref}_e \mathcal{S}$ ,
- (iii)  $\text{Ref}_e \mathcal{S} = \text{Ref}_e \text{Ref} \mathcal{S} = \text{Ref}_e \text{Ref}_e \mathcal{S}$ .

It follows from Lemma 2.2 that if a subspace  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  satisfies  $\text{Ref}_e \mathcal{S} = \mathcal{S}$ , then  $\mathcal{S}$  is reflexive. Remark 2.8 shows that the converse does not hold.

**Lemma 2.3.** *Let  $\mathcal{U} \subseteq \mathcal{B}(\mathcal{H}_1)$  and  $\mathcal{V} \subseteq \mathcal{B}(\mathcal{H}_2)$  be subspaces. Then*

$$\text{Ref}_e(\mathcal{U} \otimes \mathcal{V}) = (\mathcal{B}(\mathcal{H}_1) \otimes \text{Ref} \mathcal{V}) \cap (\text{Ref} \mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2)).$$

**Proof.** Note that a vector functional  $\omega_{\xi \otimes x, \eta \otimes y} = \omega_{\xi, \eta} \otimes \omega_{x, y}$  annihilates  $\mathcal{U} \otimes \mathcal{V}$  if and only if either  $\omega_{\xi, \eta}$  annihilates  $\mathcal{U}$  or  $\omega_{x, y}$  annihilates  $\mathcal{V}$ . For if there exists  $U \in \mathcal{U}$  with  $\omega_{\xi, \eta}(U) \neq 0$ , then for all  $V \in \mathcal{V}$  we have  $\omega_{\xi, \eta}(U)\omega_{x, y}(V) = 0$ , and hence  $\omega_{x, y}(V) = 0$ .

Now let  $T \in \text{Ref}_e(\mathcal{U} \otimes \mathcal{V})$ . Suppose that  $\omega_{\xi, \eta} \in \mathcal{U}_\perp$ . Then  $\omega_{\xi \otimes x, \eta \otimes y}$  annihilates  $\mathcal{U} \otimes \mathcal{V}$  for all  $x, y \in \mathcal{H}_2$ , and hence

$$\omega_{\xi, \eta}(L_{\omega_{x, y}}(T)) = (\omega_{\xi, \eta} \otimes \omega_{x, y})(T) = 0.$$

This shows that  $L_{\omega_{x, y}}(T) \in \text{Ref} \mathcal{U}$ . Since  $x, y \in \mathcal{H}_2$  are arbitrary, linearity and (norm) continuity of the map  $\omega \rightarrow L_\omega$  yield  $L_\omega(T) \in \text{Ref} \mathcal{U}$  for all  $\omega \in (\mathcal{B}(\mathcal{H}_2))_*$ . By Lemma 2.1,  $T \in \text{Ref} \mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2)$ . Similarly, one obtains  $T \in \mathcal{B}(\mathcal{H}_1) \otimes \text{Ref} \mathcal{V}$ .

Conversely, if  $T \in (\mathcal{B}(\mathcal{H}_1) \otimes \text{Ref } \mathcal{V}) \cap (\text{Ref } \mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2))$ , then for each  $\phi = \omega_{\xi, \eta}(\xi, \eta \in \mathcal{H}_1)$  we have  $R_\phi(T) \in \text{Ref } \mathcal{V}$ . So, if  $\omega_{x, y}$  is a vector functional annihilating  $\mathcal{V}$ , then it must annihilate  $R_\phi(T)$ , and hence

$$\phi(L_{\omega_{x, y}}(T)) = (\phi \otimes \omega_{x, y})(T) = \omega_{x, y}(R_\phi(T)) = 0.$$

Since  $\phi = \omega_{\xi, \eta}$  with  $\xi, \eta$  arbitrary in  $\mathcal{H}_1$ , this implies  $L_{\omega_{x, y}}(T) = 0$ . Similarly, using the fact that all left slices of  $T$  must lie in  $\text{Ref } \mathcal{U}$ , we see that

$$\omega_{\xi, \eta} \in \mathcal{U}_\perp \Rightarrow R_{\omega_{\xi, \eta}}(T) = 0.$$

Therefore, if  $\omega_{\xi, \eta} \otimes \omega_{x, y}$  annihilates  $\mathcal{U} \otimes \mathcal{V}$ , then either  $\omega_{\xi, \eta}$  annihilates  $\mathcal{U}$ , in which case  $R_{\omega_{\xi, \eta}}(T) = 0$ , or  $\omega_{x, y}$  annihilates  $\mathcal{V}$ , in which case  $L_{\omega_{x, y}}(T) = 0$ . In either case,

$$(\omega_{\xi, \eta} \otimes \omega_{x, y})(T) = \omega_{\xi, \eta}(L_{\omega_{x, y}}(T)) = \omega_{x, y}(R_{\omega_{\xi, \eta}}(T)) = 0,$$

which shows that  $T \in \text{Ref}_e(\mathcal{U} \otimes \mathcal{V})$ . □

**Remark 2.4.** The intersection  $(\mathcal{B}(\mathcal{H}_1) \otimes \mathcal{V}) \cap (\mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2))$  coincides with the Fubini product  $F(\mathcal{U}, \mathcal{V})$  defined by Tomiyama in [23] for von Neumann algebras and by Kraus in [15] for weak-\* closed spaces of operators.

Let  $\mathcal{L}_1$  and  $\mathcal{L}_2$  be subspace lattices on the Hilbert spaces  $\mathcal{H}_1, \mathcal{H}_2$ , and let  $\mathcal{L}_1 \otimes \mathcal{L}_2$  be the smallest subspace lattice generated by  $P_1 \otimes P_2$ , where  $P_i \in \mathcal{L}_i, i = 1, 2$ . It follows from a result of Kraus [15, (3.3)] that the Fubini product  $F(\text{Alg } \mathcal{L}_1, \text{Alg } \mathcal{L}_2)$  equals  $\text{Alg}(\mathcal{L}_1 \otimes \mathcal{L}_2)$ . Combining this with Lemma 2.3, we obtain

$$\text{Ref}_e(\text{Alg } \mathcal{L}_1 \otimes \text{Alg } \mathcal{L}_2) = \text{Alg}(\mathcal{L}_1 \otimes \mathcal{L}_2).$$

**Corollary 2.5.**

- (i) If  $A \in \mathcal{B}(\mathcal{H}_1)$ , then  $\text{Ref}_e(A \otimes \mathcal{V}) = A \otimes \text{Ref } \mathcal{V}$ .
- (ii) If  $\mathcal{U} \subseteq \mathcal{B}(\mathcal{H}_1)$ , then  $\text{Ref}_e(\mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2)) = \text{Ref } \mathcal{U} \otimes \mathcal{B}(\mathcal{H}_2)$ .

**Proof.** (i) Clearly, we may assume that  $A \neq 0$ . If  $T \in \text{Ref}_e(A \otimes \mathcal{V})$ , then by Lemma 2.3,  $T \in (\mathcal{B}(\mathcal{H}_1) \otimes \text{Ref } \mathcal{V}) \cap (\text{Ref } \mathbb{C}A \otimes \mathcal{B}(\mathcal{H}_2))$ . But  $\text{Ref } \mathbb{C}A = \mathbb{C}A$ , since one-dimensional subspaces are reflexive (see, for example, [5, Proposition 56.5]), so  $T = A \otimes B$  for some  $B \in \mathcal{B}(\mathcal{H}_2)$ . Thus,  $A \otimes B \in \mathcal{B}(\mathcal{H}_1) \otimes \text{Ref } \mathcal{V}$ , which implies that  $B \in \text{Ref } \mathcal{V}$ .

Part (ii) follows from Lemma 2.3. □

**Lemma 2.6.** Let  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  be a subspace of operators and  $\omega \in \mathcal{B}(\mathcal{H}_1)_*$  be a vector functional. Then  $R_\omega(\text{Ref}_e \mathcal{S}) \subseteq \text{Ref } R_\omega(\mathcal{S})$ .

Similarly, if  $\tau \in \mathcal{B}(\mathcal{H}_2)_*$  is a vector functional, then  $L_\tau(\text{Ref}_e \mathcal{S}) \subseteq \text{Ref } L_\tau(\mathcal{S})$ .

**Proof.** Let  $\omega = \omega_{\xi, \eta}$ , where  $\xi, \eta \in \mathcal{H}_1$ . Fix  $T \in \text{Ref}_e \mathcal{S}$  and suppose that  $x, y \in \mathcal{H}_2$  are such that  $\omega_{x, y}(R_\omega(\mathcal{S})) = \{0\}$ . It follows from (2.1) that

$$\omega_{\xi \otimes x, \eta \otimes y}(\mathcal{S}) = \{0\}.$$

Since  $T \in \text{Ref}_e \mathcal{S}$ , we have that  $\omega_{\xi \otimes x, \eta \otimes y}(T) = \{0\}$ . By (2.1) again,  $\omega_{x,y}(R_\omega(T)) = \{0\}$ . We have thus shown that  $R_\omega(T) \in \text{Ref } R_\omega(\mathcal{S})$ . The first claim is proved. The second claim follows similarly.  $\square$

**Proposition 2.7.** *For a projection  $L \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$ , let  $\tilde{L}$  be the projection onto the subspace  $\{\xi \otimes x : L(\xi \otimes x) = 0\}^\perp$ . Let  $P, Q \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  be projections. Then*

$$\text{Ref}_e Q\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)P = \tilde{Q}\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)\tilde{P}.$$

*In particular, there exists a subspace  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  such that  $\text{Ref}_e \mathcal{S}$  is strictly bigger than  $\text{Ref } \mathcal{S}$ .*

**Proof.** Fix projections  $P, Q \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  and let  $\mathcal{S} = Q\mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)P$ . It is clear that

$$\mathcal{S}_\perp \cap \mathcal{E} = \{\omega_{\xi \otimes x, \eta \otimes y} : P(\xi \otimes x) = 0 \text{ or } Q(\eta \otimes y) = 0\}. \tag{2.2}$$

Hence,  $T \in \text{Ref}_e \mathcal{S}$  if and only if  $\langle T(\xi \otimes x), \eta \otimes y \rangle = 0$  for all  $\xi, \eta \in \mathcal{H}_1$  and all  $x, y \in \mathcal{H}_2$  such that either  $P(\xi \otimes x) = 0$  or  $Q(\eta \otimes y) = 0$ .

Suppose that  $T \in \text{Ref}_e \mathcal{S}$ . If  $\xi \in \mathcal{H}_1$  and  $x \in \mathcal{H}_2$  are such that  $P(\xi \otimes x) = 0$ , then for any  $\eta \in \mathcal{H}_1$  and  $y \in \mathcal{H}_2$  we have  $\langle T(\xi \otimes x), \eta \otimes y \rangle = 0$  and so  $T(\xi \otimes x) = 0$ . But  $\tilde{P}^\perp(\mathcal{H}_1 \otimes \mathcal{H}_2) = [\xi \otimes x : P(\xi \otimes x) = 0]$ . It follows that  $T\tilde{P}^\perp = 0$ , or  $T = T\tilde{P}$ . By considering adjoints, we conclude that  $T = \tilde{Q}T$ , and thus  $T = \tilde{Q}T\tilde{P}$ . Conversely, if  $T$  is of this form, then  $T \in \text{Ref}_e \mathcal{S}$  by the previous paragraph.

For the last statement, it is sufficient to exhibit a projection  $P \in \mathcal{B}(\mathcal{H}_1 \otimes \mathcal{H}_2)$  such that  $\tilde{P}$  is strictly greater than  $P$ . It suffices to choose any  $P \neq I$  that does not annihilate any non-trivial elementary tensors. For example, take  $P = F^\perp$ , where  $F$  is the projection onto  $\{\lambda(e_1 \otimes f_1 + e_2 \otimes f_2) : \lambda \in \mathbb{C}\}$  and where the set  $\{e_1, e_2\} \subseteq \mathcal{H}_1$  (respectively, the set  $\{f_1, f_2\} \subseteq \mathcal{H}_2$ ) is linearly independent. Here  $P \neq I$  but  $\tilde{P} = I$ .  $\square$

**Example 2.8.** Let  $\mathcal{H}_1$  be infinite dimensional, let  $V \in \mathcal{B}(\mathcal{H}_1)$  be an isometry and let  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{H}_2)$  be a weak-\* closed subspace. Then

(i)  $\text{Ref}(V \otimes \mathcal{S}) = V \otimes \mathcal{S}$ ,

(ii) if  $\mathcal{S}$  is not reflexive,

$$\text{Ref}(V \otimes \mathcal{S}) \subsetneq \text{Ref}_e(V \otimes \mathcal{S}).$$

**Proof.** The equality  $\text{Ref}(V \otimes \mathcal{S}) = V \otimes \mathcal{S}$  is well known when  $V$  is the identity (see, for example, [5, Corollary 59.7]), and the proof readily extends to the general case.

Since  $\text{Ref}_e(V \otimes \mathcal{S}) = V \otimes \text{Ref } \mathcal{S}$  by Corollary 2.5, if  $\mathcal{S}$  is not reflexive, then  $\text{Ref}(V \otimes \mathcal{S})$  is strictly contained in  $\text{Ref}_e(V \otimes \mathcal{S})$ .  $\square$

### 3. Reflexive hulls and Fourier coefficients

We recall that for each  $\varphi \in H^\infty$  we denote by  $T_\varphi$  the analytic Toeplitz operator on  $H^2$  with symbol  $\varphi$  and by  $\mathcal{T}$  the collection of all analytic Toeplitz operators on  $H^2$ . Let  $\zeta_n \in H^2$  be the function given by  $\zeta_n(z) = z^n$ ,  $z \in \mathbb{T}$ . We note that  $\{\zeta_n : n \geq 0\}$  is an orthonormal basis of  $H^2$ . Let  $S = T_{\zeta_1} \in \mathcal{T}$  be the unilateral shift.

For the rest of this section, we fix a Hilbert space  $\mathcal{K}$ . We note that  $(\mathcal{T} \otimes \mathcal{B}(\mathcal{K}))' = \mathcal{T} \otimes I$ . Indeed, if  $T \in (\mathcal{T} \otimes \mathcal{B}(\mathcal{K}))'$ , then  $T \in (I \otimes \mathcal{B}(\mathcal{K}))'$  and hence  $T = A \otimes I$  for some  $A \in \mathcal{B}(H^2)$ . It now follows that  $A \in \mathcal{T}' = \mathcal{T}$  [22]. Thus,  $(\mathcal{T} \otimes \mathcal{B}(\mathcal{K}))'' = (\mathcal{T} \otimes I)'$ . Now, if  $X \in (\mathcal{T} \otimes I)'$ , then  $X(T \otimes I) = (T \otimes I)X$  for all  $T \in \mathcal{T}$ . Applying left slice maps, we obtain  $L_\omega(X)T = TL_\omega(X)$  for all normal functionals  $\omega$  and all  $T \in \mathcal{T}$ . Thus,  $L_\omega(X) \in \mathcal{T}' = \mathcal{T}$  for all normal functionals  $\omega$ , which means by Lemma 2.1 that  $X \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$ . We conclude that  $(\mathcal{T} \otimes \mathcal{B}(\mathcal{K}))'' = \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$  and, in particular, that  $\mathcal{T} \otimes \mathcal{B}(\mathcal{K})$  is automatically weakly closed.

If  $T \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$ , let  $\hat{T}_n$ ,  $n \geq 0$ , be the operators determined by the identity

$$T(\zeta_0 \otimes x) = \sum_{n \geq 0} \zeta_n \otimes \hat{T}_n x, \quad x \in \mathcal{K}.$$

Alternatively,  $\hat{T}_n = R_{\omega_n}(T)$ , where  $\omega_n = \omega_{\zeta_0, \zeta_n}$ ,  $n \geq 0$ .

We call  $\sum_{n \geq 0} S^n \otimes \hat{T}_n$  the formal Fourier series of  $T$ . When  $\mathcal{K}$  is one dimensional, this is the usual Fourier series of an operator  $T \in \mathcal{T}$ . By standard arguments, as in the scalar case, the Cesàro sums of this series converge to  $T$  in the weak-\* topology.

If  $\mathbb{S}$  is a family  $(\mathcal{S}_n)_{n \geq 0}$  of subspaces of  $\mathcal{B}(\mathcal{K})$ , we let

$$\mathcal{A}(\mathbb{S}) = \{T \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K}) : \hat{T}_n \in \mathcal{S}_n, n \geq 0\}.$$

It is obvious that  $\mathcal{A}(\mathbb{S})$  is a linear space; it is a subalgebra of  $\mathcal{B}(H^2 \otimes \mathcal{K})$  if and only if  $\mathcal{S}_n \mathcal{S}_m \subseteq \mathcal{S}_{n+m}$  for all  $n, m \geq 0$ .

**Remark 3.1.** If  $\mathcal{S}_n$  is closed in the weak operator (respectively, the weak-\*) topology and  $\mathbb{S} = (\mathcal{S}_n)_{n \geq 0}$ , then  $\mathcal{A}(\mathbb{S})$  is closed in the weak operator (respectively, the weak-\*) topology.

This follows from the fact that the slice maps  $R_{\omega_n}$  are continuous in both the weak-weak and the weak\*-weak-\* sense.

**Remark 3.2.** If  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{K})$  is a weak-\* closed space and  $\mathcal{S}_n = \mathcal{S}$  for each  $n \geq 0$ , then  $\mathcal{A}(\mathbb{S}) = \mathcal{T} \otimes \mathcal{S}$ .

Indeed, if  $A \in \mathcal{S}$  and  $k \geq 0$ , then obviously  $S^k \otimes A \in \mathcal{A}(\mathbb{S})$  and hence  $\mathcal{T} \otimes \mathcal{S} \subseteq \mathcal{A}(\mathbb{S})$ , since the latter is weak-\* closed.

Conversely, suppose that  $T \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$  is such that  $\hat{T}_n \in \mathcal{S}$  for each  $n \geq 0$ . Then  $S^n \otimes \hat{T}_n \in \mathcal{T} \otimes \mathcal{S}$  and hence the Cesàro sums of the Fourier series of  $T$  are in  $\mathcal{T} \otimes \mathcal{S}$ . But  $\mathcal{T} \otimes \mathcal{S}$  is weak-\* closed, and so  $T \in \mathcal{T} \otimes \mathcal{S}$ .

If  $\mathbb{S} = (\mathcal{S}_n)_{n \geq 0}$ , we let  $\text{Ref } \mathbb{S} := (\text{Ref } \mathcal{S}_n)_{n \geq 0}$ .

**Theorem 3.3.** *If  $\mathbb{S} = (\mathcal{S}_n)_{n \geq 0}$  is a sequence of subspaces of  $\mathcal{B}(\mathcal{K})$ , then  $\text{Ref}_e \mathcal{A}(\mathbb{S}) = \mathcal{A}(\text{Ref } \mathbb{S})$ . In particular, if  $\mathcal{S}_n$  is reflexive for each  $n \geq 0$ , then  $\mathcal{A}(\mathbb{S})$  is reflexive.*

**Proof.** First observe that  $\text{Ref}_e(\mathcal{T} \otimes \mathcal{B}(\mathcal{K})) = (\text{Ref } \mathcal{T}) \otimes \mathcal{B}(\mathcal{K})$  by Corollary 2.5. But  $\mathcal{T}$  is reflexive [22] and hence  $\text{Ref}_e(\mathcal{T} \otimes \mathcal{B}(\mathcal{K})) = \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$ .

Let  $T \in \text{Ref}_e \mathcal{A}(\mathbb{S})$ . As just observed,  $T \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$ . By Lemma 2.6, for each  $n \geq 0$ , writing  $\omega_n = \omega_{\zeta_0, \zeta_n}$ , we have

$$R_{\omega_n}(T) \in \text{Ref } R_{\omega_n}(\mathcal{A}(\mathbb{S})) \subseteq \text{Ref } \mathcal{S}_n,$$

since  $R_{\omega_n}(\mathcal{A}(\mathbb{S})) \subseteq \mathcal{S}_n$  by the definition of  $\mathcal{A}(\mathbb{S})$ . In other words,  $\hat{T}_n \in \text{Ref } \mathcal{S}_n$  for all  $n \geq 0$ , and so  $T \in \mathcal{A}(\text{Ref } \mathbb{S})$ .

Conversely, suppose that  $T \in \mathcal{A}(\text{Ref } \mathbb{S})$ , that is,  $\hat{T}_n \in \text{Ref } \mathcal{S}_n$  for each  $n \geq 0$ . By Corollary 2.5,  $S^n \otimes \hat{T}_n \in \text{Ref}_e(S^n \otimes \mathcal{S}_n)$ ,  $n \geq 0$ . Since  $S^n \otimes \mathcal{S}_n \subseteq \mathcal{A}(\mathbb{S})$ , we conclude that  $S^n \otimes \hat{T}_n \in \text{Ref}_e \mathcal{A}(\mathbb{S})$ ,  $n \geq 0$ . By Lemma 2.2 (i) and the fact that  $T$  is in the weak-\* closed linear hull of  $\{S^n \otimes \hat{T}_n : n \geq 0\}$  we have that  $T \in \text{Ref}_e \mathcal{A}(\mathbb{S})$ .

Suppose that  $\mathcal{S}_n$  is reflexive for each  $n \geq 0$ . By Lemma 2.2 (ii) and the first part of the proof,

$$\mathcal{A}(\mathbb{S}) \subseteq \text{Ref } \mathcal{A}(\mathbb{S}) \subseteq \text{Ref}_e \mathcal{A}(\mathbb{S}) = \mathcal{A}(\mathbb{S})$$

and hence  $\mathcal{A}(\mathbb{S})$  is reflexive. □

As an immediate corollary of Theorem 3.3 we obtain the following result, proved for reflexive algebras by Kraus [15] and Ptak [21].

**Corollary 3.4.** *Let  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{K})$  be a reflexive subspace. Then  $\mathcal{T} \otimes \mathcal{S}$  is reflexive.*

**Remark 3.5.** We note that  $\text{Ref}_e \mathcal{A}(\mathbb{S})$  is in general strictly larger than  $\text{Ref } \mathcal{A}(\mathbb{S})$ . Indeed, let  $\mathcal{S} \subseteq \mathcal{B}(\mathcal{K})$  be a non-reflexive weak-\* closed subspace and  $\mathbb{S} = (\mathcal{S}_n)_{n \geq 0}$  be the family with  $\mathcal{S}_1 = \mathcal{S}$  and  $\mathcal{S}_n = \{0\}$  if  $n \neq 1$ . Then  $\mathcal{A}(\mathbb{S}) = \mathcal{S} \otimes \mathcal{S}$  is reflexive (Example 2.8 (i)). However, by Theorem 3.3,  $\text{Ref}_e \mathcal{A}(\mathbb{S}) = \mathcal{S} \otimes \text{Ref } \mathcal{S}$ , which strictly contains  $\mathcal{A}(\mathbb{S})$ .

The following corollary will be used in Theorem 5.2.

**Corollary 3.6.** *Let  $U, V \in \mathcal{B}(\mathcal{K})$  satisfy  $UV = \lambda VU$  for some  $\lambda \in \mathbb{C}$ . Suppose that  $V$  is invertible and that the weak-\* closure  $\mathcal{W}_0$  of the polynomials in  $U$  is reflexive. Then the weak-\* closed unital operator algebra  $\mathcal{W} \subseteq \mathcal{B}(H^2 \otimes \mathcal{K})$  generated by  $I \otimes U$  and  $S \otimes V$  is reflexive.*

**Proof.** The commutation relation  $UV = \lambda VU$  implies that  $\mathcal{W}$  is the weak-\* closed linear hull of the set  $\{S^k \otimes V^k U^m : k, m \geq 0\}$ .

Let  $\mathbb{S} = (V^n \mathcal{W}_0)_{n \geq 0}$ . We claim that  $\mathcal{W} = \mathcal{A}(\mathbb{S})$ . Suppose that  $T \in \mathcal{T} \otimes \mathcal{B}(\mathcal{K})$  and that  $\hat{T}_n \in V^n \mathcal{W}_0$ ,  $n \geq 0$ . Then

$$S^n \otimes \hat{T}_n \in S^n \otimes V^n \mathcal{W}_0 = (S^n \otimes V^n)(I \otimes \mathcal{W}_0) \subseteq \mathcal{W}.$$

It follows by approximation (in the  $w^*$ -topology) that  $T \in \mathcal{W}$ . Thus,  $\mathcal{A}(\mathbb{S}) \subseteq \mathcal{W}$ .

To show that  $\mathcal{W} \subseteq \mathcal{A}(\mathbb{S})$ , it suffices to prove that  $S^k \otimes V^k U^m \in \mathcal{A}(\mathbb{S})$ , for each  $k, m \geq 0$ . So, fix such  $k$  and  $m$  and note that if  $x, y \in \mathcal{K}$ , then

$$\begin{aligned} \langle R_{\omega_{\zeta_0, \zeta_n}}(S^k \otimes V^k U^m)x, y \rangle &= \langle (S^k \otimes V^k U^m)(\zeta_0 \otimes x), \zeta_n \otimes y \rangle \\ &= \langle \zeta_k \otimes V^k U^m x, \zeta_n \otimes y \rangle \\ &= \delta_{k,n} \langle V^k U^m x, y \rangle. \end{aligned}$$

Thus,  $R_{\omega_{\zeta_0, \zeta_n}}(S^k \otimes V^k U^m) = \delta_{k,n} V^k U^m \in V^n \mathcal{W}_0$  for all  $n$  and hence  $S^k \otimes V^k U^m \in \mathcal{A}(\mathbb{S})$  as required.

Now observe that, since  $V$  is invertible and  $\mathcal{W}_0$  is reflexive, each  $\mathcal{S}_n = V^n \mathcal{W}_0$  is reflexive. It therefore follows from Theorem 3.3 that  $\mathcal{W} = \mathcal{A}(\mathbb{S})$  is reflexive.  $\square$

**Remark 3.7.** Both a special case of Theorem 3.3 and Corollary 3.4 were obtained independently by Kakariadis in [12, Theorem 2.8].

#### 4. The structure of $\mathcal{T}_L(\mathbb{H}^+)$

In this section we study the weak-\* closed operator algebra  $\mathcal{T}_L(\mathbb{H}^+)$  generated by the image of the left regular representation of  $\mathbb{H}^+$  restricted to the invariant subspace  $\mathcal{H} = \ell^2(\mathbb{H}^+)$ . We identify  $\mathcal{H}$  with  $\ell^2(\mathbb{Z}) \otimes \ell^2(\mathbb{Z}_+) \otimes \ell^2(\mathbb{Z}_+)$ , where the element of the canonical orthonormal basis of  $\mathcal{H}$  corresponding to  $w^n u^k v^m \in \mathbb{H}^+$  is identified with the elementary tensor  $w^n \otimes u^k \otimes v^m$ . Then  $\mathcal{T}_L(\mathbb{H}^+)$  is generated by the operators  $L_u, L_v$  and  $L_w$  on  $\mathcal{H}$ , which act as follows:

$$\left. \begin{aligned} L_u(w^n \otimes u^k \otimes v^m) &= w^n \otimes u^{k+1} \otimes v^m, \\ L_v(w^n \otimes u^k \otimes v^m) &= w^{n-k} \otimes u^k \otimes v^{m+1}, \\ L_w(w^n \otimes u^k \otimes v^m) &= w^{n+1} \otimes u^k \otimes v^m, \end{aligned} \right\} (n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+.$$

By the commutation relations,  $\mathcal{T}_L(\mathbb{H}_+)$  coincides with the weak-\* closed linear span of the set

$$\{L_w^n L_u^k L_v^m : (n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+\}.$$

Throughout this section we will identify  $\ell^2(\mathbb{Z})$  with  $L^2(\mathbb{T})$  via Fourier transform in the first coordinate  $w$ . In this way, the identity function  $\zeta_1$  on  $\mathbb{T}$  is identified with  $w$  and  $\mathcal{T}_L(\mathbb{H}^+)$  is identified with an operator algebra acting on  $L^2(\mathbb{T}) \otimes \ell^2(\mathbb{Z}_+ \times \mathbb{Z}_+)$ . Let  $\mathcal{C}$  be the weak-\* closed linear span of  $\{L_w^n : n \in \mathbb{Z}\}$ . This is an abelian von Neumann algebra; it consists of all operators  $\{L_f : f \in L^\infty(\mathbb{T})\}$ , where

$$L_f(w^n \otimes u^k \otimes v^m) = (fw^n) \otimes u^k \otimes v^m.$$

Thus,  $\mathcal{C} = \mathcal{M} \otimes 1 \otimes 1$ , where  $\mathcal{M} \subseteq \mathcal{B}(L^2(\mathbb{T}))$  is the multiplication maximal abelian self-adjoint subalgebra (MASA) of  $L^\infty(\mathbb{T})$ .

If  $(e^{is}, e^{it}) \in \mathbb{T} \times \mathbb{T}$  ( $s, t \in [0, 2\pi)$ ), let  $W_{s,t} \in \mathcal{B}(\mathcal{H})$  be the unitary operator given by

$$W_{s,t}(w^n \otimes u^k \otimes v^m) = w^n \otimes e^{isk} u^k \otimes e^{itm} v^m, \quad (n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+.$$

We define an action of the 2-torus  $\mathbb{T} \times \mathbb{T}$  on  $\mathcal{B}(\mathcal{H})$  by

$$\rho_{s,t}(A) = W_{s,t} A W_{s,t}^*, \quad A \in \mathcal{B}(\mathcal{H}).$$

Observe that

$$\rho_{s,t}(L_u) = e^{is} L_u, \quad \rho_{s,t}(L_v) = e^{it} L_v, \quad \rho_{s,t}(L_w) = L_w.$$

Hence,  $\rho_{s,t}$  leaves  $\mathcal{T}_L(\mathbb{H}^+)$  invariant. Since  $\rho_{s,t}$  is unitarily implemented, it also leaves  $\text{Ref } \mathcal{T}_L(\mathbb{H}^+)$  invariant.

If  $A \in \mathcal{T}_L(\mathbb{H}^+)$  is a ‘trigonometric polynomial’, namely a sum

$$A = \sum_{(k,m) \in \Omega} L_{f_{k,m}} L_u^k L_v^m,$$

where  $\Omega \subseteq \mathbb{Z}_+ \times \mathbb{Z}_+$  is finite and  $f_{k,m} \in L^\infty(\mathbb{T})$  ( $(k,m) \in \Omega$ ), then it is easy to observe that

$$\frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} \rho_{s,t}(A) e^{-isk} e^{-itm} dt ds = L_{f_{k,m}} L_u^k L_v^m.$$

We will need the following proposition, which is a version of well-known facts adapted to our setting.

**Proposition 4.1.** *For  $k, m \in \mathbb{Z}_+$ , let  $Q_{k,m} \in \mathcal{B}(\mathcal{H})$  be the orthogonal projection onto the subspace  $L^2(\mathbb{T}) \otimes [u^k] \otimes [v^m]$  spanned by the vectors of the form  $f \otimes u^k \otimes v^m$ ,  $f \in L^2(\mathbb{T})$ . If  $A \in \mathcal{B}(\mathcal{H})$  and  $p, q \in \mathbb{Z}$ , set*

$$\Phi_{p,q}(A) = \sum_{k,m} Q_{k+p,m+q} A Q_{k,m},$$

where the sum is taken over all  $k, m \in \mathbb{Z}_+$  such that  $k+p, m+q \in \mathbb{Z}_+$ . The following statements hold.

(i)  $\Phi_{p,q}(A) = \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} \rho_{s,t}(A) e^{-isp} e^{-itq} dt ds.$

(ii) If  $0 < r < 1$ , then the series

$$\sum_{p,q \in \mathbb{Z}} \Phi_{p,q}(A) r^{|p|+|q|}$$

converges absolutely in norm to an operator  $A_r$ ; moreover,  $\|A_r\| \leq \|A\|$  and  $w^*\text{-}\lim_{r \nearrow 1} A_r = A$ .

(iii) If  $\Phi_{p,q}(A) = 0$  for all  $p, q \in \mathbb{Z}$ , then  $A = 0$ .

(iv) If  $A \in \mathcal{T}_L(\mathbb{H}^+)$  and  $B \in \text{Ref } \mathcal{T}_L(\mathbb{H}^+)$ , then  $\Phi_{p,q}(A), A_r \in \mathcal{T}_L(\mathbb{H}^+)$  and  $\Phi_{p,q}(B), B_r \in \text{Ref } \mathcal{T}_L(\mathbb{H}^+)$ , for all  $p, q \in \mathbb{Z}$ .

**Proof.** (i) Let  $x = Q_{k_1, m_1}x$  and  $y = Q_{k_2, m_2}y$ . We have

$$\langle \Phi_{p,q}(A)x, y \rangle = \left\langle \sum Q_{k+p, m+q} A Q_{k,m} x, y \right\rangle = \delta_{k_1+p, k_2} \delta_{m_1+q, m_2} \langle Ax, y \rangle,$$

where the summation takes place over all  $k, m \in \mathbb{Z}_+$  with  $k + p, m + q \in \mathbb{Z}_+$ . On the other hand, we have

$$\langle \rho_{s,t}(A)x, y \rangle = \langle W_{s,t} A W_{s,t}^* x, y \rangle = e^{-isk_1 - itm_1} e^{isk_2 + itm_2} \langle Ax, y \rangle$$

and hence

$$\begin{aligned} \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} \langle \rho_{s,t}(A) e^{-isp} e^{-itq} x, y \rangle dt ds \\ = \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} \langle Ax, y \rangle e^{is(k_2 - k_1 - p)} e^{it(m_2 - m_1 - q)} dt ds \\ = \delta_{k_1+p, k_2} \delta_{m_1+q, m_2} \langle Ax, y \rangle. \end{aligned}$$

(ii) Let  $F$  be the operator-valued function defined on  $\mathbb{T} \times \mathbb{T}$  by  $F(s, t) = \rho_{s,t}(A)$ , and let  $\hat{F}$  be its Fourier transform. By (i),  $\hat{F}(p, q) = \Phi_{p,q}(A)$ . If  $P_r(s, t)$  denotes the two-dimensional Poisson kernel, then one readily sees that  $A_r = (F * P_r)(0, 0)$ .

The claim therefore follows from the well-known properties of the Poisson kernel.

Part (iii) is an immediate consequence of (ii).

(iv) It follows from (i) that  $\Phi_{p,q}(A) \in \mathcal{T}_L(\mathbb{H}^+)$  and  $\Phi_{p,q}(B) \in \text{Ref } \mathcal{T}_L(\mathbb{H}^+)$ , since  $\rho_{s,t}$  leaves  $\mathcal{T}_L(\mathbb{H}^+)$  and  $\text{Ref } \mathcal{T}_L(\mathbb{H}^+)$  invariant. Now (ii) implies that  $A_r \in \mathcal{T}_L(\mathbb{H}^+)$  and  $B_r \in \text{Ref } \mathcal{T}_L(\mathbb{H}^+)$ .  $\square$

We isolate some consequences of Proposition 4.1, as follows.

**Corollary 4.2.** *If  $A \in \mathcal{T}_L(\mathbb{H}^+)$ , then we have the following.*

- (i)  $\Phi_{k,m}(A) = 0$  unless  $k \geq 0$  and  $m \geq 0$ .
- (ii) For each  $k, m \geq 0$ , the operator  $L_{k,m} \equiv (L_v^m)^*(L_u^k)^*\Phi_{k,m}(A)$  is in  $\mathcal{C}$ . Hence, there exists  $f_{k,m}(A) \in L^\infty(\mathbb{T})$  such that  $L_{k,m} = L_{f_{k,m}(A)}$ . We have  $\Phi_{k,m}(A) = L_{f_{k,m}(A)} L_u^k L_v^m$ .

**Proof.** Since  $\mathcal{T}_L(\mathbb{H}^+)$  is the weak-\* closed hull of its trigonometric polynomials and the map  $\Phi_{k,m}$  is weak-\* continuous, it suffices to assume that  $A$  is of the form  $A = \sum_{(k,m) \in \Omega} L_{f_{k,m}} L_u^k L_v^m$ , where  $\Omega \subseteq \mathbb{Z}_+ \times \mathbb{Z}_+$  is finite. Now (i) is obvious. For (ii), we have

$$\Phi_{k,m}(A) = \frac{1}{4\pi^2} \int_0^{2\pi} \int_0^{2\pi} \rho_{s,t}(A) e^{-isk} e^{-itm} dt ds = L_{f_{k,m}} L_u^k L_v^m = L_u^k L_v^m L_{f_{k,m}};$$

hence  $(L_v^m)^*(L_u^k)^*\Phi_{k,m}(A) = L_{f_{k,m}}$ , which is in  $\mathcal{C}$ .  $\square$

We can now identify the diagonal and the centre of  $\mathcal{T}_L(\mathbb{H}^+)$ .

**Corollary 4.3.** *The diagonal and the centre of  $\mathcal{T}_L(\mathbb{H}^+)$  both coincide with  $\mathcal{C}$ .*

**Proof.** The maps  $\rho_{s,t}$  are automorphisms of  $\mathcal{T}_L(\mathbb{H}^+)$  and hence leave its centre  $\mathcal{Z}$  invariant. By Proposition 4.1 (i), if  $A \in \mathcal{Z}$ , then  $\Phi_{k,m}(A) \in \mathcal{Z}$ . By Corollary 4.2 (ii),  $L_{f_{k,m}(A)} L_u^k L_v^m \in \mathcal{Z}$  for each  $k, m \geq 0$ . It is now immediate that if such an operator commutes with all  $L_u$  and  $L_v$ , then  $L_{f_{k,m}(A)} = 0$  unless  $k = m = 0$ . Thus,  $A = L_{f_{0,0}(A)} \in \mathcal{C}$ .

It follows from Proposition 4.1 (i) that  $\Phi_{k,m}(A)^* = \Phi_{-k,-m}(A^*)$ . Hence, by Corollary 4.2 (i), if  $A$  and  $A^*$  are both in  $\mathcal{T}_L(\mathbb{H}^+)$ , then  $\Phi_{k,m}(A) = 0$  unless  $k = m = 0$ . Thus, each  $A_r$  is in  $\mathcal{C}$  and hence so is  $A$ .

We have shown that the centre and the diagonal are contained in  $\mathcal{C}$ . The opposite inclusions are obvious.  $\square$

In some of the results that follow we adapt techniques used by Davidson and Pitts in [9]. Along with the left regular representation  $L$  of  $\mathbb{H}^+$  defined above, we consider the restriction of its right regular representation to  $\mathcal{H} = \ell^2(\mathbb{H}^+)$ . This is generated by the operators

$$\left. \begin{aligned} R_u(w^n \otimes u^k \otimes v^m) &= w^{n-m} \otimes u^{k+1} \otimes v^m, \\ R_v(w^n \otimes u^k \otimes v^m) &= w^n \otimes u^k \otimes v^{m+1}, \\ R_w(w^n \otimes u^k \otimes v^m) &= w^{n+1} \otimes u^k \otimes v^m, \end{aligned} \right\} (n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+.$$

We denote by  $\mathcal{T}_R(\mathbb{H}^+)$  the weak-\* closed subalgebra of  $\mathcal{B}(\ell^2(\mathbb{H}^+))$  generated by

$$\{R_w^n, R_u^k, R_v^m : (n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+\}.$$

It is trivial to verify that  $\mathcal{T}_L(\mathbb{H}^+)$  and  $\mathcal{T}_R(\mathbb{H}^+)$  commute.

**Lemma 4.4.** *Suppose that the operator  $A \in \mathcal{B}(\mathcal{H})$  commutes with  $\mathcal{T}_R(\mathbb{H}^+)$  and that  $A(w^0 \otimes u^0 \otimes v^0) = 0$ . Then  $A = 0$ .*

**Proof.** For each  $(n, k, m) \in \mathbb{Z} \times \mathbb{Z}_+ \times \mathbb{Z}_+$  we have

$$\begin{aligned} A(w^n \otimes u^k \otimes v^m) &= AR_v^m R_u^k R_w^n (w^0 \otimes u^0 \otimes v^0) \\ &= R_v^m R_u^k R_w^n A(w^0 \otimes u^0 \otimes v^0) \\ &= 0. \end{aligned}$$

Hence,  $A = 0$ .  $\square$

The argument below is standard; for the case of the unilateral shift, see [6, Proposition V.1.1]. We include a proof for the convenience of the reader.

**Proposition 4.5.** *If  $A \in \mathcal{B}(\mathcal{H})$  commutes with  $R_u$  or  $R_v$ , then  $\|A\|$  equals the essential norm  $\|A\|_e \equiv \inf\{\|A + K\| : K \text{ compact}\}$ . In particular, the algebra  $\mathcal{T}_L(\mathbb{H}^+)$  does not contain non-zero compact operators.*

**Proof.** Assume that  $A$  commutes with  $R_v$  (the other case is similar). It is easy to see that  $(R_v^n)_n$  tends to 0 weakly. Indeed, if  $x, y$  are in  $\mathcal{H}$  and we write  $x = \sum_m x_m \otimes v^m$ ,  $y = \sum_m y_m \otimes v^m$ , where  $x_m, y_m$  are in  $L^2(\mathbb{T}) \otimes \ell^2(\mathbb{Z}_+)$ , then

$$\langle R_v^n x, y \rangle = \sum_m \langle x_m, y_{m+n} \rangle \rightarrow 0,$$

since  $(\|x_m\|)$  and  $(\|y_m\|)$  are square integrable.

Suppose, by way of contradiction, that there is a compact operator  $K \in \mathcal{B}(\mathcal{H})$  such that  $\|A + K\| < \|A\|$ . Then there is a unit vector  $x \in \mathcal{H}$  which satisfies  $\|Ax\| > \|A + K\|$ . But  $\|(A + K)R_v^n x\| \leq \|A + K\|$ , since  $R_v^n$  is an isometry. On the other hand, since  $R_v^n$  tends to 0 weakly, we have  $\lim_n \|KR_v^n x\| = 0$ . Thus,

$$\lim_n \|(A + K)R_v^n x\| = \lim_n \|AR_v^n x\| = \lim_n \|R_v^n Ax\| = \|Ax\|,$$

a contradiction. □

**Theorem 4.6.** *The algebra  $\mathcal{T}_L(\mathbb{H}^+)$  does not contain quasi-nilpotent operators. In particular,  $\mathcal{T}_L(\mathbb{H}^+)$  is semi-simple.*

**Proof.** Let  $A \in \mathcal{T}_L(\mathbb{H}^+)$  be non-zero and define  $f_{k,m} = f_{k,m}(A) \in L^\infty(\mathbb{T})$  as in Corollary 4.2. Recall that for  $r \in (0, 1)$  we have set

$$A_r = \sum_{k,m \geq 0} r^{k+m} L_{f_{k,m}} L_u^k L_v^m.$$

Let

$$\begin{aligned} E &= \{(k, m) : f_{k,m} \neq 0\}, \\ \rho &= \inf\{k + m : (k, m) \in E\}, \\ k_0 &= \inf\{k : (k, m) \in E, k + m = \rho\}, \\ m_0 &= \rho - k_0. \end{aligned}$$

If  $g, h \in L^2(\mathbb{T})$  and  $n \in \mathbb{Z}_+$ , we have

$$\begin{aligned} &\langle A_r^n (g \otimes u^0 \otimes v^0), (h \otimes u^{nk_0} \otimes v^{nm_0}) \rangle \\ &= \sum_\gamma r^{\sum k_i} r^{\sum m_i} \langle (f_{k_1, m_1} \cdots f_{k_n, m_n}) \phi_\gamma g \otimes u^{\sum k_i} \otimes v^{\sum m_i}, (h \otimes u^{nk_0} \otimes v^{nm_0}) \rangle, \end{aligned}$$

where the summation is over all  $\gamma = ((k_1, m_1), (k_2, m_2), \dots, (k_n, m_n))$  with  $(k_i, m_i) \in E$  and  $\phi_\gamma$  is a function of modulus 1 such that

$$L_u^{k_1} L_v^{m_1} \cdots L_u^{k_n} L_v^{m_n} = L_{\phi_\gamma} L_u^{\sum k_i} L_v^{\sum m_i}.$$

For a term in the above sum to be non-zero, we must have  $\sum k_i = nk_0$  and  $\sum m_i = nm_0$ . Thus, since  $k_i + m_i \geq \rho = k_0 + m_0$  for each  $i$  and  $\sum(k_i + m_i) = n(k_0 + m_0)$ , we obtain

$k_i + m_i = k_0 + m_0$  for all  $i = 1, \dots, n$ . But  $k_i \geq k_0$  for all  $i$ ; hence, the condition  $\sum k_i = nk_0$  gives  $k_i = k_0$  for all  $i$  and so  $m_i = m_0$  for all  $i$ .

Hence, there is only one non-zero term in the above sum and we obtain

$$\langle A_r^n(g \otimes u^0 \otimes v^0), (h \otimes u^{nk_0} \otimes v^{nm_0}) \rangle = r^{n(k_0+m_0)} \langle (f_{k_0, m_0}^n \phi_{\gamma_0} g), h \rangle,$$

where  $\gamma_0 = ((k_0, m_0), (k_0, m_0), \dots, (k_0, m_0))$  (and the term  $(k_0, m_0)$  appears  $n$  times). Now, since  $\|A_r\| \leq \|A\|$  for each  $r$  and  $A_r \rightarrow A$  in the weak-\* topology,

$$\begin{aligned} |\langle A^n(g \otimes u^0 \otimes v^0), (h \otimes u^{nk_0} \otimes v^{nm_0}) \rangle| &= \lim_{r \nearrow 1} |\langle A_r^n(g \otimes u^0 \otimes v^0), (h \otimes u^{nk_0} \otimes v^{nm_0}) \rangle| \\ &= \lim_{r \nearrow 1} r^{n(k_0+m_0)} |\langle (f_{k_0, m_0}^n \phi_{\gamma_0} g), h \rangle| \\ &= |\langle (f_{k_0, m_0}^n \phi_{\gamma_0} g), h \rangle|. \end{aligned}$$

Since  $\phi_{\gamma_0}$  is unimodular,

$$\begin{aligned} \|A^n\| &\geq \sup\{|\langle A^n(g \otimes u^0 \otimes v^0), h \otimes u^{nk_0} \otimes v^{nm_0} \rangle| : \|g\|_2 \leq 1, \|h\|_2 \leq 1\} \\ &= \sup\{|\langle f_{k_0, m_0}^n \phi_{\gamma_0} g, h \rangle| : \|g\|_2 \leq 1, \|h\|_2 \leq 1\} \\ &= \|f_{k_0, m_0}^n\|_\infty. \end{aligned}$$

Thus,

$$\|A^n\|^{1/n} \geq \|f_{k_0, m_0}\|_\infty$$

for all  $n$ , and hence the spectral radius of  $A$  is non-zero. □

**Theorem 4.7.** *The commutant of  $\mathcal{T}_R(\mathbb{H}^+)$  is  $\mathcal{T}_L(\mathbb{H}^+)$ .*

**Proof.** Let  $A$  be in the commutant of  $\mathcal{T}_R(\mathbb{H}^+)$ . Then

$$A(w^0 \otimes u^0 \otimes v^0) = \sum_{k, m \geq 0} \phi_{k, m} \otimes u^k \otimes v^m$$

for some  $\phi_{k, m} \in L^2(\mathbb{T})$ .

We show that  $\phi_{k, m} \in L^\infty(\mathbb{T})$ . Let  $g \in L^\infty(\mathbb{T})$ . Since  $L_g A = A L_g$  (note that  $L_g \in \mathcal{Z} \subseteq \mathcal{T}_R(\mathbb{H}^+)$ ), we have

$$\begin{aligned} A(g \otimes u^0 \otimes v^0) &= L_g A(w^0 \otimes u^0 \otimes v^0) \\ &= \sum_{k, m \geq 0} L_g(\phi_{k, m} \otimes u^k \otimes v^m) \\ &= \sum_{k, m \geq 0} (g \phi_{k, m} \otimes u^k \otimes v^m) \end{aligned}$$

and so

$$\langle A(g \otimes u^0 \otimes v^0), (g \otimes u^k \otimes v^m) \rangle = \langle g \phi_{k, m}, g \rangle = \frac{1}{2\pi} \int_0^{2\pi} \phi_{k, m}(t) |g(t)|^2 dt.$$

Therefore,

$$\left| \frac{1}{2\pi} \int_0^{2\pi} \phi_{k,m}(t) |g(t)|^2 dt \right| \leq \|A\| \|g\|_2^2.$$

Using this inequality for characteristic functions in the place of  $g$ , one sees that  $\phi_{k,m}$  induces a linear functional on  $L^1(\mathbb{T})$  of norm not larger than  $\|A\|$ ; thus,  $\phi_{k,m} \in L^\infty(\mathbb{T})$ .

We show that if  $r \in (0, 1)$ , the operator

$$A_r = \sum_{k,m \in \mathbb{Z}} \Phi_{k,m}(A) r^{|k|+|m|}$$

defined in Proposition 4.1 is in the commutant of  $\mathcal{T}_R(\mathbb{H}^+)$ . It suffices to show that

$$\Phi_{k,m}(A) = \sum_{i,j} Q_{k+i,m+j} A Q_{i,j}$$

is in the commutant of  $\mathcal{T}_R(\mathbb{H}^+)$  for all  $k, m \in \mathbb{Z}$ . We have  $R_u Q_{k,m} = Q_{k+1,m} R_u$  and hence

$$\begin{aligned} \sum_{i,j} Q_{k+i,m+j} A Q_{i,j} R_u &= \sum_{i,j} Q_{k+i,m+j} A R_u Q_{i-1,j} \\ &= \sum_{i,j} Q_{k+i,m+j} R_u A Q_{i-1,j} \\ &= R_u \sum_{i,j} Q_{k-1+i,m+j} A Q_{i-1,j}. \end{aligned}$$

Similarly,  $R_v Q_{k,m} = Q_{k,m+1} R_v$  and hence

$$\begin{aligned} \sum_{i,j} Q_{k+i,m+j} A Q_{i,j} R_v &= \sum_{i,j} Q_{k+i,m+j} A R_v Q_{i,j-1} \\ &= \sum_{i,j} Q_{k+i,m+j} R_v A Q_{i,j-1} \\ &= R_v \sum_{i,j} Q_{k+i,m-1+j} A Q_{i,j-1}. \end{aligned}$$

Now set

$$B_r = \sum_{k,m \geq 0} r^{k+m} L_{\phi_{k,m}} L_{u^k} L_{v^m}.$$

Since  $\phi_{k,m} \in L^\infty(\mathbb{T})$ , the series converges absolutely to an operator in  $\mathcal{T}_L(\mathbb{H}^+)$ .

Clearly,  $\Phi_{k,m}(A)(w^0 \otimes u^0 \otimes v^0) = \phi_{k,m} \otimes u^k \otimes v^m$  and so  $A_r(w^0 \otimes u^0 \otimes v^0) = B_r(w^0 \otimes u^0 \otimes v^0)$ . Since both  $A_r$  and  $B_r$  are in the commutant of  $\mathcal{T}_R(\mathbb{H}^+)$ , Lemma 4.4 implies that  $A_r = B_r$ . Hence,  $A_r \in \mathcal{T}_L(\mathbb{H}^+)$ . Since  $\mathcal{T}_L(\mathbb{H}^+)$  is weak- $*$  closed, Proposition 4.1 (ii) implies that  $A \in \mathcal{T}_L(\mathbb{H}^+)$ .  $\square$

The following properties of  $\mathcal{T}_L(\mathbb{H}^+)$  follow from Theorem 4.7.

**Corollary 4.8.**

- (i) *The algebra  $\mathcal{T}_L(\mathbb{H}^+)$  has the bicommutant property  $\mathcal{T}_L(\mathbb{H}^+)'' = \mathcal{T}_L(\mathbb{H}^+)$ .*
- (ii)  *$\mathcal{T}_L(\mathbb{H}^+)$  is an inverse closed algebra.*
- (iii)  *$\mathcal{T}_L(\mathbb{H}^+)$  is closed in the weak operator topology.*

**5. Reflexivity of  $\mathcal{T}_L(\mathbb{H}^+)$**

In this section we establish the reflexivity of the algebra  $\mathcal{T}_L(\mathbb{H}^+)$ . Let  $F: L^2(\mathbb{T}) \otimes L^2(\mathbb{T}) \otimes L^2(\mathbb{T}) \rightarrow \ell^2(\mathbb{Z}) \otimes \ell^2(\mathbb{Z}) \otimes \ell^2(\mathbb{Z})$  be the tensor product of three copies of the Fourier transform. Let  $\mathcal{K} = H^2(\mathbb{T}) \otimes H^2(\mathbb{T})$  and  $\tilde{\mathcal{H}} = L^2(\mathbb{T}) \otimes \mathcal{K} = L^2(\mathbb{T}, \mathcal{K})$ ; we have that  $\tilde{\mathcal{H}} = F^{-1}(\ell^2(\mathbb{H}^+))$ . We will use the same symbol for the restriction of  $F$  to  $\tilde{\mathcal{H}}$ .

Let  $\tilde{W} = F^{-1}L_wF, \tilde{U} = F^{-1}L_uF, \tilde{V} = F^{-1}L_vF$  (acting on  $\tilde{\mathcal{H}}$ ) and  $\mathcal{L} = F^{-1}\mathcal{T}_L(\mathbb{H}^+)F$ . For a fixed  $\xi \in \mathbb{T}$ , let  $V_\xi = A_\xi \otimes S \in \mathcal{B}(H^2 \otimes H^2)$ , where  $S = T_{\zeta_1}$  is the shift on  $H^2$  and  $A_\xi$  is given by  $(A_\xi f)(z) = f(z/\xi), f \in H^2$ .

Write  $\mu$  for the normalized Lebesgue measure on  $\mathbb{T}$ . We consider the Hilbert space  $\tilde{\mathcal{H}}$  as a direct integral over the measure space  $(\mathbb{T}, \mu)$  of the constant field  $\xi \rightarrow \mathcal{K}(\xi) = \mathcal{K}$  of Hilbert spaces. Thus, an operator  $T$  is decomposable [3] with respect to this field if and only if it belongs to  $\mathcal{M} \otimes \mathcal{B}(\mathcal{K})$ , where  $\mathcal{M}$  denotes the multiplication MASA of  $L^\infty(\mathbb{T})$ ; we write

$$T = \int_{\mathbb{T}} T(\xi) \, d\mu(\xi).$$

We note that  $\tilde{W}, \tilde{U}$  and  $\tilde{V}$  are decomposable. In the next proposition we identify their direct integrals.

**Proposition 5.1.** *When  $\tilde{\mathcal{H}}$  is identified with the direct integral over  $(\mathbb{T}, \mu)$  of the constant field  $\xi \rightarrow \mathcal{K}$  of Hilbert spaces, we have*

$$\tilde{W} = \int_{\mathbb{T}} \xi(I \otimes I) \, d\mu(\xi), \quad \tilde{U} = \int_{\mathbb{T}} (S \otimes I) \, d\mu(\xi), \quad \tilde{V} = \int_{\mathbb{T}} V_\xi \, d\mu(\xi).$$

**Proof.** We identify the elements of  $\tilde{\mathcal{H}} = L^2(\mathbb{T}, \mathcal{K})$  with functions on three variables,  $f = f(\xi, z_1, z_2)$ , such that for almost every  $\xi \in \mathbb{T}$ , the function on two variables  $f(\xi, \cdot, \cdot)$  is analytic. To show that

$$\tilde{W} = \int_{\mathbb{T}} \xi(I \otimes I) \, d\mu(\xi),$$

note that if  $f \in \tilde{\mathcal{H}}$ , then  $\tilde{W}f(\xi, z_1, z_2) = \xi f(\xi, z_1, z_2), \xi, z_1, z_2 \in \mathbb{T}$ .

The claim concerning  $\tilde{U}$  is immediate from its definition. For  $\tilde{V}$  we argue as follows: let  $f(\xi, z_1, z_2) = \xi^n z_1^k z_2^m$  (that is,  $f = F^{-1}(w^n \otimes u^k \otimes v^m)$ ); then

$$\begin{aligned} \tilde{V}f &= \tilde{V}F^{-1}(w^n \otimes u^k \otimes v^m) \\ &= F^{-1}L_v(w^n \otimes u^k \otimes v^m) \\ &= F^{-1}(w^{n-k} \otimes u^k \otimes v^{m+1}) \end{aligned}$$

and thus  $\tilde{V}f(\xi, z_1, z_2) = \xi^{n-k} z_1^k z_2^{m+1}$ . On the other hand, the direct integral

$$\int_{\mathbb{T}} (A_\xi \otimes I) \, d\mu(\xi)$$

transforms the function  $f$  into the function  $g(\xi, z_1, z_2) = \xi^{n-k} z_1^k z_2^m$ . We thus have that

$$\tilde{V} = (I \otimes S) \int_{\mathbb{T}} (A_\xi \otimes I) \, d\mu(\xi) = \int_{\mathbb{T}} (A_\xi \otimes S) \, d\mu(\xi).$$

□

For  $\xi \in \mathbb{T}$ , let  $\mathcal{L}_\xi \subseteq \mathcal{B}(\mathcal{K})$  be the weak- $*$  closed subalgebra generated by  $S \otimes I$  and  $V_\xi$ . The operators  $A_\xi, S \in \mathcal{B}(H^2)$  are easily seen to satisfy the assumptions of Corollary 3.6 with  $\lambda = \bar{\xi}$ . It follows that  $\mathcal{L}_\xi$  is reflexive; in particular, it is weakly closed. We note that the algebra  $\mathcal{L}_\xi$  was studied by Hasegawa in [11], where a class of invariant subspaces of  $\mathcal{L}_\xi$  was exhibited.

In the next theorem, we use the notion of a direct integral of non-self-adjoint operator algebras developed in [3].

**Theorem 5.2.** *The algebra  $\mathcal{T}_L(\mathbb{H}^+)$  is reflexive.*

**Proof.** By definition,  $\mathcal{L} = F^{-1}\mathcal{T}_L(\mathbb{H}^+)F$  is generated, as a weak- $*$  closed algebra, by the operators  $\tilde{U}, \tilde{V}, \tilde{W}$  and  $\tilde{W}^{-1}$ .

Note that  $\mathcal{L} \subseteq \mathcal{M} \otimes \mathcal{B}(\mathcal{K})$ ; moreover,  $\mathcal{L}$  is weakly closed, since  $\mathcal{T}_L(\mathbb{H}^+)$  is a commutant (Theorem 4.7). Hence, by [3],  $\mathcal{L}$  gives rise to a direct integral

$$\int_{\mathbb{T}} \mathcal{A}(\xi) \, d\mu(\xi),$$

where  $\mathcal{A}(\xi)$  is the weakly closed algebra generated by  $\tilde{U}(\xi), \tilde{V}(\xi), \tilde{W}(\xi)$  and  $\tilde{W}^{-1}(\xi)$ . Since the operators  $\tilde{W}(\xi)$  and  $\tilde{W}^{-1}(\xi)$  are scalar multiples of the identity, we have that  $\mathcal{A}(\xi) = \mathcal{L}_\xi$ . On the other hand, since  $\mathcal{M} \otimes I_{\mathcal{K}} \subseteq \mathcal{L}$ , all diagonal operators of the integral decomposition are contained in  $\mathcal{L}$ . Proposition 3.3 of [3] shows that an operator

$$T = \int_{\mathbb{T}} T(\xi) \, d\mu(\xi)$$

belongs to  $\mathcal{L}$  if and only if almost all  $T(\xi)$  belong to  $\mathcal{L}_\xi$ . As observed above,  $\mathcal{L}_\xi$  is reflexive for each  $\xi \in \mathbb{T}$ . Proposition 3.2 of [3] now implies that  $\mathcal{L}$  is reflexive. Therefore, so is  $\mathcal{T}_L(\mathbb{H}^+)$ . □

## 6. Other representations

Until now we were concerned with the left regular representation of the Heisenberg semigroup. In this section, we consider another class of representations defined as follows. Let  $\lambda = e^{2\pi i\theta}$  with  $\theta$  irrational and let  $\alpha: \mathbb{T} \rightarrow \mathbb{T}$  be the rotation corresponding to  $\theta$ , that is, the map given by  $\alpha(z) = \lambda z$ . We let  $\nu$  be a Borel probability measure on  $\mathbb{T}$

which is quasi-invariant (that is,  $\nu(E) = 0$  implies  $\nu(\alpha(E)) = 0$ , for every measurable set  $E \subseteq \mathbb{T}$ ) and ergodic (that is,  $f \circ \alpha^k = f$  for all  $k \in \mathbb{Z}$  implies that  $f$  is constant, for every  $f \in L^\infty(\mathbb{T}, \nu)$ ). Let  $\mathcal{W}_\pi(\mathbb{H}^+)$  be the weak-\* closed subalgebra of  $\mathcal{B}(L^2(\mathbb{T}, \nu))$  generated by the operators

$$\pi(u) = M_{\zeta_1}, \quad \pi(v)f = \sqrt{\frac{d\nu_\lambda}{d\nu}}(f \circ \alpha) \quad \text{and} \quad \pi(w) = \lambda I,$$

where  $M_{\zeta_1}$  is the operator of multiplication by the function  $\zeta_1$  on  $L^2(\mathbb{T}, \nu)$  (recall that  $\zeta_n(z) = z^n$ ) and  $\nu_\lambda(A)$  is the Borel measure on  $\mathbb{T}$  given by  $\nu_\lambda(A) = \nu(\alpha(A))$ .

We will need the following two lemmas; the results are probably known in some form, but we have been unable to locate a precise reference and so we include their proofs. Below, the terms *singular* and *absolutely continuous* are understood with respect to Lebesgue measure  $\mu$ .

**Lemma 6.1.**

- (i) *The measure  $\nu$  is either absolutely continuous or singular.*
- (ii) *If  $\nu$  is absolutely continuous, it is equivalent to Lebesgue measure.*
- (iii) *If  $\nu$  is singular and not continuous, it is supported on an orbit of  $\alpha$ .*

**Proof.** (i) Denote by  $\nu_a$  (respectively,  $\nu_s$ ) the absolutely continuous (respectively, singular) part of  $\nu$ . Suppose that  $\nu_s \neq 0$  and  $\nu_a \neq 0$  and let  $A$  be a Borel set of Lebesgue measure zero such that  $\nu_s(\mathbb{T} \setminus A) = 0$ . Then  $\bigcup_{n \in \mathbb{Z}} \alpha^n(A)$  is an invariant set of positive  $\nu$ -measure. On the other hand, the Lebesgue measure of  $\bigcup_{n \in \mathbb{Z}} \alpha^n(A)$  is zero and hence  $\bigcup_{n \in \mathbb{Z}} \alpha^n(A)$  is not of full  $\nu$ -measure. This contradicts the ergodicity of  $\nu$ .

(ii) Let  $E \subseteq \mathbb{T}$  be the set on which the Radon–Nikodým derivative  $d\nu/d\mu$  vanishes; clearly,  $\nu(E) = 0$ . Setting  $F = \bigcup_{n \in \mathbb{Z}} \alpha^n(E)$ , we have that  $F$  is invariant and  $\nu(F) = 0$ . By the ergodicity of  $\mu$ , either  $\mu(F) = 0$  or  $\mu(\mathbb{T} \setminus F) = 0$ . However, if  $\mu(\mathbb{T} \setminus F) = 0$ , then  $\nu(\mathbb{T} \setminus F) = 0$  and hence  $\nu = 0$ . Thus,  $\mu(F) = 0$  and hence  $\mu(E) = 0$ . It follows that  $\nu$  is equivalent to  $\mu$ .

(iii) Let  $z_0 \in \mathbb{T}$  be such that  $\nu(\{z_0\}) \neq 0$ . Then the orbit  $X = \{\alpha^n(z_0) : n \in \mathbb{Z}\}$  of  $z_0$  is an invariant set of positive  $\nu$ -measure and it follows from ergodicity that its complement is  $\nu$ -null.  $\square$

Note that the following lemma could also be deduced from the results of Wermer [24]. (We thank the referee for bringing this reference to our attention.) We include a direct proof using the F. and M. Riesz Theorem.

**Lemma 6.2.** *Let  $\nu$  be a singular continuous measure. Then the weak-\* closed hull of the linear span of the set  $\{M_{\zeta_n} : n = 1, 2, \dots\}$  is equal to  $\{M_f : f \in L^\infty(\mathbb{T}, \nu)\}$ .*

**Proof.** Let  $f \in L^1(\mathbb{T}, \nu)$  be such that

$$\int f \zeta_n d\nu = 0 \quad \text{for all } n = 1, 2, \dots$$

It follows from the F. and M. Riesz Theorem that the measure  $f d\nu$  is absolutely continuous. Since  $\nu$  is singular, we obtain that  $f = 0$   $\nu$ -almost everywhere, and hence it is equal to 0 as an element of  $L^1(\mathbb{T}, \nu)$ .  $\square$

The next theorem describes completely the operator algebras arising from the class of representations that we consider.

**Theorem 6.3.** *Let  $\mathcal{N} = \{\zeta_k H^2 : k \in \mathbb{Z}\}$ .*

- (i) *If  $\nu$  is equivalent to Lebesgue measure, then the algebra  $\mathcal{W}_\pi(\mathbb{H}^+)$  is unitarily equivalent to the nest algebra  $\text{Alg } \mathcal{N}$ .*
- (ii) *If  $\nu$  is singular and not continuous, then  $\mathcal{W}_\pi(\mathbb{H}^+)$  is again unitarily equivalent to  $\text{Alg } \mathcal{N}$ .*
- (iii) *If  $\nu$  is singular and continuous, then  $\mathcal{W}_\pi(\mathbb{H}^+) = \mathcal{B}(L^2(\mathbb{T}, \nu))$ .*

**Proof.** (i) Since  $\nu$  is equivalent to Lebesgue measure, we may assume that  $\mathcal{W}_\pi(\mathbb{H}^+)$  acts on  $L^2(\mathbb{T})$ ,  $\pi(u) = M_{\zeta_1}$  and  $\pi(v)f = f \circ \alpha$ .

If  $a = (a_n)_{n \in \mathbb{Z}} \in \ell^\infty(\mathbb{Z})$ , let  $D_a$  be given by  $\widehat{D_a f}(n) = a_n \hat{f}(n)$ ; thus,  $D_a$  is the image, under conjugation by the Fourier transform, of the diagonal operator on  $l^2(\mathbb{Z})$  given by  $(x_j) \rightarrow (a_j x_j)$ . Let  $\mathcal{D} = \{D_a : a \in \ell^\infty(\mathbb{Z})\}$ ; clearly,  $\mathcal{D}$  is a MASA on  $L^2(\mathbb{T})$ . Since the map  $\sigma \rightarrow D_{(\sigma^n)_n}$  is weak-\* continuous from  $\mathbb{T}$  into  $\mathcal{B}(L^2(\mathbb{T}))$  and  $\{\lambda^k : k \in \mathbb{Z}_+\}$  is dense in  $\mathbb{T}$ , the weak-\* closed linear span of  $\{D_{(\lambda^{k_n})_n} : k \in \mathbb{Z}_+\} = \{\pi(v)^k : k \in \mathbb{Z}_+\}$  contains  $\{D_{(\sigma^n)_n} : \sigma \in \mathbb{T}\}$ ; it is hence a self-adjoint algebra and so must equal  $\mathcal{D}$  by the Bicommutant Theorem. On the other hand, if  $a \in \ell^\infty(\mathbb{Z})$  and  $p \geq 0$ , the matrix of  $\pi(u)^p D_a$  with respect to the basis  $\{\zeta_k\}_{k \in \mathbb{Z}}$  has the sequence  $a$  at the  $p$ th diagonal and zeros elsewhere. It follows that all lower triangular matrix units belong to the algebra  $\mathcal{W}_\pi(\mathbb{H}^+)$ , and hence this equals  $\text{Alg } \mathcal{N}$ .

(ii) By Lemma 6.1 (iii),  $\nu$  is supported on the orbit of a point  $z_0 \in \mathbb{T}$ . For  $k \in \mathbb{Z}$ , write  $z_k = \alpha^{-k}(z_0)$  and  $\beta_k^2 = \nu(\{z_k\})$ . Since  $\nu_\lambda(\{z_k\}) = \nu(\{\alpha(z_k)\}) = \nu(\{z_{k-1}\})$  we have  $\beta_{k-1} = \beta(z_k)\beta_k$ , where  $\beta$  is the function determined by the identity  $\beta^2 = d\nu_\lambda/d\nu$ . If  $f_k = \chi_{\{z_k\}}/\beta_k$ , then  $\{f_k : k \in \mathbb{Z}\}$  is an orthonormal basis of  $L^2(\mathbb{T}, \nu)$  and we have  $\pi(v)\chi_{\{z_k\}} = \beta \cdot (\chi_{\{z_k\}} \circ \alpha) = \beta\chi_{\{z_{k+1}\}}$ . Thus,

$$\pi(v)f_k = \beta \frac{\chi_{\{z_{k+1}\}}}{\beta_k} = \frac{\beta_k}{\beta_{k+1}} \frac{\chi_{\{z_{k+1}\}}}{\beta_k} = f_{k+1},$$

and so  $\pi(v)$  is the bilateral shift with respect to  $\{f_k\}$ . Also  $\pi(u)f_k = z_k f_k = \bar{\lambda}^k z_0 f_k$  for each  $k$  and hence, as in the proof of (i), the weak-\* closed linear span of the positive powers of  $\pi(u)$  contains all operators diagonalized by  $\{f_k\}$ . It follows as in (i) that  $\mathcal{W}_\pi(\mathbb{H}^+)$  consists of all operators which are lower triangular with respect to  $\{f_k\}$ ; hence, it is unitarily equivalent to  $\text{Alg } \mathcal{N}$ .

(iii) By Lemma 6.2, the algebra  $\mathcal{W}_\pi(\mathbb{H}^+)$  contains a MASA, namely, the multiplication MASA of  $L^\infty(\mathbb{T}, \nu)$ . Since  $\alpha$  acts ergodically, it is standard that  $\mathcal{W}_\pi(\mathbb{H}^+)$  has no non-trivial invariant subspaces. It follows from [2] that it is weak-\* dense in, and hence equal to,  $\mathcal{B}(L^2(\mathbb{T}, \nu))$ .  $\square$

**Remark 6.4.** Note the different roles of  $\pi(u)$  and  $\pi(v)$  in (i) and (ii) of Theorem 6.3: in (i), the diagonal MASA is generated by (the non-negative powers of)  $\pi(v)$ ; in (ii) the MASA is generated by  $\pi(u)$ . These two representations generate inequivalent representations of the irrational rotation algebra, as the corresponding measures are not equivalent [4].

### 6.1. A non-reflexive representation

We now construct an example of a representation of  $\mathbb{H}^+$  which generates a non-reflexive weakly closed operator algebra. This representation,  $\rho$ , acts on  $H^2$  and is defined as follows: if  $S = T_{\zeta_1}$  is the shift and  $V \in \mathcal{B}(H^2)$  is the operator given by  $(Vf)(z) = f(\lambda z) = (f \circ \alpha)(z)$ , we define

$$\rho(u) = S, \quad \rho(v) = SV \quad \text{and} \quad \rho(w) = \lambda I$$

with  $\lambda = e^{2\pi i\theta}$  and  $\theta$  irrational. Let  $\mathcal{A}$  be the weakly closed unital algebra generated by  $\rho(u)$  and  $\rho(v)$ . Using Fourier transform, we identify  $H^2$  with  $\ell^2(\mathbb{N})$  and let  $E: \mathcal{B}(H^2) \rightarrow \mathcal{D} \simeq \ell^\infty(\mathbb{N})$  be the usual normal conditional expectation onto the diagonal given by  $E((a_{ij})) = (b_{ij})$ , where  $b_{ij} = a_{ij}\delta_{ij}$ . Define  $E_k$  for  $k \geq 0$  by  $E_k(A) = E((S^*)^k A)$ .

We recall that  $[\mathcal{S}]$  denotes the linear span of a subset  $\mathcal{S}$  of a vector space.

**Proposition 6.5.** *If  $A \in \mathcal{A}$ , then  $E_m(A) \in [I, V, \dots, V^m]$ .*

**Proof.** The operator  $A$  is the weak limit of polynomials of the form

$$\sum_{k,n \geq 0} c_{k,n} S^{k+n} V^n.$$

Thus,  $E_m(A)$  is a weak limit of polynomials of the form

$$\sum c_{k,n} V^n,$$

where the summation is over all  $k, n \in \mathbb{Z}_+$  with  $k + n = m$  and hence  $E_m(A) \in [I, V, \dots, V^m]$ .  $\square$

**Proposition 6.6.** *If  $\mathcal{K} \in \text{Lat}\{S, SV\}$ , then in fact  $\mathcal{K} \in \text{Lat}\{S, V\}$  and hence  $\mathcal{K} = \zeta_k H^2$  for some  $k \in \mathbb{Z}_+$ .*

**Proof.** Since  $S(\mathcal{K}) \subseteq \mathcal{K}$  and  $\mathcal{K} \subseteq H^2$ , by Beurling's Theorem there is an inner function  $\phi$  such that  $\mathcal{K} = \phi H^2$ . Since  $SV(\mathcal{K}) \subseteq \mathcal{K}$ , we have  $SV(\phi) \in \mathcal{K} = \phi H^2$ , so  $z\phi(\lambda z)/\phi(z) \in H^\infty$ . Thus, there exists  $h \in H^\infty$  such that

$$z\phi(\lambda z) = h(z)\phi(z) \quad \text{for all } z \in \mathbb{D}. \quad (6.1)$$

Let  $\phi_1$  be an analytic function and  $l$  be a non-negative integer such that  $\phi_1(0) \neq 0$  and  $\phi(z) = z^l \phi_1(z)$  for all  $z \in \mathbb{D}$ . We obtain

$$z^{l+1} \lambda^l \phi_1(\lambda z) = h(z) z^l \phi_1(z) \quad \text{for all } z \in \mathbb{D} \quad (6.2)$$

and hence

$$z\lambda^l\phi_1(\lambda z) = h(z)\phi_1(z) \quad \text{for all } z \in \mathbb{D}. \quad (6.3)$$

Setting  $z = 0$  in (6.3), we obtain that  $h(0) = 0$ . Thus, there exists  $h_1 \in H^\infty$  such that  $h(z) = zh_1(z)$ . The relation  $z\phi(\lambda z) = h(z)\phi(z) = zh_1(z)\phi(z)$  implies  $\phi \circ \alpha = h_1\phi$  and hence  $(\phi \circ \alpha)H^2 \subseteq \phi H^2$ . Therefore,

$$V(\mathcal{K}) = V(\phi H^2) = (\phi \circ \alpha)H^2 \subseteq \phi H^2 = \mathcal{K}.$$

Considering  $\mathcal{K}$  as a subspace of  $L^2(\mathbb{T})$ , Theorem 6.3 (i) gives that  $\mathcal{K} = \zeta_k H^2$  for some  $k$  (note that here  $\nu$  equals Lebesgue measure); since  $\mathcal{K} \subseteq H^2$ ,  $k$  must be non-negative.  $\square$

**Theorem 6.7.** *The algebra  $\mathcal{A}$  is not reflexive; in fact  $\text{Ref } \mathcal{A} = \text{Alg } \mathcal{N}$ , where  $\mathcal{N} = \{\zeta_k H^2 : k \in \mathbb{Z}_+\}$ .*

**Proof.** By Proposition 6.6,  $\text{Ref } \mathcal{A} = \text{Alg } \mathcal{N}$ . It follows from Proposition 6.5 that  $\mathcal{A}$  is strictly contained in  $\text{Ref } \mathcal{A}$ .  $\square$

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